

VILLAGE OF LAKE ZURICH
Board of Trustees
70 East Main Street

Monday, November 18, 2013, 7:00 p.m.

A G E N D A

- 1. CALL TO ORDER**
- 2. ROLL CALL:** Mayor Thomas Poynton, Trustee Jim Beaudoin, Trustee Jeff Halen, Trustee Mark Loewes, Trustee Dana Rzeznik, Trustee Jonathan Sprawka, and Trustee Dan Stanovich.
- 3. PLEDGE OF ALLEGIANCE**
- 4. PUBLIC COMMENT**
(This is an opportunity for residents to comment briefly on matters included on the agenda and otherwise of interest to the Board of Trustees.)
- 5. PRESIDENT'S REPORT**
(This is an opportunity for the Mayor to report on matters of interest to the Village.)
 - A. Community Update**
 - B. Proclamation Honoring Police Chief Patrick Finlon**
 - C. Appointment of Interim Police Chief Effective November 26**
- 6. CONSENT AGENDA**
(These titles will be read by the Village Clerk and approved by a single Roll Call Vote. Any item may be pulled from the Consent Agenda for discussion by any member of the Board)
 - A. Approval of Minutes of the Village Board Meeting, November 4, 2013**
 - B. An Ordinance Amending Title 2, Chapter 6, Article A, Section 2 of the Lake Zurich Municipal Code (Assign ORD. # 2013-11-925)**

Summary: The existing provisions in the Lake Zurich Municipal Code related to the Police Pension Fund require regular quarterly meetings. The proposed Ordinance revises the Code to give the Board of Trustees of the Lake Zurich Police Pension Fund the flexibility to schedule its meetings and maintain compliance with the Illinois Pension Code.
 - C. Approval of Purchase of Replacement Flow Meters in the Amount of \$29,293.76.**

Summary: The four sanitary flow meters at the Quentin Pumping Station and the one sanitary flow meter at the Northwest Pumping Station are obsolete and require replacement. In the current approved budget, \$31,000 has been included for the replacement of these five meters.

- D. An Ordinance authorizing the issuance of \$1,055,000 Taxable General Obligation Refunding Bonds (Limited Tax), Series 2014, of the Village of Lake Zurich, Lake County, Illinois, for the purpose of refunding certain outstanding general obligation alternate bonds of said Village and paying the expenses incident thereto and providing for the levy and collection of a direct annual tax for the payment of the principal of and interest on said bonds. (Assign ORD. # 2013-11-926)**

Summary: The proposed Ordinance is a routine bond issuance for the fifth subsequent year, intended to pay interest and principal due during fiscal year 2015. These bonds are part of the Village's overall debt limit and fall within the Village's tax cap limit.

- E. Approval of Fire Department Automatic Aid Agreement with the Barrington-Countryside Fire Protection District**

Summary: The Village of Barrington and the Barrington-Countryside Fire protection District are splitting on January 1, 2014. Due to this separation, Lake Zurich needs to implement new auto-aid agreements with both entities. The proposed agreement is the first of two that will require Village Board approval in order to continue reciprocal coverage between participating fire departments.

- F. An Ordinance Amending the Village Liquor Code to Decrease the Number of Authorized Class D-1 Liquor Licenses (Assign ORD. # 2013-11-927)**

Summary: Trader Joe's has requested to upgrade their liquor license from a Class D-1 to a Class C to allow for the sale of liquor, beer and wine. The proposed Ordinance decreases the number of Class D-1 liquor licenses in the Village by one.

- G. An Ordinance Amending the Village Liquor Code to Increase the Number of Authorized Class C Liquor Licenses (Assign ORD. # 2013-11-928)**

Summary: Trader Joe's has requested to upgrade their liquor license from a Class D-1 to a Class C to allow for the sale of liquor, beer and wine. The proposed Ordinance increases the number of Class C liquor licenses in the Village by one.

- H. Resolution Regulating Zoning Restrictions on Cannabis Dispensaries and Cultivation Centers (Assign RESO # 2013-11-6H)**

Summary: The recently enacted Illinois medical marijuana law allows for cultivation centers and dispensaries to be located throughout the State. Under the terms of the law, no municipality within the State has the authority to completely prohibit these facilities from locating within their community. However, municipalities may enact reasonable zoning restrictions to regulate these facilities. The attached resolution directs the Plan Commission to hold a public hearing to enact regulations that address cannabis cultivation and dispensing organizations.

I. Ordinance Amending the Village Code Related to the Regulation of “Secondhand Dealers” (Assign ORD. # 2013-11-929)

Summary: The Police Department has determined it is customary to limit the employment of individuals under the age of 18 at secondhand dealer establishments and prohibit transactions at secondhand dealer establishments for those under the age of 18 except on the written consent of their parent or guardian in regards to each particular transaction. The proposed Ordinance enacts these regulations.

Recommended Action: Motion to approve the Consent Agenda as presented. (Roll Call Vote)

7. OLD BUSINESS

(This agenda item includes matters for action by the Board of Trustees.)

A. A Resolution Adopting the Six Point Downtown Action Plan (Assign RESO. # 2013-11-7A) (Trustee Loewes)

Summary: The proposed Downtown Action Plan was presented to the Village Board at the October 21, 2013 meeting and focuses on immediate and cost-effective actions. The Village Manager’s Office has created a schedule that summarizes timelines, staff responsibilities, and proposed budget impacts for each action item.

Recommended Action: A motion to approve the proposed resolution adopting the recommendations of the *Moving Lake Zurich Forward: Six Point Downtown Action Plan*.

8. NEW BUSINESS

(This agenda item includes matters coming to the Board of Trustees for discussion and possible action.)

A. Presentation of Police Pension Municipal Compliance Report (Trustee Beaudoin)

Summary: The Lake Zurich Police Pension Board will present their tax levy request in the amount of \$1,392,968 for the next fiscal year for the Village Board to consider, as suggested in the actuarial report prepared by Tim Sharpe.

Recommended Action: No Village Board action is required at this point. This tax levy request is for information purposes only.

B. Presentation of Firefighters Pension Municipal Compliance Report (Trustee Rzezniak)

Summary: The Lake Zurich Firefighters’ Pension Fund will present their tax levy request in the amount of \$1,870,546 for the next fiscal year for the Village Board to consider, as suggested in the actuarial report prepared by Brian LaBardi.

Recommended Action: No Village Board action is required at this point. This tax levy request is for information purposes only.

- C. **Semi-Monthly Warrant Register Dated November 18, 2013 Totaling \$1,769,034.80**
(Trustee Halen)

Recommended Action: Motion to approve the semi-monthly warrant register dated November 18, 2013 totaling \$1,769,034.80.

- D. **Approval of Capital Improvement Plan 2015-2019** (Trustee Stanovich)

Summary: The Capital Improvement Plan (CIP) contains the proposed long-term capital spending plan for the Village. The CIP is a compilation of proposed capital expenditures tendered by the Village's operating departments and is subject to change by the Board before any requests for funding would be forthcoming during the budget process for each individual fiscal year. This document should be viewed as a planning tool and a multi-year reference document.

Recommended Action: A motion to approve the Capital Improvement Plan 2015 - 2019 as presented.

- E. **Ordinance Authorizing Amendments to Sewer Connection Agreements (Assign ORD. # 2013-11-930)** (Trustee Stanovich)

Summary: The Village of Kildeer has requested an amendment to the existing sewer agreement in the event Kildeer desires to pursue disconnection with Lake Zurich. With the planned widening of Quentin Road by Lake County, Kildeer may be forced to relocate its sanitary sewers. The proposed change in the agreement would provide for disconnection and notice procedures to Lake Zurich.

Recommended Action: A motion to approve the proposed Ordinance authorizing amendments to sewer connection agreements with the Village of Kildeer.

9. **TRUSTEE REPORTS**

(This is an opportunity for Trustees to report on matters of interest to the Board of Trustees.)

10. **VILLAGE MANAGER'S REPORT**

(This is an opportunity for the Village Manager to report on matters of interest to the Board of Trustees.)

A. **Monthly Department Reports**

11. **ATTORNEY'S REPORT**

(This is an opportunity for the Village Attorney to report on legal matters of interest to the Board of Trustees.)

12. **DEPARTMENT HEAD REPORTS**

(This is an opportunity for department heads to report on matters of interest to the Board of Trustees.)

A. **Community Services Department: CN Noise Mitigation Update**

13. EXECUTIVE SESSION (5 ILCS 120 Session 2(c)(11) Called for the purpose of discussing pending and imminent litigation

14. ADJOURNMENT

The Village of Lake Zurich is subject to the requirements of the Americans with Disabilities Act of 1990. Individuals with disabilities who plan to attend this meeting and who require certain accommodations so that they can observe and participate in this meeting, or who have questions regarding the accessibility of the meeting or the Village's facilities, should contact the Village's ADA Coordinator at 847.438.5141 (TDD 847.438.2349) promptly to allow the Village to make reasonable accommodations for those individuals.

Thomas M. Poynton
Village Mayor
70 E. Main Street
Lake Zurich, IL 60047



(847)438-5141
(847) 540-1768
mayor@LakeZurich.org
www.LakeZurich.org

Office of the Mayor

**PROCLAMATION HONORING POLICE CHIEF PATRICK FINLON UPON HIS
RETIREMENT FROM THE VILLAGE OF LAKE ZURICH AFTER 29 YEARS OF
PUBLIC SERVICE**

WHEREAS, Chief Finlon began his law enforcement career with the Village of Lake Zurich Police Department as a Patrol Officer on February 15, 1984; and

WHEREAS, Chief Finlon has served in the capacity of Police Chief since 2008, leading the Police Department in providing high-quality protection and service to the citizens of Lake Zurich; and

WHEREAS, Chief Finlon has been an exemplary leader, demonstrating the highest degree of selflessness and concern for fellow citizens; and

WHEREAS, during his time in Lake Zurich, Chief Finlon oversaw many important accomplishments for the police force, including the department being reaccredited twice during his tenure, expanding dispatch services to the Village of Island Lake, and initiating the Citizen Police Academy; and

WHEREAS, Chief Finlon's leadership is evident in him being the first President of the Lake Zurich Fraternal Order of Police Lodge and the first Lake Zurich High School Resource Officer, and most recently President of the Lake County Chiefs Association; and

WHEREAS, Chief Finlon is officially retiring from Lake Zurich on November 25, 2013.

NOW, THEREFORE, BE IT PROCLAIMED that I, Mayor Tom Poynton, on behalf of the Board of Trustees and the citizens of Lake Zurich, do hereby recognize the contribution that Chief Patrick Finlon has made to the citizens of the Village of Lake Zurich during her successful career in law enforcement. I extend my personal best wishes in his well-deserved retirement and for continued success in life's pursuits.

Dated this 18th day of November, 2013.

In witness whereof, I have hereunto set my hand and caused the official
Seal of this Village to be affixed.

Tom Poynton, Mayor of Lake Zurich

**UNAPPROVED
VILLAGE OF LAKE ZURICH BOARD OF TRUSTEES
REGULAR MEETING
70 East Main Street
Monday, November 4, 2013, 7:00 p.m.**

1. **CALL TO ORDER** by Mayor Tom Poynton at 7.00pm.
2. **ROLL CALL:** Mayor Thomas Poynton, Trustee Jim Beaudoin, Trustee Jeff Halen, Trustee Dana Rzeznik, Trustee Jonathan Sprawka. Trustee Mark Loewes and Trustee Dan Stanovich were absent and excused. Also present: Village Manager Jason Slowinski, Asst. Village Manager Roy Witherow, Village Attorney Scott Uhler, Community Services Dir. Michael Earl, Finance Dir. Jodie Hartman, I/T Dir. Michael Duebner, Police Chief Pat Finlon, Fire Chief Dave Wheelock, Park Manager Dave Peterson, Building/Zoning Manager Dan Peterson and Utilities Supt Steve Schmitt.
3. **PLEDGE OF ALLEGIANCE**
4. **PUBLIC COMMENT** There were none.
5. **PRESIDENT'S REPORT**
 - A. **Community Update:**

Mayor Poynton recognized GSA Troops 262, 370, 467, 534, 714, 841, 942, 1076 with certificates of appreciation for their display of scarecrows in the downtown area.

Mayor Poynton recognized the Lake Zurich Flames and Cheers who have "adopted" the Rt. 22 highway near Knox Park Road in the Adopt-a-Highway program. Details of the program are on the Village's website.
6. **CONSENT AGENDA**
 - A. **Approval of Minutes of the Village Board Meeting, October 21, 2013**
 - B. **Approval of Annual Schedule of Meetings for Calendar Year 2014**

Summary: Approval and subsequent notification of the proposed meeting schedule for calendar year 2014 fulfills the State of Illinois Open Meetings Act requirement that all public bodies give public notice of the dates, times, and places of regular meetings.
 - C. **Release of Funds in the Amount of \$6,967.50 for LA Fitness**

Summary: A request has been received from 825 Lake Zurich, LLC for the release of a \$10,000 deposit for improvements to LA Fitness at 825 South Rand Road. Staff conducted a final inspection of the property and is recommending a release of \$6,967.50 of the deposit, with the remaining eligible amount to be held back until spring to ensure landscaping improvements survive and a management plan and maintenance records for the storm chamber detention facility are received by the Village.
 - D. **An Ordinance Approving and Granting Special Use Permit for 968-972 Donata Court (Northstar Pickle) (Assign ORD# 2013-11-922).**

Summary: The Plan Commission voted unanimously on October 16, 2013 to recommend approval of a Special Use Permit for Northstar Pickle for the manufacturing of pickles and pickled tomatoes within the I-1 Limited Industrial District. The proposed Ordinance approves and grants this special use request.

**E. An Ordinance Granting a Variation for 70 E. Harbor Drive
ORD# 2013-11-923.**

Summary: The applicants at 70 E. Harbor Drive are seeking approval of a zoning variation to reduce the minimum required side yard setback from 7 feet to 5 feet to allow for a home addition. On October 17, 2013, the Zoning Board of Appeals recommended the Village Board approve this request.

**F. An Ordinance Granting a Variation for 567 Chesterfield Lane
ORD# 2013-11-924.**

Summary: The applicants at 567 Chesterfield Lane are seeking approval of a zoning variation to reduce the minimum required side yard setback from 10 feet to 5 feet to allow for a home addition. On October 17, 2013, the Zoning Board of Appeals recommended the Village Board approve this request.

Recommended Action: A motion was made by Mayor Poynton to approve the Consent Agenda as presented, seconded by Trustee Beaudoin.

AYES: 4 Trustees Beaudoin, Halen, Rzeznik, Sprawka.

NAYS: 0

ABSENT: 2 Trustees Loewes, Stanovich.

MOTION CARRIED.

7. OLD BUSINESS

None at this time.

8. NEW BUSINESS

**A. Semi-Monthly Warrant Register Dated November 4, 2013 Totaling
\$1,078,617.41**

Finance Dir. Jodie Hartman corrected the payment to Peapod being from the General Fund and not the Water/Sewer Fund as printed in the warrant cover sheet.

Recommended Action: A motion was made by Trustee Halen, seconded by Trustee Sprawka, to approve the semi-monthly warrant register dated November, 4 2013 totaling \$1,078,617.41.

AYES: 4 Trustees Beaudoin, Halen, Rzeznik, Sprawka.

NAYS: 0

ABSENT: 2 Trustees Loewes, Stanovich.

MOTION CARRIED.

B. Approval of Northwest Pump Station Repair in the Amount of \$24,080

Summary: The current approved budget includes \$33,500 for pump repairs, for which \$17,683 remains. Pumping station #1 has been operating with a spare pump since September 10 due to an earlier failure of the original pump. The original pump is in need of repairs for the first time in 19 years.

Recommended Action: A motion was made by Trustee Sprawka, seconded by Trustee Rzeznik, to approve the repair estimate quotation from HydroAire Service in the amount of \$24,080.

AYES: 4 Trustees Beaudoin, Halen, Rzeznik, Sprawka.

NAYS: 0

ABSENT: 2 Trustees Loewes, Stanovich.

MOTION CARRIED.

C. Purchase of Two Police Vehicles in the Amount of \$51,172

Summary: The current approved budget includes \$60,000 to replace two Police patrol vehicles. The two vehicles in need of replacement are seeing increased maintenance costs and have approached the end of their useful life. Police Chief Pat Finlon reported on the purchase and answered the Board's questions.

Recommended Action: A motion was made by Trustee Beaudoin, seconded by Trustee Halen, to approve the purchase of one 2014 Ford Police Interceptor Sedan in the amount of \$24,721 and one 2014 Ford Police Interceptor Utility in the amount of \$26,451.

AYES: 4 Trustees Beaudoin, Halen, Rzeznik, Sprawka.

NAYS: 0

ABSENT: 2 Trustees Loewes, Stanovich.

MOTION CARRIED.

D. Courtesy Review for Zoning Application at 1275 Ensell Road, GereMarie Addition

Summary: An agent of GereMarie Corporation, located within the Village I-1 Limited Industrial District, is seeking a Zoning Code variance to regulations on the design and location of a loading dock, as well as exterior appearance and site plans for a proposed 8,370 square foot one story building addition.

Building/Zoning Manager Dan Peterson introduced Steve Fox of GereMarie Corp. and answered the Board's questions. The Board's consensus was to send the request to the Plan Commission for consideration.

Recommended Action: to refer the application to the Plan Commission for consideration.

9. TRUSTEE REPORTS

There were none.

10. VILLAGE MANAGER'S REPORT

- A. Downtown Action Plan Update:** Village Manager Jason Slowinski gave an update on the plan which will include the Trustees input.

11. ATTORNEY'S REPORT

There was none.

12. DEPARTMENT HEAD REPORTS

A. Parks and Recreation: Paulus Park Playground Concepts

Park Manager Dave Peterson reported on the recommendation of the replacement of Kings Kingdom playground equipment at Paulus Park. The community was able to have input by voting in person, at a community meeting and online. The results and timeline will be shared with the Park Advisory Board. Completion should be about May 2014.

B. Police: International Association of Chiefs of Police National Law Enforcement Challenge Award for 2012

Police Chief Pat Finlon reported on the award.

C. Community Services: Telser Road Location Update

Community Services Dir. Michael Earl reported on the 10/28/13 move by Building and Zoning Dept. to 505 Telser Rd., with time expansion of 7.30am-4.30pm.

13. ADJOURNMENT

Motion made by Trustee Sprawka, seconded by Trustee Halen, to adjourn the meeting.

AYES: 4 Trustees Beaudoin, Halen, Rzeznik, Sprawka.

NAYS: 0

ABSENT: 2 Trustees Loewes, Stanovich.

MOTION CARRIED.

Meeting adjourned at 7.32pm.

Respectfully submitted: Kathleen Johnson, Village Clerk.

Approved by:

Mayor Thomas M. Poynton

Date.

70 E. Main Street
Lake Zurich, IL 60047



AGENDA ITEM

6B

Phone: (847) 438-5141
Fax: (847) 540-1768
Web: www.LakeZurich.org

MEMORANDUM

Date: November 11, 2013

To: Jason T. Slowinski, Village Manager

From: Kyle D. Kordell, Management Analyst

Subject: Ordinance Amending Code Language for Police Pension Fund Meetings

Issue: The Police Pension Fund has requested a revision to the Municipal Code language regarding quarterly meeting dates.

Analysis: Currently, the Code dictates the exact date of each quarter when meetings must be held. The Police Pension Fund desires to increase their flexibility to allow them to choose which date of each quarter their meetings will be held. The Fund will continue to meet in January, April, July, and October and be required to comply with the Illinois Open Meetings Act.

For comparison purposes, the Code does not contain language dictating when the Fire Pension Fund meets, so the request of the Police Pension Fund would create equal meeting flexibility between these two pension fund boards.

Since the schedule of meetings for 2014 has already been approved by the Village Board, the flexibility to set their own meetings dates will not go into effect for the Police Pension Fund until 2015. The dates for the Police Pension Fund to meet in 2014 are January 14, April 8, July 8, and October 14.

Recommendation: Staff recommends the Village Board approve the attached Ordinance amending Title 2, Chapter 6, Article A, Section 2 of the Lake Zurich Municipal Code.

w/ Attachments:

Proposed Ordinance (2 pages)

VILLAGE OF LAKE ZURICH
ORDINANCE NO. 2013-11- 925

AN ORDINANCE AMENDING TITLE 2, CHAPTER 6, ARTICLE A, SECTION 2 OF THE
LAKE ZURICH MUNICIPAL CODE
(Police Pension Fund Meetings)

WHEREAS, the existing provisions in the Lake Zurich Municipal Code related to the Police Pension Fund require regular quarterly meetings; and

WHEREAS, the Police Pension Fund has determined it to be within the best interests of their public body to gain the flexibility to schedule their meetings and maintain compliance with the Illinois Pension Code.

NOW, THEREFORE, BE IT ORDAINED by the President and Board of Trustees of the Village of Lake Zurich, Lake County and the State of Illinois, as follows:

Section 1. Recitals. The foregoing recitals are hereby incorporated into this Ordinance as findings of the President and Board of Trustees.

Section 2. Code Revisions. Title 2, Chapter 6, Article A, Section 2 of the Lake Zurich Municipal Code shall be revised in the following manner:

2-6A-2: ORGANIZATION:

A. Meetings: The Board of Trustees of the Fund shall hold regular quarterly meetings as established by its members in on the second Tuesday of July, October, January and April and any special meeting called by the President, or as required by the applicable statutes of the State of Illinois. All meetings of the board must be conducted in accordance with the requirements of the Illinois open meetings act. The board shall keep current, accurate minutes of its proceedings.

Section 3. Severability. If any paragraph, section, clause or provision of this Ordinance is held invalid, the remainder shall continue in full force and effect without affecting the validity of the remaining portions of the Ordinance.

Section 4. Effective Date. This Ordinance shall be in full force and effect from and after its passage and approval in the manner provided by law.

PASSED this 18th day of November, 2013.

AYES:

NAYS:

ABSENT:

APPROVED this 18th day of November, 2013.

By: _____
Thomas Poynton, Village President

SEAL

ATTEST:

Kathleen Johnson, Village Clerk

Published: _____

70 E. Main Street
Lake Zurich, IL 60047



AGENDA ITEM

6C

Phone: (847) 438-5141
Fax: (847) 540-1768
Web: www.LakeZurich.org

MEMORANDUM

Date: October 17, 2013

To: Jason T. Slowinski, Village Manager

From: Steve Schmitt, Utilities Superintendent

Copy: Michael J. Earl, Director of Community Services

Subject: Purchase of Five Replacement Flow Meters

Issue: Sanitary sewer flow meters at the Quentin Pumping Station (4) and Northwest Pumping Station (1) were installed in 1993 and are now obsolete. Parts are no longer available for repair and calibration. In the 2013/2014 budget, \$31,000 has been set aside for replacement of five flow meters.

Background: The IEPA requires sanitary sewer flow meters for all pumping stations with a 1200 gallon per minute or greater design peak flow, which applies to both Quentin and Northwest Pumping Stations. In addition to monitoring daily flows, the meters also are a diagnostic tool for measuring pump performance for maintenance purposes.

To ensure standardization of the 14 sanitary sewer flow meters in the Village's 17 pumping stations, which is desirable from an operational and maintenance perspective, quotes were obtained from three vendors that supply staff's recommended flow meter.

Analysis: Listed below are the results of each quote for equipment, installation and startup.

1. **Vortex Technologies Inc.**

Northwest Pump Station	\$ 5,765.00
Quentin Pump Station	<u>\$23,528.76</u>
Total	\$29,293.76

2. **Tri-R Systems Inc.**

Northwest Pump Station	\$ 5,900.00
Quentin Pump Station	<u>\$24,200.00</u>
Total	\$30,100.00

3. **Instruments Direct Inc.**

Northwest Pump Station	\$ 6,470.00
Quentin Pump Station	<u>\$26,380.00</u>
Total	\$32,850.00

Staff is familiar with the vendor that offered the lowest quote, Vortex Technologies.

Recommendation: Based on the above information, staff recommends the Village waive the formal bid process and accept the equipment, installation and startup quotation from Vortex Technologies Inc. in the amount of \$29,293.76.

w/ Attachments:

Vortex Technologies - Quote dated September 27, 2013 (2 pages)

Tri-R Systems Inc. – Quote dated September 27, 2013 (2 pages)

Instruments Direct Inc. - Quote dated September 25, 2013 (2 pages)


Vortex Technologies, Inc.

10 South Main Street, Sugar Grove, Illinois 60554
 Phone: 630-466-9555 Fax: 630-466-9222
 www.vortextek.com

QUOTE

DATE	QUOTE NO.
9/27/2013	1403

NAME / ADDRESS
Village of Lake Zurich 505 Telser Lake Zurich, IL 60047 Attn: Mr. Steve Schmitt

		SHIP DATE	TERMS	FOB		
		1-2 weeks A.R.O.	Net 30	Factory		
QTY	ITEM	DESCRIPTION		U/M	COST	TOTAL
		Proposal for flow monitor replacement at the Northwest Lift Station				
1	901-011-...	Fuji Electric transit time flow monitor. Model UFM FSV transmitter. Fully programmable, 120 VAC power, with local indicator, totalizer, and 4-20 ma output.			2,110.00	2,110.00
1	901-041-...	Fuji Electric transit time transducers, model FLW41, 1.0 MHz for pipe sizes 8" to 48". Temperature range -40 to 212 F.			1,080.00	1,080.00
1	800-380-...	Vortex Technologies model IL-380-GTF-IP. 120 VAC, Control Loop Surge Suppression System with ground transient filter. 3 amp continuous service			775.00	775.00
1	Installati...	Installation of equipment and startup of instrumentation			1,800.00	1,800.00
This quote is valid for 30 days from date above.			Total		\$5,765.00	


Vortex Technologies, Inc.

10 South Main Street, Sugar Grove, Illinois 60554
 Phone: 630-466-9555 Fax: 630-466-9222
 www.vortextek.com

QUOTE

DATE	QUOTE NO.
9/27/2013	1402

NAME / ADDRESS
Village of Lake Zurich 505 Telser Lake Zurich, IL 60047 Attn: Mr. Steve Schmitt

SHIP DATE	TERMS	FOB
1-2 weeks A.R.O.	Net 30	Factory

QTY	ITEM	DESCRIPTION	U/M	COST	TOTAL
		Proposal for flow monitor replacement at the Quentin Road Lift Station			
4	901-011-...	Fuji Electric transit time flow monitor. Model UFM FSV transmitter. Fully programmable, 120 VAC power, with local indicator, totalizer, and 4-20 ma output.		2,110.00	8,440.00
4	901-041-...	Fuji Electric transit time transducers, model FLW41. 1.0 MHz for pipe sizes 8" to 48". Temperature range -40 to 212 F.		1,080.00	4,320.00
4	901-041-...	Part Number: FSV Cable-50 Ft.		117.19	468.76
4	800-380-...	Vortex Technologies model 1L-380-GTF-IP. 120 VAC, Control Loop Surge Suppression System with ground transient filter. 3 amp continuous service		775.00	3,100.00
1	Installati...	Installation of equipment and startup of instrumentation		7,200.00	7,200.00

This quote is valid for 30 days from date above.

Total \$73,528.76



SYSTEMS
INCORPORATED

1804 E. LINCOLN HWY. DEKALB, IL 60115
PHONE (815) 787-0830 FAX (815) 787-0930

September 27, 2013

Village of Lake Zurich WWTP

Re: *Northwest Lift Station Fuji Flow meter*

Per your request, TRI-R Systems Incorporated is pleased to provide the following pricing for work as outlined below.

- Furnish and install Fuji transit time flow meter Model UFM FSV transmitter with Model FLW41 transit time transducers.
- Furnish and install surge suppression system
- Provide startup and training for new equipment.

Price: Five Thousand Nine Hundred (\$5,900.00) Dollars

If you have any questions, please call.

Sincerely,
TRI-R Systems Incorporated

Timothy Smith



1604 E. LINCOLN HWY. DEKALB, IL 60115
PHONE (815) 787-0830 FAX (815) 787-0930

September 27, 2013

Village of Lake Zurich WWTP

Re: *Quentin Road Lift Station Fuji Flow meter*

Per your request, TRI-R Systems Incorporated is pleased to provide the following pricing for work as outlined below.

- Furnish and install (4) Fuji transit time flow meters Model UFM FSV transmitter with (4) Model FLW41 transit time transducers.
- Furnish and install (4) surge suppression system
- Provide startup and training for new equipment.

Price: Twenty Four Thousand Two Hundred (\$24,200.00) Dollars

If you have any questions, please call.

Sincerely,
TRI-R Systems Incorporated

Timothy Smith

Instrument Direct INC.

Quote

2130 Barrett Park Drive, Suite 103
Kennesaw GA 30144
Ph: (678)445-7675 • Fx: (678)445-9993
www.InstrumentsDirect.com

Date	Quote #
9/25/2013	Q108826

Bill To
Steve Schmitt Village of Lake Zurich 505 Telser Lake Zurich IL 60047 United States

Ship To
Steve Schmitt Village of Lake Zurich 505 Telser Lake Zurich IL 60047 United States

Expiry	Shipping Method	Terms	Sales Contact	
10/25/2013	UPS Ground	CREDIT CARD	Lane Roberts	
Qty	Unit	Description	Unit Price	Amount
	Notes	Proposal for flow monitor replacement at the Northwest Lift Station		
1	See Description	Fuji Electric transit time flow monitor. Model UFM FSV transmitter. Fully programmable, 120 VAC power, with local indicator, totalizer, and 4-20 ma output.	2,400.00	2,400.00
1	See Description	Fuji Electric transit time transducers, model FLW41. 1.0 MHz for pipe sizes 8" to 48". Temperature range -40 to 212 F.	1,200.00	1,200.00
1	See Description	Vortex Technologies model IL-380-GTF-IP. 120 VAC, Control Loop Surge Suppression System with ground transient filter. 3 amp continuous service.	850.00	850.00
1	See Description	Installation of equipment and startup of instrumentation.	2,000.00	2,000.00
	Notes (Additional)	Shipping is 2 weeks A.R.O.		
Questions? Contact Lane Roberts Ph: (888)722-8543 x 156 Fx: (678)445-9993 lroberts@instrumentsdirect.com			Subtotal	6,450.00
			Shipping Cost (UPS Ground)	20.00
			Total	6,470.00

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Quote

**2130 Barrett Park Drive, Suite 103
Kennesaw GA 30144
Ph: (678)445-7675 • Fx: (678)445-9993
www.InstrumentsDirect.com**

Date	Quest. #
9/25/2013	Q108826

From	Ship To
Steve Schmitt Village of Lake Zurich 505 Telser Lake Zurich IL 60047 United States	Steve Schmitt Village of Lake Zurich 505 Telser Lake Zurich IL 60047 United States

Invoice		Shipping Method		Terms		Sales Contact	
10/25/2013		UPS Ground		CREDIT CARD		Lane Roberts	
Qty	Item	Description				Unit Price	Amount
	Notes	Proposal for flow monitor replacement at the Quentin Road Lift Station					
4	See Description	Fuji Electric transit time flow monitor. Model UFM FSV transmitter. Fully programmable, 120 VAC power, with local indicator, totalizer, and 4-20ma output.				2,400.00	9,600.00
4	See Description	Fuji Electric transit time transducers, model FLW41, 1.0 MHz for pipe sizes 8" to 48". Temperature range -40 to 212 F.				1,200.00	4,800.00
4	See Description	Part Number: FSV Cable-50 Ft.				130.00	520.00
4	See Description	Vortex Technologies model IL-380-GTF-IP, 120 VAC, Control Loop Surge Suppression System with ground transient filter. 3 amp continuous service.				850.00	3,400.00
1	See Description	Installation of equipment and startup of instrumentation.				8,000.00	8,000.00
	Notes (Additional)	Shipping is 2 weeks A.R.O.					
Questions? Contact Lane Roberts Ph: (888)722-5543 x 158 Fx: (678)445.9993 troberts@instrumentsdirect.com				Subtotal Shipping Cost (UPS Ground) Total		26,320.00 80.00 \$26,380.00	

~~THE INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE BY THE FOLLOWING NOTATION: [REDACTED] DATE [REDACTED] BY [REDACTED]~~

70 E. Main Street
Lake Zurich, IL 60047



Phone: (847)438-5141
Fax: (847) 540-1768
Web: www.volz.org

MEMORANDUM

Date: November 11, 2013
To: Jason T. Slowinski, Village Manager
From: Jodie K. Hartman, Director of Finance *JKH*
Subject: Series 2014 Bond Issuance

Issue

From 2009 through 2012, the village issued various General Obligation TIF Bonds. Payment of these bonds is from various revenue sources as well as annual issuance of taxable general obligation bonds. These bonds are part of the village's overall debt limit and fall within the village's tax cap limit.

Analysis

This is the fifth year for this procedure. The Series 2014 bonds will pay interest and principal due during fiscal year 2014/15. Costs of issuance will also come from the bonds. The total size is \$1,055,000, which includes approximately \$11,000 for fees. As in the past, Cornerstone Bank has agreed to purchase the bonds. The taxable rate is 3%. The bonds are to be approved in 2013 to be included in the 2013 tax levy. The bond will be delivered to Cornerstone mid-2014, minimizing the interest cost.

Recommendation:

Staff has determined that it is advisable and in the best interest of the Village to borrow \$1,055,000 at this time for purposes of paying costs related to TIF bond refundings. Staff hereby recommends the Village Board approve the attached ordinance authorizing the issuance of \$1,055,000 Taxable General Obligation Refunding Bonds (Limited Tax), Series 2014, of the Village of Lake Zurich, Lake County, Illinois, for the purpose of refunding certain outstanding general obligation alternate bonds of said Village and paying the expenses incident thereto and providing for the levy and collection of a direct annual tax for the payment of the principal of and interest on said bonds.

w/Attachments: Ordinance #2013-11-926 Authorizing the Issuance of \$1,055,000 Taxable General Obligation Refunding Bonds (Limited Tax), Series 2014

ORDINANCE NUMBER 2013-11-926

AN ORDINANCE authorizing the issuance of \$1,055,000 Taxable General Obligation Refunding Bonds (Limited Tax), Series 2014, of the Village of Lake Zurich, Lake County, Illinois, for the purpose of refunding certain outstanding general obligation alternate bonds of said Village and paying the expenses incident thereto and providing for the levy and collection of a direct annual tax for the payment of the principal of and interest on said bonds.

Adopted by the President
and Board of Trustees on the
18th day of November,
2013.

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ORDINANCE NUMBER 2013-11-926

AN ORDINANCE authorizing the issuance of \$1,055,000 Taxable General Obligation Refunding Bonds (Limited Tax), Series 2014, of the Village of Lake Zurich, Lake County, Illinois, for the purpose of refunding certain outstanding general obligation alternate bonds of said Village and paying the expenses incident thereto and providing for the levy and collection of a direct annual tax for the payment of the principal of and interest on said bonds.

PREAMBLES

WHEREAS

A. The Village of Lake Zurich, Lake County, Illinois (the "*Village*"), is a municipality and unit of local government operating under the Constitution and laws of the State of Illinois, including the Illinois Municipal Code, as amended (the "*Act*").

B. On February 17, 2009, the Village authorized Taxable General Obligation Funding Bonds (Alternate Revenue Source), Series 2009A (the "*Series 2009A Bonds*"), and General Obligation Refunding Bonds (Alternate Revenue Source), Series 2009C (the "*Series 2009C Bonds*").

C. On February 22, 2011, the Village authorized Taxable General Obligation Refunding Bonds (Alternate Revenue Source), Series 2011B (the "*Series 2011B Bonds*").

D. On July 16, 2012, the Village authorized General Obligation Refunding Bonds (Alternate Revenue Source), Series 2012 (the "*Series 2012 Bonds*" and, together with the Series 2009A Bonds, Series 2009C Bonds, and Series 2011B Bonds, the "*Prior Bonds*").

E. It is necessary and desirable to refund (i) the interest due on August 1, 2014, and the principal and interest due on February 1, 2015, on the Series 2009A Bonds, (ii) the interest due on December 15, 2014, on the Series 2009C Bonds, (iii) the principal and interest due on December 15, 2014, and the interest due on June 15, 2015, on the Series 2011B Bonds, and (iv) a portion of the interest due on December 15, 2014, on the Series 2012 Bonds and to pay related

expenses with respect to the Prior Bonds and the issuance of the Bonds (as defined) (the "*Refunding*"); and

F. The Prior Bonds are outstanding and unpaid and are binding and subsisting legal obligations of the Village.

G. The President and Board of Trustees of the Village (the "*Corporate Authorities*") find that the Village does not have sufficient funds on hand for the Refunding and that the cost thereof, including legal, financial and other expenses, will be not more than \$1,055,000, and that it is necessary and for the best interests of the Village that it borrow the sum of \$1,055,000 and issue bonds of the Village to evidence the borrowing.

H. The Board does hereby find and determine that upon the issuance of \$1,055,000 Taxable General Obligation Refunding Bonds (Limited Tax), Series 2014, now proposed to be issued (the "*Bonds*"), the aggregate outstanding unpaid bonded indebtedness of the Village for bonds issued without referendum and payable from ad valorem tax receipts pursuant to Section 8-5-16 of the Act, including the Bonds, will not exceed 1/2 of 1% of the total assessed valuation of all taxable property in the Village as last equalized and determined, and pursuant to the provisions of Section 8-5-16 of the Act and the provisions of Division 4 of Article 8 of the Act, it is not necessary to submit the proposition of issuing the Bonds to the voters of the Village for approval.

I. Subject to the provisions of the Property Tax Extension Limitation Law, as amended (the "*Limitation Law*") and the Local Government Debt Reform Act of the State of Illinois, as amended (the "*Reform Act*"), the Village is authorized to issue said bonds as "limited bonds" payable from its "debt service extension base."

J. The Village has a debt service extension base (the "*Base*").

K. The Village has no outstanding limited bonds which are payable from the Base.

L. The Corporate Authorities do hereby determine that it is advisable and in the best interests of the Village to borrow \$1,055,000 at this time pursuant to the Act and the Reform Act for the purpose of paying costs of the Refunding and, in evidence of such borrowing, issue its full faith and credit limited bonds in the principal amount of \$1,055,000.

NOW, THEREFORE, Be It Ordained by the President and Board of Trustees of the Village of Lake Zurich, Lake County, Illinois, as follows:

Section 1. Definitions. Words and terms used in this Ordinance shall have the meanings given them, unless the context or use clearly indicates another or different meaning is intended. Words and terms defined in the singular may be used in the plural and vice-versa. Reference to any gender shall be deemed to include the other and also inanimate persons such as corporations, where applicable.

A. The following words and terms are as defined in the preambles.

Act

Base

Bonds

Corporate Authorities

Limitation Law

Prior Bonds

Reform Act

Refunding

Series 2009A Bonds

Series 2009C Bonds

Series 2011B Bonds

Series 2012 Bonds

Village

B. The following words and terms are defined as set forth.

"Bond Counsel" means Chapman and Cutler LLP, Chicago, Illinois.

"Bond Fund" means the Bond Fund established and defined in (Section 14) this Ordinance.

"Bond Moneys" means the Pledged Taxes and any other moneys deposited into the Bond Fund and investment income held in the Bond Fund.

"Bond Register" means the books of the Village kept by the Bond Registrar to evidence the registration and transfer of the Bonds.

"Bond Registrar" means the Treasurer of the Village.

"Bonds" means the Taxable General Obligation Refunding Bonds (Limited Tax), Series 2014, authorized to be issued by this Ordinance.

"Book Entry Form" means the form of the Bonds as fully registered and available in physical form only to the Depository.

"Code" means the Internal Revenue Code of 1986, as amended.

"County Clerk" means the Clerk of The County of Lake, Illinois.

"Depository" means The Depository Trust Company, a limited purpose trust company organized under the laws of the State of New York, its successors, or a successor depository qualified to clear securities under applicable state and federal laws.

"Financial Advisor" means Speer Financial, Inc., Chicago, Illinois, the firm acting as financial advisor to the Village in the sale of the Bonds.

"Ordinance" means this Ordinance authorizing the issuance of the Bonds.

"Paying Agent" means the Treasurer of the Village.

"Pledged Taxes" means the real property taxes levied to pay the Bonds as described and levied in (Section 11 of) this Ordinance.

"*Purchase Contract*" means the contract for the purchase and sale of the Bonds with the Purchaser.

"*Purchase Price*" means the par amount of the Bonds.

"*Purchaser*" means Cornerstone National Bank & Trust Company, Palatine, Illinois.

"*Record Date*" means the first day of the month of any interest payment date.

C. Definitions also appear in the above preambles or in specific sections, as appearing below. The table of contents preceding and the headings in this Ordinance are for the convenience of the reader and are not a part of this Ordinance.

Section 2. Incorporation of Preambles. The Corporate Authorities hereby find that all of the recitals contained in the preambles to this Ordinance are true, correct and complete and do incorporate them into this Ordinance by this reference.

Section 3. Determination to Issue Bonds. It is necessary and in the best interests of the Village to undertake the Refunding and to pay all related costs and expenses incidental thereto, and to borrow money and issue the Bonds for such purposes. It is hereby found and determined that such borrowing of money is for a proper public purpose and is in the public interest, and is authorized pursuant to the Act and the Reform Act; that the aggregate amount of principal of and interest on the Bonds will not exceed the Base in any year; and these findings and determinations shall be deemed conclusive.

Section 4. Bond Details. There shall be issued the Bonds in the aggregate principal amount of \$1,055,000. The Bonds shall each be designated "*Taxable General Obligation Refunding Bond (Limited Tax), Series 2014*"; be dated the date of issuance thereof (the "*Dated Date*"); and shall also bear the date of authentication thereof. The Bonds shall be in fully registered form, shall be in denominations of \$5,000 or integral multiples thereof (but no single

Bond shall represent principal maturing on more than one date), shall be numbered consecutively in such reasonable fashion as shall be determined by the Bond Registrar, shall become due and payable (without right of prior redemption) on October 15, 2014, and shall bear interest at the rate of three percent (3.00%) per annum. Each Bond shall bear interest from its Dated Date as herein provided until the principal amount of such Bond is paid or duly provided for, such interest (computed upon the basis of a 360-day year of twelve 30-day months) being payable at maturity on October 15, 2014. Interest on each Bond shall be paid by check or draft of the Paying Agent, payable upon presentation thereof in lawful money of the United States of America, to the person in whose name such Bond is registered at the close of business on the applicable Record Date and mailed to the registered owner of the Bond as shown in the Bond Register or at such other address furnished in writing by such Registered Owner, or, if applicable, as otherwise may be agreed with the Depository for so long as the Depository is the registered owner as of a given Record Date. The principal of the Bonds shall be payable in lawful money of the United States of America upon presentation thereof at the office maintained for the purpose by the Paying Agent.

Section 5. Book Entry Provisions. Upon initial issuance, and only if requested by the Purchaser, the ownership of each such Bond shall be registered in the Bond Register in the name of the Depository or a designee or nominee of the Depository (such depository or nominee being the "*Book Entry Owner*"). In such case, all of the outstanding Bonds from time to time shall be registered in the Bond Register in the name of the Book Entry Owner (and accordingly in Book Entry Form as such term is used in this Ordinance). Any Village officer, as representative of the Village is hereby authorized, empowered and directed to execute and deliver a Letter of Representations or Blanket Letter of Representations (either being the "*Letter of Representations*") substantially in the form common in the municipal securities industry, or with

such changes therein as the officer executing the Letter of Representations on behalf of the Village shall approve, his or her execution thereof to constitute conclusive evidence of his approval of such changes, as shall be necessary to effectuate Book Entry Form. Without limiting the generality of the authority given with respect to entering into such Letter of Representations, it may contain provisions relating to (a) payment procedures, (b) transfers of the Bonds or of beneficial interests therein, (c) redemption notices and procedures unique to the Depository, (d) additional notices or communications, and (e) amendment from time to time to conform with changing customs and practices with respect to securities industry transfer and payment practices. With respect to Bonds registered in the Bond Register in the name of the Book Entry Owner, none of the Village, the Paying Agent or the Bond Registrar shall have any responsibility or obligation to any broker-dealer, bank or other financial institution for which the Depository holds Bonds from time to time as securities depository (each such broker-dealer, bank or other financial institution being referred to herein as a "*Depository Participant*") or to any person on behalf of whom such a Depository Participant holds an interest in the Bonds. Without limiting the meaning of the immediately preceding sentence, the Village, the Treasurer, the Paying Agent and the Bond Registrar shall have no responsibility or obligation with respect to (a) the accuracy of the records of the Depository, the Book Entry Owner, or any Depository Participant with respect to any ownership interest in the Bonds, (b) the delivery to any Depository Participant or any other person, other than a registered owner of a Bond as shown in the Bond Register or as otherwise expressly provided in the Letter of Representations, of any notice with respect to the Bonds, including any notice of redemption, or (c) the payment to any Depository Participant or any other person, other than a registered owner of a Bond as shown in the Bond Register, of any amount with respect to principal of or interest on the Bonds. No person other than a registered owner of a Bond as shown in the Bond Register shall receive a Bond certificate with respect to

any Bond. In the event that (a) the Village determines that the Depository is incapable of discharging its responsibilities described herein and in the Letter of Representations, (b) the agreement among the Village, the Treasurer, the Paying Agent and Bond Registrar, and the Depository evidenced by the Letter of Representations shall be terminated for any reason, or (c) the Village determines that it is in the best interests of the Village or of the beneficial owners of the Bonds either that they be able to obtain certificated Bonds or that another depository is preferable, the Village shall notify the Depository and the Depository shall notify the Depository Participants of the availability of Bond certificates, and the Bonds shall no longer be restricted to being registered in the Bond Register in the name of the Book Entry Owner. Alternatively, at such time, the Village may determine that the Bonds shall be registered in the name of and deposited with a successor depository operating a system accommodating Book Entry Form, as may be acceptable to the Village, or such depository's agent or designee, but if the Village does not select such alternate Book Entry system, then the Bonds shall be registered in whatever name or names registered owners of Bonds transferring or exchanging Bonds shall designate, in accordance with the provisions hereof.

Section 6. Execution; Authentication. The Bonds shall be executed on behalf of the Village by the manual or duly authorized facsimile signature of its President and attested by the manual or duly authorized facsimile signature of its Village Clerk, as they may determine, and shall have impressed or imprinted thereon the corporate seal or facsimile thereof of the Village. In case any such officer whose signature shall appear on any Bond shall cease to be such officer before the delivery of such Bond, such signature shall nevertheless be valid and sufficient for all purposes, the same as if such officer had remained in office until delivery. All Bonds shall have thereon a certificate of authentication, substantially in the form hereinafter set forth, duly executed by the Bond Registrar as authenticating agent of the Village and showing the date of

authentication. No Bond shall be valid or obligatory for any purpose or be entitled to any security or benefit under this Ordinance unless and until such certificate of authentication shall have been duly executed by the Bond Registrar by manual signature, and such certificate of authentication upon any such Bond shall be conclusive evidence that such Bond has been authenticated and delivered under this Ordinance.

Section 7. Redemption. The Bonds are not subject to redemption prior to maturity.

Section 8. Registration and Exchange or Transfer of Bonds; Persons Treated as Owners. The Village shall cause the Bond Register as provided in this Ordinance to be kept at the principal office maintained for the purpose by the Bond Registrar, which is hereby constituted and appointed the registrar of the Village for the Bonds. The Village is authorized to prepare, and the Bond Registrar or such other agent as the Village may designate shall keep custody of, multiple Bond blanks executed by the Village for use in the transfer and exchange of Bonds. Subject to the provisions of this Ordinance relating to the Bonds in Book Entry Form, any Bond may be transferred or exchanged, but only in the manner, subject to the limitations, and upon payment of the charges as set forth in this Ordinance. Upon surrender for transfer or exchange of any Bond at the principal office maintained for the purpose by the Bond Registrar, duly endorsed by or accompanied by a written instrument or instruments of transfer or exchange in form satisfactory to the Bond Registrar and duly executed by the registered owner or an attorney for such owner duly authorized in writing, the Village shall execute and the Bond Registrar shall authenticate, date and deliver in the name of the transferee or transferees or, in the case of an exchange, the registered owner, a new fully registered Bond or Bonds of like tenor, of the same maturity, bearing the same interest rate, of authorized denominations, for a like aggregate principal amount. The Bond Registrar shall not be required to transfer or exchange any Bond during the period from the close of business on the Record Date for an interest

payment to the opening of business on such interest payment date. The execution by the Village of any fully registered Bond shall constitute full and due authorization of such Bond, and the Bond Registrar shall thereby be authorized to authenticate, date and deliver such Bond; *provided, however,* that the principal amount of Bonds of each maturity authenticated by the Bond Registrar shall not at any one time exceed the authorized principal amount of Bonds for such maturity less the amount of such Bonds which have been paid. The person in whose name any Bond shall be registered shall be deemed and regarded as the absolute owner thereof for all purposes, and payment of the principal of or interest on any Bond shall be made only to or upon the order of the registered owner thereof or his legal representative. All such payments shall be valid and effectual to satisfy and discharge the liability upon such Bond to the extent of the sum or sums so paid. No service charge shall be made for any transfer or exchange of Bonds, but the Village or the Bond Registrar may require payment of a sum sufficient to cover any tax or other governmental charge that may be imposed in connection with any transfer or exchange of Bonds, except in the case of the issuance of a Bond or Bonds for the unredeemed portion of a Bond surrendered for redemption.

Section 9. Form of Bond. The Bonds shall be in substantially the form hereinafter set forth; *provided, however,* that if the text of the Bonds is to be printed in its entirety on the front side of the Bonds, then the second paragraph on the front side and the legend "See Reverse Side for Additional Provisions" shall be omitted and the text of paragraphs set forth for the reverse side shall be inserted immediately after the first paragraph.

[FORM OF BOND - FRONT SIDE]

REGISTERED
No. 1

REGISTERED
\$1,055,000

UNITED STATES OF AMERICA
STATE OF ILLINOIS
COUNTY OF LAKE
VILLAGE OF LAKE ZURICH
TAXABLE GENERAL OBLIGATION REFUNDING BOND (LIMITED TAX), SERIES 2014

See Reverse Side for
Additional Provisions.

Interest
Rate: 3.00%

Maturity
Date: October 15, 2014

Dated
Date: July 15, 2014

Registered Owner: CORNERSTONE NATIONAL BANK & TRUST COMPANY

Principal Amount: ONE MILLION FIFTY-FIVE THOUSAND DOLLARS

KNOW ALL PERSONS BY THESE PRESENTS that the Village of Lake Zurich, Lake County, Illinois, a municipality and political subdivision of the State of Illinois (the "*Village*"), hereby acknowledges itself to owe and for value received promises to pay to the Registered Owner identified above, or registered assigns as hereinafter provided, on the Maturity Date identified above (without right of prior redemption) the Principal Amount identified above and to pay interest (computed on the basis of a 360-day year of twelve 30-day months) on such Principal Amount from the later of the Dated Date of this Bond identified above or from the most recent interest payment date to which interest has been paid or duly provided for, at the Interest Rate per annum identified above, such interest to be payable at maturity on October 15, 2014. The principal of this Bond is payable in lawful money of the United States of America upon presentation hereof at the office maintained for the purpose by the Treasurer of the Village, having an office for this purpose located in Lake Zurich, Illinois, as paying agent (the "*Paying Agent*"). Payment of interest shall be made to the Registered Owner hereof as shown on the registration books of the Village maintained by maintained by said Treasurer of the Village,

Lake Zurich, Illinois, as bond registrar (the "*Bond Registrar*"), at the close of business on the Record Date. The Record Date shall be October 1, 2014. Interest shall be paid by check or draft of the Paying Agent, payable upon presentation in lawful money of the United States of America, mailed to the address of such Registered Owner as it appears on such registration books, or at such other address furnished in writing by such Registered Owner to the Bond Registrar, or as otherwise agreed by the Village and the Bond Registrar for so long as this Bond is held by a qualified securities clearing corporation as depository, or nominee, in Book Entry Form as provided for same.

Reference is hereby made to the further provisions of this Bond set forth on the reverse hereof, and such further provisions shall for all purposes have the same effect as if set forth at this place.

It is hereby certified and recited that all conditions, acts and things required by law to exist or to be done precedent to and in the issuance of this Bond did exist, have happened, been done and performed in regular and due form and time as required by law; that the indebtedness of the Village, including the issue of bonds of which this is one, does not exceed any limitation imposed by law; and that provision has been made for the collection of a direct annual tax to pay the interest hereon as it falls due and also to pay and discharge the principal hereof at maturity. Although this Bond constitutes a general obligation of the Village and no limit exists on the rate of said direct annual tax, the amount of said tax is limited by the provisions of the Property Tax Extension Limitation Law of the State of Illinois, as amended (the "*Limitation Law*"). The Limitation Law provides that the annual amount of the taxes to be extended to pay the issue of bonds of which this Bond is one and all other limited bonds (as defined in the Local Government Debt Reform Act of the State of Illinois, as amended) hereafter issued by the Village shall not exceed the debt service extension base (as defined in the Limitation Law) of the Village (the

"Base"). Payments on the Bonds from the Base will be made on a parity with the payments for any other limited bonds hereafter issued by the Village. The Village is authorized to issue from time to time additional limited bonds payable from the Base, as permitted by law, and to determine the lien priority of payments to be made from the Base to pay the Village's limited bonds.

This Bond shall not be valid or become obligatory for any purpose until the certificate of authentication hereon shall have been signed by the Bond Registrar.

IN WITNESS WHEREOF, the Village of Lake Zurich, Lake County, Illinois, by its President and Board of Trustees, has caused this Bond to be executed by the manual or duly authorized facsimile signature of its President and attested by the manual or duly authorized facsimile signature of its Village Clerk and its corporate seal or a facsimile thereof to be impressed or reproduced hereon, all as appearing hereon and as of the Dated Date identified above.

President, Village of Lake Zurich
Lake County, Illinois

ATTEST:

Village Clerk, Village of Lake Zurich
Lake County, Illinois

[SEAL]

Bond Registrar and Paying Agent:

Treasurer, Village of Lake Zurich,
Lake County, Illinois

Date of Authentication: _____, 2014

CERTIFICATE OF AUTHENTICATION

This Bond is one of the Bonds described in the within-mentioned Ordinance and is one of the Taxable General Obligation Refunding Bonds (Limited Tax), Series 2014, of the Village of Lake Zurich, Lake County, Illinois.

TREASURER, VILLAGE OF LAKE ZURICH,
Bond Registrar

[FORM OF BOND - REVERSE SIDE]

This bond is one of a series of bonds (the "*Bonds*") in the aggregate principal amount of \$1,055,000 issued by the Village for the purpose of paying the costs of the Refunding as described and defined in an ordinance of the Village, passed by the President and Board of Trustees on the 18th day of November, 2013, authorizing the Bonds (the "*Ordinance*"), pursuant to and in all respects in compliance with the applicable provisions of the Illinois Municipal Code, as supplemented and amended (the "*Act*"), and as further supplemented by the Property Tax Extension Limitation Law, as amended, and the Local Government Debt Reform Act of the State of Illinois, as amended, and with the Ordinance, which has been duly passed by the President and Board of Trustees of the Village, approved by the President, and published, in all respects as by law required.

The Bonds are not subject to redemption prior to maturity.

This Bond is subject to provisions relating to registration, transfer and exchange and such other terms and provisions relating to security and payment as are set forth in the Ordinance, to which reference is hereby expressly made, and to all the terms of which the Registered Owner hereof is hereby notified and shall be subject.

The Bonds are issued in fully registered form in the denomination of \$5,000 each or authorized integral multiples thereof. This Bond may be exchanged at the office of the Bond Registrar for a like aggregate principal amount of Bonds of the same maturity of other authorized denominations, upon the terms set forth in the Ordinance.

The Village and the Bond Registrar may deem and treat the Registered Owner hereof as the absolute owner hereof for the purpose of receiving payment of or on account of principal hereof, and interest due hereon and for all other purposes and neither the Village nor the Bond Registrar shall be affected by any notice to the contrary.

ASSIGNMENT

FOR VALUE RECEIVED, the undersigned sells, assigns and transfers unto

Here insert Social Security Number,
Employer Identification Number or
other Identifying Number

(Name and Address of Assignee)

the within Bond and does hereby irrevocably constitute and appoint

as attorney to transfer the said Bond on the books kept for registration thereof with full power of
substitution in the premises.

Dated: _____

Signature guaranteed: _____

NOTICE: The signature to this transfer and assignment must correspond with the name of the
Registered Owner as it appears upon the face of the within Bond in every particular,
without alteration or enlargement or any change whatever.

Section 10. Security for the Bonds. Notwithstanding any other provision of this Ordinance, the annual amount of the taxes to be extended by the County Clerk to pay the Bonds and all other limited bonds (as defined in the Reform Act) hereafter issued by the Village shall not exceed the Base. The Corporate Authorities hereby find and expressly determine that the Pledged Taxes do not exceed the Base in any year. No limit, however, exists on the rate of the direct annual tax levied herein, and the Bonds shall constitute a general obligation of the Village. The Village is authorized to issue from time to time additional limited bonds payable from the Base, as permitted by law, and to determine the lien priority of payments to be made from the Base to pay the Village's limited bonds. No prior lien than the lien on the Bonds for payments to be made from the Base shall be permitted.

Section 11. Tax Levy; Abatements. For the purpose of providing funds required to pay the interest on the Bonds promptly when and as the same falls due, and to pay and discharge the principal thereof at maturity, there is hereby levied upon all of the taxable property within the Village, in the years for which any of the Bonds are outstanding, a direct annual tax for that purpose; and there is hereby levied on all of the taxable property in the Village, in addition to all other taxes, the following direct annual taxes (the "*Pledged Taxes*" as defined):

FOR THE LEVY YEAR	TAX LEVY (\$)	TO PAY PRINCIPAL AND INTEREST THROUGH OCTOBER 15 OF THE YEAR
2013	1,062,912.50	2014

The Pledged Taxes and other moneys on deposit (collectively, the "*Bond Moneys*") in the Bond Fund shall be applied to pay principal of and interest on the Bonds when due.

Interest on or principal of the Bonds coming due at any time when there are insufficient funds on hand from the Pledged Taxes to pay the same shall be paid promptly when due from current funds on hand in advance of the collection of the Pledged Taxes; and when the Pledged

Taxes shall have been collected, reimbursement shall be made to said funds in the amount so advanced. The Village covenants and agrees with the purchasers and registered owners of the Bonds that so long as any of the Bonds remain outstanding, the Village will take no action or fail to take any action which in any way would adversely affect the ability of the Village to levy and collect the foregoing tax levy. The Village and its officers will comply with all present and future applicable laws in order to assure that the Pledged Taxes may be levied, extended and collected as provided in this Ordinance and deposited into the Bond Fund. Whenever moneys from any other lawful source are made available for the purpose of paying any principal of or interest on the Bonds so as to enable the abatement of the Pledged Taxes, the Corporate Authorities shall, by proper proceedings, direct the deposit of such moneys into the Bond Fund and further direct the abatement of such taxes by the amount so deposited. The Village covenants and agrees that it will not direct the abatement of taxes until moneys have been deposited into the Bond Fund in the amount of such abatement. A certified copy or other notification of any such proceedings abating taxes may then be filed with the County Clerk in a timely manner to effect such abatement.

Section 12. Filing with County Clerk. Promptly, as soon as this Ordinance becomes effective, a copy hereof, certified by the Village Clerk, shall be filed with the County Clerk. The County Clerk shall in and for each of the years hereinabove set forth, ascertain the rate percent required to produce the aggregate Pledged Taxes levied in each of such years; and the County Clerk shall extend the same for collection on the tax books in connection with other taxes levied in such years in and by the Village for general corporate purposes of the Village; and in each of those years such annual tax shall be levied and collected by and for and on behalf of the Village in like manner as taxes for general corporate purposes for such years are levied and collected, and in addition to and in excess of all other taxes.

Section 13. Sale of Bonds. The Bonds hereby authorized shall be executed as in this Ordinance provided as soon after the passage hereof as may be, and thereupon be deposited with the Treasurer of the Village, and be by said Treasurer delivered to the Purchaser, but not before July 15, 2014, upon receipt of the Purchase Price; the Purchase Contract heretofore entered into is in all respects ratified, approved and confirmed, it being hereby found and determined that the Bonds have been sold at such price and bear interest at such rates that neither the true interest cost (yield) nor the net interest rate received upon such sale exceed 9.00% and that the Purchase Contract is in the best interests of the Village and that no person holding any office of the Village, either by election or appointment, is in any manner financially interested directly, in his or her own name, or indirectly in the name of any other person, association, trust or corporation, in said Purchase Contract.

Section 14. Creation of Funds and Appropriations.

A. There is hereby created the "*Taxable General Obligation Refunding Bonds (Limited Tax), Series 2014, Bond Fund*" (the "*Bond Fund*"), which shall be the fund for the payment of principal of and interest on the Bonds. Accrued interest, if any, received upon delivery of the Bonds shall be deposited into the Bond Fund and be applied to pay first interest coming due on the Bonds.

B. The Pledged Taxes shall either be deposited into the Bond Fund and used solely and only for paying the principal of and interest on the Bonds or be used to reimburse a fund or account from which advances to the Bond Fund may have been made to pay principal of or interest on the Bonds prior to receipt of Pledged Taxes. Interest income or investment profit earned in the Bond Fund shall be retained in the Bond Fund for payment of the principal of or interest on the Bonds on the interest payment date next after such interest or profit is received or, to the extent lawful and as determined by the Corporate Authorities, transferred to such other

fund as may be determined. The Village hereby pledges, as equal and ratable security for the Bonds, all Bond Moneys on deposit in the Bond Fund for the sole benefit of the registered owners of the Bonds, subject to the reserved right of the Corporate Authorities to transfer certain interest income or investment profit earned in the Bond Fund to other funds of the Village, as described in the preceding sentence.

C. The amount necessary from the proceeds of the Bonds, including any premium received upon the delivery of the Bonds, shall be used to pay expenses of issuance of the Bonds. Proceeds not needed for the purpose shall be transferred by the Treasurer of the Village for deposit into the Bond Fund.

D. Principal proceeds shall thereupon be used to pay (i) the interest due on August 1, 2014, and the principal and interest due on February 1, 2015, on the Series 2009A Bonds, (ii) the interest due on December 15, 2014, on the Series 2009C Bonds, (iii) the principal and interest due on December 15, 2014, and the interest due on June 15, 2015, on the Series 2011B Bonds, and (iv) a portion of the interest due on December 15, 2014, on the Series 2012 Bonds, and to that end shall be held irrevocably by the Treasurer in the Bond Fund for the Prior Bonds (*"Prior Bond Fund"*), and disbursements shall be made only for the aforesaid purposes.

Section 15. Duties of Treasurer as Bond Registrar and Paying Agent. The obligations and duties of the Treasurer hereunder include the following:

- (a) to act as bond registrar, authenticating agent, paying agent and transfer agent as provided herein;
- (b) to maintain a list of Bondholders as set forth herein and to furnish such list to the Village upon request;
- (c) to cancel and/or destroy Bonds which have been paid at maturity or submitted for exchange or transfer;
- (d) to furnish the Village at least annually a certificate with respect to Bonds cancelled and/or destroyed; and

(e) to furnish the Village at least annually an audit confirmation of Bonds paid, Bonds outstanding, and payments made with respect to interest on the Bonds.

Section 16. Severability. If any section, paragraph or provision of this Ordinance shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such section, paragraph or provision shall not affect any of the remaining provisions of this Ordinance.

Section 17. Superseder and Effective Date. All ordinances, resolutions and orders, or parts thereof, in conflict herewith, are to the extent of such conflict hereby superseded; and this Ordinance shall be in full force and effect immediately upon its passage and approval.

ADOPTED: 18th day of November, 2013

AYES: _____

NAYS: _____

ABSENT: _____

APPROVED: November 18, 2013

President, Village of Lake Zurich
Lake County, Illinois

Recorded in the Village Records on November 18, 2013.

Published in pamphlet form by authority of the President and Board of Trustees on
November __, 2013.

ATTEST:

Village Clerk, Village of Lake Zurich
Lake County, Illinois

EXTRACT OF MINUTES of the regular public meeting of the
President and Board of Trustees of the Village of Lake Zurich,
Lake County, Illinois, held at the Village Hall, in said Village at
7:00 p.m., on the 18th day of November, 2013.

* * *

The meeting was called to order by the President, and upon the roll being called, the
President and the following Trustees were physically present at said location: _____

The following Trustees were allowed by a majority of the members of the President and
Board of Trustees in accordance with and to the extent allowed by rules adopted by the President
and Board of Trustees to attend the meeting by video or audio conference: _____

No Trustee was not permitted to attend the meeting by video or audio conference.

The following Trustees were absent and did not participate in the meeting in any manner
or to any extent whatsoever: _____

Various business of the Village was conducted.

* * *

The President and Board of Trustees then discussed the proposed refunding (the
"Refunding") of certain heretofore issued obligations of the Village. Financing for the
Refunding was discussed.

Thereupon, Trustee _____ presented the following ordinance:

AN ORDINANCE authorizing the issuance of \$1,055,000 Taxable General Obligation Refunding Bonds (Limited Tax), Series 2014, of the Village of Lake Zurich, Lake County, Illinois, for the purpose of refunding certain outstanding general obligation alternate bonds of said Village and paying the expenses incident thereto and providing for the levy and collection of a direct annual tax for the payment of the principal of and interest on said bonds.

(the "*Bond Ordinance*") which was before the President and Board of Trustees in words and figures as follows.

After a full and complete discussion thereof, a voice vote was called, and the following Trustees voted:

AYE: _____

NAY: _____

ABSENT: _____

Trustee _____ moved and Trustee _____ seconded the motion that said ordinance as presented and read by the Village's attorney be adopted.

WHEREUPON the President declared the motion carried and the ordinance adopted, and henceforth did approve and sign the same in open meeting and did direct the Village Clerk to record the same in full in the records of the President and Board of Trustees of the Village of Lake Zurich, Lake County, Illinois.

* * *

Other business not pertinent to the proposed Refunding was duly transacted at said meeting.

Upon motion duly made and seconded, the meeting adjourned.

Village Clerk

STATE OF ILLINOIS)
) SS
COUNTY OF LAKE)

CERTIFICATION OF AGENDA, MINUTES AND ORDINANCE

I, the undersigned, do hereby certify that I am the duly qualified and acting Village Clerk of the Village of Lake Zurich, Lake County, Illinois (the "*Village*"), and as such official I am the keeper of the official journal of proceedings, books, records, minutes and files of the Village and of the President and Board of Trustees (the "*Corporate Authorities*") thereof.

I do further certify that the foregoing is a full, true and complete transcript of that portion of the minutes of the meeting of the Corporate Authorities held on the 18th day of November, 2013, insofar as the same relates to the adoption of an ordinance entitled:

AN ORDINANCE authorizing the issuance of \$1,055,000 Taxable General Obligation Refunding Bonds (Limited Tax), Series 2014, of the Village of Lake Zurich, Lake County, Illinois, for the purpose of refunding certain outstanding general obligation alternate bonds of said Village and paying the expenses incident thereto and providing for the levy and collection of a direct annual tax for the payment of the principal of and interest on said bonds.

a true, correct and complete copy of which said ordinance as adopted at said meeting appears in the foregoing transcript of the minutes of said meeting.

I do further certify that the deliberations of the Corporate Authorities on the adoption of the ordinance were taken openly; that the vote on the adoption of the ordinance was taken openly; that the meeting was held at a specified time and place convenient to the public; that notice of the meeting was duly given to all newspapers, radio or television stations, and other news media requesting such notice; that an agenda for the meeting was posted at the location where the meeting was held and at the principal office of the Corporate Authorities (both such locations being at Village Hall) on a day which was not a Saturday, Sunday or legal holiday for Illinois municipalities and at least 48 hours in advance of the meeting and also not later than

5:00 p.m. on the Friday before the meeting and remained continuously so posted until adjournment of the meeting; that at least one copy of the agenda was continuously available for public review from the time of such posting until said meeting; that said agenda described or made specific reference to said ordinance; **that a true, correct and complete copy of said agenda as so posted is attached hereto**; that the meeting was called and held in strict compliance with the provisions of the Open Meetings Act of the State of Illinois, as amended; and the Illinois Municipal Code, as amended; and that the Corporate Authorities have complied with all of the provisions of such act and code and with all of the procedural rules of the Corporate Authorities in the adoption of the ordinance.

IN WITNESS WHEREOF I hereunto affix my official signature and the seal of the Village this 18th day of November, 2013.

Village Clerk

[SEAL]

Village Clerk to Attach Agenda

STATE OF ILLINOIS)
) SS
COUNTY OF LAKE)

CERTIFICATE OF PUBLICATION IN PAMPHLET FORM

I, the undersigned, do hereby certify that I am the duly qualified and acting Village Clerk of the Village of Lake Zurich, Lake County, Illinois (the "*Village*"), and as such official I am the keeper of the official journal of proceedings, books, records, minutes, and files of the Village and of the President and Board of Trustees (the "*Corporate Authorities*") thereof.

I do further certify that on the ____ day of November, 2013, there was published in pamphlet form, by authority of the President and Board of Trustees, a true, correct and complete copy of an ordinance of the Village entitled:

AN ORDINANCE authorizing the issuance of \$1,055,000 Taxable General Obligation Refunding Bonds (Limited Tax), Series 2014, of the Village of Lake Zurich, Lake County, Illinois, for the purpose of refunding certain outstanding general obligation alternate bonds of said Village and paying the expenses incident thereto and providing for the levy and collection of a direct annual tax for the payment of the principal of and interest on said bonds.

and providing for the issuance of \$1,055,000 Taxable General Obligation Refunding Bonds (Limited Tax), Series 2014, of the Village and that said ordinance as so published was on said date readily available for public inspection and distribution, in sufficient number to meet the needs of the general public, at my office as Village Clerk located in the Village.

IN WITNESS WHEREOF I have affixed hereto my official signature and the seal of the Village this ____ day of November, 2013.

Village Clerk

[SEAL]

STATE OF ILLINOIS)
) SS
COUNTY OF LAKE)

CERTIFICATE OF FILING

I, the undersigned, do hereby certify that I am the duly qualified and acting County Clerk of The County of Lake, Illinois, and as such officer I do hereby certify that on the ____ day of _____, 20__, there was filed in my office a properly certified copy of an ordinance passed by the President and Board of Trustees of the Village of Lake Zurich, Lake County, Illinois, on the 18th day of November, 2013, and entitled:

AN ORDINANCE authorizing the issuance of \$1,055,000 Taxable General Obligation Refunding Bonds (Limited Tax), Series 2014, of the Village of Lake Zurich, Lake County, Illinois, for the purpose of refunding certain outstanding general obligation alternate bonds of said Village and paying the expenses incident thereto and providing for the levy and collection of a direct annual tax for the payment of the principal of and interest on said bonds.

and that the same has been deposited in, and all as appears from, the official files and records of my office.

IN WITNESS WHEREOF I have hereunto affixed my official signature and the seal of The County of Lake, Illinois, at Waukegan, Illinois, this ____ day of _____, 20__.

County Clerk of The County
of Lake, Illinois

[SEAL]

70 E. Main Street
Lake Zurich, IL 60047



AGENDA ITEM

6E

Phone: (847) 438-5141
Fax: (847) 540-1768
Web: www.LakeZurich.org

MEMORANDUM

Date: November 11, 2013

To: Jason T. Slowinski, Village Manager

From: David P. Wheelock, Fire Chief/EMA Director

Subject: Auto Aid Agreement with Barrington-Countryside Fire Protection District

Issue: Given the changes occurring in the Barrington area in regards to the split between the Village of Barrington and the Barrington-Countryside Fire Protection District (BCFPD), we need to implement new auto-aid agreements with both entities.

Analysis: Presented this evening is the first of the two new agreements. This one is with the Barrington-Countryside Fire Protection District. These agreements are tri-party agreements. The Village is a signatory along with the Fire Districts of Barrington and Lake Zurich. If all went well at the November 11th, Lake Zurich Fire Protection District meeting, we should be the final signatory. This agreement provides reciprocal coverage so that each community benefits from the closest unit concept, and we in turn can save some of our units on the farthest eastern edge of our district while Barrington helps us on the western edge. In addition, this agreement also provides entire district service for equipment including the Village for structure fires. Station 4 & station 2 will provide some first in response to remote areas of the BCFPD. Overall, on paper it should all balance out. In the event that any part of the agreement becomes unbalanced, the agreement has provisions for modifications without the need to resign. This primarily affects response from the district stations.

Recommendation: It is my recommendation to approve the agreement.

**AUTOMATIC FIRE MUTUAL AID AGREEMENT
BETWEEN THE BARRINGTON COUNTRYSIDE FIRE PROTECTION DISTRICT
AND
VILLAGE OF LAKE ZURICH ILLINOIS,
THE LAKE ZURICH RURAL FIRE PROTECTION DISTRICT,**

In accordance with Illinois Municipal Code, 65 ILCS 5/11-6-1 which provides for Municipalities and Fire Protection Districts to enter into "Mutual Aid Agreements", and in accordance with the Mutual Aid Box Alarm System (MABAS) Agreement entered into by the Barrington Countryside Fire Protection District (the "District") and the Village of Lake Zurich; the Lake Zurich Rural Fire Protection District agree to provide automatic aid to each other as follows:

SECTION 1.

1. Equipment responding on an automatic response to the other community shall have a minimum of two Paramedics on an MICU, a minimum of two qualified personnel on a Tender, and three qualified personnel on an Engine/Truck. (Qualified refers to State Certified FFII or greater.)
2. Only one (1) unit from the Department providing automatic aid shall be required to respond to any one incident. In the event that the unit due to respond is unavailable (e.g., out of service, committed to another call or other reason), no backup unit from another station shall be required to respond, and the Department providing automatic aid shall immediately notify the department requesting automatic aid of its inability to respond provided, however, failure to immediately notify of such inability to respond shall not constitute evidence of non-compliance with terms of this Agreement and no liability may be assigned.
3. The Department requesting aid shall be in charge and in command of the incident, however, the first arriving unit shall assume command until units from the requesting jurisdiction arrive on the scene to assume command of the incident.
4. Each dispatch center shall be responsible for providing a communications system which permits the immediate dispatching of all units due to respond. Each Department shall provide radio frequencies for apparatus to apparatus communications and fire ground communications while operating on the scene.
5. Each Department agrees to provide and participate in quarterly training between the two (2) Departments.
6. Each Department agrees that the general provisions of the "Mutual Aid Box Alarm System Agreement" apply to this Agreement, except as modified by this Agreement.
7. Units responding to an automatic aid request shall not be redirected or "called off" unless and until released by the requesting jurisdiction.

8. Each unit of government agrees to waive all claims against the other party for compensation for any loss, damage, personal injury or death occurring as a result of performance in conjunction with this Agreement, provided, however that such claim is not a result of gross negligence or willful misconduct by a party hereto. General liability insurance, personal injury insurance, and property/vehicle insurance shall be the responsibility of each individual governmental unit.

SECTION II.

RESPONSE AREA

- I. The Barrington Countryside Fire Protection District agrees to provide the Village of Lake Zurich; subject to the provisions of Section 1 paragraph two (2) of the general provisions of this Agreement:
- A. One (1) Engine or (1) Tender or (1) Ambulance to a reported **Structure Fire** in the entire Lake Zurich Fire Rescue response area.
 - B. Also provide One (1) Engine Company from Pepper Rd Station to the following grids (as provided on the attached response map): **26W-22N, 26W-23N, Portion of 25W-22N**. Known as geographic area South of Miller Rd. to North of Scott Rd. West of Rt. 59.
 - 1. The area commonly referred to as the Lake Zurich Fire Protection District.
 - 2. Response to FA, E3, and E4 as identified by Lake County ETSB
- II. The Lake Zurich Fire Rescue Department agrees to provide the Barrington Countryside Fire Protection District; subject to the provisions of Section 1 paragraph two (2) of the general conditions of this Agreement:
- A. One (1) Engine Company to a reported **Structure Fire** in the entire Barrington Countryside Fire Protection District.
 - B. Also provide One (1) ALS Engine Company First Due to the following grids (as provided on the attached response maps):
23W-18N, 24W-18N, 25W-21N, 26W-21N Portions 25W-20N, 26W-20N
 - 1. The area commonly referred to as the Barrington Countryside Fire Protection District.
 - 2. Response to all **Emergent** responses as identified by Lake County ETSB
 - C. Also provide One (1) Engine Company Second Due from LZFRD Station 2 to the following grids (as provided on the attached response map):
27W-21N, 27W-22N, and 27W-23N.

Minor modifications of the above response area(s), as well as the vehicles assigned, may be made without further modification to this Agreement. However, said modifications must be mutually agreed upon, in writing, by the two (2) respective Fire Departments.

SECTION III.

TERMINATION OF AGREEMENT

Either party may terminate this Agreement by notifying the Fire Chief of the other party, in writing, thirty (30) days prior to terminating.

SECTION IV.

TERM OF AGREEMENT

The Agreement shall be effective for a term of one year from the date of signature hereof commencing January 1, 2014, and shall automatically renew for successive one-year terms unless terminated in accordance with Section III.

SECTION V.

EFFECTIVENESS

The Agreement shall be in full force and effective upon approval by the parties hereto in the manner provided by law and upon proper execution hereof.

SECTION VI.

BINDING EFFECT

The Agreement shall be binding upon and inure to the benefit of any successor entity which may assume the obligations of any party hereto.

SECTION VII.

VALIDITY

The invalidity of any provision of this Agreement shall not render invalid any other provision. If for any reason any provision of this Agreement is determined by a court of competent jurisdiction to be invalid or unenforceable, that provision shall be deemed severable and this Agreement may be enforced with that provision severed or modified by court order.

SECTION VIII.

NOTICES

All notices hereunder shall be in writing and shall be served personally, by registered mail or certified mail to the parties at such addresses as may be designated from time to time.

SECTION IX.

GOVERNING LAW

The Agreement shall be governed, interpreted and construed in accordance with the laws of the State of Illinois.

VILLAGE OF LAKE ZURICH

President

LAKE ZURICH RURAL FIRE
PROTECTION DISTRICT

President

Fire Chief

BARRINGTON COUNTRYSIDE
FIRE PROTECTION DISTRICT



President



Fire Chief

70 E. Main Street
Lake Zurich, IL 60047




AGENDA ITEM 6F/6G

Phone: (847)438-5141
Fax: (847) 540-1768
Web: www.LakeZurich.org

MEMORANDUM

Date: November 12, 2013

To: Jason T. Slowinski, Village Manager 

From: Patrick M. Finlon, Chief of Police

Subject: **Amendment of the Village Liquor Code – Trader Joe's**

Issue: Whenever a Liquor License is issued or the number of licenses is reduced it requires approval of the Village Board of Trustees.

Analysis: Currently, Trader Joe's has been issued a Class D-1 liquor license that allows for the sale of beer and wine for consumption off-site. Representatives of the organization have requested to upgrade the license to a Class – C to allow for the sale of liquor, beer, and wine.

The Village of Lake Zurich – Liquor Code section 3-3B-12 – No Waiver or Proration of Fees does not allow for a reduced license fee. Therefore, Trader Joe's will pay the full amount of the licensing fee (\$1,750.00) for this upgrade. Trader Joe's wishes to have this change of liquor license in place prior to the Thanksgiving Holiday.

Below is an updated chart depicting authorized licenses with this change:

<u>License Class</u>	<u>Maximum Number Issued</u>	<u>License Class</u>	<u>Maximum Number Issued</u>
A and A-1	19	I	1
B and B-1	1	K	0
C and C-1	15	L	0
D-1	0	M	1
D-2	3	N	0
E	2	O	1
H-2	3	P	0
		V	Issued in tandem with above license(s) per code

Recommendation: Enact the proposed amendments to the Village Liquor Control Ordinance.

w/Attachments: 1) Ordinances amending Chapter 3 of Title 3 of the Lake Zurich Municipal Code reducing the number of Class "D-1" licenses and increasing the number of Class "C" liquor licenses.

ORDINANCE NO. 2013-11- 927

**AN ORDINANCE AMENDING SECTION 3-3B-14 OF CHAPTER 3 OF TITLE 3 OF
THE VILLAGE CODE OF THE VILLAGE OF LAKE ZURICH TO DECREASE
THE NUMBER OF AUTHORIZED LIQUOR LICENSES**

NOW, THEREFORE, BE IT ORDAINED by the Mayor and the Board of Trustees of the Village of Lake Zurich, Lake County, Illinois, as follows:

SECTION 1: The number of Class D-1 liquor licenses authorized by section 3-3b-14 of chapter 3 of title 3 of the Village Code of the Village of Lake Zurich is hereby decreased by one for Trader Joe's East Inc. #684 located at 735 W. Route 22, Lake Zurich in accordance with
(Company/Applicant) (Street Address)
the Village Code of the Village of Lake Zurich. Reduction of the number of licenses is subject to the approval and action of the Local Liquor Commissioner of the Village of Lake Zurich.

SECTION 2: If any section, paragraph, clause or provision of this Ordinance shall be invalid, the invalidity thereof shall not affect any of the other provisions of this Ordinance.

SECTION 3: All Ordinances in conflict therewith are hereby repealed to the extent of such conflict.

SECTION 4: This Ordinance shall be in full force and effect from and after its passage, approval and publication as provided by law.

ADOPTED this 18th day of November, 2013 by the following vote:

Ayes: _____

Nays: _____

Absent: _____

APPROVED by the Mayor on November 18th, 2013.

Tom Poynton, MAYOR

ATTEST:

Kathleen Johnson, VILLAGE CLERK

ORDINANCE NO. 2013-11- 928

**AN ORDINANCE AMENDING SECTION 3-3B-14 OF CHAPTER 3 OF TITLE 3 OF
THE VILLAGE CODE OF THE VILLAGE OF LAKE ZURICH TO INCREASE
THE NUMBER OF AUTHORIZED LIQUOR LICENSES**

NOW, THEREFORE, BE IT ORDAINED by the Mayor and the Board of Trustees of the Village of Lake Zurich, Lake County, Illinois, as follows:

SECTION 1: The number of Class C liquor licenses authorized by section 3-3b-14 of chapter 3 of title 3 of the Village Code of the Village of Lake Zurich is hereby increased by one for Trader Joe's East Inc. #684 located at 735 W. Route 22, Lake Zurich in accordance with
(Company/Applicant) (Street Address)
the Village Code of the Village of Lake Zurich. Issuance of the license is subject to the approval and action of the Local Liquor Commissioner of the Village of Lake Zurich.

SECTION 2: If any section, paragraph, clause or provision of this Ordinance shall be invalid, the invalidity thereof shall not affect any of the other provisions of this Ordinance.

SECTION 3: All Ordinances in conflict therewith are hereby repealed to the extent of such conflict.

SECTION 4: This Ordinance shall be in full force and effect from and after its passage, approval and publication as provided by law.

ADOPTED this 18th day of November, 2013 by the following vote:

Ayes: _____

Nays: _____

Absent: _____

APPROVED by the Mayor on November 18th, 2013.

Tom Poynton, MAYOR

ATTEST:

Kathleen Johnson, VILLAGE CLERK

Community Services Dept.

- Building & Zoning
- Public Works

505 Telser Road
Lake Zurich, IL 60047



AGENDA ITEM

6 H

Phone: (847) 540-1696

Fax: (847) 726-2182

Web: www.LakeZurich.org

MEMORANDUM

Date: November 8, 2013

To: Jason T. Slowinski, Village Administrator

From: Sam Hubbard, Village Planner

Cc: Michael J. Earl, Director of Community Services
Daniel A. Peterson, Manager of Building and Zoning

Subject: Resolution for Medical Marijuana

Issue: On August 1, 2013, the Governor of the State of Illinois signed House Bill 1 into law, which is known as the "Compassionate Use of Medical Cannabis Pilot Program Act." This Act allows the lawful use of marijuana by a registered qualifying patient and allows the establishment of registered cannabis cultivation centers and registered dispensing organizations without prosecution or penalty for growing or dispensing cannabis.

The Act allows twenty two (22) cultivation centers to receive permits, one (1) each in the twenty two (22) Illinois State Police Districts in the State. The Village is located in State Police District 2, which covers Lake County, DeKalb County, DuPage County, Kane County, and McHenry County. The Act also allows sixty (60) cannabis dispensaries to receive permits, which are to be geographically dispersed throughout the State to allow all registered qualifying patients reasonable proximity and access to a dispensing organizations.

Analysis: Although the Act will take effect on January 1, 2014, the State will not be issuing permits to cultivation and dispensing organizations until after April 1, 2014. Under the terms of the Act, no municipality within the State of Illinois has the authority to completely prohibit these facilities from locating within their community. However, municipalities may enact reasonable zoning restrictions that can regulate registered medical cannabis cultivation centers and medical cannabis dispensing organizations. Currently, the Village of Lake Zurich Municipal Code does not allow these uses within the community.

Given that these uses have the potential to create undesirable auxiliary effects, it is important for the Village to understand where the most appropriate location for these uses may be. Village staff must research suitable zoning strategies that can mitigate any potential negative effects from these uses. Staff is currently working with Lake County to develop a set of model

regulations that can be adopted by any municipality that wishes to establish reasonable zoning regulations that address cannabis cultivation and dispensing organizations.

This is an important issue that involves careful planning and research by Village staff and will involve comprehensive consideration by the Plan Commission. In an effort to facilitate this process, staff recommends the adoption of a resolution by the Village Board.

Recommendation: Motion to approve the Resolution directing the Plan Commission to hold a public hearing to enact regulations that address cannabis cultivation and dispensing organizations.

w/ Attachments:

1. Resolution regarding zoning restrictions on cannabis dispensaries and cultivation centers

VILLAGE OF LAKE ZURICH
RESOLUTION NO. 2013-11- 6H

**A RESOLUTION REGARDING ZONING RESTRICTIONS ON CANNABIS
DISPENSARIES AND CULTIVATION CENTERS**

WHEREAS, the Village of Lake Zurich is a non-home rule municipality located in Lake County, Illinois; and

WHEREAS, the Village has a long tradition of utilizing its zoning and planning authority to ensure that compatible uses are maintained in its various neighborhoods; and

WHEREAS, on August 1, 2013, the Governor of the State of Illinois signed House Bill 1 into law as Public Act 98-122 ("**Act**"), which is entitled the "Compassionate Use of Medical Cannabis Pilot Program Act", and will take effect on January 1, 2014; and

WHEREAS, under the Act, a "registered qualifying patient" is not subject to arrest, prosecution, or denial of any right or privilege for the medical use of cannabis allowed in the Act, and a "registered cannabis cultivation center" ("**Cultivation Center**") and a "registered dispensing organization" ("**Dispensary**") is not subject to prosecution or penalty for growing or dispensing cannabis; and

WHEREAS, under the Act, twenty two (22) Cultivation Centers are eligible to receive permits, one (1) each in the twenty two (22) Illinois State Police Districts in the State; and

WHEREAS, under the Act, sixty (60) Dispensaries are eligible to receive permits, which are to be "geographically dispersed throughout the State to allow all registered qualifying patients reasonable proximity and access to a dispensing organization"; and

WHEREAS, Title 9 of the Village Code ("**Zoning Code**") does not plainly address uses that would encompass Cultivation Centers or Dispensaries; and

WHEREAS, the Village Board has determined that it is in the best interest of the Village and its residents to direct the Plan Commission of the Village of Lake Zurich ("**Plan Commission**") to evaluate the classification of Cultivation Centers and Dispensaries, and recommend to the Village Board whether such Cultivation Centers and Dispensaries should be considered "Special Uses" under the Zoning

Code as well as such other regulations as may be necessary, important, or beneficial to the Village and its residents.

NOW, THEREFORE, BE IT RESOLVED by the President and Board of Trustees of the Village of Lake Zurich, County of Lake, State of Illinois, as follows:

Section 1. Recitals. The foregoing recitals are hereby incorporated into this Resolution as findings of the President and Board of Trustees.

Section 2. Direction to the Plan Commission; Notice. The Plan Commission is hereby directed to hold a public hearing on the question of whether the Zoning Code should be amended to include Cultivation Centers and Dispensaries as a Special Use and make a recommendation to the Village Board regarding the same along with any other conditions that should be attached to the zoning of Cultivation Centers and Dispensaries. The notice of such hearing shall be given as required by law within 120 days after the effective date of the Act. The public shall be deemed to have notice that the Village is considering a Zoning Code amendment regarding Cultivation Centers and Dispensaries as of the effective date of this Resolution.

Section 3. Effective Date. This Resolution shall be effective immediate upon its passage.

PASSED this 18th day of November, 2013.

AYES:

NAYS:

ABSENT:

APPROVED this 18th day of November, 2013.

By: _____
Thomas Poynton, Village President

SEAL

ATTEST:

Kathleen Johnson, Village Clerk

70 E. Main Street
Lake Zurich, IL 60047



AGENDA ITEM 6 I

Phone: (847)438-5141
Fax: (847) 540-1768
Web: www.LakeZurich.org

MEMORANDUM

Date: November 12, 2013
To: Jason T. Slowinski, Village Manager
From: Patrick M. Finlon, Chief of Police
Subject: **Amendment of the Village Code – Secondhand Dealers – Age**

Issue: The current Village Code prohibits a Secondhand Dealer from employing or engaging in transactions with individuals under 21 years of age.

Analysis: In the investigation of the theft and ultimate re-sale of a smart phone, it was discovered that proper records were not being maintained by an establishment acting as a Secondhand Dealer. The establishment has agreed to maintain the proper documentation of transactions related to the re-sale of these items by using software for this purpose [Leads Online].

In discussion with the management of the establishment, it was noted that transactions with individuals under 21 years of age were prohibited by ordinance without approval of the individual's parent/guardian. Additionally, it prohibited employment of individuals under 21 years of age at the establishment. In researching this issue, it was determined it is customary to limited such restrictions to under 18 years of age.

Recommendation: Enact the proposed amendments to the Village Code related to Secondhand Dealers restricting transactions and employment to individuals under 18 years of age.

w/Attachments: 1) Ordinance amending Chapter 2 of Title 3 of the Lake Zurich Municipal Code related to Secondhand Dealers.

ORDINANCE NO. 2013-11- 929

**ORDINANCE AMENDING CHAPTER 2 OF TITLE 3 OF THE LAKE ZURICH
MUNICIPAL CODE RELATED TO THE REGULATION OF "SECONDHAND
DEALERS"**

BE IT ORDAINED by the President and Board of Trustees of the Village of
Lake Zurich, Lake County, Illinois, as follows:

Section 1: That Section 3-2-14 - Sub-section "E", of Chapter 2 of Title 3 of the
Lake Zurich Municipal Code entitled "SECONDHAND DEALERS" be and is hereby
amended to change the age of individuals involved in transactions to 18 years-of-age as
follows:

3-2-14: SECONDHAND DEALERS:

E. Minors:

1. No secondhand dealer's license shall be issued to any person who is under ~~twenty one (21)~~
eighteen (18) years of age, and no secondhand dealer shall employ a person who is under ~~twenty
one (21)~~ eighteen (18) years of age to assist him or her in the secondhand dealer's business.
2. No secondhand dealer shall have any business dealing as a secondhand dealer with any person
under ~~twenty one (21)~~ eighteen (18) years of age, except on the written consent of the parent or
guardian of such person for each particular transaction.

Section 10: That all ordinances or parts of ordinances in conflict herewith are
hereby repealed.

Section 11: That if any provision of this ordinance or application thereof to any
entity or person or circumstance is declared invalid or held to be unlawful, such
declaration or holding shall not affect the validity of any other portion or provision of
this Ordinance and such declaration or holding shall be severable from the remainder
hereof.

Section 12: This Ordinance shall be in full force and effect immediately upon its
passage, approval and publication as required by law.

Section 13: The Village Clerk is hereby ordered and directed to publish this
Ordinance in pamphlet form.

PASSED this 18th day of November, 2013, pursuant to a roll call vote as follows:

AYES: _____

NAYS: _____

ABSENT: _____

APPROVED this 18th day of November, 2013, by the President of the Village of Lake Zurich.

President, Tom Poynton

ATTEST:

Village Clerk, Kathleen Johnson

STATE OF ILLINOIS)
)
COUNTY OF LAKE) SS

CLERK'S CERTIFICATE

I, **Kathleen Johnson**, the duly elected, qualified, and acting Village Clerk of the Village of Lake Zurich, Lake County, Illinois, do hereby certify that attached hereto is a true and correct copy of that Ordinance now on file in my office, entitled:

ORDINANCE NO. 2013-11- 929

**ORDINANCE AMENDING CHAPTER 2 OF TITLE 3 OF THE LAKE ZURICH
MUNICIPAL CODE RELATED TO THE REGULATION OF "SECONDHAND
DEALERS"**

which Ordinance was passed by the Board of Trustees of the Village of Lake Zurich at a regular meeting held on the 18th day of November, 2013, at which meeting a quorum was present, and approved by the Mayor of the Village of Lake Zurich on the 18th day of November, 2013.

I further certify that the vote on the question of the passage of the said Ordinance by the Board of Trustees of the Village of Lake Zurich was taken by the Ayes and Nays and recorded in the Journal of Proceedings of the Board of Trustees of the Village of Lake Zurich, and that the result of said vote was as follows, to-wit:

AYES: _____

NAYS: _____

ABSENT: _____

I do further certify that the original Ordinance, of which the attached is a true copy, is entrusted to my care for safekeeping, and that I am the lawful keeper of the same.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Village of Lake Zurich, this 18th day of November, 2013.

Village Clerk

PAMPHLET
FRONT OF PAMPHLET

ORDINANCE NO. 2013-11- 929

**ORDINANCE AMENDING CHAPTER 2 OF TITLE 3 OF THE LAKE ZURICH
MUNICIPAL CODE RELATED TO THE REGULATION OF "SECONDHAND
DEALERS"**

Published in pamphlet form this _____ day of November 2013, by Order of the
Corporate Authorities of the Village of Lake Zurich, Lake County, Illinois.

Village Clerk

PAMPHLET

BACK OF PAMPHLET

ORDINANCE NO. 2013-11- 929

**ORDINANCE AMENDING CHAPTER 2 OF TITLE 3 OF THE LAKE ZURICH
MUNICIPAL CODE RELATED TO THE REGULATION OF "SECONDHAND
DEALERS"**

Published in pamphlet form this _____ day of November, 2013, by Order of the
Corporate Authorities of the Village of Lake Zurich, Lake County, Illinois.

Village Clerk

70 E. Main Street
Lake Zurich, IL 60047



AGENDA ITEM

7A

Phone: (847) 438-5141
Fax: (847) 540-1768
Web: www.lakezurich.org

MEMORANDUM

Date: November 11, 2013
To: Mayor and Village Board of Trustees
From: Jason T. Slowinski, Village Manager *JS*
Subject: **Resolution Adopting Downtown Action Plan**

Issue: The *Moving Lake Zurich Forward: Six Point Downtown Action Plan*, which was the subject of a presentation and discussion by the Village Board on October 21st, is presented for adoption by the Village Board.

Analysis: Based on prior input from the Village Board along with our shared collective experience in the two years since Teska's *Redevelopment Strategies* report was completed, the *Moving Lake Zurich Forward: Six Point Downtown Action Plan* ("the Plan") was created to memorialize the "next steps" the Village should take with respect to downtown redevelopment.

The Plan was presented to the Village Board at the October 21st meeting and focuses on immediate and cost-effective actions the Village should employ to continue building momentum in developing downtown Lake Zurich. The Board discussed the Plan and asked that the Plan include some accountability measures as well as an indication of which items require FY15 budget consideration.

Attached for the Board's review is an implementation schedule that summarizes timelines, staff responsibilities, and budget impact for each action item in the Plan. Upon Board approval, the Plan will serve as a guiding document for staff to prioritize limited time and resources in achieving downtown revitalization.

Recommendation: Staff recommends the Village Board adopt the *Moving Lake Zurich Forward: Six Point Downtown Action Plan* via the attached Resolution.

w/ Attachments: Resolution
Moving Lake Zurich Forward: Six Point Downtown Action Plan
Implementation Schedule

VILLAGE OF LAKE ZURICH
RESOLUTION NO. 2013-11-_____

**A RESOLUTION ADOPTING THE RECOMMENDATIONS OF THE
“MOVING LAKE ZURICH FORWARD: SIX POINT DOWNTOWN ACTION
PLAN”**

WHEREAS, the Board of Trustees has articulated a vision for downtown Lake Zurich as being mixed-use high density that is vibrant and pedestrian oriented; and

WHEREAS, the Downtown Lake Zurich Redevelopment Strategies Plan has been adopted by the Village in 2012 as a guide to address issues related to bond structure, financing, commercial and residential development, and zoning; and

WHEREAS, the Board of Trustees has directed Village staff to focus on downtown redevelopment as a priority for the overall benefit of the entire Village; and

WHEREAS, Village staff has created the Moving Lake Zurich Forward: Six Point Downtown Action Plan to build upon the framework established in the Downtown Lake Zurich Redevelopment Strategies Plan; and

WHEREAS, the Moving Lake Zurich Forward: Six Point Downtown Action Plan represents the “next phase” in immediate action steps the Village should take to continue downtown progress; and

WHEREAS, the Moving Lake Zurich Forward: Six Point Downtown Action Plan is intended to highlight swift, cost-effective actions that the Village can readily implement that will continue to build momentum toward creating a vibrant downtown desired by the citizens of Lake Zurich.

NOW, THEREFORE, BE IT ORDAINED by the President and Board of Trustees of the Village of Lake Zurich, Lake County and the State of Illinois, as follows:

Section 1. Recitals. The foregoing recitals are hereby incorporated into this Resolution as findings of the President and Board of Trustees.

Section 2. Adoption. The attached Moving Lake Zurich Forward: Six Point Downtown Action Plan is hereby adopted by the Board of Trustees.

Section 3. Effective Date. This Resolution shall be effective immediate upon its passage.

PASSED this 18th day of November, 2013.

AYES:

NAYS:

ABSENT:

APPROVED this 18th day of November, 2013.

By: _____
Thomas Poynton, Village President

SEAL

ATTEST:

Kathleen Johnson, Village Clerk



MOVING LAKE ZURICH FORWARD: SIX POINT DOWNTOWN ACTION PLAN

OCTOBER 2013

Village of Lake Zurich, Illinois

www.lakezurich.org

Coming together is a beginning; keeping together is progress; working together is success.

-Henry Ford

The ***Moving Lake Zurich Forward: Six Point Downtown Action Plan*** builds upon the framework established in the *Downtown Lake Zurich Redevelopment Strategies Plan* adopted by the Village in January 2012 and represents the “next phase” in immediate action steps the Village should take following its consideration and rejection of development proposals in both August 2012 and, most recently, in October 2013.

Recognizing the limitations imposed by insufficient financial resources and challenged by time constraints, this plan is intended to highlight swift, cost-effective actions that the Village can readily implement that will continue to build momentum toward creating a vibrant downtown desired by the residents of Lake Zurich.

MOVING LAKE ZURICH FORWARD: SIX POINT DOWNTOWN ACTION PLAN

1. Enhance the overall appearance of downtown Lake Zurich. An attractive, aesthetically-pleasing environment serves to draw visitors and future development to downtown.

- a. Demolish Village-owned Buildings: Demolish deteriorating Village-owned buildings and improve remaining lots with well-maintained grass and/or minor landscaping improvements. This item also has the benefit of reducing the Village's ongoing building maintenance liability.
- b. Aggressively Enforce Property Maintenance Standards: Enhance downtown appearance by addressing property maintenance issues such as broken windows, peeling paint, overgrown lawns/weeds, overflowing trash dumpsters, etc.
- c. Develop Façade Improvement Program: Budget for and establish an incentive program that encourages public/private partnerships for façade rehabilitation for downtown businesses. This program will encourage businesses to undertake façade improvements by making them more affordable.
- d. Implement Minor Streetscape Improvements: Make minor streetscape improvements to create a more pleasant and inviting environment. This could include landscaping improvements, public art, pedestrian amenities, etc.
- e. Explore Public Funding/Grant Opportunities for Streetscape Improvement: The Village should explore opportunities for grant funding to assist in improving the streetscape in downtown Lake Zurich.

2. Develop and promote special events in downtown Lake Zurich. Special events will draw visitors to the downtown area, provide a central community gathering place, encourage development, and help support local businesses.

- a. Support and Expand Rock the Block event: The inaugural 2013 *Rock the Block* street dance event held on Main Street was successful beyond prediction. This item would explore other ways to support, promote, and expand the event to make it a consistent annual draw for downtown Lake Zurich.
- b. Assist in Promotion of Lions' Club Annual Alpine Festival: The annual *Alpine Festival* has been a well-known staple event in Lake Zurich for over 70 years. While this remains a privately-organized event, the Village should continue to support and explore ways to help promote attendance at this annual event.

- c. Continue to Support Weekly Classic Automobile Shows during Summer Months: The summer of 2013 marked the first year of a privately-organized weekly classic car show held on Main Street. The Village will continue to support the growth of this event by assisting in its promotion.
- d. Consider New Special Events Opportunities: Consider other new low-cost special and community events that will help make downtown a year-round, active community gathering place.

3. Engage in a comprehensive marketing strategy to promote investment in downtown Lake Zurich. A well-developed marketing campaign will serve to cultivate interest in Village-owned properties, promote investment in downtown, draw visitors to downtown, and put downtown Lake Zurich on the map.

- a. Place Attractive Signs on Available Lots to Market Redevelopment Opportunities: Signs placed on Village-owned properties that are available will let visitors and others know which properties are available for redevelopment.
- b. Develop Inventory of Available Downtown Properties and Make it Easily Accessible to the Development Community: A comprehensive inventory of available properties should be assembled, posted to the Village's website, and made readily available to the development community.
- c. Retain Commercial Real Estate Broker to Assist in Aggressively Marketing Available Village-owned Downtown Properties: Retain commercial marketing expertise to assist staff in developing strategies to aggressively market available Village-owned properties.
- d. Develop a "Downtown Lake Zurich" Brand: Working with a professional marketing consultant, the Village should develop a noteworthy and recognizable brand to help market Downtown Lake Zurich to potential developers as well as visitors.
- e. Create User-Friendly Component to Village Website Focused on Downtown: All relevant information should be located in an easy to navigate setting on the Village's website. Outdated or irrelevant information should be removed.

4. Focus on site-specific redevelopment prospects in downtown Lake Zurich. Focusing on the successful completion of redevelopment projects already underway as well as new redevelopment prospects on key sites will help ensure that efforts are not disorganized and momentum can be established.

- a. Proceed with RFQ/RFP Process for Block A (Lakefront Site): Engage in a fair, competitive process for redevelopment of the Village's key downtown, lakefront site as recommended by the Village's consultant, Teska Associates.

- b. Identify Opportunities and Engage in Direct Negotiations with Responsible Developers for Other Key Downtown Sites: The Village will seek to identify developers interested in other key downtown properties and engage in negotiations to redevelop those key sites.
- c. Support Private Development Efforts for Completion of the Somerset Townhome Development: The Village will make every effort to assist in the completion of the Somerset Townhome development at the northwest corner of W. Main Street and Old Rand Road.
- d. Support Private Development Efforts for the Former Bank of America Site (35 W. Main Street): Recently, the ownership group of the building at 35 W. Main Street has engaged in the commercial redevelopment of this key Main Street property. The Village will make every effort to assist in the successful completion of this project.

5. Stabilize and strengthen the long-term fiscal outlook of the downtown TIF District. Recognizing that this action is not a “bricks and mortar” tangible step that observers will immediately recognize as progress, it nevertheless is as important an action as any other. Stabilizing (and then strengthening) the financial outlook of the TIF will position the TIF to better support future development.

- a. Aggressively Pursue an Extension in the Overall Life of the Downtown TIF District: Extending the life of the TIF district by an additional twelve years offers the Village the ability to restructure existing debt to a more manageable level. In addition, the added time allows the development community to adjust to the new post-recession economy as it cautiously explores opportunities for investment in local communities.
- b. Continue to Assess TIF Budget and Identify Opportunities to Reduce TIF Expenditures: Reducing the operating cost of the TIF district will only serve to help stabilize the TIF district finances.

6. Pay attention to the intangibles. Creating a successful and vibrant downtown environment is about more than the dollars and cents of infrastructure improvements, incentive agreements, and the like. Giving the appropriate consideration to the intangibles will perpetuate a new energy that will help give rise to the tangible improvements that are desired.

- a. Celebrate Our Successes: The Village will communicate our downtown Lake Zurich success stories which can serve as a key momentum builder.
- b. Manage Expectations: The Village will assess what is realistic and (just as importantly) what is not realistic and communicate those expectations to interested stakeholders.

- c. Reinforce and Leverage Existing Partnerships and Working Relationships: Leverage existing relationships with organizations such as the Chamber of Commerce, Lake County Partners, Lake County Convention & Visitors Bureau, and others, for advancing Village's downtown efforts.

Moving Lake Zurich Forward: Six Point Downtown Action Plan

Implementation Schedule

Action Point	Project	Lead Department	Projected Deadlines for 2014	Estimated FY15 Expenditure
(1) Enhance the Overall Appearance				
1a	Demolish Village-Owned Buildings	Community Services/ PW	Assessment: Dec - Feb Completion (if nec.): May - Jul	< \$30,000
1b	Aggressively Enforce Property Maintenance Standards	Community Services/ BZ	Ongoing.	N/A
1c	Develop Facade Improvement Program	Community Services/ BZ	Planning & Budget: Nov - May Implementation: May - Jul	\$50,000
1d	Implement Minor Streetscape Improvements	Village Manager's Office	Review & Budget: Dec - Jul Implementation: Jul - Nov	< \$50,000
1e	Explore Public Funding/Grant Opportunities for Streetscape Improvements	Village Manager's Office	Ongoing.	TBD
(2) Promote Special Events				
2a	Support and Expand Rock the Block Event	Parks & Rec	Planning: Jan - Aug Event: Sept	\$10-15,000
2b	Assist in Promotion of Lion's Club Annual Alpine Festival	Village Manager's Office	Event: July	Minimal
2c	Continue to Support Weekly Classic Automobile Shows during Summer Months	Village Manager's Office	Event: June - Sept	Minimal
2d	Consider New Special Events Opportunities	Parks & Rec	Ongoing.	\$10,000
(3) Comprehensive Marketing Strategy				
3a	Place Attractive Signs on Available Downtown Lots to Market Redevelopment Opportunities	Village Manager's Office	Nov - Dec	\$5,000
3b	Develop Inventory of Available Downtown Properties and Make it Easily Accessible to the Development Community	Village Manager's Office	Nov - Jan	N/A
3c	Retain Commercial Real Estate Broker to Assist in Aggressively Marketing Available Village-Owned Downtown Properties	Village Manager's Office	Nov -Jan	N/A
3d	Develop a "Downtown Lake Zurich" Brand	Village Manager's Office	Dec - March	< \$5,000
3e	Create User-Friendly Component to Village Website Focused on Downtown	Technology	Nov - Jan	Minimal
(4) Site Specific Redevelopment				
4a	Proceed with RFQ/RFP for Block A	Community Services/ BZ	Nov - Dec	TBD
4b	Identify Opportunities and Engage in Direct Negotiations with Responsible Developers for Other Key Downtown Sites	Village Manager's Office	Ongoing.	N/A
4c	Support Private Development Efforts for Completion of the Somerset Townhome Development	Community Services/ BZ	Ongoing.	N/A
4d	Support Private Development Efforts for the Former Bank of America Site (35 W. Main Street)	Community Services/ BZ	Ongoing.	N/A
(5) Fiscal Strength				
5a	Aggressively Pursue an Extension in the Overall Life of the Downtown TIF District	Finance	Dec 2013 - Mar 2015	TBD
5b	Continue to Assess TIF Budget and Identify Opportunities to Reduce TIF Expenditures	Finance	Ongoing.	N/A
(6) Intangibles				
6a	Celebrate our Successes	Village Manager's Office	Ongoing.	N/A
6b	Manage Expectations	Village Manager's Office	Ongoing.	N/A
6c	Reinforce and Leverage Existing Partnerships and Working Relationships	Village Manager's Office	Ongoing.	N/A

October 29, 2013

Tom Poynton, President
Board of Trustees
Village of Lake Zurich
70 E. Main Street
Lake Zurich, Illinois 60047

RE: Tax Levy Request from the Lake Zurich Police Pension Fund

Dear President Poynton and Village Board members:

As you are aware, Section 3-125 of the Illinois Pension Code provides that the Village of Lake Zurich must annually levy a tax to meet the annual actuarial requirements of the police pension fund (40 ILCS 5/3-125). At the October 2013 meeting of the Board of Trustees of the Lake Zurich Police Pension Fund, the Pension Board reviewed the actuarial report prepared by actuary Tim Sharpe for purposes of the next Village tax levy.

According to this actuarial report, the Village must levy, at a minimum, the amount of \$1,343,394 in December 2013 in order to meet the statutory requirements under Section 3-125 of the Illinois Pension Code. However, as the SEC recently noted this year in its order against the State of Illinois (charging our state with securities fraud for misleading municipal bond investors about the state's approach to funding its pension obligations), the state's statutory pension funding approach constitutes "structural underfunding" and backloads the majority of contributions far into the future. This imposes significant stress on the Fund and the City's ability to meet their obligations.

In light of the Board's fiduciary obligation to ensure funds are available to meet the actuarial requirements of the Fund, the Pension Board voted unanimously at its October 2013 meeting to request that the Village Board levy the suggested actuarial amount of \$1,392,966 on behalf of the Lake Zurich Police Pension Fund in December 2013. Note that this recommended contribution includes an update in mortality assumptions, which accounts for a portion of the significant increase over the previous year.

The Pension Board continue to be encouraged by the Village Board's ongoing desire to understand the importance of proper pension funding and to move forward in a collaborate process to set a fiscally responsible and financially sound funding policy for this community. Enclosed please find Mr. Sharpe's draft actuarial study, as well as the Board's certified annual municipal compliance report for your review. If you have any more questions or concerns regarding the Board's request, please let us know.

Sincerely,

Lake Zurich Police Pension Fund


John Filantres, President

Enclosures

- Draft Lake Zurich Police Pension Fund Actuarial Valuation Report for the Year Beginning May 1, 2013 and ending April 30, 2014 (prepared by actuary, Tim Sharpe)
 - Lake Zurich Police Pension Fund Municipal Compliance report for the year ended April 30, 2013
 - Lake Zurich Police Pension Fund Investment Policy (updated July 2011)
- cc: Jodie Andrew, Village Finance Director (w/ enclosures)

**VILLAGE OF LAKE ZURICH, ILLINOIS
POLICE PENSION FUND**

HOUSE BILL 5088 - MUNICIPAL COMPLIANCE REPORT

FOR THE FISCAL YEAR ENDED

APRIL 30, 2013

Lauterbach & Amen, LLP

CERTIFIED PUBLIC ACCOUNTANTS

27W457 WARRENVILLE ROAD • WARRENVILLE, ILLINOIS 60555-3902

PHONE (630) 393-1483 / FAX (630) 393-2516

October 24, 2013

Members of the Pension Board of Trustees
Lake Zurich Police Pension Fund
Lake Zurich, IL

Enclosed please find a copy of your Municipal Compliance Report for the Lake Zurich Police Pension Fund for the year ended April 30, 2013. We have prepared the report with the most recent information available at our office. Should you have more current information, or notice any inaccuracies, we are prepared to make any necessary revisions and return them to you.

The President and Secretary of the Pension Fund are required to sign the report on page 3. If not already included with the enclosed report, please also include a copy of the Pension Fund's most recent investment policy.

The signed House Bill 5088 - Municipal Compliance Report must be provided to the Municipality before the tax levy is filed on the last Tuesday in December.

If you have any questions regarding this report please contact me at (630) 393-1483.

Respectfully submitted,

Lauterbach & Amen, LLP

LAUTERBACH & AMEN, LLP

VILLAGE OF LAKE ZURICH, ILLINOIS
POLICE PENSION FUND

House Bill 5088 (Public Act 95-950) - Municipal Compliance Report
For the Fiscal Year Ending April 30, 2013

The Pension Board certifies to the Board of Trustees of the Village of Lake Zurich, Illinois on the condition of the Pension Fund at the end of its most recently completed fiscal year the following information:

- 1) The total assets of the fund and their current market value of those assets:

	<u>Current Fiscal Year</u>	<u>Preceding Fiscal Year</u>
Total Assets	<u>\$ 13,479,848</u>	<u>\$ 11,691,613</u>
Market Value	<u>\$ 13,479,848</u>	<u>\$ 11,691,613</u>

- 2) The estimated receipts during the next succeeding fiscal year from deductions from the salaries of police officers and from other sources:

Estimated Receipts - Employee Contributions	<u>\$ 320,700</u>
Estimated Receipts - All Other Sources	
Investment Earnings	<u>\$ 909,900</u>
Municipal Contributions	<u>\$ 1,392,968</u>

- 3) The estimated amount required during the next succeeding fiscal year to (a) pay all pensions and other obligations provided in Article 3 of the Illinois Pension Code, and (b) to meet the annual requirements of the fund as provided in Sections 3-125 and 3-127:

(a) Pay all Pensions and Other Obligations	<u>\$ 1,028,900</u>
(b) Annual Requirement of the Fund as Determined by:	
Illinois Department of Insurance	<u>\$ N/A</u>
Private Actuary- Timothy W. Sharpe, Actuary	
Recommended Municipal Contribution	<u>\$ 1,392,968</u>
Statutory Municipal Contribution	<u>\$ 1,343,394</u>

**VILLAGE OF LAKE ZURICH, ILLINOIS
POLICE PENSION FUND**

**House Bill 5088 (Public Act 95-950) - Municipal Compliance Report
For the Fiscal Year Ending April 30, 2013**

- 4) The total net income received from investment of assets along with the assumed investment return and actual investment return received by the fund during its most recently completed fiscal year compared to the total net income, assumed investment return, and actual investment return received during the preceding fiscal year:

	<u>Current Fiscal Year</u>	<u>Preceding Fiscal Year</u>
Net Income Received from Investment of Assets	<u>\$ 1,165,028</u>	<u>\$ 556,371</u>
Assumed Investment Return		
Illinois Department of Insurance	<u>N/A</u>	<u>6.75%</u>
Private Actuary- Timothy W. Sharpe, Actuary	<u>6.75%</u>	<u>6.75%</u>
Actual Investment Return	<u>9.26%</u>	<u>5.05%</u>

- 5) The total number of active employees who are financially contributing to the fund:

Number of Active Members	<u>34</u>
--------------------------	-----------

- 6) The total amount that was disbursed in benefits during the fiscal year, including the number of and total amount disbursed to (i) annuitants in receipt of a regular retirement pension, (ii) recipients being paid a disability pension, and (iii) survivors and children in receipt of benefits:

	<u>Number of</u>	<u>Total Amount Disbursed</u>
(i) Regular Retirement Pension	<u>9</u>	<u>\$ 551,285</u>
(ii) Disability Pension	<u>2</u>	<u>\$ 124,648</u>
(iii) Survivors and Child Benefits	<u>3</u>	<u>\$ 117,931</u>
Totals	<u>14</u>	<u>\$ 793,863</u>

**VILLAGE OF LAKE ZURICH, ILLINOIS
POLICE PENSION FUND**

**House Bill 5088 (Public Act 95-950) - Municipal Compliance Report
For the Fiscal Year Ending April 30, 2013**

7) The funded ratio of the fund:

	<u>Current Fiscal Year</u>	<u>Preceding Fiscal Year</u>
Illinois Department of Insurance	<u>N/A</u>	<u>39.82%</u>
Private Actuary- Timothy W. Sharpe, Actuary	<u>41.88%</u>	<u>41.07%</u>

8) The unfunded liability carried by the fund, along with an actuarial explanation of the unfunded liability:

Unfunded Liability:

Illinois Department of Insurance	<u>\$ N/A</u>
Private Actuary- Timothy W. Sharpe, Actuary	<u>\$ 18,422,664</u>

The accrued liability is the actuarial present value of the portion of the projected benefits that has been accrued as of the valuation date based upon the actuarial valuation method and the actuarial assumptions employed in the valuation. The unfunded accrued liability is the excess of the accrued liability over the actuarial value of assets.

9) The investment policy of the Pension Board under the statutory investment restrictions imposed on the fund.

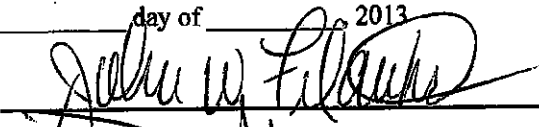

Investment Policy - See Attached.

Please see Notes Page attached.

**CERTIFICATION OF MUNICIPAL POLICE
PENSION FUND COMPLIANCE REPORT**

The Board of Trustees of the Pension Fund, based upon information and belief, and to the best of our knowledge, hereby certify pursuant to §3-143 of the Illinois Pension Code 40 ILCS 5/3-143, that the preceding report is true and accurate.

Adopted this _____ day of _____ 2013

President		Date	<u>10/24/2013</u>
Secretary		Date	<u>10/24/2013</u>

**VILLAGE OF LAKE ZURICH, ILLINOIS
POLICE PENSION FUND**

**House Bill 5088 (Public Act 95-950) - Municipal Compliance Report
For the Fiscal Year Ending April 30, 2013**

INDEX OF ASSUMPTIONS

- 1) Total Assets - as Reported in the Audited Financial Statements for the Years Ended April 30, 2013 and 2012.

Market Value - Same as Above.

- 2) Estimated Receipts - Employee Contributions as Reported in the Audited Financial Statements for the Year Ended April 30, 2013 plus 3.5% Increase (Actuarial Salary Increase Assumption) Rounded to the Nearest \$100.

Estimated Receipts - All Other Sources

Investment Earnings - Cash and Equivalents and Investments as Reported in the Audited Financial Statements for the Year Ended April 30, 2013, times 6.75% (Actuarial Investment Return Assumption) Rounded to the Nearest \$100.

Municipal Contributions - Recommended Tax Levy Requirement as Reported by Timothy W. Sharpe, Actuary, Actuarial Valuation for the Year Ended April 30, 2013.

- 3) (a) Pay all Pensions and Other Obligations - Total Deductions as Reported in the Audited Financial Statements for the Year Ended April 30, 2013, plus a 25% Increase, Rounded to the Nearest \$100.

(b) Annual Requirement of the Fund as Determined by:

Illinois Department of Insurance - No April 30, 2013 Actuarial Valuation available at the time of this report.

Private Actuary

Recommended Amount of Tax Levy as Reported by Timothy W. Sharpe, Actuary in the April 30, 2013 Actuarial Valuation.

Statutorily Required Amount of Tax Levy as Reported by Timothy W. Sharpe, Actuary in the April 30, 2013 Actuarial Valuation.

**VILLAGE OF LAKE ZURICH, ILLINOIS
POLICE PENSION FUND**

**House Bill 5088 (Public Act 95-950) - Municipal Compliance Report
For the Fiscal Year Ending April 30, 2013**

INDEX OF ASSUMPTIONS - Continued

- 4) Net Income Received from Investment of Assets - Investment Income (Loss) net of Investment Expense, as Reported in the Audited Financial Statements for the Years Ended April 30, 2013 and 2012.

Assumed Investment Return

Illinois Department of Insurance - Preceding Fiscal Year Interest Rate Assumption as Reported in the April 30, 2012 Actuarial Valuation. No April 30, 2013 Actuarial Valuation available at the time of this report.

Private Actuary - Current and Preceding Fiscal Year Interest Rate Assumption as Reported in the Timothy W. Sharpe, Actuary, April 30, 2013 and 2012 Actuarial Valuations.

Actual Investment Return - Net Income Received from Investments as Reported Above as a Percentage of the Average of the Beginning and End of Year Cash and Cash Equivalents and Investments as Reported in the Audited Financial Statements for the Fiscal Years Ended April 30, 2013, 2012 and 2011.

- 5) Number of Active Members - Illinois Department of Insurance Annual Statement for April 30, 2013 - Schedule P.
- 6) (i) Regular Retirement Pension - Illinois Department of Insurance Annual Statement for April 30, 2013 - Schedule P for Number of Participants and Expense page 1 for Total Amount Disbursed.
- (ii) Disability Pension - Same as above.
- (iii) Survivors and Child Benefits - Same as above.

**VILLAGE OF LAKE ZURICH, ILLINOIS
POLICE PENSION FUND**

**House Bill 5088 (Public Act 95-950) - Municipal Compliance Report
For the Fiscal Year Ending April 30, 2013**

INDEX OF ASSUMPTIONS - Continued

7) The funded ratio of the fund:

Illinois Department of Insurance - Preceding Fiscal Year Net Present Assets as a percentage of Total Assets as Reported in the April 30, 2012 Actuarial Valuation. No April 30, 2013 Actuarial Valuation available at the time of this report.

Private Actuary - Current and Preceding Fiscal Year Net Present Assets as a percentage of Total Assets as Reported in the Timothy W. Sharpe, Actuary, April 30, 2013 and April 30, 2012 Actuarial Valuations.

8) Unfunded Liability:

Illinois Department of Insurance - Deferred Asset (Unfunded Accrued Liability) - No April 30, 2013 Actuarial Valuation available at the time of this report.

Private Actuary - Deferred Asset (Unfunded Accrued Liability) as Reported by Timothy W. Sharpe, Actuary in the April 30, 2013 Actuarial Valuation.



Actuary

To: Ms. Jodie Hartman

Comments:

Re: Police and Firefighter Pension Funds

Jodie:

Recall, over the past two years as a result of PA 096-1495 many changes were made including the actuarial method (Projected Unit Credit), 30-year amortization period, 90% amortization target and asset smoothing (5-year average market value). This year we will look at using the RP2000 Mortality Table. The smoothed values are less than the straight market values (\$206K Police, \$213K Fire). The investment returns were more than assumed and salaries increased as than assumed. Police had no changes in active members. Fire has 3 fewer active members and had 1 new incident of disability. Fire is still subject to the PA 096-1495 minimum. Thus, the Tax Levy has increased and the Percent Funded has increased.

Highlights:

1. Investment returns: Police 9.95%; Fire 9.78%.
2. Annual payroll increase: Police 2.8%, Fire -3.1%;
Average increase: Police 2.8%, Fire 3.3%.
3. New members: Police 0, Fire 1; Terminations: Police 0, Fire 0;
Retirements: Police 0, Fire 3; Incidents of Disability: Police 0, Fire 1.
4. Percent Funded: Police increased from 41.1% to 41.9%;
Fire increased from 50.7% to 51.1%.
5. Tax Levy: Police increased from \$1,258,003 to \$1,392,968 (10.7%);
Fire increased from \$1,723,339 to \$1,905,372 (PA 096-1495, 10.6%).

As Always,

T. Sharpe

Timothy W. Sharpe, Actuary, Geneva, IL (630) 262-0600

October 18, 2013

VILLAGE OF LAKE ZURICH
Actuarial Valuation Results

POLICE	<u>5/1/12</u>	<u>5/1/13</u>		
	Int: 6.75%	Int: 6.75%	Int: 6.75%	Int: 6.75%
	<u>Sal: 3.50%</u>	<u>Sal: 3.50%¹</u>	<u>Sal: 3.50%²</u>	<u>Sal: 3.50%³</u>
1. Village Normal Cost	302,792	296,334	336,598	549,046
2. Accrued Liability	28,897,494	30,090,661	31,695,264	29,744,102
3. Assets	11,868,669	13,272,600	13,272,600	13,272,600
4. Unfunded Liability/(Surplus)	17,028,825	16,818,061	18,422,664	16,471,502
5. Amortization of UL	875,665	883,953	968,290	709,403
6. Interest for One Year	79,546	79,669	88,080	84,945
7. Tax Levy Requirement (1+5+6)	<u>1,258,003</u>	<u>1,259,956</u>	<u>1,392,968</u>	<u>1,343,394</u>
8. Payroll	3,021,139	3,106,394	3,106,394	3,106,394
9. Percent Funded (3/2)	41.1%	44.1%	41.9%	44.6%

¹ Reflects Entry Age, 28-year, 100% Amortization, Smoothed Market, UP1984 Mortality

² Reflects Entry Age, 28-year, 100% Amortization, Smoothed Market, RP2000 Mortality

³ Reflects PA 096-1495, PUC, 28-year, 90% Amortization, Smoothed Market, RP2000 Mortality

Timothy W. Sharpe, Actuary, Geneva, IL (630) 262-0600

October 18, 2013

VILLAGE OF LAKE ZURICH
Actuarial Valuation Results

FIRE	<u>5/1/12</u>	<u>5/1/13</u>		
	Int: 6.75%	Int: 6.75%	Int: 6.75%	Int: 6.75%
	<u>Sal: 3.50%</u>	<u>Sal: 3.50%¹</u>	<u>Sal: 3.50%²</u>	<u>Sal: 3.50%³</u>
1. Village Normal Cost	1,178,040	514,269	575,615	1,242,542
2. Accrued Liability	27,417,779	34,660,330	36,634,366	32,251,653
3. Assets	16,190,835	18,707,746	18,707,746	18,707,746
4. Unfunded Liability/(Surplus)	11,226,944	15,952,584	17,926,620	13,543,907
5. Amortization of UL	436,329	838,463	942,218	542,350
6. Interest for One Year	108,970	91,309	102,454	120,480
7. Tax Levy Requirement (1+5+6)	<u>1,723,339</u>	<u>1,444,041</u>	<u>1,620,287</u>	<u>1,905,372</u>
8. Payroll	4,748,485	4,601,952	4,601,952	4,601,952
9. Percent Funded (3/2)	59.1%	54.0%	51.1%	58.0%

¹ Reflects Entry Age, 28-year, 100% Amortization, Smoothed Market, UP1984 Mortality

² Reflects Entry Age, 28-year, 100% Amortization, Smoothed Market, RP2000 Mortality

³ Reflects PA 096-1495, PUC, 28-year, 90% Amortization, Smoothed Market, RP2000 Mortality

Timothy W. Sharpe, Actuary, Geneva, IL (630) 262-0600

October 18, 2013

VILLAGE OF LAKE ZURICH
Firefighters Pension Fund

Investment Performance 2007-2013

	FYE 07	FYE 08	FYE 09	FYE 10	FYE 11	FYE 12	FYE 13
BOY Assets	6,909,779	8,264,970	9,203,095	8,674,291	11,472,268	13,914,293	15,908,968
Village Contribution	327,346	368,340	404,709	742,436	933,261	1,226,383	1,461,776
Firefighter Contribution	403,743	424,817	427,353	484,878	422,588	445,682	456,036
Pension Payments	46,382	76,547	106,443	115,648	162,858	310,748	433,474
Expenses	51,647	59,949	59,768	39,990	35,502	34,739	96,351
Income	722,131	281,464	(1,194,655)	1,726,301	1,284,535	668,097	1,623,486
BOY Assets	8,264,970	9,203,095	8,674,291	11,472,268	13,914,293	15,908,968	18,920,441
Annual Yield	9.99%	3.28%	-12.53%	18.74%	10.66%	4.58%	9.78%
5-Year Yield (2009-13)	6.25%						
7-Year Yield (2007-13)	6.36%						

Timothy W. Sharpe, Actuary, Geneva, IL (630) 262-0600

October 18, 2013

VILLAGE OF LAKE ZURICH
Police Pension Fund

Investment Performance 2007-2013

	FYE 07	FYE 08	FYE 09	FYE 10	FYE 11	FYE 12	FYE 13
BOY Assets	7,758,155	8,520,926	8,774,202	7,496,618	8,843,839	10,362,377	11,690,416
Village	165,928	185,101	194,781	673,575	861,471	1,235,081	1,142,537
Contribution							
Officer	286,209	296,615	290,897	318,255	445,347	328,173	309,867
Contribution							
Pension	439,654	414,998	629,267	725,108	682,931	766,090	793,863
Payments							
Expenses	2,116	2,774	15,351	34,440	88,195	25,495	66,717
Income	752,404	189,332	(1,118,644)	1,090,271	982,845	556,371	1,196,497
EOY Assets	8,520,926	8,774,202	7,496,618	8,819,170	10,362,377	11,690,416	13,478,738
Annual Yield	9.69%	2.21%	-12.85%	14.29%	10.73%	5.17%	9.95%
5-Year Yield	5.46%						
(2009-13)							
7-Year Yield	5.60%						
(2007-13)							

Timothy W. Sharpe, Actuary, Geneva, IL (630) 262-0600

VILLAGE OF LAKE ZURICH POLICE PENSION FUND SALARY HISTORY

Name	2009 Salary	Increase	2010 Salary	Increase	2011 Salary	Increase	2012 Salary	Increase	2013 Salary
Anderson, David K	93,350	4.9%	97,968	0.0%	97,968	7.0%	105,684	2.0%	107,777
Arden, Brandon J	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	3.2%	85,672
Balfanz, William E	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	2.0%	84,672
Beroza, Irenausz	70,337	12.7%	79,248	0.0%	79,248	4.8%	83,051	2.0%	84,672
Bradstreet, David M	99,350	3.4%	102,752	0.0%	102,752	2.8%	105,684	2.0%	107,777
Bradstreet, Denise T	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	2.0%	84,672
Darileson, Kurt A	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	3.2%	85,672
Finlon, Kevin	99,350	3.4%	102,752	0.0%	102,752	7.8%	110,654	2.0%	113,051
Finlon, Patrick M	107,751	17.6%	126,672	0.0%	126,672	3.1%	130,824	4.7%	138,742
Fray, Mark D	81,359	15.9%	71,138	0.0%	71,138	16.7%	83,051	2.0%	84,672
Frost, Scott M	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	2.0%	84,672
Goodyear, Tracey D	93,350	4.9%	97,968	0.0%	97,968	2.8%	100,672	2.0%	102,685
Grunder, Anthony M	85,428	7.1%	91,520	0.0%	91,520	2.7%	94,016	5.2%	98,887
Hoops, Bradley A	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	2.0%	84,672
Humiston, Kevin L	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	2.0%	84,672
Huzsek, Charles M	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	3.2%	85,672
Johnson, Robt	74,948	22.1%	91,520	0.0%	91,520	2.7%	94,016	5.2%	98,887
Knight, Shaun M	81,359	15.9%	71,138	0.0%	71,138	16.7%	83,051	2.0%	84,672
Mitch III, Ralph	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	2.0%	84,672
OConnell, Thomas P	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	3.2%	85,672
Parlberg, Michael W	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	3.2%	85,672
Perlock, Scott D	93,350	4.9%	97,968	0.0%	97,968	2.8%	100,672	2.0%	102,685
Plaski, Gregory	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	2.0%	84,672
Quinones, Guillermo Q	99,350	3.4%	102,752	0.0%	102,752	2.8%	105,684	2.0%	107,777
Siebar, Andrew	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	2.0%	84,672
Stone, Patrick R	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	2.0%	84,672
Teronda, Vincent S	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	3.2%	85,672
Venacker, Jason M	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	2.0%	84,672
Werner, Scott A	74,948	5.7%	79,248	0.0%	79,248	16.0%	91,520	2.0%	93,767
West, William D	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	3.2%	85,672
Witt, Martin M	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	2.0%	84,672
Yundt, Martin M	93,350	4.9%	97,968	0.0%	97,968	2.8%	100,672	2.0%	102,685
Gaffney, Colin D	58,122	16.4%	65,312	0.0%	65,312	19.4%	77,859	8.9%	84,672
Thiergood, Dion							59,651	8.9%	63,896
Average Increase		7.3%		0.0%		5.7%		2.6%	

VILLAGE OF LAKE ZURICH FIREFIGHTERS PENSION FUND SALARY HISTORY

Name	2009 Salary	Increase	2010 Salary	Increase	2011 Salary	Increase	2012 Salary	Increase	2013 Salary
Bachler, Robert B	104,433	0.0%	104,433	1.1%	105,555	0.1%	105,554	2.0%	107,777
Bejor, Mark J	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Baroli, David R	90,314	2.5%	92,934	3.5%	96,184	1.6%	97,722	2.0%	99,677
Blasnik, Brian W	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Borst, David R	90,314	2.5%	92,934	3.5%	96,184	1.6%	97,722	2.0%	99,677
Brendle, Gregory E	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Christopherson, Joseph A	76,396	2.9%	78,598	3.8%	81,578	7.4%	87,627	6.6%	93,384
Fanning, George J	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Garcia, Daren	90,314	2.5%	92,934	3.5%	96,184	1.6%	97,722	2.0%	99,677
Galuski, Donald L	90,314	2.5%	92,934	13.0%	105,040	8.9%	114,400	2.0%	116,888
Gonzalez, Danny	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Grant, Jeffery K	76,396	2.9%	78,598	8.8%	86,263	13.3%	97,722	2.0%	99,677
Griffiths, Michael	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Haurzinger, Michael	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Hedgcock, Christopher M	82,532	9.8%	88,679	3.9%	71,380	18.1%	82,860	2.0%	84,517
Henniken, Jason L	86,768	9.6%	73,198	3.5%	75,790	8.3%	82,860	2.0%	84,517
Hofa, Keith J	76,396	2.9%	78,598	3.5%	81,578	1.6%	82,860	2.0%	84,517
Holton, Jeremy D	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Johnson, Richard S	90,314	2.5%	92,934	3.5%	96,184	1.6%	97,722	2.0%	99,677
Johnson, Terry L	90,314	2.5%	92,934	3.5%	96,184	8.8%	104,728	2.0%	106,929
Kammin, Lee J	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Kelly, John C	104,433	0.0%	104,433	1.1%	105,555	0.1%	105,554	2.0%	107,777
Kemp, Matthew R	76,396	2.9%	78,598	9.8%	81,578	1.6%	82,860	2.0%	84,517
Loyal, Robert J	90,314	2.5%	92,934	3.5%	96,184	1.6%	97,722	2.0%	99,677
Lucas, Brian	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Mogge, Kenneth C	76,396	2.9%	78,598	3.5%	81,578	1.6%	82,860	2.0%	84,517
Nameth, Scott A	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Norman, Steven B	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
O'Brien, Aaron M	66,768	8.6%	73,198	3.5%	75,790	8.3%	82,860	2.0%	84,517
Osel, Scott D	90,314	2.5%	92,934	3.5%	96,184	1.6%	97,722	2.0%	99,677
Peterson, Thomas J	76,396	2.9%	78,598	3.8%	81,578	7.4%	87,627	6.6%	93,384
Pilgard, David A	90,314	2.5%	92,934	3.5%	96,184	1.6%	97,722	2.0%	99,677
Przybylski, Peter B	76,396	2.9%	78,598	9.8%	86,263	6.1%	91,593	5.8%	96,977
Radtke, Jeffrey P	104,433	0.0%	104,433	1.1%	105,555	0.1%	105,554	2.0%	107,777
Radney, Patrick M	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Raid, David	66,768	8.6%	73,198	3.5%	75,790	8.3%	82,860	2.0%	84,517
Richie, Samuel J	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Ryan, Eric D	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Santoyo, David M	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Schlick, Patricia L	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Waldner, Bonnie E	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Wenzel, Michael R	90,314	2.5%	92,934	3.5%	96,184	9.0%	104,932	2.0%	106,929
Wheatlock, David P	115,015	0.0%	115,037	1.3%	116,480	3.0%	120,016	3.0%	124,750
Wittgen, Michael J	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Yea, Benny R	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Wassow, Jeffrey					54,018	17.6%	63,511	8.8%	69,071
Booth, Clayton					54,018	17.6%	63,511	8.8%	69,071
Johnson, Jeremiah					54,018	17.6%	63,511	8.8%	69,071
Skalski, Andrew F							54,963	17.9%	64,781
Rotstein, Philip							54,963	17.9%	64,781
Brooks, Justin J							54,963	17.9%	64,781
Erb, Douglas A									55,662
Average Increase		3.0%		3.8%		3.8%		3.3%	

LAKE ZURICH POLICE PENSION FUND INVESTMENT POLICY

PURPOSE

The investment of pension funds is the responsibility of the members of the Board of Trustees of the Lake Zurich Police Pension Fund (Pension Board). The purpose of this investment policy is to indicate a conscious, formal effort by the Pension Board to develop, implement and monitor the investment of pension funds. It shall be considered an important means to communicate the Pension Board's policy views on management of pension funds to the public, participants, and beneficiaries of the Fund.

SCOPE

This policy governs the investment practices and applies to all financial transactions of the Lake Zurich Police Pension Fund (Pension Fund).

OBJECTIVES

The Pension Board has a fiduciary responsibility to discharge its duties with respect to the Pension Fund solely in the interest of the participants and beneficiaries as set forth in the Illinois Pension Code.

Preservation of Principal – Preservation of principal is the foremost objective of the Pension Board. Investment transactions shall seek to first ensure that large capital losses are avoided. Additionally, the objective of the Pension Board is to avoid erosion of principal resulting from securities defaults.

Return on Investments – The Pension Board seeks to attain or exceed market rates of return on investments consistent with constraints imposed by safety objectives, cash flow considerations and Illinois Laws that restrict the placement of public funds.

Maintenance of Public Trust – All participants in the investment process shall seek to act responsibly as custodians of the Pension Fund. Investment officials shall avoid any transactions that might reasonably impair Pension Fund participant's confidence in the Pension Board's ability to manage the Pension Fund.

Liquidity – The assets shall be sufficiently liquid to meet the Pension Fund's disbursement requirements for the payment of operating expenses and benefits.

RESPONSIBILITY

Management of the investment program is the responsibility of the Pension Board. No person may engage in an investment transaction except as provided under terms of this policy established by the Pension Board.

The Treasurer of the Pension Fund shall be responsible for establishing internal controls and written procedures for the operation of the investment program. (30 ILCS 230/2.5(a)(7)).

The Pension Board may appoint an investment manager (as defined in 40 ILCS 5/1 – 101.4) to assist in the management of the investment program. The investment manager shall acknowledge, in writing, that he or she is a fiduciary with respect to the Pension Fund. Any such written acknowledgement shall be attached to this policy or included in the agreement between the Pension Board and the investment manager.

The Pension Board will meet with the investment manager quarterly to review market conditions and to determine investment strategy. This review will include analysis of the investment portfolio, its effectiveness in meeting the Pension Fund's needs for safety, liquidity, rate of return, and diversification, and its general performance.

PRUDENCE

The standard of prudence to be used by investment officials shall be the "prudent investor" and shall be applied in the context of managing the portfolio. Investments shall be made with the care, skill, prudence and diligence that a prudent

person acting in like capacity and familiar with such matters would use in the conduct of an enterprise of like character with like aims.

PROHIBITED TRANSACTIONS

A Fiduciary with respect to the Pension Fund shall not:

1. Deal with the assets of the Pension Fund in his or her own interests or for his or her own account.
2. In their individual or other capacity effect any transaction involving the Pension Fund on behalf of a party whose interests are adverse to the interests of the Pension Fund or the interests of its participants or beneficiaries.
3. Receive any consideration for his or her own personal account from any party dealing with the Pension Fund in connection with a transaction involving the assets of the Pension Fund.
4. Knowingly cause or advise the Pension Fund to engage in an investment transaction when the fiduciary (i) has any direct interest in the income, gains, or profits of the investment advisor through which the investment transaction is made or (ii) has a business relationship with that investment advisor that would result in a pecuniary benefit to the fiduciary as a result of the investment transaction. (40 ILCS 5/1-110)

INVESTMENT INSTRUMENTS

The Pension Fund may invest in any type of investment instrument permitted by Illinois law, as described in Chapter 40 of the Illinois Compiled Statutes, 40 ILCS 5/1-113.2 through 113.4a. Permitted investment instruments include, but are not limited to:

1. Interest bearing direct obligations of the United States of America.
2. Interest bearing obligations to the extent that they are fully guaranteed or insured as to payment of principal and interest by the United States of America.
3. Interest bearing bonds, notes, debentures, or other similar obligations of agencies of the United States of America. For the purposes of this section, "Agencies of the United States of America" include:
 - a. The Federal National Mortgage Association and the Student Loan Marketing Association.
 - b. Federal Land Banks, Federal Intermediate Credit Banks, Federal Farm Credit Banks, and any other entity authorized to issue direct debt obligations of the United States of America under the Farm Credit Act of 1971.
 - c. Federal Home Loan Banks and the Federal Home Loan Mortgage Corporation.
 - d. Any agency created by act of Congress that is authorized to issue direct debt obligations of the United States of America.
4. Corporate bonds managed through an investment advisor must meet all of the following requirements:
 - a. The bonds must be rated as investment grade by one of the 2 largest rating services at the time of purchase.
 - b. If subsequently downgraded below investment grade, the bonds must be liquidated from the portfolio within 90 days after being downgraded by the manager.
5. Interest bearing savings accounts or certificates of deposit, issued by federally chartered banks or savings and loan associations, or by State of Illinois chartered banks or savings and loan associations, to the extent that the deposits are insured by agencies or instrumentalities of the federal government.
6. Interest bearing bonds of the State of Illinois or interest bearing bonds or tax anticipation warrants of any county, township, or municipal corporation of the State of Illinois.

7. Pooled interest bearing accounts managed by the Illinois Public Treasurer's Investment Pool in accordance with the deposit of state moneys act.
8. Direct obligations of the State of Israel.
9. Money Market Mutual Funds managed by investment companies that are registered under the Federal Investment Company Act of 1940 and the Illinois Securities Law of 1953 and are diversified, open-ended management investment companies; provided that the portfolio of the money market mutual fund is limited to:
 - a. Bonds, notes, certificates of indebtedness, treasury bills, or other securities that are guaranteed by the full faith and credit of the United States of America or its agencies.
 - b. Bonds, notes, debentures, or other similar obligations of the United States of America or its agencies.
10. Not to exceed 10% of the portfolio, separate accounts that are managed by life insurance companies authorized to transact business in Illinois and are comprised of diversified portfolios consisting of common or preferred stock, bonds or money market instruments or mutual funds that meet the requirement as outlined in item 11 below.
11. In addition to the items listed above, if the Pension Fund has net assets of \$2,500,000 or more it may invest a portion of its net assets in mutual funds that meet the following requirements:
 - a. The mutual fund is managed by an investment company as defined and registered under the Federal Investment Company Act of 1940 and registered under the Illinois Securities Law of 1953.
 - b. The mutual fund has been in operation for at least five years.
 - c. The mutual fund has total net assets of \$250 million or more.
 - d. The mutual fund is comprised of diversified portfolios of common or preferred stocks, bonds, or money market instruments.
12. In addition to the items listed above, if the Pension Fund has net assets of \$5,000,000 or more it may invest a portion of its net assets in common and preferred stocks that meet the following requirements:
 - a. Investments made through an investment advisor with a written contract.
 - b. Stocks of U.S. Corporations that have been in existence for 5 years.
 - c. Corporations not in arrears in payment of dividends in the last 5 years.
 - d. Market value of stock in any one corporation does not exceed 5% of cash and invested assets of pension fund.
 - e. Stock in any one corporation does not exceed 5% of the total outstanding stock in the corporation.
 - f. Stocks listed on national securities exchange or quoted in NASDAQNMS.
13. In addition to the items mentioned above, if the Pension Fund has net assets of at least \$10,000,000 may, through that investment adviser, invest an additional portion of its assets in common and preferred stocks and mutual funds.

If the Pension Fund has net assets of at least \$2,500,000 but less than \$10,000,000, the Pension Fund's investment in the above equity investments (# 10, 11 and 12) shall not exceed 45% of the market value of the Pension Fund's net present assets stated in its most recent annual report on file with the Illinois Department of Insurance.

If the Pension Fund has net assets of at least \$10,000,000, the Pension Fund's total investment in the above equity investments (#'s 10, 11 and 12) shall not exceed 50% effective July 1, 2011 and 55% effective July 1, 2012 of the market value of the Pension Fund's net present assets stated in its most recent annual report on file with the Illinois Department of Insurance.

Investments of the Pension Fund will be registered in the name of the Pension Fund and placed with a custodian approved by the Pension Board so long as the custodian meets the requirements of state statutes.

PERFORMANCE MEASUREMENTS

Performance will be calculated using professional standards as established by the CFA Institute. The Pension Board shall include (but is not limited to) the following benchmarks for evaluating the Pension Fund's performance:

<u>Application</u>	<u>Benchmark</u>
Cash Equivalents	90 - day U.S. Treasury Bills
Fixed Income	Barclay's Capital Government Bond Index
Large Capitalization Equities	Standard & Poor's 500 Stock Index
Small Capitalization Equities	Russell 2000 Stock Index
International Equities	Morgan Stanley Capital International Europe/Australia/Far East Index

CONTROLS

The Pension Board maintains Pension Fund books and records in conformance with generally accepted accounting principals. Internal controls shall be reviewed by the Pension Board and may be reviewed by an independent auditor. The controls shall be designed to prevent losses of public funds arising from fraud, employee error, misrepresentation by third parties, or imprudent actions by employees and officers of the Pension Board.

DIVERSIFICATION / STRATEGY

Fixed Income:

The average maturity/duration of the portfolio will be managed based upon the current existing interest rate environment. Under most circumstances the average maturity and duration of the portfolio will be maintained at approximately 5.0 years and will range from 2.0 years to 7.0 years. This type of strategy will often result in increasing the maturity/duration of the portfolio when interest rates are rising and decreasing the maturity/duration of the portfolio when interest rates are declining. The investment manager may change the duration of the portfolio as market conditions permit.

The allocation guidelines, by asset class, for the fixed income investments are as follows:

	<u>Normal Allocation</u>	<u>Range of Allocation</u>
U.S. Treasury Bills/Notes/Bonds:	30%	0-100%
U.S. Government Agency Securities (non-MBS):	35%	0-70%
U.S. Government Agency Securities - Callable:	20%	0-30%
U.S. Government Agency Securities (MBS):	5%	0-10%
Taxable Municipal Securities:	10%	0-20%
Certificates of Deposit:	0%	0-20%
Investment Grade Corporate Bonds:	0%	0-30%

Under normal market conditions the structure of the portfolio will be within these limits. However, the portfolio manager may diverge from the above guidelines due to abnormal market conditions.

Equities:

Once the Pension Fund reaches the equity allocation approved by the Pension Board and permitted by law, normal asset allocation range for equity portfolio allocation weightings should be:

	<u>Normal Allocation</u>		<u>Range of Allocation</u>
U.S. Large Company Stocks	70%	+/-	30%
U.S. Small Company Stocks	20%	+/-	20%
International Stocks	10%	+/-	10%

The Pension Fund asset allocation should be rebalanced at least annually when the equity allocation rises above the maximum allowable as a percentage of assets as defined by Illinois State Statute.

COLLATERALIZATION

Pension Fund assets may be invested in savings accounts or certificates of deposit of a national or state bank, even if fund assets on deposit in such institution will exceed federal deposit insurance or guarantee limits for invested principal and accrued interest, but only if the amount by which the fund's investment exceeds such insurance or guarantee limits is collateralized by the fund which shall be maintained and credited to the fund on the records of the custodial bank. The Pension Fund shall have a perfected security interest in such securities which shall be free of any claims to the rights to these securities other than any claims by the custodian which are subordinate to the Pension Fund's claims to rights to these securities.

CUSTODY AND SAFEKEEPING OF INVESTMENTS

1. Third party safekeeping is required for all securities owned by the Pension Fund. (40 ILCS 5/1-113.7)
2. Custody arrangements shall be documented by an approved written agreement. The agreement may be in the form of a safekeeping agreement, trust agreement, escrow agreement or custody agreement.

ETHICS AND CONFLICTS OF INTEREST

Any fiduciary with respect to the Pension Fund shall refrain from personal business activity that could conflict with the proper execution of the investment program, or which could impair his or her ability to make impartial investment decisions.

REPORTING

At least quarterly, the Treasurer shall submit to the Pension Board an investment report which shall describe the portfolio in terms of investment securities, maturity, cost, transactions and earnings for the current period. The Treasurer shall also submit a comprehensive annual report on the Pension Fund and its activity.

MEETING SCHEDULE

The Pension Board shall schedule periodic meetings for the purposes of portfolio and investment manager review. Special meetings may be called from time-to-time by the Pension Board.

AUDIT

The Pension Fund is subject to periodic examination by the Illinois Department of Financial and Professional Regulation, Division of Insurance.

FILING

The Board shall file a copy of this policy with the Illinois Department of Financial and Professional Regulation, Division of Insurance, within thirty (30) days of its adoption. The Board shall make a copy of this Policy available to the public at the main administrative office of the Pension Fund. (40 ILCS 5/1-113.6; 30 ILCS 235/2.5(c))

DEFINITIONS

Beneficiary – person eligible for or receiving benefits from a pension fund.

Book Entry Security – securities that can be transferred from institution to institution using the federal electronic wire system, thus eliminating the physical transfer of certificates. Records are maintained on a computer system at the Federal Reserve.

Collateral – the pledging of a security to guarantee performance of an obligation.

Fiduciary – person entrusted with the control of assets for the benefit of others.

Investment Manager – an individual or organization that provides investment management services for a fee, either on a discretionary or nondiscretionary basis. Under Illinois law, an investment manager is considered a fiduciary with respect to the Pension Fund.

Market Value – the present price of a given security.

Barclay's Capital Government Bond Index – The Barclay's Capital Government Bond Index tracks the performance of the combined U.S. Treasury and U.S. Agency markets. It includes U.S. dollar-denominated U.S. Treasury and U.S. Agency Bonds, issued in the U.S. domestic bond market.

Morgan Stanley Capital International (EAFE) – Indices are based on the share prices of approximately 1,600 companies listed on stock exchanges in the twenty-two countries that make up the MSCI National Indices.

Return – the profit or interest as payment for investment.

Russell 2000 Stock Index – is comprised of the smallest 2000 companies in the Russell 3000 index, representing approximately 11% of the Russell 3000 total market capitalization. The Index was developed with a base value of 135.00 as of December 31, 1986.

Security – any note, stock, bond, certificate of interest or certificate of deposit.

Separate Account – term used of variable annuities. Because the risk is borne by the investor in a variable annuity, the issuer may not commingle funds invested in the variable annuity with the general funds of the issuer.

Standard & Poor's 500 Stock Index – is a capitalization-weighted index of 500 stocks designed to measure performance of the broad domestic economy through changes in the aggregate market value of 500 stocks representing all major industries. The index was developed with a base level of 10 for the 1941-43 base period.

Treasury Bill – short-term debt obligation of U.S. government which will mature in one year or less at the time of original issuance.

Treasury Note – debt obligations of U.S. government which will mature in ten years or less at the time of original issuance.

Treasury Bond – longer debt obligations of U.S. government which will mature in ten years or longer at the time of original issuance.

Current Yield – percentage derived by taking annual interest from an investment and dividing by current market value.

AMENDMENT

This policy may be amended from time to time by the Pension Board.

CONFLICT

In the event of any conflict between this Policy and the Illinois Compiled Statutes or case decisions of the State of Illinois, the Statutes and case law decisions shall govern.

ADOPTION

Adopted by the Lake Zurich Police Pension Fund Board of Trustees on this 12 day of July, 2011.

President

Secretary

Trustee

Treasurer

Trustee

Trustee

REIMER & KARLSON LLC

A PUBLIC SAFETY LAW FIRM

RICHARD J. REIMER
KEITH A. KARLSON*
CHRIS W. POTTHOFF, JR.
CHRISTOPHER M. MELNYCZENKO*
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15 SPINNING WHEEL ROAD, SUITE 310, HINSDALE, ILLINOIS 60521
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OF COUNSEL
ROBERT W. TREVARTHEN

November 11, 2013

Honorable Tom Poynton, Mayor
Village of Lake Zurich
70 E. Main St.
Lake Zurich, Illinois 60047

By Certified Return Receipt Mail

Re: Lake Zurich Firefighters' Pension Fund-Annual Tax Levy Requirements

Dear Mayor Poynton:

Please be advised that the undersigned is legal counsel for the Lake Zurich Firefighters' Pension Fund. At the November 11, 2013, Pension Board meeting, the Pension Board Trustees discussed the annual tax levy/municipal contribution requirements for the Pension Fund for the upcoming tax year. As you are aware, the Pension Board either relies on actuarial evaluation performed by the Illinois Department of Insurance or an independent actuary employed by the Pension Board.

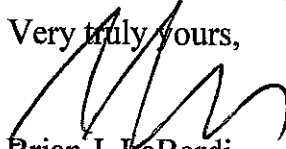
In this case, the Pension Board relied upon the Actuarial Evaluation of Art Tepfer, an independent actuary retained by the Pension Board, for purposes of determining the "recommended levy". Mr. Tepfer's recommended levy for the upcoming tax year, in order to satisfy the annual requirements of the Lake Zurich Firefighters' Pension Fund, as required by §5/4-118 of the Pension Code, is \$1,870,546.00. A copy of Mr. Tepfer's Report is attached for your review.

Accordingly, pursuant to §5/4-118 of the Pension Code, the Lake Zurich Firefighters' Pension Board is requesting that the Village of Lake Zurich levy or contribute that amount for the upcoming tax year in order to satisfy the annual requirements of the Lake Zurich Firefighters' Pension Fund. In the event that the Village will not be levying or contributing this amount, please advise me.

In addition, pursuant to §5/4-134 of the Pension Code, enclosed is copy of the Pension Board's "Municipal Compliance Report".

Thank you for your anticipated cooperation and assistance in this matter. Please do not hesitate to contact the undersigned should you have any questions concerning this matter.

Very truly yours,



Brian J. LaBardi

cc: Lt. David Pilgard, President
Lake Zurich Firefighters' Pension Board

VILLAGE OF LAKE ZURICH, ILLINOIS
FIREFIGHTERS' PENSION FUND

HOUSE BILL 5088 – MUNICIPAL COMPLIANCE REPORT
FOR THE YEAR ENDED
APRIL 30, 2013

**VILLAGE OF LAKE ZURICH, ILLINOIS
FIREFIGHTERS' PENSION FUND**

**House Bill 5088 (Public Act 95-950) - Municipal Compliance Report
April 30, 2013**

The Pension Board certifies to the Board of Trustees of the Village of Lake Zurich, Illinois on the condition of the Pension Fund at the end of its most recently completed fiscal year the following information:

- 1) The total assets of the fund and their current market value of those assets:

	<u>Current Fiscal Year</u>	<u>Preceding Fiscal Year</u>
Total Assets	<u>\$ 18,928,946</u>	<u>\$ 15,915,311</u>
Market Value	<u>\$ 18,928,946</u>	<u>\$ 15,915,311</u>

- 2) The estimated receipts during the next succeeding fiscal year from deductions from the salaries or wages of firefighters' and from other sources:

Estimated Receipts - Employee Contribution	<u>\$ 461,300</u>
Estimated Receipts - All Other Sources	
Investment Earnings	<u>\$ 1,325,000</u>
Municipal Contributions	<u>\$ 1,870,546</u>

- 3) The estimated amount necessary during the fiscal year to meet the annual actuarial requirements of the pension fund as provided in Sections 4-118 and 4-120:

Actuarial Required Contribution as Determined by:

Illinois Department of Insurance - Actuarial Report	<u>\$ N/A</u>
Private Actuary - Tepfer Consulting Group, Ltd.	
Recommended Municipal Contribution	<u>\$ 1,870,546</u>
Statutory Municipal Contribution	<u>\$ 1,741,927</u>
Private Actuary - Timothy W. Sharpe, Actuary	
Recommended Municipal Contribution	<u>\$ 1,620,287</u>
Statutory Municipal Contribution	<u>\$ 1,905,372</u>

VILLAGE OF LAKE ZURICH, ILLINOIS
FIREFIGHTERS' PENSION FUND

House Bill 5088 (Public Act 95-950) - Municipal Compliance Report
April 30, 2013

- 4) The total net income received from investment of assets along with the assumed investment return and actual investment return received by the fund during its most recently completed fiscal year compared to the total net income, assumed investment return, and actual investment return received during the preceding fiscal year:

	<u>Current Fiscal Year</u>	<u>Preceding Fiscal Year</u>
Net Income Received from Investment of Asset	<u>\$ 1,609,018</u>	<u>\$ 696,614</u>
Assumed Investment Return		
Illinois Department of Insurance - Actuarial Report	<u>N/A</u>	<u>6.75%</u>
Private Actuary - Tepfer Consulting Group, Ltd.	<u>7.00%</u>	<u>7.00%</u>
Private Actuary - Timothy W. Sharpe, Actuary	<u>6.75%</u>	<u>6.75%</u>
Actual Investment Return	<u>9.24%</u>	<u>4.67%</u>

- 5) The increase in employer pension contributions that results from the implementation of the provisions of P.A. 93-0689

Illinois Department of Insurance - Actuarial Report	<u>\$ N/A</u>
Private Actuary - Tepfer Consulting Group, Ltd.	<u>\$ 65,268</u>
Private Actuary - Timothy W. Sharpe, Actuary	<u>\$ N/A</u>

**VILLAGE OF LAKE ZURICH, ILLINOIS
FIREFIGHTERS' PENSION FUND**

**House Bill 5088 (Public Act 95-950) - Municipal Compliance Report
April 30, 2013**

6) The total number of active employees who are financially contributing to the fund: 55

7) The total amount that was disbursed in benefits during the fiscal year, including the number of and total amount disbursed to (i) annuitants in receipt of a regular retirement pension, (ii) recipients being paid a disability pension, and (iii) survivors and children in receipt of benefits:

	Number of	Total Amount Disbursed
(i) Regular Retirement Pension	<u>3</u>	<u>\$ 203,622</u>
(ii) Disability Pension	<u>4</u>	<u>\$ 160,121</u>
(iii) Survivors and Child Benefit:	<u>0</u>	<u>\$ 0</u>
Total	<u>7</u>	<u>\$ 363,743</u>

8) The funded ratio of the fund:

	Current Fiscal Year	Preceding Fiscal Year
Illinois Department of Insurance - Actuarial Report	<u>N/A</u>	<u>50.88%</u>
Private Actuary - Tepfer Consulting Group, Ltd.	<u>56.31%</u>	<u>53.74%</u>
Private Actuary - Timothy W. Sharpe, Actuary	<u>51.10%</u>	<u>50.70%</u>

9) The unfunded liability carried by the fund, along with an actuarial explanation of the unfunded liability:

Unfunded Liability:

Illinois Department of Insurance - Actuarial Report	<u>\$ N/A</u>
Private Actuary - Tepfer Consulting Group, Ltd.	<u>\$ 14,523,858</u>
Private Actuary - Timothy W. Sharpe, Actuary	<u>\$ 17,926,620</u>

The accrued liability is the actuarial present value of the portion of the projected benefits that has been accrued as of the valuation date based upon the actuarial valuation method and the actuarial assumptions employed in the valuation. The unfunded accrued liability is the excess of the accrued liability over the actuarial value of assets.

**VILLAGE OF LAKE ZURICH, ILLINOIS
FIREFIGHTERS' PENSION FUND**

**House Bill 5088 (Public Act 95-950) - Municipal Compliance Report
April 30, 2013**

- 10) The investment policy of the Pension Board under the statutory investment restrictions imposed on the fund.

Investment Policy - See Attached

Please see Notes Page attached

**CERTIFICATION OF MUNICIPAL FIREFIGHTERS'
PENSION FUND COMPLIANCE REPORT**

The Board of Trustees of the Pension Fund, based upon information and belief, and to the best of our knowledge, hereby certify pursuant to §4-134 of the Illinois Pension Code 40 ILCS 5/4-134, that the preceding report is true and accurate.

Adopted this 11th day of November, 2011

President	<u>Daniel C. Filard</u>	Date	<u>November 11, 2013</u>
Secretary	<u>Patrick M. Raining</u>	Date	<u>November 11, 2013</u>

**VILLAGE OF LAKE ZURICH, ILLINOIS
FIREFIGHTERS' PENSION FUND**

**House Bill 5088 (Public Act 95-950) - Municipal Compliance Report
April 30, 2013**

INDEX OF ASSUMPTIONS

- 1) Total Assets - as Reported in the Audited Financial Statements for the Years Ended April 30, 2013 and April 30, 2012.

Market Value - Same as Above.

- 2) Estimated Receipts - Employee Contributions as Reported in the Audited Financial Statements for the Year Ended April 30, 2013, plus 4.0% Increase (Actuarial Salary Increase Assumption) Rounded to the Nearest \$100.

Estimated Receipts - All Other Sources

Investment Earnings - Cash and Equivalents and Investments as Reported in the Audited Financial Statements for the Year Ended April 30, 2013, times 7.0% (Actuarial Investment Return Assumption) Rounded to the Nearest \$100.

Municipal Contributions - Tax Levy Requirement as Reported by Tepfer Consulting Group, Ltd., in the April 30, 2013 Actuarial Valuation.

- 3) Annual Requirement of the Fund as Determined by:

Illinois Department of Insurance - Recommended Amount of Tax Levy - No April 30, 2013 Actuarial Valuation available at the time of this report.

Private Actuary - Tepfer Consulting Group, Ltd.

Recommended Amount of Tax Levy as Reported by Tepfer Consulting Group, Ltd., in the April 30, 2013 Actuarial Valuation.

Statutorily Recommended Amount of Tax Levy as Reported by Tepfer Consulting Group, Ltd., in the April 30, 2013 Actuarial Valuation.

Private Actuary - Timothy W. Sharpe, Actuary

Recommended Amount of Tax Levy as Reported by Timothy W. Sharpe, Actuary, in the April 30, 2013 Actuarial Valuation.

Statutorily Recommended Amount of Tax Levy as Reported by Timothy W. Sharpe, Actuary, in the April 30, 2013 Actuarial Valuation.

**VILLAGE OF LAKE ZURICH, ILLINOIS
FIREFIGHTERS' PENSION FUND**

**House Bill 5088 (Public Act 95-950) - Municipal Compliance Report
April 30, 2013**

INDEX OF ASSUMPTIONS - Continued

- 4) Net Income Received from Investment of Assets - Investment Income (Loss) net of Investment Expense, as Reported in the Audited Financial Statements for the Years Ended April 30, 2013 and April 30, 2012.

Assumed Investment Return

Illinois Department of Insurance -Current and Preceding Fiscal Year Interest Rate Assumption as Reported in the April 30, 2012 Actuarial Valuation. No April 2013 Actuarial Valuation available at the time of this report.

Private Actuary - Tepfer Consulting Group, Ltd. - Interest Rate Assumption as Reported in the April 30, 2013 and 2012 Actuarial Valuations.

Private Actuary - Timothy W. Sharpe, Actuary - Interest Rate Assumption as Reported in the April 30, 2013 and 2012 Actuarial Valuations.

Actual Investment Return - Net Income Received from Investments as Reported Above as a Percentage of the Average of the Beginning and End of Year Cash and Cash Equivalents and Investments as Reported in the Audited Financial Statements for the Years Ended April 30, 2013, 2012, and 2011.

- 5) Illinois Department of Insurance - Amount of total suggested tax levy to be excluded from the property tax extension limitation law as contemplated by 35 ILCS 200/18-185

Private Actuary - Amount recommended in the April 30, 2013 Tepfer Consulting Group, Ltd. Private Actuarial Valuation.

- 6) Number of Active Members - Illinois Department of Insurance Annual Statement for April 30, 2013 - Schedule P

- 7) (i) Regular Retirement Pension - Illinois Department of Insurance Annual Statement for April 30, 2012 - Schedule P for Number of Participants and Expense page 1 for Total Amount Disbursed

(ii) Disability Pension - Same as above

(iii) Survivors and Child Benefits - Same as above

**VILLAGE OF LAKE ZURICH, ILLINOIS
FIREFIGHTERS' PENSION FUND**

**House Bill 5088 (Public Act 95-950) - Municipal Compliance Report
April 30, 2013**

INDEX OF ASSUMPTIONS - Continued

8) The funded ratio of the fund:

Illinois Department of Insurance - Net Present Assets as a percentage of Total Assets as Reported in the April 30, 2012 Actuarial Valuation. No April 30, 2013 Actuarial Valuation available at the time of this report.

Private Actuary - Tepfer Consulting Group, Ltd. - Net Present Assets as a percentage of Total Assets as Reported in the April 30, 2013 and 2012 Actuarial Valuations.

Private Actuary - Timothy W. Sharpe, Actuary - Net Present Assets as a percentage of Total Assets as Reported in the April 30, 2013 and 2012 Actuarial Valuations.

9) Unfunded Liability:

Illinois Department of Insurance - Deferred Asset (Unfunded Accrued Liability) - No April 30, 2013 Actuarial Valuation available at the time of this report.

Private Actuary - Tepfer Consulting Group, Ltd. - Deferred Asset (Unfunded Accrued Liability) as Reported in the April 30, 2013 Actuarial Valuation.

Private Actuary - Timothy W. Sharpe, Actuary - Deferred Asset (Unfunded Accrued Liability) as Reported in the April 30, 2013 Actuarial Valuation.

LAKE ZURICH FIREFIGHTERS' PENSION FUND INVESTMENT POLICY

PURPOSE

The investment of pension funds is the responsibility of the members of the Board of Trustees of the Lake Zurich Firefighters' Pension Fund (Pension Board). The purpose of this investment policy is to indicate a conscious, formal effort by the Pension Board to develop, implement and monitor the investment of pension funds. It shall be considered an important means to communicate the Pension Board's policy views on management of pension funds to the public, participants, and beneficiaries of the Lake Zurich Firefighters' Pension Fund (Fund).

OBJECTIVES

The Pension Board has a fiduciary responsibility to discharge their duties with respect to the pension fund solely in the interest of the participants and beneficiaries as set forth in the Illinois Pension Code at 40 ILCS 5/1-109.

Safety: Safety of principal is the foremost objective of the Pension Fund. Each investment transaction shall seek to first ensure that large capital losses are avoided whether they are from securities defaults or erosion of market value.

Return on Investments: The Pension Board seeks to attain market rates of return on its investments consistent with constraints imposed by its safety objectives, cash flow considerations and Illinois state laws that restrict the placement of public funds.

Maintenance of Public Trust: All participants in the investment process shall seek to act prudently as custodians of pension funds. Investment officials shall avoid any transactions that might reasonably impair Fund participant's confidence in the Pension Board's ability to manage the Fund.

Liquidity: The assets shall be sufficiently liquid to meet the Fund's disbursement requirements for the payment of operating expenses and benefits.

RESPONSIBILITY

Management of the investment program is the responsibility of the Pension Fund Board of Trustees. No person may engage in an investment transaction except as provided under terms of this policy established by the Pension Board. The Pension Board may appoint an investment manager (as defined in 40 ILCS 5/1 - 101.4) to assist in the management of the investment program. Any such appointment shall be made in accordance with the requirements of Section 1-113.5 of the Illinois Pension Code (40 ILCS 5/1-113.5). The investment manager shall acknowledge, in writing, that it is a fiduciary with respect to the Pension Fund. Any such written agreement shall be attached to this policy. The Pension Board will meet with the investment manager at least quarterly to review market conditions, review the investment portfolio, and determine investment strategy.

PRUDENCE

Investments shall be made with judgment and care, under circumstances prevailing, which person of prudence, discretion and intelligence exercise in the management of their own affairs, not for speculation, but for investment, considering the primary objective of safety as well as the secondary objective of the attainment of market rates of return. The standards of prudence to be used by investment officials shall be the "Prudent Person" and shall be applied in the context of managing the portfolio.

PROHIBITED TRANSACTIONS

A Fiduciary with respect to the Fund shall not:

1. Deal with the assets of the Fund in their own interests or for their own account.
2. In their individual or other capacity act in any transaction involving the Fund on behalf of a party whose interests are adverse to the interests of the Fund or the interests of its participants or beneficiaries.
3. Receive any consideration for their own personal account from any party dealing with the Fund in connection with a transaction involving the assets of the Fund.

INVESTMENT INSTRUMENTS

The Fund may invest in any type of investment instrument permitted by Illinois law, as described in Chapter 40 of the Illinois Compiled Statutes, 40 ILCS 5/1-113.1 through 113.4a. Permitted investment instruments include, but are not limited to:

1. Interest bearing direct obligations of the United States of America.
2. Interest bearing obligations to the extent that they are fully guaranteed or insured as to payment of principal and interest by the United States of America.
3. Interest bearing bonds, notes, debentures, or other similar obligations of agencies of the United States of America. For the purposes of this section, "Agencies of the United States of America" include:
 - a. The Federal National Mortgage Association and the Student Loan Marketing Association
 - b. Federal Land Banks, Federal Intermediate Credit Banks, Federal Farm Credit Banks, and any other entity authorized to issue direct debt obligations of the United States of America under the Farm Credit Act of 1971 or amendments to that Act

- c. Federal Home Loan Banks and the Federal Home Loan Mortgage Corporation
 - d. Any agency created by Act of Congress that is authorized to issue direct debt obligations of the United States of America.
4. Interest bearing savings accounts or certificates of deposit, issued by federally chartered banks or savings and loan associations, or by State of Illinois chartered banks or savings and loan associations, to the extent that the deposits are insured by agencies or instrumentalities of the federal government.
 5. Interest bearing bonds of the State of Illinois.
 6. Pooled interest bearing accounts managed by the Illinois Public Treasurer's Investment Pool in accordance with the Deposit of State Moneys act, interest bearing funds or pooled accounts of the Illinois Metropolitan Investment Funds, and interest bearing funds or pooled accounts managed, operated, and administered by banks, subsidiaries of banks, or subsidiaries of bank holding companies in accordance with the law of the State of Illinois.
 7. Interest bearing bonds or tax anticipation warrants of any county, township, or municipal corporation of the State of Illinois.
 8. Direct obligations of the State of Israel.
 9. Money Market Mutual Funds managed by investment companies that are registered under the Federal Investment Company Act of 1940 and the Illinois Securities Law of 1953 and are diversified, open-ended management investment companies; provided that the portfolio of the money market mutual fund is limited to:
 - a. Bonds, notes, certificates of indebtedness, treasury bills, or other securities that are guaranteed by the full faith and credit of the United States of America as to principal and interest.
 - b. Bonds, notes, debentures, or other similar obligations of the United States of America or its agencies.
 - c. Short term obligations of corporations organized in the United States with assets exceeding \$400,000,000, provided that i) the obligations mature no later than 180 days from the date of purchase, ii) at the time of purchase, the obligations are rated by at least 2 standard national rating services at one of their 3 highest classifications, and iii) the obligations held by the mutual fund do not exceed 10% of the corporation's outstanding obligations.
 10. Not to exceed 10% of the portfolio; any combination of separate accounts that are managed by life insurance companies authorized to transact business in Illinois and are comprised of diversified portfolios consisting of common or preferred stock, bonds or money market instruments or mutual funds that meet the following requirements:

- a. The mutual fund must be managed by an investment company registered under the Federal Investment Company Act of 1940 and registered under the Illinois Securities Law of 1953.
- b. The mutual fund must have been in operation for at least 5 years.
- c. The mutual fund must have total net assets of \$250,000,000 or more.
- d. The mutual fund must be comprised of a diversified portfolio of common or preferred stocks, bonds, or money market instruments.

11. Corporate bonds, managed through an investment advisor, and the bonds meet the following requirements:

- a. The bonds must be rated as investment grade by one of the two largest rating services at the time of purchase.
- b. If subsequently downgraded below investment grade, the bonds must be liquidated from the portfolio within 90 days after being downgraded by the manager.

A pension fund with net assets of \$2,500,000 or more, may invest a portion of its net assets, not to exceed 45% of the market value of the pension fund's net present assets as stated in its most recent annual report on file with the Illinois Department of Insurance, in separate accounts that are managed by life insurance companies authorized to transact business in Illinois and are comprised of diversified portfolios consisting of common or preferred stock, bonds or money market instruments or mutual funds that meet the following requirements:

- a. The mutual fund must be managed by an investment company registered under the Federal Investment Company Act of 1940 and registered under the Illinois Securities Law of 1953.
- b. The mutual fund must have been in operation for at least 5 years.
- c. The mutual fund must have total net assets of \$250,000,000 or more.
- d. The mutual fund must be comprised of a diversified portfolio of common or preferred stocks, bonds, or money market instruments.

A pension fund with net assets of \$5,000,000 or more, which has appointed an investment adviser under Section 1-113.5, may, through that investment adviser, invest in common and preferred stocks that meet the following requirements:

- a. The common stocks must be listed on a national securities exchange or board of trade (as defined in the Federal Securities Exchange Act of 1934 and set forth in paragraph G of Section 3 of the Illinois Securities Law of 1953) or quoted in the National Association of Securities Dealers Automated Quotation System National Market System.
- b. The securities must be of a corporation in existence for at least 5 years.
- c. The market value of stock in any one corporation may not exceed 5% of the cash and invested assets of the pension fund, and the investments in the stock of any

one corporation may not exceed 5% of the total outstanding stock of that corporation.

- d. The straight preferred stocks or convertible preferred stocks must be issued or guaranteed by a corporation whose common stock qualifies for investment by the board.

The Fund's investment in the above equity investments shall not exceed 45% of the market value of the pension fund's net present assets stated in its most recent annual report on file with the Illinois Department of Insurance.

A pension fund with net assets of \$10,000,000 or more, which has appointed an investment adviser under Sections 1-101.4 and 1-113.5, may, through that investment adviser, invest an additional portion of its assets in common and preferred stocks and mutual funds that meet all of the following requirements:

These stocks must meet all of the following requirements:

- a. The common stocks must be listed on a national securities exchange or board of trade (as defined in the Federal Securities Exchange Act of 1934 and set forth in paragraph G of Section 3 of the Illinois Securities Law of 1953) or quoted in the National Association of Securities Dealers Automated Quotation System National Market System.
- b. The securities must be of a corporation in existence for at least 5 years.
- c. The market value of stock in any one corporation may not exceed 5% of the cash and invested assets of the pension fund, and the investments in the stock of any one corporation may not exceed 5% of the total outstanding stock of that corporation.
- d. The straight preferred stocks or convertible preferred stocks must be issued or guaranteed by a corporation whose common stock qualifies for investment by the board.

These mutual funds must meet the following requirements:

- a. The mutual fund must be managed by an investment company registered under the Federal Investment Company Act of 1940 and registered under the Illinois Securities Law of 1953.
- b. The mutual fund must have been in operation for at least 5 years.
- c. The mutual fund must have total net assets of \$250,000,000 or more.
- d. The mutual fund must be comprised of a diversified portfolio of common or preferred stocks, bonds, or money market instruments.

The Fund's total investment in the items authorized under this Section shall not exceed 50% effective July 1, 2011 and 55% effective July 1, 2012 of the market value of the pension fund's net present assets stated in its most recent annual report on file with the Illinois Department of Insurance.

PERFORMANCE MEASUREMENTS

Performance will be calculated using professional standards as established by the Association for Investment Management Research. The Pension Board shall utilize the following benchmarks for evaluating the Fund's performance:

<u>Application</u>	<u>Benchmark</u>
Cash Equivalents	90 - day U.S. Treasury Bills
Fixed Income (excludes Corporate Bonds)	B of A Merrill Lynch Treasury/Agency Index
Corporate Bond	B of A Merrill Lynch 1-10 Year Corporate
Large Capitalization Equities	Standard & Poor's 500 Stock Index
Mid Capitalization Equities	Standard & Poor's 400 Stock Index
Small Capitalization Equities	Russell 2000 Stock Index
International Equities (includes developed and emerging markets)	Morgan Stanley Capital International Europe/Australia/Far East Index

CONTROLS

The Fund maintains its books and records in conformance with generally accepted accounting principles. The internal controls shall be established by the Treasurer and reviewed by the Pension Board and an independent auditor. The controls shall be designed to prevent losses of public funds arising from fraud, employee error, misrepresentation by third parties, unanticipated changes in financial markets or imprudent actions by employees and officers of the Pension Board.

DIVERSIFICATION / STRATEGY

Fixed Income:

The average maturity/duration of the portfolio will be managed based upon the current existing interest rate environment. Under most circumstances the maturity/modified duration of the portfolio will be maintained at approximately 5.0 years and will range from 2.0 years to 7.0 years. This type of strategy will result in increasing the maturity/duration of the portfolio when interest rates are rising and decreasing the maturity/duration of the portfolio if interest rates are declining. The investment manager may change the duration of the portfolio as the market conditions permit.

The allocation guidelines, by asset class, for the fixed income investments are as follows:

	<u>Normal Allocation ³</u>	<u>Range of Allocation</u>
Cash, Money Market, IPTIP accounts: ¹	0%	0 - 10%
Bank Certificates of Deposit: ²	0%	0 - 10%
U.S. Treasury Securities:	10%	0 - 40%
U.S. Government Agency Securities:	50%	0 - 75%
U.S. Government Agency MBS's:	0%	0 - 20%
Taxable Municipal Securities:	10%	0 - 20%
Corporate Bonds:	30%	0 - 50%

Notes:

1. Cash will be maintained to manage cash flow of the Fund or as a transition asset.
2. Bank certificates of deposit will only be used if market returns are favorable. They will be used as a substitute for the Treasury and Agency portion of the portfolio.
3. Under normal market conditions the structure of the portfolio will be within these limits; however the portfolio manager may diverge from the above suggestions due to abnormal market conditions.

Equities:

Once the fund reaches the equity allocation approved by the Board and permitted by law, normal asset allocation range for equity portfolio allocation weightings should be:

	<u>Normal Allocation</u>		<u>Range of Allocation</u>
U.S. Large Company Stocks	65%	+/-	30%
U.S. Mid-Sized Company Stocks	10%	+/-	10%
U.S. Small Company Stocks	10%	+/-	10%
International Equity Funds	15%	+/-	15%

Portfolio allocations should be rebalanced at least annually at the end of the fiscal year or when the portfolio allocation to equities rises above the limit established and confirmed at each board meeting.

COLLATERALIZATION - It is the policy of the Fund to require that all deposits in excess of FDIC insurable limits (applies to bank Certificates of Deposit) be secured by collateral in order to protect deposits from default.

1. Eligible collateral instruments and collateral ratios (market value divided by deposit) are as follows:

- | | | |
|----|--|--------|
| a. | U.S. Government Securities | = 110% |
| b. | Obligations of Federal Agencies | = 115% |
| c. | Obligations of the State of Illinois | = 115% |
| d. | Local and Municipal Bonds rated "A" or better by Moody's | = 115% |

The ratio of fair market value of collateral to the amount of funds secured shall be reviewed at least quarterly and additional collateral shall be requested when the ratio declines below the level required.

2. Safekeeping of collateral

- a) Third party safekeeping is required for all collateral. To accomplish this, the securities can be held at the following locations:
 - 1. A Federal Reserve Bank or branch office.
 - 2. At another custodial facility - generally in a trust department through book-entry at the Federal Reserve, unless physical securities are involved. If physical securities are involved, at a third party depository in a suitable vault and insured against loss by fire, theft and similar causes.
- b) Safekeeping of collateral shall be documented by a written agreement approved by the Treasurer. This may be in the form of a safekeeping agreement, trust agreement, escrow agreement or custody agreement.
- c) Substitution or exchange of securities held in safekeeping as collateral may occur without prior written notice to the Treasurer provided that the market value of the replacement securities are equal to or greater than the market value of the securities being replaced. The Treasurer shall be notified in writing within two days of all substitutions.

CUSTODY, REGISTRATION AND SAFEKEEPING OF INVESTMENTS

- 1. Third party safekeeping is required for all securities owned by the Fund. To accomplish this, the securities shall be held in a trust department through book-entry at the Depository Trust Company.
- 2. The Board of Trustees may register the investments of the Fund in the name of the Pension Fund, in the nominee name of a bank or trust company authorized to conduct trust business in Illinois, or in the nominee name of the Illinois Public Treasurer's Investment Pool.
- 3. Safekeeping shall be documented by an approved written agreement. The agreement may be in the form of a safekeeping agreement, trust agreement, escrow agreement or custody agreement. Fees for this service shall be mutually agreed upon by the Pension Board and the safekeeping bank.

ETHICS AND CONFLICTS OF INTEREST

Any fiduciary with respect to the Fund shall refrain from personal business activity that could conflict with the proper execution of the investment program, or which could impair their ability to make impartial investment decisions.

INDEMNIFICATION

Pension Board members, investment officers, and the Treasurer acting in accordance with this Investment Policy and such written procedures as have been or may be established, in relation thereto, and exercising due diligence, shall be relieved of personal liability for an individual security's credit risk or market changes.

REPORTING

On a monthly basis, the Treasurer shall submit to the Pension board an investment report which shall describe the portfolio in terms of investment securities, maturity, cost, transactions and earnings for the current period. The Treasurer or Investment Manager shall also submit a comprehensive annual report on the investment program and activity.

MEETING SCHEDULE

The Board shall schedule periodic meetings for the purposes of portfolio and investment performance review. Special meetings may be called as needed to conduct the business of the pension Board.

AUDIT

The Fund is subject to periodic examination by the Illinois Department of Insurance.

DEFINITIONS

Beneficiary - person eligible for or receiving benefits from a pension fund.

Book Entry Security - securities that can be transferred from institution to institution using the federal electronic wire system, thus eliminating the physical transfer of certificates. Records are maintained on a computer system at the Federal Reserve.

Collateral - the pledging of a security to guarantee performance of an obligation.

Commercial Paper - unsecured promissory notes of corporations issued for 270 days or less.

Fiduciary - person entrusted with the control of assets for the benefit of others.

Investment Manager - an individual or organization that provides investment management services for a fee, either on a discretionary or nondiscretionary basis. Under Illinois law, an investment manager is considered a fiduciary with respect to the Fund.

IL Funds (also known as the Illinois Public Treasurers' Investment Pool) - a short-term money market fund for public funds in Illinois.

Market Value - the present price of a given security.

B of A Merrill Lynch Corporate 1-10 Year Index - benchmark index based upon publicly issued intermediate corporate debt securities.

B of A Merrill Lynch Treasury Index - benchmark index that quantifies the price and yield performance of all U.S. Treasury obligations with a maturity of at least one year and an outstanding par value of at least \$100 million. The securities comprising the index are rebalanced on a daily basis.

B of A Merrill Lynch US Treasury/Agency Index: The U.S. Government/Agency Index tracks the performance of the combined U.S. Treasury and U.S. Agency Markets. It includes U.S. dollar-denominated U.S. Treasury and U.S. Agency Bonds, issued in the U.S. domestic bond market, having at least one year remaining term to maturity, a fixed coupon schedule and a minimum amount outstanding of \$1 Billion for U.S. Treasuries and \$150 Million for U.S. Agencies. The index is re-balanced on the last calendar day of the month.

Morgan Stanley Capital International (EAFE) - Indices are based on the share prices of approximately 1,600 companies listed on stock exchanges in the twenty-two countries that make up the MSCI National Indices.

Return - the profit or interest as payment for investment.

Russell 2000 Stock Index - is comprised of the smallest 2000 companies in the Russell 3000 Index, representing approximately 11% of the Russell 3000 total market capitalization. The Index was developed with a base value of 135.00 as of December 31, 1986.

Security - any note, stock, bond, certificate of interest or certificate of deposit.

Separate Account - term used of variable annuities. Because the risk is borne by the investor in a variable annuity, the issuer may not commingle funds invested in the variable annuity with the general funds of the issuer.

Standard & Poor's 400 Midcap Stock Index - is comprised of 400 stocks chosen for market size, liquidity and industry group representation. All stocks within the S & P 500 are not eligible for inclusion.

Standard & Poor's 500 Stock Index - is a capitalization-weighted index of 500 stocks designed to measure performance of the broad domestic economy through changes in the aggregate market value of 500 stocks representing all major industries. The index was developed with a base level of 10 for the 1941-43 base period.

Treasury Bill - short-term debt obligation of U.S. government which will mature within ten years at the time of original issuance.

Treasury Bond - longer debt obligations of U.S. government which will mature in ten years or longer at the time of original issuance.

Yield - percentage measured by taking annual interest from an investment and dividing by current market value.

AMENDMENT

The Board shall review this Policy periodically to ensure its effectiveness in meeting the Pension Fund's needs for safety, liquidity, rate of return, and diversification, and its general performance. Any changes shall be presented to the Pension Board for its approval. Whenever this policy is amended, the Board shall file a copy of the new policy with the Illinois Department of Insurance within thirty (30) days.

CONFLICT

In the event of any conflict between this Policy and the Illinois Compiled Statutes or case decisions of the State of Illinois, the Statutes and case law decisions shall govern.

FILING OF POLICY; PUBLIC AVAILABILITY

The Board shall file this policy with the Illinois Department of Insurance within thirty (30) days of its adoption. The Board shall make a copy of this Policy available to the public at the main administrative office of the Pension Fund.

ADOPTION

Adopted by the Lake Zurich Firefighters' Pension Fund Board of Trustees, as amended, on this 26th
day of October, 2011.

David A. P. Gaud
President

K. W. Wergand
Trustee

E. Ryan
Secretary

Patrick M. Haring
Trustee

Anna W. Zborowski
Treasurer

[Signature]
Trustee

07/2011

**VILLAGE OF LAKE ZURICH
FIREFIGHTERS' PENSION FUND**

**ACTUARIAL VALUATION
AS OF MAY 1, 2013 FOR THE
FISCAL YEAR ENDING APRIL 30, 2014**

November 4, 2013



**Tepfer
Consulting
Group, Ltd.**

Actuaries and Administrators
145 Revere Drive
Northbrook, Illinois 60062-1655
847-509-7740 Fax: 847-509-7745
www.tepferconsulting.com

November 4, 2013

Lt. David Pilgard
Village of Lake Zurich Fire Rescue Department
321 South Buesching Road
Lake Zurich, IL 60047

RE: Lake Zurich Firefighters' Pension Fund

Dear Dave:

Enclosed is our actuarial valuation report for the **Lake Zurich Firefighters' Pension Fund** for the fiscal year May 1, 2013 through April 30, 2014.

The results of our valuation indicate that the recommended minimum contribution from the Village for the next tax year is **\$1,870,546** or **36.93%** of current payroll. This contribution coupled with the anticipated \$460,521 or 9.455% of current payroll to be collected from participating firefighters will be sufficient to meet the State statutory requirements described in 40 ILCS 5/4. Further information is provided within our report.

Alternatively, under the current statute, our valuation results indicate the statutory minimum contribution for the Village for the next tax year to be \$1,741,927 or 34.39%.

With the publication of Statement No. 25 of the Governmental Accounting Standards Board, we our report includes the calculation of the unadjusted Annual Required Contribution. (ARC) We have chosen to calculate this contribution as a level percentage of payroll funded amortization of the unfunded liability over a closed 40 year period beginning with the date of adoption of GASB 25. This amount is \$1,692,060 or 33.40% of participating payroll.

The increase in employer pension contributions resulting from the implementation of P.A. 93-0689 has been estimated to be **\$ 65,268**.

Factors Influencing the Choice of Actuarial Assumptions

As part of the consulting process, it is our policy to talk with selected members of the Board of Trustees and the Sponsor's representatives for the **Village of Lake Zurich Firefighters' Pension Plan** in order to obtain information which will enable the Actuary to properly choose the actuarial assumptions which are most appropriate for the current cost determination for the pension fund.

As part of this process, statistics are compiled concerning historical investment returns, salary increases, retirement incidence and other factors which are influential in the actuarial assumption setting process. Based upon an analysis of the specifics as they relate to the **Village of Lake Zurich Firefighters' Pension Plan** and a general understanding of the inter-relationships of the actuarial assumptions, the Board, the Sponsor and the Actuary reach a mutual agreement as to the assumptions which will be used in the current actuarial valuation. The ultimate decision, nonetheless, remains with the actuary who must abide by his professional standards and judgment.

Published statistics regarding experience for police and firefighters are available from the State of Illinois Department of Insurance. These statistics form the basis of the actuarial assumptions selected by the State Actuary in the valuation of pension funds covered under the Downstate Pension System. We have found in our consulting, that whenever appropriate, the actuarial assumptions used by the State Actuary are relied upon as a starting point. However, in order to make the calculations more "**Lake Zurich-sensitive**", the analysis of the actual historical performance is carefully examined.

Experience Analysis

Actuarial assumptions are not sacrosanct. In fact, it is not uncommon for actuarial assumptions to be changed to better reflect a plan's experience and prognosis. Each year the actuarial process examines the experience of the fund. General parameters indicate that a variance of less than 3% of the actuarial accrued liability is acceptable to assure that the assumptions used remain suitable. The measurement compares the actual unfunded liability to the expected unfunded liability. The total gain and loss developed is then analyzed by individual assumption, where available, to assure appropriateness. Based upon the results of this year's analysis, both in aggregate and individually, we have determined that the chosen assumptions remain suitable for continued use. A single year deviation is not an automatic trigger for a change in assumptions. Instead, multiple years are monitored and changes in assumptions generally occur only after trends are discovered.

Actuarial Assumptions

The complete actuarial assumptions used in this valuation are contained in Appendix 1. Although specific assumptions must be used in the mathematical exercise, actuarial assumptions are better viewed as a range. Actuarial Professional Standards indicate that in the selection of economic assumptions, a "best-estimate" range should be developed. Based upon our analysis of Downstate Police and Fire Pension funds we have developed the following best estimate ranges for economic assumptions:

Investment Return	6.50% - 7.50%
Inflation:	1.50% - 2.50%
Compensation Scale	Rates ranging from 4.86% to 1.12% varying by age, plus an inflation factor
Payroll Growth	3.50% - 4.50%

Actuarial Professional Standards indicate that in the selection of non-economic assumptions, a reliance upon published tables and/or individual experience studies pertinent to the group are acceptable procedures. Based upon our analysis of experience for approximately 70 Downstate Police and Fire Pension funds we have developed the following general rates for non-economic assumptions:

Mortality Rates (active and disabled)-Published tables loaded for public safety employees
Termination rates – aged based rates ranging from 7% to 1%
Disability rates - aged based rates ranging from 0.13% to 0.16%
Retirement rates – aged based rates ranging from 36% to 100%

At this point in time, these rates are applied to all participants without regard to tier. It is anticipated that once experience is developed, the retirement rates for tier 2 employees may be modified

Demographic considerations

For this valuation, it was noted that the force continues to remain stable as to its size and demographic composition. In the current valuation, it was observed that the number of inactive participants (13, exclusive of terminated employees who are due a refund of their contributions) as compared to active participants (55) in the Fund is lower than the State average (20% of the total participants are inactive as compared to a State average of 39%). As a percentage of the total pension liabilities, the liabilities for inactive participants are well below the State average.

Of major concern, is the fact that there are currently 5 firefighters who are eligible to retire and 20 firefighters who will become eligible in the next 5 years. This represents about 45% of the current active group. Additionally, pension payments have been escalating. Nonetheless, absent a large growth in the active force, with proper funding, the fund's position should become more favorable for the foreseeable future and although improving the fund is still not in a strong financial condition.

As would be expected in this situation, a modest large portion of the assets available for investment has been committed to provide benefits for existing pensioners and beneficiaries. Essentially then, over 40% of the assets in the plan are already dedicated to cover the liabilities for the currently retired participants. Additionally, pension disbursements on an annual basis total approximately \$450,000 and investment earnings are currently sufficient to provide for these payments on an ongoing basis and generally have been for the past few years.

Even with improved investment returns, the maturing of the employee group requires that the fund be carefully monitored during the next few years to assure that an orderly funding progress is maintained. If investment income becomes insufficient to pay the existing pensioners, then municipal and participant contributions will need to be used.

Financial considerations

In these uncertain times, the fund continues to experience very limited short-term investment growth. Furthermore, the fund continues to maintain less than adequate funded ratios. The fund has earned marginal rates of return over the short term. As shown in Exhibit 5-C of our report, the composite rate of return for the fund since 2004 is 5.98%. The investment smoothing method adopted initially by the fund and now mandated by statute serves to level the contribution and shield against annual investment volatility. Nonetheless, the failure of the municipality to make our recommended contributions clearly is a major cause of our calculated funding percentages. Be advised that the next five years will clearly indicate a demographic shift with a resultant increase in pension payments. Proper current funding will somewhat mitigate the upcoming escalation in fund outgo.

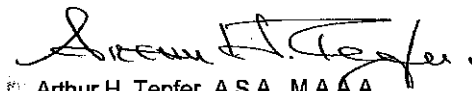
Lt. David Pilgard
Page 3
November 4, 2013

We ask that you review the section entitled "Actuarial experience since the last actuarial valuation" beginning on page 2 for a further explanation of what has occurred since the last actuarial valuation.

Please do not hesitate to contact us if you have any questions concerning our report.

Sincerely,

TCG PUBLIC CONSULTING, LTD.

A handwritten signature in black ink, appearing to read "Arthur H. Tepfer", is written over the printed name.

Arthur H. Tepfer, A.S.A., M.A.A.A.
Consulting Actuary

AHT/lf
Encl.

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ACTUARIAL STATEMENT

Tepfer Consulting Group, Ltd. was retained by the Village of Lake Zurich Firefighters' Pension Plan to perform an independent actuarial valuation for the Firefighters' Pension Fund. This valuation is permitted under 40 ILCS 5/22, Section 503.2.

The actuarial valuation was performed for the year ended April 30, 2014 and indicates a **statutorily required contribution in accordance with 40 ILCS 5/4, Section 118 of \$1,741,927 or 34.39% of member payroll, a recommended minimum contribution of \$1,870,546 or 36.93% of payroll, and an Annual Required Contribution in accordance with paragraph 36f of Statement No. 25 of the Governmental Accounting Standards Board of \$1,692,060 or 33.40% of payroll.** These contributions are net of contributions made by active member firefighters during the fiscal year.

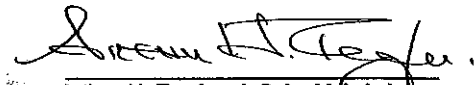
The results shown in this report have been calculated under the supervision of a qualified Actuary as defined in appropriate State statutes. All results are based upon demographic data submitted by the Firefighters' Pension Fund, financial data submitted by the Firefighters' Pension Fund, applications of actuarial assumptions, and generally accepted actuarial methods.

In our opinion, all calculations and procedures are in conformity with generally accepted actuarial principles and practices; and the results presented comply with the requirements of the applicable State statute, Actuarial Standards Board, or Statements of Governmental Accounting Standards, as applicable.

In our opinion, the actuarial assumptions used are reasonable, taking into account the experience of the plan and future expectations, and represent a reasonable and adequate approach to the financing of the retirement program. The costs, actuarial liabilities and other information presented in this report, in our opinion, fully and fairly disclose the actuarial position of the plan.

I, Arthur H. Tepfer, am an Enrolled Actuary in good standing under the Employee Retirement Income Security Act of 1974. I am a member of the American Academy of Actuaries and I meet the Qualification Standards of the American Academy of Actuaries to render the actuarial opinion contained herein. I certify that the results presented in this report are accurate and correct to the best of my knowledge.

TCG PUBLIC CONSULTING, LTD.



Arthur H. Tepfer, A.S.A., M.A.A.A.
Enrolled Actuary #11-02352

November 4, 2013

VALUATION OBJECTIVES

The **Village of Lake Zurich Firefighters' Pension Plan** provides benefits to members when they retire, die, become disabled or terminate employment. As with any plan providing these types of benefits, an appropriate budgeting pattern must be established to enable appropriate funds to be accumulated to meet all payments when due. The actual cost of the plan can best be expressed in the following simplistic manner:

ACTUAL COST EQUALS	Benefits Paid
	Plus
	Expenses Paid
	Less
	Investment Income Earned

If the actual cost is incurred on a "pay as you go" basis, then the future generations of members will be paying for the benefits of current plan participants. Proper financial planning calls for budgeting the actual cost of the plan over the working lifetime of current plan membership in order to establish an equitable allocation. An actuarial valuation is the procedure used to determine an appropriate amount to be contributed to the pension plan each year in order to attain this equity.

An actuarial valuation is an estimate at a particular point in time of the predicted incidence of the future benefit costs. Since the actual cost of the plan is essentially unknown, pre-funding (budgeting for future benefit costs) requires certain assumptions about future events. Assumptions are made for such things as salary increases, terminations of participants, disablement of participants, death of participants and anticipated investment earnings. These assumptions although not affecting the actual costs of the plan will affect the incidence of predicted future costs. For proper funding, it is required that the Actuary select assumptions which are appropriate in light of the economic, demographic, and legislative environment as they relate to the pension program. The assumptions we have made concerning these future events are described more fully in Appendix 2 of this report. Based on these assumptions, a projection of future benefits was made and a current contribution level sufficient to provide the anticipated benefit payments was determined through the use of an actuarial cost method.

Selection of the Actuarial Cost Method

An actuarial cost method, sometimes called a "funding method", therefore, is essentially an approach to budgeting the estimated future costs. There are many actuarial cost methods which are available to the actuary and each method operates differently. However, all funding methods accomplish the same objective—to assign to each fiscal year of the employer the portion assumed to have accrued in that year. The portion of the actuarial value of benefits assigned to a particular year in respect of an individual participant or the fund as a whole is called the **normal cost**. All funding methods are described by how the normal cost is calculated.

The actuarial cost method prescribed by the State statutes to determine the **statutorily minimum required contribution** for periods on or after January 1, 2011 is the Projected Unit Credit Cost Method. Under this actuarial cost method, the ongoing cost as a percentage of total payroll will increase. In this method, the normal cost is determined by first calculating the projected dollar amount of each participant's accumulated benefit under the plan as of both the first day of the fiscal year and as of the last day of the fiscal year and then determining the difference between these two amounts. The second step in deriving the normal cost for a given participant is to multiply the dollar amount of this difference by the actuarial present value of \$1 of benefit.

The actuarial cost method selected by our firm to determine the **recommended plan contribution** is the Entry Age Normal Cost Method. Under this actuarial cost method, ideally, the ongoing cost as a percentage of total payroll should remain fairly stable. In this method, the normal cost is determined by assuming each participant covered by the plan entered the plan under the same conditions that will apply to future plan entrants. The annual normal cost assigned to each year of an employee's career is calculated as a level percentage of the employees assumed earnings each year. These normal costs accumulate to the present value of the employee's benefit at retirement age.

VALUATION OBJECTIVES
(Continued)

Under both the Entry Age Normal Cost Method and the Projected Unit Credit Cost Method, the total funding of projected benefit costs is allocated between an unfunded liability, representing past benefit history, and future normal costs. This allocation is based on the assumption that the municipality will pay the normal cost for each plan year on a regular basis. It should be noted that although the term "unfunded liability" is applied to both funding methods, the resulting amount is different because of the method of calculation. Another feature of these methods is that only the unfunded liability is affected by the experience of the plan, and therefore any adjustments are made in the future amortization payments.

In addition to the methodology changes described above, P.A. 96-1495 also addressed the valuation of pension fund assets—the second component in the determination of the unfunded liability. The statute now provides that the actuarial value of a pension fund's assets be set equal to the market value of the assets on March 30, 2011 and that, in determining the actuarial value of assets after that date, any actuarial gains or losses from investment returns incurred in a fiscal year be recognized in equal amounts over the 5-year period following that fiscal year.

The actuarial valuation process is usually repeated each year and is to a certain extent self-correcting. As part of these actuarial cost methods, any deviation of actual experience from the chosen actuarial assumptions will be reflected in future contributions. A complete description of these actuarial cost methods is explained in Appendix 4 of this report.

Despite the statutory language which requires an application of the Projected Unit Credit method, we feel that funding under this method as a *level percentage of payroll* severely undermines the benefit security of the retirement system and transfers the payment for currently earned pensions to future generations of taxpayers. For these reasons, our valuation report also presents a recommended minimum contribution that will operate to maintain the fundamental fiscal soundness of the retirement program, although a statutorily required contribution has also been calculated. The calculation of the recommended minimum contribution is based upon an amortization payment of 90% of any unfunded accrued liabilities as a *level dollar amount* over 30 years from January 1, 2011, the effective date of P.A. 96-1495. The calculation of the statutorily required contribution is based upon an amortization payment of 90% of any unfunded accrued liabilities as a *"level percentage of payroll"* over 30 years from January 1, 2011, the effective date of P.A. 96-1495.

Although, I do not agree with the statutorily required level percentage of payroll methodology of determining the amortization of the unfunded accrued liability, I would be remiss if I did not advise my funds as to a "statutorily" acceptable calculation under the State law.

Effective for periods beginning after June 15, 1996, the Governmental Accounting Standards Board has issued Statement No. 25 "Financial Reporting for Defined Benefit Pension Plans and Note Disclosures for Defined Contribution Plans". This Statement establishes a financial reporting framework for defined benefit pension plans that distinguishes between two categories of information: (a) current financial information about plan assets and financial activities and (b) actuarially determined information, from a long-term perspective, about the funded status of the plan and the progress being made in accumulating sufficient assets to pay benefits when due. The calculation of the Annual Required Contribution (ARC) is described in paragraph 36f of the Statement and is based upon an amortization payment of any unfunded accrued liabilities as either a level dollar amount or a level percentage of total payroll over a maximum of 40 years from the effective date of the Statement. Any significant increase in the total unfunded actuarial liability resulting from a change in actuarial methodology should be amortized over a period not less than 10 years.

Actuarial experience since the last actuarial valuation

As part of the actuarial valuation process, it is helpful to examine the actual experience of the fund as compared to the experience which is expected by the actuarial assumptions. The measurement of any deviations of actual to expected experience is commonly referred to as a "Gain and Loss Analysis". In performing this analysis, the actuary analyzes each actuarial assumption used in the valuation process. It is highly unlikely that actual experience will follow expected experience on a year-by-year basis. It is hoped that over the long term, if the actuarial assumptions are "reasonable", the total gains and losses will offset each other.

A "gain and loss analysis" is a useful tool to examine whether the actuarial assumptions used to determine the municipal tax levy are suitable. Care must be taken in placing too much credibility in a short-term analysis as the assumptions are more appropriately measured over the long term. Nonetheless, an annual evaluation of the actuarial assumptions will assist in identifying trends which, if unnoticed, can lead to inappropriate conclusions. When these trends are recognized, it is the actuary's responsibility to modify one or more of the assumptions to better anticipate future experience.

**VALUATION OBJECTIVES
(Continued)**

Some assumptions are easier to measure than others. In small plans, credible analysis can generally be made regarding the economic (financial) assumptions. These primarily include investment and salary increase assumptions. Unfortunately, it is often impossible to establish credible long term analysis of demographic assumptions (rates of termination, disability, retirement and mortality). Therefore, in choosing demographic assumptions, the actuary generally relies upon standardized tabular assumptions modified only by fund-specific characteristics.

The actuarial gain and loss analysis for the current year is presented in Exhibit 3-C and 3-D of the report. Exhibit 3-C shows the impact of the actuarial gains or losses on the recommended minimum contribution through a reconciliation of this contribution from the end of the prior valuation year to the end of the current valuation year. Exhibit 3-D derives the actuarial gain or loss in total as well as separating the individual financial and demographic components.

The overall experience gain (loss) for the year was \$ (269,303) or 0.81% of the accrued liability at the beginning of the plan year. The dollar amount for the plan's recommended minimum contribution is 102.34% of the prior year's contribution. When measured as a percentage of payroll, the contribution level has changed from 37.05% to 36.93%.

Thirty-year Projection of Liabilities

The final section of our report illustrates projected payments from the Trust Fund for a 30-year period commencing with the valuation date. These projections are based upon the actuarial assumptions selected for the fund concerning death, disability and retirement actually occurring. Care should be taken in interpreting or relying on these results— particularly for Funds with fewer than 200 participants. The credibility of this type of projection is rarely realized beyond 10 years. Exhibit 5D presents this projection.

RESULTS OF VALUATION

The following exhibits present the results of our actuarial valuation of the **Village of Lake Zurich Firefighters' Pension Plan** for the fiscal year May 1, 2013 through April 30, 2014.

Exhibit 1 indicates that the recommended minimum contribution, calculated using the Entry Age Normal Cost method (EANC), from the Village is \$1,870,546 or 36.93% of total participating payroll. **Under the Entry Age Normal actuarial cost method selected, this percentage of payroll should remain reasonably level over the lifetime of the plan.**

Exhibit 1 also indicates that the statutory minimum contribution, calculated using the Projected Unit Credit method (PUC), from the Village is \$1,741,927 or 34.39% of total participating payroll. **Under the Projected Unit Credit actuarial cost method selected, this percentage of payroll should increase over the lifetime of the plan.**

Exhibits 2 and 3 provide specific information used to develop the recommended minimum and statutorily required Village contribution and GASB Annual Required Contribution (ARC).. The Annual Required Contribution as of May 1, 2013 has been determined under the Governmental Accounting Standards Board Statement No. 25 and is required disclosure for the fiscal year ending April 30, 2014. The Entry Age Normal Cost and the Actuarial Accrued Liability were determined using the Entry Age Normal Cost Actuarial Cost Method.

The Entry Age Normal Cost has been determined as a level percentage of projected payroll of the active members of the group. The amortization method for the Unfunded Actuarial Accrued Liability is determined as a level percentage of payroll amount over a closed Amortization Period as permitted in Governmental Accounting Standards Board Statement No. 25.

Contribution amounts presented in this report have not been adjusted for interest to the date of payment. All values were determined on the basis of the actuarial assumptions and methods as more fully described in Appendix 1 of this report.

Exhibit 4 presents a brief description of the demographic characteristics of the current member group.

Exhibit 5 shows information relating to the pension assets.

**GENERAL VALUATION RESULTS FOR FISCAL YEAR
MAY 1, 2013 THROUGH APRIL 30, 2014**

Recommended Minimum Contribution

1.	Entry Age Normal Cost:	\$ 1,305,363
2.	Unfunded Actuarial Accrued Liability (or Surplus):	14,523,858
3.	Actuarial Value of Assets:	18,718,352
4.	Annual Salaries of Active Firefighters:	4,870,663
5.	Recommended Minimum Contribution from the Village:	1,870,546
	Contribution Percentage:	36.93%*

Statutory Minimum Contribution

1.	Projected Unit Credit Normal Cost:	\$ 1,632,829
2.	Unfunded Actuarial Accrued Liability (or Surplus):	11,118,587
3.	Actuarial Value of Assets:	18,718,352
4.	Annual Salaries of Active Firefighters:	4,870,663
5.	Statutory Minimum Contribution from the Village:	1,741,927
	Contribution Percentage:	34.39%*

* Projected for the fiscal year ending April 30, 2014.

VILLAGE OF LAKE ZURICH
FIREFIGHTERS' PENSION FUND

SUMMARY OF RESULTS
EXHIBIT 2

SUMMARY OF SPECIFIC VALUATION RESULTS

	<u>Number</u>	<u>Actuarial Present Value of Projected Benefits</u>	<u>Entry Age Normal Cost</u>	<u>Projected Unit Credit Normal Cost</u>
1. Active Firefighters:	55			
Retirement Pension:		\$28,565,399	\$851,851	\$1,176,380
Survivors Pension:		1,263,883	67,320	63,511
Disability Pension:		7,592,827	361,492	376,148
Withdrawal Pension:		286,491	24,700	16,790
TOTAL	55	\$37,708,600	\$1,305,363	\$1,632,829
2. Inactive Firefighters and Survivors:				
Normal Retirees:	4	\$3,601,362		
Widows (Survivors):	0	0		
Children (Survivors):	4	8,004		
Disabled Retirees:	4	3,160,520		
Deferred Vested:	1	502,913		
Terminated/Separated:	<u>1</u>	<u>32,716</u>		
TOTAL	14	\$7,305,515		

SUMMARY OF RESULTS EXHIBIT 2

VILLAGE OF LAKE ZURICH FIREFIGHTERS' PENSION FUND

SUMMARY OF SPECIFIC VALUATION RESULTS (Continued)

	<u>Entry Age Normal (EAN)</u>	<u>Projected Unit Credit (PUC)</u>
3. Total Actuarial Present Value of Projected Benefits:	\$45,014,115	N/A
4. Actuarial Present Value of Future Normal Costs:	11,771,905	N/A
5. Actuarial Accrued Liability: [(3) - (4)]	33,242,210	29,836,939
6. Actuarial Value of Assets:	18,718,352	18,718,352
7. Unfunded Actuarial Accrued Liability (or Surplus): [(5) - (6)]	14,523,858	11,118,587
8. Funded Ratio Percentage: [(6) ÷ (5)] x 100	56.31%	62.74%

HISTORY OF FUNDED PERCENTAGES

For the Year beginning May 1	<u>Valuation Assets</u>	<u>EAN Accrued Liabilities</u>	<u>EAN Funded Percentage</u>	<u>PUC Accrued Liabilities</u>	<u>PUC Funded Percentage</u>
2013	\$18,718,352	\$33,242,210	56.31%	\$29,836,939	62.74%
2012	16,167,225	30,084,817	53.74%	25,860,375	62.52%..
2011	13,914,293	26,888,890	51.75%	23,315,261	59.68%
2009	9,541,720	21,712,584	43.95%	N/A	N/A
2008	9,287,841	18,591,663	49.96%	N/A	N/A
2007	8,046,834	16,007,459	50.27%	N/A	N/A

DEVELOPMENT OF RECOMMENDED MINIMUM VILLAGE CONTRIBUTION

	Fiscal Year May 1, 2013 through April 30, 2014
1. Entry Age Normal Cost:	\$1,305,363
Interest to April 30, 2014:	<u>91,375</u>
(a) Total	\$1,396,738
(b) 17½% of Projected Payroll	852,366
(c) Minimum Cost Payable, greater of (a) and (b):	\$1,396,738
2. Recommended Minimum Payment to Amortize 90 % of the Entry Age Normal Unfunded Accrued Liability <u>as a level dollar amount</u> over 27.00068 Years from May 1, 2013 with interest to April 30, 2014 :	934,329
3. Credit for Surplus:	0
4. Initial Recommended Minimum Contribution for Fiscal Year 2014: [(1) + (2) + (3)]	2,331,067
5. Statutory Minimum Contribution (Exhibit 3B line 4)	2,202,448
6. Total Recommended Minimum Contribution for Fiscal Year 2014: [Greater of Line 4 and Line 5]	2,331,067
7. Active Member Contributions (9.455% of Salaries):	460,521
8. Net Recommended Minimum Village Contribution: [(6) - (7)]	1,870,546

**DEVELOPMENT OF STATUTORILY REQUIRED VILLAGE CONTRIBUTION
(NOTE THAT THIS CONTRIBUTION CALCULATION IS NOT RECOMMENDED)**

	Fiscal Year May 1, 2013 through April 30, 2014
1. Projected Unit Credit Normal Cost:	\$1,632,829
Interest to April 30, 2014:	<u>114,298</u>
(a) Total	\$1,747,127
(b) 17½% of Projected Payroll	852,366
(c) Minimum Cost Payable, greater of (a) and (b):	\$1,747,127
2. Minimum Payment to Amortize 90% of the Projected Unit Credit Unfunded Accrued Liability <u>as a level percentage of payroll</u> over 27.00068 Years from May 1, 2013 with interest to April 30, 2014:	455,321
3. Credit for Surplus:	0
4. Total Statutorily Required Contribution for Fiscal Year April 30, 2014: [(1) + (2) + (3)]	2,202,448
5. Active Member Contributions (9.455% of Salaries):	460,521
6. Statutorily Required Village Contribution: [(4) - (5)]	1,741,927

**RECONCILIATION OF THE CHANGE
IN THE RECOMMENDED MINIMUM VILLAGE CONTRIBUTION**

1. Recommended Minimum Contribution for Year ending April 30, 2013:	\$1,827,742
2. Increase in Normal Cost and Amortization Payment due to anticipated pay changes:	71,088
3. Increase/(Decrease) in Normal Cost resulting from actual pay changes:	(11,969)
4. Effect of Asset Smoothing:	29,614
5. Increase/(Decrease) resulting from changes in assumptions:	0
6. Increase/(Decrease) resulting from other demographic and financial sources (retirements, deaths, new entrants, salary changes, etc.):	(174,548)
7. Recommended Minimum Contribution for Year ending April 30, 2014:	\$1,870,546

GASB STATEMENT NO. 25 DISCLOSURE INFORMATION

DEVELOPMENT OF THE ANNUAL REQUIRED CONTRIBUTION OF THE MUNICIPALITY

	Fiscal Year May 1, 2013 through April 30, 2014
1. Entry Age Normal Cost	\$1,305,363
2. Actuarial Accrued Liability	33,242,210
3. Actuarial Value of Assets*	18,718,352
4. Unfunded Actuarial Accrued Liability	14,523,858
5. Payment to Amortize Unfunded Actuarial Accrued Liability Over 40 Years from the Effective Date of Application of GASB 25 (24 years remaining)	847,218
6. Total Annual Required Contribution for Fiscal Year April 30, 2014: [(1) + (5)]	2,152,581
7. Active Member Contributions (9.455% of Salaries):	460,521
8. Annual Required Contribution (ARC) payable at the beginning of the current fiscal year: [(6) - (7)]	1,692,060

*Excluding Contributions Receivable

DERIVATION OF EXPERIENCE GAIN(LOSS) AND COST METHOD CHANGE
AS OF MAY 1, 2013

1.	EANC Unfunded Actuarial Accrued Liability at May 1, 2012:	\$13,917,592
2.	Entry Age Normal Cost Due at May 1, 2012:	1,287,747
3.	Interest on (1) and (2) to May 1, 2013 (at 6.75% per year):	1,026,360
4.	Contributions made for the prior year with interest to May 1, 2013:	1,977,144
5.	Expected EANC Unfunded Actuarial Accrued Liability at May 1, 2013 Before Assumption Changes [(1) + (2) + (3) - (4)]:	14,254,555
6.	Change in EANC Unfunded Actuarial Accrued Liability due to Assumptions Change at May 1, 2013:	0
7.	Expected Unfunded Actuarial Accrued Liability at May 1, 2013 [(5) + (6)]:	14,254,555
8.	Actual EANC Unfunded Actuarial Accrued Liability at May 1, 2013:	14,523,858
9.	Gain (Loss) for the prior Plan Year [(7) - (8)]:	<u>\$ (269,303)</u>

The experience gain (loss) reported above is the net result of the following:

1.	<u>FINANCIAL SOURCES</u>	
a)	Investment experience (based upon market value of assets):	\$ 446,304
b)	Contribution experience:	(376,819)
c)	Benefit Payments experience:	(13,980)
d)	Salary increases (greater)/lower than expected:	<u>67,344</u>
	Total from Financial Sources:	122,849
2.	<u>DEMOGRAPHIC SOURCES</u>	
	Mortality, retirement, disability, termination, etc.:	68,194
3.	<u>ACTUARIAL ADJUSTMENTS</u>	
	Market value adjustment for asset smoothing, including expenses	(460,346)
4.	<u>GAIN (LOSS) ALL SOURCES</u>	
	Total Gain (Loss) for the prior Plan Year [(1) + (2) + (3)]:	\$ (269,303)

SUMMARY OF DEMOGRAPHIC INFORMATION AS OF MAY 1, 2013

	<u>Number</u>	<u>Projected Annual Salaries (Fiscal Year 2014)</u>
Active Firefighters:	55	\$4,870,663

	<u>Number</u>	<u>Total Monthly Benefits</u>
Normal Retirees:	4	\$ 17,586
Survivors (Widows):	0	0
Survivors (Children):	4	92
Disabled Retirees:	4	15,314
Deferred Vested:	1	0
Terminated/Separated:	1	32,716 *

* Return of Contributions

The actuarial valuation was performed as of May 1, 2013 to determine contribution requirements for fiscal year 2014.

VILLAGE OF LAKE ZURICH
FIREFIGHTERS' PENSION FUND

SUMMARY OF RESULTS
EXHIBIT 4-B

AGE AND SERVICE DISTRIBUTION

Age Group	0-1	2-4	5-9	10-14	15-19	20-24	25-29	30-34	35-39	40+	Total	Weighted Average
15-19											5	
20-24											5	
25-29		2									2	14,000
30-34		2	2								5	14,000
35-39			1	1							4	14,000
40-44		1	1	6	4						12	14,000
45-49	1			6	10	7					22	14,000
50-54				5	3	5					7	14,000
55-59											0	
60-64											0	
65+											0	
TOTAL	1	5	5	12	17	14	0	0	0	0	55	14,000

Age = 43.72 Years

Service = 15.00 Years

ASSET INFORMATION

Cash, Money Market, IL Funds	\$178,272
Certificates of Deposit	0
State, Local and Corporate Obligations	3,384,462
U.S. Government and Agency Obligations	5,253,945
Insurance Company Contracts	284,255
Pooled Investment Accounts	0
Mutual Funds	7,754,512
Common & Preferred Stock	1,958,895
Taxes Receivable	0
Accrued Interest	113,548
Other Receivables	1,057
Net Liabilities	(8,505)
	<hr/>
Net Present Assets at Market Value	\$18,920,441

DEVELOPMENT OF ACTUARIAL VALUE OF ASSETS

	Item	Weight for		Weighted
		Amount	Timing	Amount
1.	Market Value of Assets, May 1, 2012**			\$ 15,908,968
2.	Actual Income and Disbursements in prior year weighted for timing			
	Contributions Received During 2012-2013	1,917,812	50.00%	958,906
	Miscellaneous Revenue	14,468	50.00%	7,234
	Benefit Payments and Expenses Made During 2012-2013	529,825	(50.00)%	(264,912)
	Total			701,227
3.	Market Value of assets adjusted for actual income disbursements [(1) + 2(d)]			16,610,196
4.	Assumed rate of return on plan assets for the year			7.00%
5.	Expected return on assets [(3) x (4)]			1,162,714
6.	Market Value of Assets, May 1, 2012			15,908,968
7.	Income (less investment income) for prior year			1,932,279
8.	Disbursements paid in prior year			529,825
9.	Market Value of Assets, May 1, 2013			\$18,920,441
10.	Actual Return [(9) + (8) - (7) - (6)]			1,609,018
11.	Investment Gain/(Loss) for Prior Year [(10) - (5)]			446,304

DEVELOPMENT OF ACTUARIAL VALUE OF ASSETS
(Continued)

12.	Market Value of Assets, May 1, 2013:				\$18,920,441
13.	Deferred investment gains and (losses) for last 4 years:				
	<u>Plan Year Beginning</u>	<u>Gain/(Loss)</u>	<u>Percent Deferred</u>	<u>Deferred Amount</u>	
a)	2013**	\$ 446,304	80%	\$ 357,043	
b)	2012	\$ (258,257)	60%	\$ (154,954)	
c)	2011	\$ 0	40%	\$ 0	
d)	2010	\$ 0	20%	\$ 0	
e)	Total	\$ 188,047		\$ 202,089	
14.	Actuarial value of plan assets for funding,, May 1, 2013: item (12) less item 13(e):			\$ 18,718,352	
15.	Taxes receivable:			0	
16.	Actuarial value of plan assets for GASB reporting May 1, 2013 item (14) less item (15)*:			\$ 18,718,352	

Notes: * excluding taxes receivable

* **The calculated value is determined by adjusting the market value of assets to reflect investment gains and losses (the difference between the actual investment return and the expected investment return) during each of the last five years at the rate of 20% per year.

ANALYSIS OF INVESTMENT RETURN

<u>Fiscal Year</u> <u>Ending April 30</u>	<u>Annual Rate</u> <u>of Return</u>
2013	9.17%
2012	4.34
2011	10.66
2010	18.30
2009	-13.11
2008	2.57
2007	9.25
2006	8.53
2005	4.24
2004	8.82
<u>Composite</u>	
2004-2013	5.98%

THIRTY - YEAR PROJECTION OF PAYMENTS

Year	Payouts from Active Group Upon				Payouts from		Total	
	Termination		Death	Retirement	Disability	Deferred Pensioners		
	Lump Sum	Deferred Pension				Retired Group		Deferred Pensioners
2013	826	0	17,411	38,519	30,133	394,809	32,716	514,414
2014	1,152	0	27,671	104,894	64,153	397,824	0	595,694
2015	1,407	0	27,842	191,010	101,944	405,899	0	728,102
2016	1,592	0	37,480	291,888	143,780	409,955	0	884,695
2017	1,070	0	45,852	390,165	189,835	428,635	0	1,055,557
2018	175	0	55,921	591,446	240,204	433,438	48,592	1,369,776
2019	0	0	65,591	834,850	292,522	437,935	48,446	1,679,344
2020	0	0	74,925	1,021,862	345,061	441,981	48,279	1,932,108
2021	0	0	84,628	1,239,842	398,463	445,562	48,098	2,216,593
2022	0	0	92,823	1,463,723	452,843	448,612	47,900	2,505,901
2023	0	0	102,180	1,695,537	507,300	467,965	47,685	2,820,667
2024	0	0	108,842	1,952,141	557,658	471,105	48,865	3,138,611
2025	0	0	118,155	2,184,429	605,297	473,423	50,034	3,431,338
2026	0	0	123,517	2,422,462	650,876	474,843	51,197	3,722,895
2027	0	0	131,536	2,624,656	693,701	500,893	52,347	4,003,133
2028	0	0	135,403	2,799,079	736,100	501,222	53,477	4,225,281
2029	0	0	141,581	2,975,226	771,621	500,251	54,574	4,443,253
2030	0	0	143,514	3,130,275	795,315	497,807	55,630	4,622,541
2031	0	0	147,950	3,280,836	821,803	493,780	56,629	4,800,998
2032	0	0	148,611	3,454,604	842,443	488,081	57,558	4,991,297
2033	0	0	151,578	3,573,742	862,168	480,624	58,412	5,126,524
2034	0	0	151,383	3,675,336	883,338	495,990	59,202	5,265,249
2035	0	0	152,297	3,756,249	898,726	485,418	59,852	5,352,542
2036	0	0	151,166	3,824,513	916,224	472,778	60,387	5,425,068
2037	0	0	150,489	3,895,334	927,529	458,079	60,794	5,492,225
2038	0	0	148,306	3,950,698	936,368	441,338	61,060	5,537,770
2039	0	0	146,734	3,998,294	944,692	422,647	61,146	5,573,513
2040	0	0	142,541	4,026,558	946,953	402,103	61,046	5,579,201
2041	0	0	140,176	4,034,978	947,757	379,850	60,733	5,563,494
2042	0	0	135,392	4,021,053	950,686	356,168	60,179	5,523,478

ACTUARIAL ASSUMPTIONS

(Economic)

Investment Return

7.00% per annum, compounded annually (net of expenses).

Salary Increases

Representative values of assumed salary increases are as follows:

<u>Age</u>	<u>Increase %</u>
25	4.8611
30	2.9848
35	2.0341
40	1.5239
45	1.3083
50	1.1846
55	1.1220

An additional inflation allowance of 2.00% per year is added to the above.

Payroll Growth

It was assumed that payroll will grow 4.00% per year.

Cost of Living Adjustments

It was assumed that the Consumer Price Index – Urban (CPI-U) would increase 2.00% per year

Actuarial Asset Basis

The actuarial value of assets recognizes future gains and losses based on a 5-year smoothed market method as prescribed by Statute.

In a 5-year smoothed market method, the current market value of assets is reduced (increased) for the current year and each of three succeeding years, by a portion of the gain/(loss) in market value during the prior year. Such gain/(loss) is determined as the excess/(deficit) of the current market value of assets over the market value of assets as of the prior year, increased to reflect interest at the actuarial rate and adjusted to reflect contributions and benefit payments during the prior year. The portion of such gain/(loss) by which the current market value of assets is reduced (increased) shall be 80% in the current year, 60% in the first succeeding year, 40% in the second succeeding year and 20% in the third succeeding year.

Additionally, in accordance with government accounting standards, the actuarial value of assets is adjusted to remove any contributions receivable on the reporting date.

Expenses

None assumed.

(Demographic)

Mortality

Active Lives

RP-2000 Combined Healthy Mortality Table (male) with blue collar adjustment and with a 200% load for participants under age 50 and 125% for participants age 50 and over. Five percent (5%) of deaths amongst active firefighters are assumed to be in the performance of their duty.

Non-Active Lives

RP-2000 Combined Healthy Mortality Table (male) with blue collar adjustment and with a 200% load for participants under age 50 and 125% for participants age 50 and over.

Termination

Illustrative rates of withdrawal from the plan for reasons other than death or disability are as follows:

<u>Age</u>	<u>Rate of Withdrawal</u>
20	.0397
25	.0250
30	.0146
35	.0079
40	.0042
45	.0029

It is assumed that terminated firefighters will not be rehired

Disability Rates

Incidence of disability amongst firefighters eligible for disability benefits:

<u>Age</u>	<u>Rate</u>
25	.0009
30	.0025
35	.0046
40	.0065
45	.0097
50	.0166
55	.0314

15% of disabilities amongst active firefighters are assumed to be in the performance of their duty.

Retirement Rates

Retirements are assumed to occur between the ages of 50 and 69 in accordance with the following table:

<u>Age</u>	<u>Rate of Retirement</u>	<u>Age</u>	<u>Rate of Retirement</u>
50	.19	60	.28
51	.12	61	.36
52	.04	62	.44
53	.06	63	.52
54	.09	64	.60
55	.12	65	.68
56	.15	66	.76
57	.19	67	.84
58	.22	68	.92
59	.25	69	1.00

(Additional)

Marital Status

85% of firefighters are assumed to be married.

Spouse's Age

Wives are assumed to be 3 years younger than their husbands.

Actuarial Cost Method

Projected Unit Credit for statutory minimum
Entry Age Normal for recommended and GASB reporting

SUMMARY OF PRINCIPAL PLAN PROVISIONS

Definitions

Tier 1 – For Firefighters first entering Article 4 prior to January 1, 2011

Tier 2 – For Firefighters first entering Article 4 after December 31, 2010

Firefighter (4-106): Any person employed in the municipality's fire service as a firefighter, fire engineer, marine engineer, fire pilot, bomb technician or scuba diver.

Creditable Service (4-108): Time served by a firefighter, excluding furloughs and leaves of absence in excess of 30 days, but including leaves of absence for illness or accident and periods of disability where no disability pension payments are received and also including up to 3 years during which disability payments have been received provided contributions are made.

Creditable Service from other specified agencies is also included. Combined service credit option is available on a voluntary basis.

Pension (4-109)

Normal Pension Age

Tier 1 - Age 50 with 20 or more years of creditable service.

Tier 2 - Age 55 with 10 or more years of creditable service.

Normal Pension Amount

Tier 1 - 50% of the greater of the annual salary held in the year preceding retirement or the annual salary held on the last day of service, plus 2½% of such annual salary for service from 20 to 30 year (maximum 25%).

Tier 2 - 2½% of Final Average salary for each year of service. Final Average Salary is the highest salary based on the highest consecutive 96 months of the final 120 months of service

Early Retirement at age 50 with 10 or more years of service but with a penalty of ½% for each month prior to age 55.

Annual Salary capped at \$106,800 increased yearly by the lesser of ½ of the Consumer Price Index- Urban (CPI-U) or 3%. Salary for valuations beginning in 2013 is \$109,971.43.

Minimum Monthly Benefit: \$1,000

Maximum Benefit Percentage: 75% of salary

Minimum Monthly Benefit: Annual step rate increases from \$1,030.00 to \$1,159.27.

Maximum Benefit Percentage: 75% of salary except line of duty.

**SUMMARY OF PRINCIPAL PLAN PROVISIONS
(Continued)**

Termination Pension Amount

Any firefighter who retires or is separated from service with at least 10, but less than 20 years of credited service, shall be entitled to a monthly pension commencing at age 60 equal to the monthly rate of compensation based on rank at separation multiplied by the applicable percentage below:

<u>Years of Credited Service</u>	<u>Applicable Percentage</u>
10	15.0 %
11	17.6
12	20.4
13	23.4
14	26.6
15	30.0
16	33.6
17	37.4
18	41.4
19	45.6

Pension Increase

Non-Disabled

Tier 1 - 3% increase of the original pension amount after attainment of age 55 for each year elapsed since retirement, followed by an additional 3% of the original pension amount on each January thereafter. Effective July 1, 1993, 3% of the amount of pension payable at the time of the increase including increases previously granted, rather than 3% of the originally granted pension amount.

Tier 2 - The lesser of ½ of the Consumer Price Index- Urban (CPI-U) or 3% increase of the original pension amount after attainment of age 60, followed by an additional 3% of the original pension amount on each January 1 thereafter. For firefighters who retire after January 1, 1986, 3% increase of the original pension amount after attainment of age 55 for each year elapsed since retirement, followed by an additional 3% in each January thereafter.

For firefighters who retire prior to January 1, 1986, but after July 1, 1971, the 3% increase commences at age 60, and for firefighters who retire before July 1, 1971, the 3% increase commences at age 65.

Disabled

3% increase of the original pension amount after attainment of age 60, followed by an additional 3% of the original pension amount in each January thereafter.

Pension to Survivors (4-114)

Eligibility

Death of a firefighter:

- (1) on active duty as a result of any illness or accident;
- (2) on disability retirement;
- (3) on retirement with 20 years of service;
- (4) as a terminated member who has rights to a benefit at age 60; and
- (5) as a deferred pensioner.

**SUMMARY OF PRINCIPAL PLAN PROVISIONS
(Continued)**

Death Benefit

Tier 1 - 54% of annual salary based on attained rank at date of separation of service to surviving spouse, plus 12% of such salary to dependent children under 18.

100% of annual salary if death occurs in the line of duty.

Depending upon the survival of the spouse, dependent children benefits may increase to a level of 20% of firefighter's salary.

Greater of 100% of monthly retirement benefit or 54% of annual salary if completed 20 years of service or on disability retirement.

Tier 2 - 66 2/3% of pension amount to surviving spouse (or dependent children), subject to the following increase: the lesser of 1/2 of the Consumer Price Index- Urban (CPI-U) or 3% increase of the original pension amount after attainment of age 60, followed by an additional 3% of the original pension amount on each January 1 thereafter.

Minimum Monthly Survivor Pension

Annual step rate increases from \$1,030.00 to \$1,159.27.

Maximum Survivor Pension

75% of such firefighter's salary.

Disability Pension - Line of Duty (4-110)

Eligibility

Suspension or retirement from fire service due to sickness, accident or injury while on duty.

Pension

Greater of 65% of salary attached to rank at date of suspension or retirement and the retirement pension available.

Minimum Monthly Benefit: Annual step rate increases from \$1,030.00 to \$1,159.27.

For each dependent child under 18, an additional \$20 per month increased annually is granted each disabled member. Maximum total benefit is 75% of salary.

Disability Pension - Not on Duty (4-111)

Eligibility

Suspension or retirement from fire service for any cause other than while on duty. Member must have at least 7 years of credited service.

Pension

50% of salary attached to rank at date of suspension or retirement.

Disability Pension - Occupational Disease (4-110.1)

Eligibility

Suspension or retirement from service after 5 years of service from causes of heart disease, cancer, tuberculosis or other lung disease.

Pension

Same pension as in line of duty.

**SUMMARY OF PRINCIPAL PLAN PROVISIONS
(Continued)**

Disability Pension Option A (4-113(a))

Eligibility

Member receiving a disabled pension who attains age 50 and whose years of creditable service and years of disablement total 20 years.

Pension Option

Eligible for pension increase upon conversion to retirement. Pension amount remains the same at date of conversion but subject to annual pension increases.

Disability Pension Option B (4-113(b))

Eligibility

Member receiving disability pension who attains age 50 and who had 20 years of creditable service at date of disablement.

Pension Option

Convert to normal pension based upon years of service at disablement and salary attached to rank on date of election.

Other Provisions

Refund (4-116)

At death with no survivors, contributions are returned to estate.

At termination with less than 20 years of service, contributions are refunded upon request.

Contributions by Firefighters (4.118.1)

9.455% of salary, including longevity, but excluding overtime pay, holiday pay, bonus pay, merit pay or other cash benefit. Additional 1% of salary if combined service credit option is selected.

GLOSSARY

Actuarial Accrued Liability

See *Entry Age Normal Cost Method* and *Projected Unit Credit Cost Method*.

Actuarial Assumptions

The economic and demographic predictions used to estimate the present value of the plan's future obligations. They include estimates of investment earnings, salary increases, mortality, withdrawal and other related items. The *Actuarial Assumptions* are used in connection with the *Actuarial Cost Method* to allocate plan costs over the working lifetimes of plan participants.

Actuarial Cost Method

The method used to allocate the projected obligations of the plan over the working lifetimes of the plan participants. Also referred to as an *Actuarial Funding Method*.

Actuarial Funding Method

See *Actuarial Cost Method*

Actuarial Gain (Loss)

The excess of the actual *Unfunded Actuarial Accrued Liability* over the expected *Unfunded Actuarial Accrued Liability* represents an *Actuarial Loss*. If the expected *Unfunded Actuarial Accrued Liability* is greater, an *Actuarial Gain* has occurred.

Actuarial Present Value

The value of an amount or series of amounts payable or receivable at various times, determined as of a given date by the application of a particular set of *Actuarial Assumptions*.

Actuarial Value of Assets

The asset value derived by using the plan's *Asset Valuation Method*.

Asset Valuation Method

A valuation method designed to smooth random fluctuations in asset values. The objective underlying the use of an asset valuation method is to provide for the long-term stability of employer contributions.

Employee Retirement Income Security Act of 1974 (ERISA)

The primary federal legislative act establishing funding, participation, vesting, benefit accrual, reporting, and disclosure standards for pension and welfare plans.

GLOSSARY
(Continued)

Entry Age Normal Cost Method

One of the standard actuarial funding methods in which the *Present Value of Projected Plan Benefits* of each individual included in the *Actuarial Valuation* is allocated on a level basis over the earnings of the individual between entry age and assumed exit age(s). The portion of this *Actuarial Present Value* allocated to a valuation year is called the *Normal Cost*. The portion of this *Actuarial Present Value* not provided for at a valuation date by the *Actuarial Present Value* of future *Normal Costs* is called the *Actuarial Accrued Liability*.

Normal Cost

The portion of the *Present Value of Projected Plan Benefits* that is allocated to a particular plan year by the *Actuarial Cost Method*. See *Entry Age Normal Cost Method* for a description of the *Normal Cost* under the *Entry Age Normal Cost Method*. See *Projected Unit Credit Cost Method* for a description of the *Normal Cost* under the *Projected Unit Credit Cost Method*.

Present Value of Future Normal Costs

The present value of future normal costs determined based on the *Actuarial Cost Method* for the plan. Under the *Entry Age Normal Cost Method*, this amount is equal to the excess of the *Present Value of Projected Plan Benefits* over the sum of the *Actuarial Value of Assets* and *Unfunded Actuarial Accrued Liability*.

Present Value of Projected Plan Benefits

The present value of future plan benefits reflecting projected credited service and salaries. The present value is determined based on the plan's actuarial assumptions.

Projected Unit Credit Cost Method

One of the standard actuarial funding methods in which the *Present Value of Projected Plan Benefits* of each individual included in the *Actuarial Valuation* is allocated by a consistent formula to valuation years. The *Actuarial Present Value* allocated to a valuation year is called the *Normal Cost*. The *Actuarial Present Value* of benefits allocated to all periods prior to a valuation year is called the *Actuarial Accrued Liability*.

Statement No. 25 of the Governmental Accounting Standards Board (GASB No. 25)

The accounting statement that established the standards of financial accounting and reporting for the financial statements of defined benefit pension plans.

Unfunded Actuarial Accrued Liability

The excess of the *Actuarial Accrued Liability* over the *Actuarial Value of Assets*.

NOTES



Actuary

To: Ms. Jodie Hartman

Comments:

Re: Police and Firefighter Pension Funds

Jodie:

Recall, over the past two years as a result of PA 096-1495 many changes were made including the actuarial method (Projected Unit Credit), 30-year amortization period, 90% amortization target and asset smoothing (5-year average market value). This year we will look at using the RP2000 Mortality Table. The smoothed values are less than the straight market values (\$206K Police, \$213K Fire). The investment returns were more than assumed and salaries increased as than assumed. Police had no changes in active members. Fire has 3 fewer active members and had 1 new incident of disability. Fire is still subject to the PA 096-1495 minimum. Thus, the Tax Levy has increased and the Percent Funded has increased.

Highlights:

1. Investment returns: Police 9.95%; Fire 9.78%.
2. Annual payroll increase: Police 2.8%, Fire -3.1%;
Average increase: Police 2.8%, Fire 3.3%.
3. New members: Police 0, Fire 1; Terminations: Police 0, Fire 0;
Retirements: Police 0, Fire 3; Incidents of Disability: Police 0, Fire 1.
4. Percent Funded: Police increased from 41.1% to 41.9%;
Fire increased from 50.7% to 51.1%.
5. Tax Levy: Police increased from \$1,258,003 to \$1,392,968 (10.7%);
Fire increased from \$1,723,339 to \$1,905,372 (PA 096-1495, 10.6%).

As Always,

T. Sharpe

Timothy W. Sharpe, Actuary, Geneva, IL (630) 262-0600

October 18, 2013

VILLAGE OF LAKE ZURICH
Actuarial Valuation Results

POLICE	5/1/12	5/1/13		
	Int: 6.75%	Int: 6.75%	Int: 6.75%	Int: 6.75%
	<u>Sal: 3.50%</u>	<u>Sal: 3.50%¹</u>	<u>Sal: 3.50%²</u>	<u>Sal: 3.50%³</u>
1. Village Normal Cost	302,792	296,334	336,598	549,046
2. Accrued Liability	28,897,494	30,090,661	31,695,264	29,744,102
3. Assets	11,868,669	13,272,600	13,272,600	13,272,600
4. Unfunded Liability/(Surplus)	17,028,825	16,818,061	18,422,664	16,471,502
5. Amortization of UL	875,665	883,953	968,290	709,403
6. Interest for One Year	79,546	79,669	88,080	84,945
7. Tax Levy Requirement (1+5+6)	<u>1,258,003</u>	<u>1,259,956</u>	<u>1,392,968</u>	<u>1,343,394</u>
8. Payroll	3,021,139	3,106,394	3,106,394	3,106,394
9. Percent Funded (3/2)	41.1%	44.1%	41.9%	44.6%

¹ Reflects Entry Age, 28-year, 100% Amortization, Smoothed Market, UP1984 Mortality

² Reflects Entry Age, 28-year, 100% Amortization, Smoothed Market, RP2000 Mortality

³ Reflects PA 096-1495, PUC, 28-year, 90% Amortization, Smoothed Market, RP2000 Mortality

Timothy W. Sharpe, Actuary, Geneva, IL (630) 262-0600

October 18, 2013

VILLAGE OF LAKE ZURICH
Actuarial Valuation Results

FIRE	<u>5/1/12</u>	<u>5/1/13</u>		
	Int: 6.75%	Int: 6.75%	Int: 6.75%	Int: 6.75%
	<u>Sal: 3.50%</u>	<u>Sal: 3.50%¹</u>	<u>Sal: 3.50%²</u>	<u>Sal: 3.50%³</u>
1. Village Normal Cost	1,178,040	514,269	575,615	1,242,542
2. Accrued Liability	27,417,779	34,660,330	36,634,366	32,251,653
3. Assets	16,190,835	18,707,746	18,707,746	18,707,746
4. Unfunded Liability/(Surplus)	11,226,944	15,952,584	17,926,620	13,543,907
5. Amortization of UL	436,329	838,463	942,218	542,350
6. Interest for One Year	108,970	91,309	102,454	120,480
7. Tax Levy Requirement (1+5+6)	<u>1,723,339</u>	<u>1,444,041</u>	<u>1,620,287</u>	<u>1,905,372</u>
8. Payroll	4,748,485	4,601,952	4,601,952	4,601,952
9. Percent Funded (3/2)	59.1%	54.0%	51.1%	58.0%

¹ Reflects Entry Age, 28-year, 100% Amortization, Smoothed Market, UP1984 Mortality

² Reflects Entry Age, 28-year, 100% Amortization, Smoothed Market, RP2000 Mortality

³ Reflects PA 096-1495, PUC, 28-year, 90% Amortization, Smoothed Market, RP2000 Mortality

October 18, 2013

VILLAGE OF LAKE ZURICH
Firefighters Pension Fund

Investment Performance 2007-2013

	FYE 07	FYE 08	FYE 09	FYE 10	FYE 11	FYE 12	FYE 13
BOY Assets	6,909,779	8,264,970	9,203,095	8,674,291	11,472,268	13,914,293	15,908,968
Village Contribution	327,346	368,340	404,709	742,436	933,261	1,226,383	1,461,776
Firefighter Contribution	403,743	424,817	427,353	484,878	422,588	445,682	456,036
Pension Payments	46,382	76,547	106,443	115,648	162,858	310,748	433,474
Expenses	51,647	59,949	59,768	39,990	35,502	34,739	96,351
Income	722,131	281,464	(1,194,655)	1,726,301	1,284,535	668,097	1,623,486
EOY Assets	8,264,970	9,203,095	8,674,291	11,472,268	13,914,293	15,908,968	18,920,441
Annual Yield	9.99%	3.28%	-12.53%	18.74%	10.66%	4.58%	9.78%
5-Year Yield (2009-13)	6.25%						
7-Year Yield (2007-13)	6.36%						

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October 18, 2013

VILLAGE OF LAKE ZURICH
Police Pension Fund

Investment Performance 2007-2013

	FYE 07	FYE 08	FYE 09	FYE 10	FYE 11	FYE 12	FYE 13
BOY Assets	7,758,155	8,520,926	8,774,202	7,496,618	8,843,839	10,362,377	11,690,416
Village Contribution	165,928	185,101	194,781	673,575	861,471	1,235,081	1,142,537
Officer Contribution	286,209	296,615	290,897	318,255	445,347	328,173	309,867
Pension Payments	439,654	414,998	629,267	725,108	682,931	766,090	793,863
Expenses	2,116	2,774	15,351	34,440	88,195	25,495	66,717
Income	752,404	189,332	(1,118,644)	1,090,271	982,845	556,371	1,196,497
EOY Assets	8,520,926	8,774,202	7,496,618	8,819,170	10,362,377	11,690,416	13,478,738
Annual Yield	9.69%	2.21%	-12.85%	14.29%	10.73%	5.17%	9.95%
5-Year Yield (2009-13)	5.46%						
7-Year Yield (2007-13)	5.60%						

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VILLAGE OF LAKE ZURICH POLICE PENSION FUND SALARY HISTORY

Name	2009 Salary	Increase	2010 Salary	Increase	2011 Salary	Increase	2012 Salary	Increase	2013 Salary
Anderson, David K	93,350	4.9%	97,968	0.0%	97,968	7.9%	105,664	2.0%	107,777
Arden, Brendan J	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	3.2%	85,672
Balfanz, William E	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	2.0%	84,672
Bareza, Ireneusz	70,337	12.7%	79,248	0.0%	79,248	4.8%	83,051	2.0%	84,672
Bradstreet, David M	99,350	3.4%	102,752	0.0%	102,752	2.8%	105,664	2.0%	107,777
Bradstreet, Denise T	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	2.0%	84,672
Danielson, Kurt A	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	3.2%	85,672
Finlon, Kevin	99,350	3.4%	102,752	0.0%	102,752	7.9%	110,864	2.0%	113,081
Finlon, Patrick M	107,751	17.6%	126,672	0.0%	126,672	3.1%	130,624	4.7%	136,742
Frey, Mark D	61,359	15.9%	71,136	0.0%	71,136	16.7%	83,051	2.0%	84,672
Frost, Scott M	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	2.0%	84,672
Goodyear, Tracey D	93,350	4.9%	97,968	0.0%	97,968	2.8%	100,672	2.0%	102,685
Grunder, Anthony M	85,428	7.1%	91,520	0.0%	91,520	2.7%	94,016	5.2%	98,867
Hoops, Bradley A	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	2.0%	84,672
Humlston, Kevin L	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	2.0%	84,672
Huzsek, Charles M	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	3.2%	85,672
Johnson, Robt	74,948	22.1%	91,520	0.0%	91,520	2.7%	94,016	5.2%	98,867
Knight, Shaun M	61,359	15.9%	71,136	0.0%	71,136	16.7%	83,051	2.0%	84,672
Mitch III, Ralph	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	2.0%	84,672
OConnell, Thomas P	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	3.2%	85,672
Parlberg, Michael W	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	3.2%	85,672
Pavlock, Scott D	93,350	4.9%	97,968	0.0%	97,968	2.8%	100,672	2.0%	102,685
Pilaski, Gregory	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	2.0%	84,672
Quinones, Guillermo Q	99,350	3.4%	102,752	0.0%	102,752	2.8%	105,664	2.0%	107,777
Sieber, Andrew	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	2.0%	84,672
Stone, Patrick K	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	2.0%	84,672
Teronde, Vincent S	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	3.2%	85,672
Vanacker, Jason M	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	2.0%	84,672
Warren, Scott A	74,948	5.7%	79,248	0.0%	79,248	16.0%	91,929	2.0%	93,767
West, William D	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	3.2%	85,672
Witt, Martin M	74,948	5.7%	79,248	0.0%	79,248	4.8%	83,051	2.0%	84,672
Yundt, Martin M	93,350	4.9%	97,968	0.0%	97,968	2.8%	100,672	2.0%	102,685
Gaffney, Colin D.	56,122	16.4%	65,312	0.0%	65,312	19.4%	77,959	8.6%	84,672
Thiergood, Dion							58,651	8.9%	63,896
Average Increase		7.3%		0.0%		5.7%		2.8%	

VILLAGE OF LAKE ZURICH FIREFIGHTERS PENSION FUND SALARY HISTORY

Name	2009 Salary	Increase	2010 Salary	Increase	2011 Salary	Increase	2012 Salary	Increase	2013 Salary
Bachler, Robert B	104,433	0.0%	104,433	1.1%	105,555	0.1%	105,664	2.0%	107,777
Bajor, Mark J	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Bartoli, David R	90,314	2.9%	92,934	3.5%	96,184	1.6%	97,722	2.0%	99,677
Blaauw, Brian W	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Borst, David R	90,314	2.9%	92,934	3.5%	96,184	1.6%	97,722	2.0%	99,677
Brendle, Gregory E	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Christopherson, Joseph A	76,396	2.9%	78,598	3.8%	81,578	7.4%	87,627	6.6%	93,384
Fanning, George J	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Garcla, Daren	90,314	2.9%	92,934	3.5%	96,184	1.6%	97,722	2.0%	99,677
Golubski, Donald L	90,314	2.9%	92,934	13.0%	105,040	8.9%	114,400	2.0%	116,688
Gonzalez, Danny	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Grant, Jeffery K	76,396	2.9%	78,598	9.8%	86,263	13.3%	97,722	2.0%	99,677
Griffiths, Michael	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Hautzinger, Michael	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Hedquist, Christopher M	62,532	9.8%	68,679	3.9%	71,380	16.1%	82,860	2.0%	84,517
Henriksen, Jason L	66,766	9.6%	73,198	3.5%	75,790	9.3%	82,860	2.0%	84,517
Hohs, Keith J	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Holden, Jeremy D	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Johnson, Richard S	90,314	2.9%	92,934	3.5%	96,184	1.6%	97,722	2.0%	99,677
Johnston, Terry L	90,314	2.9%	92,934	3.5%	96,184	8.9%	104,728	2.0%	106,823
Kammin, Lee J	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Kelly, John C	104,433	0.0%	104,433	1.1%	105,555	0.1%	105,664	2.0%	107,777
Kempf, Matthew R	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Loyal, Robert J	90,314	2.9%	92,934	3.5%	96,184	1.6%	97,722	2.0%	99,677
Lucas, Brian	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Mogge, Kenneth C	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Nemeth, Scott A	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Norman, Steven B	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
O'Brien, Aaron M	66,766	9.6%	73,198	3.5%	75,790	9.3%	82,860	2.0%	84,517
Osai, Scott D	90,314	2.9%	92,934	3.5%	96,184	1.6%	97,722	2.0%	99,677
Peterson, Thomas J	76,396	2.9%	78,598	3.8%	81,578	7.4%	87,627	6.6%	93,384
Pilgard, David A	90,314	2.9%	92,934	3.5%	96,184	1.6%	97,722	2.0%	99,677
Przybylski, Peter S	76,396	2.9%	78,598	9.8%	86,263	6.1%	91,553	8.9%	99,677
Radtke, Jeffrey P	104,433	0.0%	104,433	1.1%	105,555	0.1%	105,664	2.0%	107,777
Rainey, Patrick M	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Reid, David	66,766	9.6%	73,198	3.5%	75,790	9.3%	82,860	2.0%	84,517
Ritchie, Samuel J	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Ryan, Eric D	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Santoyo, David M	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Schlick, Patricia L	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Weidner, Bonnie E	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Wenzel, Michael R	90,314	2.9%	92,934	3.5%	96,184	9.0%	104,832	2.0%	106,929
Wheelock, David P	115,015	0.0%	115,037	1.3%	116,480	3.0%	120,016	3.9%	124,750
Willgen, Michael J	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Yee, Benny R	76,396	2.9%	78,598	3.8%	81,578	1.6%	82,860	2.0%	84,517
Wascow, Jeffrey					54,018	17.6%	63,511	8.8%	69,071
Booth, Clayton					54,018	17.6%	63,511	8.8%	69,071
Johnson, Jeremiah					54,018	17.6%	63,511	8.8%	69,071
Skalski, Andrew F							54,963	17.9%	64,781
Rotstein, Philip							54,963	17.9%	64,781
Brooks, Justin J							54,963	17.9%	64,781
Erb, Douglas A									56,062
Average Increase		3.0%		3.9%		3.9%		3.3%	

VILLAGE OF LAKE ZURICH
Semi-Monthly Warrant Report
November 18, 2013
Warrant Total \$1,769,034.80

Payment Request(s) Exceeding 5% of Total Warrant

- **Payment to:**

Vendor: Bank of New York Mellon
Fund: TIF Debt Service
Reference: Page 11
Amount: \$773,903.75
% Warrant: 43.75%

Bond Principal & Interest – 2009B, 2011B, 2011C, 2012

- **Payment to:**

Vendor: Wells Fargo Bank
Fund: Water / Sewer
Reference: Page 16
Amount: \$719,500.00
% Warrant: 40.67%

2006 Water Debt Service Principal & Interest

Scheduled Payments \$1,493,403.75 or 84.42% of Total Warrant Presented for Payment.

Village of Lake Zurich
Semi-Monthly Warrant Report
Total by Fund - Warrant Dated November 18, 2013

Fund	Fund Title	Total
101	GENERAL FUND	146,267.94
202	MOTOR FUEL TAX	37.50
310	TIF DEBT SERVICE	773,903.75
401	CAPITAL PROJECT	48,842.23
402	PARK IMPROVEMENT	1,468.00
405	NHRST CAPITAL PROJECTS	18,454.14
410	TIF REDEVELOPMENT	12,425.00
501	WATER/SEWER	760,668.74
710	PERFORMANCE ESCROW	6,967.50

Warrant Total - \$1,769,034.80

Village of Lake Zurich
Semi-Monthly Warrant Report
Warrant Date: 11/18/2013

Report Run Date: 11/11/2013
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Fund: 101 - GENERAL FUND

Account Code	Account Title	Vendor Name	Payable Description	Payment Amount
Program: 101 -GENERAL FUND				
2102	AMBULANCE FEES PAYABLE	AARP	AMB REF - FR13-1487	97.95
2101	OTHER ACCOUNTS PAYABLE	ROBINSON, FRED	ESCROW REF-COPPER FID	2,301.72
2012	RECREATION CREDIT PAYABLE	KUZMA/POKOS, MALGORZATA/GRZEGORZ	REF PRG CXL-GUITAR	129.00
2102	AMBULANCE FEES PAYABLE	LZ RURAL FIRE PROTECTION DIST.	SEPTEMBER REIMBURSEMENT -	210.00
1054	PETTY CASH B&Z	PETTY CASH - FINANCE	INCREASE CASH -BZ	100.00
2102	AMBULANCE FEES PAYABLE	SINKUS, DOLORES	AMB-FR13-1376	76.32
2101	OTHER ACCOUNTS PAYABLE	EVER WELL MASSAGE	ESCROW REF-EVER WELL	1,320.56
Program Total				4,235.55
Program: 1011007 -VILLAGE CLERK				
5411	LEGAL NOTICE/PUBLISHING	PADDOCK PUBLICATIONS INC.	2013 TREASURER'S REPT	627.90
Program Total				627.90
Program: 10112001 -ADMIN				
5211	VILLAGE ATTORNEY RETAINER	ZUKOWSKI ROGERS FLOOD MCARDLE	LEGAL FEES - SEPT	1,920.00
Program Total				1,920.00
Program: 10112010 -VILLAGE ADMIN				
5219	OTHER PROFESSIONAL SVCS	MINDFUL MIX	VILLAGE LOGO DESIGN	750.00
Program Total				750.00
Program: 10112012 -HUMAN RESOURCES				
5213	LABOR ATTORNEY	CLARK BAIRD SMITH LLP	LEGAL FEES - SEPT	630.00
Program Total				630.00
Program: 10113001 -FINANCIAL ADMIN				
5412	BANK & CREDIT CARD FEES	EHLERS INVESTMENT PARTNERS LLC	INVESTMENT MANAGER FEES -	628.40
5157	MILEAGE REIMBURSEMENT	PETTY CASH - FINANCE	TREAS. REP RECORDING	23.73
Program Total				652.13
Program: 10117017 -TECHNOLOGY				
5321	COMPUTER SUPPLIES	DATASOURCE, INK	TONER	159.00
5313	TELEPHONE	PAETEC	DIGITAL LINES	1,919.61
5321	COMPUTER SUPPLIES	CDW GOVERNMENT INC.	MEMORY UPGRADE	161.05
5550	MACHINERY & EQUIPMENT	DATASOURCE, INK	HR - COPIER	995.00
Program Total				3,234.66
Program: 10124001 -POLICE ADMIN				
5353	OFFICE SUPPLIES	RUNCO OFFICE SUPPLY & EQUIPMENT	LABEL MAKER TAPE	7.97
5353	OFFICE SUPPLIES	RUNCO OFFICE SUPPLY & EQUIPMENT	PACKAGING TAPE	24.19
5353	OFFICE SUPPLIES	RUNCO OFFICE SUPPLY & EQUIPMENT	CD SLEEVES	35.70
5322	CUSTODIAL SUPPLIES	BADE PAPER PRODUCTS	ROLL TOWELS	85.50
5322	CUSTODIAL SUPPLIES	BADE PAPER PRODUCTS	SHIPPING COST	5.00
5219	OTHER PROFESSIONAL SVCS	GATSO USA	RED LIGHT CAMERA PROGRAM	26,280.00

Village of Lake Zurich
Semi-Monthly Warrant Report
Warrant Date: 11/18/2013

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Fund: 101 - GENERAL FUND

Account Code	Account Title	Vendor Name	Payable Description	Payment Amount
5271	MAINT-BLDGS & GROUNDS	SMART SIGN	IRMA/SHELTER SIGNS	44.76
5353	OFFICE SUPPLIES	RUNCO OFFICE SUPPLY & EQUIPMENT	VIDEO TAPE LABELS	27.99
5156	EMPLOYEE RECOGNITION	PETTY CASH - POLICE DEPARTMENT	EMPLOYEE RETIREMENT	18.30
5353	OFFICE SUPPLIES	RUNCO OFFICE SUPPLY & EQUIPMENT	MANILLA ENVELOPES	35.29
5353	OFFICE SUPPLIES	RUNCO OFFICE SUPPLY & EQUIPMENT	CD ENVELOPES	11.67
5353	OFFICE SUPPLIES	RUNCO OFFICE SUPPLY & EQUIPMENT	CD FILES	73.20
5353	OFFICE SUPPLIES	RUNCO OFFICE SUPPLY & EQUIPMENT	RUBBERBANDS	6.98
5314	CELL PHONES & PAGERS	AMERICAN MESSAGING	PAGER SERVICE	17.00
5312	NATURAL GAS	NICOR GAS	HEATING OIL	230.28
5271	MAINT-BLDGS & GROUNDS	SMITHEREEN PEST MANAGEMENT	MONTHLY PEST CONTROL SERV	101.00
5153	TRAINING & BUSINESS MTGS	PETTY CASH - POLICE DEPARTMENT	LCCPA	40.00
5153	TRAINING & BUSINESS MTGS	PETTY CASH - POLICE DEPARTMENT	HOST CLASS SUPPLIES	22.24
5153	TRAINING & BUSINESS MTGS	PETTY CASH - POLICE DEPARTMENT	HOST CLASS SUPPLIES	12.27
5153	TRAINING & BUSINESS MTGS	PETTY CASH - POLICE DEPARTMENT	LCCPA	20.00
5153	TRAINING & BUSINESS MTGS	PETTY CASH - POLICE DEPARTMENT	HOST CLASS	31.94
5322	CUSTODIAL SUPPLIES	CASPER TRUE VALUE	VACUUM BAGS	8.49
5322	CUSTODIAL SUPPLIES	BADE PAPER PRODUCTS	TOILET PAPER	150.00
5322	CUSTODIAL SUPPLIES	BADE PAPER PRODUCTS	MULTI FOLD TOWELS	150.00
5353	OFFICE SUPPLIES	RUNCO OFFICE SUPPLY & EQUIPMENT	MEMO NOTEBOOKS	12.42
5353	OFFICE SUPPLIES	RUNCO OFFICE SUPPLY & EQUIPMENT	LABEL MAKER TAPE	13.59
5353	OFFICE SUPPLIES	RUNCO OFFICE SUPPLY & EQUIPMENT	EASEL PAPER	78.99
5353	OFFICE SUPPLIES	RUNCO OFFICE SUPPLY & EQUIPMENT	EASEL PAPER	18.99
Program Total				27,563.76

Program: 10124021 -OPERATIONS

5355	UNIFORMS	STREICHER'S, INC	RADIO POUCH	17.99
5357	MEDICAL SUPPLIES	PETTY CASH - POLICE DEPARTMENT	REPLENISH FIRST AID KITS	25.38
5355	UNIFORMS	GALL'S INC.	HUMISTON: DUTY HOLSTER.	137.70
5355	UNIFORMS	STREICHER'S, INC	DOUBLE MAGAZINE POUCH	19.99
5153	TRAINING & BUSINESS MTGS	NORTHEAST MULTI-REGIONAL TRNG.	NEMRT TRAINING	75.00
5355	UNIFORMS	JG UNIFORMS INC.	DRESS BLOUSE - ARDEN, SIE	309.90
5355	UNIFORMS	JG UNIFORMS INC.	DRESS BLOUSE - PAVLOCK	177.95
5355	UNIFORMS	JG UNIFORMS INC.	SHIPPING	13.23
5355	UNIFORMS	JG UNIFORMS INC.	UNIFORM PANTS - FROST	39.50
5355	UNIFORMS	JG UNIFORMS INC.	UNIFORM SHIRT - FROST	44.50
5355	UNIFORMS	JG UNIFORMS INC.	CLIP ON TIE - FROST	5.00
5355	UNIFORMS	GALL'S INC.	JOHNSON: UNIFORM TROUSERS	49.10
5153	TRAINING & BUSINESS MTGS	GOODYEAR, TRACEY	IACP CONFERENCE REGISTRAT	125.00
5355	UNIFORMS	GALL'S INC.	FROST: L/S UNIFORM SHIRT	140.60
5355	UNIFORMS	STREICHER'S, INC	FREIGHT	9.99
5355	UNIFORMS	STREICHER'S, INC	DUTY BELT	54.99
5355	UNIFORMS	UNIFORMITY INC.	UNIFORM ALLOWANCE - TIE B	11.95
5214	OTHER LEGAL	ETERNO, DAVID G	ADJUDICATION HEARING OFFI	337.50
5153	TRAINING & BUSINESS MTGS	GOODYEAR, TRACEY	HOTEL (5 DAYS)	933.40
5153	TRAINING & BUSINESS MTGS	GOODYEAR, TRACEY	AIRFARE AND BAGGAGE	349.80
5153	TRAINING & BUSINESS MTGS	GOODYEAR, TRACEY	PARKING - LONG TERM	50.50
5153	TRAINING & BUSINESS MTGS	GOODYEAR, TRACEY	MEALS	149.71
5153	TRAINING & BUSINESS MTGS	GOODYEAR, TRACEY	GROUND TRANSPORTATION	110.86
5214	OTHER LEGAL	ALBARRAN, LUIS	PROSECUTION ATTORNEY FEE	6,666.67
5355	UNIFORMS	STREICHER'S, INC	HANDCUFF CASE	17.99
5355	UNIFORMS	STREICHER'S, INC	STRIKE MOUNT PLATFORM	12.99

Village of Lake Zurich
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Fund: 101 - GENERAL FUND

Account Code	Account Title	Vendor Name	Payable Description	Payment Amount
Program: 10124022 -COMMUNICATIONS				
5355	UNIFORMS	GALL'S INC.	KULIG: L/S UNIFORM SHIRT	57.64
Program Total				57.64
Program: 10124023 -CRIME PREVENTION				
5355	UNIFORMS	KNIGHT, SHAUN	KNIGHT: CONCEALED SECONDA	56.46
5355	UNIFORMS	KNIGHT, SHAUN	KNIGHT: CID BADGE HOLDER	25.58
5219	OTHER PROFESSIONAL SVCS	TLO LLC	TLO MONTHLY INVESTIGATIVE	110.00
5355	UNIFORMS	KNIGHT, SHAUN	KNIGHT: CID DUTY HOLSTER	108.00
5155	MEMBERSHIPS & SUBSCRIP	PETTY CASH - POLICE DEPARTMENT	ALCOHOL COMPLIANCE CHECKS	8.93
5219	OTHER PROFESSIONAL SVCS	TRANS UNION CORPORATION	BACKGROUND INVESTIGATION	45.00
Program Total				353.97
Program: 10124024 -INTERGOVERNMENTAL				
5153	TRAINING & BUSINESS MTGS	PETTY CASH - POLICE DEPARTMENT	SRO MEETING	11.53
Program Total				11.53
Program: 10125001 -FIRE/RESCUE-ADMIN				
5355	UNIFORMS	RED WING SHOE STORE	GOLUBSKI SAFETY SHOES	122.39
5271	MAINT-BLDGS & GROUNDS	AMERICAN BACKFLOW PREVENTION	SPRINKLER SERVICE	592.00
5313	TELEPHONE	PAETEC	DIGITAL LINES	1,919.60
5413	EMPLOYEE EXAMS	HEALTH ENDEAVORS, SC	MEDICAL EVALUATION MARTIN	735.00
5274	MAINT-EQUIPMENT	LC EMERGENCY TELEPHONE SYSTEM	REPAIR MDC ASSET TAG	212.93
5312	NATURAL GAS	NICOR GAS	77 S OLD RAND RD	55.14
5353	OFFICE SUPPLIES	STAPLES BUSINESS ADVANTAGE	MISC ITEMS:	81.35
5359	OTHER SUPPLIES	ZEP SALES & SERVICE INC.	SPRAY WAX	119.04
5413	EMPLOYEE EXAMS	HEALTH ENDEAVORS, SC	BOECKMANN PHYSICAL	480.00
5213	LABOR ATTORNEY	CLARK BAIRD SMITH LLP	LEGAL FEES - SEPT	1,316.25
5325	BLDG & GROUND MAINT SUPPL	CASPER TRUE VALUE	NOZZLE, SEAL REPAIR, KICK	35.25
5359	OTHER SUPPLIES	CASPER TRUE VALUE	SYSTEM SAVER PELLET AND A	76.89
5325	BLDG & GROUND MAINT SUPPL	CASPER TRUE VALUE	PAINT	14.07
5359	OTHER SUPPLIES	CASPER TRUE VALUE	LOCK	2.79
5312	NATURAL GAS	NICOR GAS	321 S BUESCHING RD	207.31
Program Total				5,970.01
Program: 10125031 -EMERGENCY MANAGEMENT				
5275	MAINT - SOFTWARE	SCHNEIDER ELECTRIC	SERVICE 12/1 TO 2/28	1,354.50
5155	MEMBERSHIPS & SUBSCRIP	NI GOVERNMENT SERVICES, INC	OCTOBER SERVICE	25.28
Program Total				1,379.78
Program: 10125032 -FIRE SUPPRESSION				
5277	MAINT-OTHER	MUNICIPAL EMERGENCY SERVICES, IN	SCBA REPAIR PARTS	234.00
5413	EMPLOYEE EXAMS	HEALTH ENDEAVORS, SC	ANNUAL PHYSICALS	8,582.00
5355	UNIFORMS	EAGLE ENGRAVING, INC	PASSPORT TAGS FOR ACCOUNT	60.00
5355	UNIFORMS	EAGLE ENGRAVING, INC	SHIPPING (USPS)	6.28
5359	OTHER SUPPLIES	BCB GROUP, INC	BATTERIES	52.96

Village of Lake Zurich
Semi-Monthly Warrant Report
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Fund: 101 - GENERAL FUND

Account Code	Account Title	Vendor Name	Payable Description	Payment Amount
5560	VEHICLES	TRUCK VAULT	TRUCK VAULT EXCHANGE DIFF	737.00
Program Total				9,694.24
Program: 10125033 -EMS				
5355	UNIFORMS	GREAT LAKES FIRE & SAFETY	BADGES	617.50
5550	MACHINERY & EQUIPMENT	STRYKER SALES CORPORATION	AMBULANCE COTS	28,180.00
5413	EMPLOYEE EXAMS	HEALTH ENDEAVORS, SC	ANNUAL PHYSICALS	5,253.00
5357	MEDICAL SUPPLIES	ENCOMPASS MED & SPEC GASES LTD	OXYGEN	65.03
5355	UNIFORMS	UNIFORMITY INC.	FUCHS UNIFORMS	239.40
5357	MEDICAL SUPPLIES	CDW GOVERNMENT INC.	TOUGH BOOK VEHICLE CHARGE	99.00
Program Total				34,453.93
Program: 10125034 -SPECIAL RESCUE				
5359	OTHER SUPPLIES	CMS SAFETY INSTITUTE, INC	SHIPPING	13.36
5359	OTHER SUPPLIES	CMS SAFETY INSTITUTE, INC	SENSIT O2 SENSOR	90.00
Program Total				103.36
Program: 10125035 -FIRE PREVENTION BUREAU				
5359	OTHER SUPPLIES	CASPER TRUE VALUE	OPEN HOUSE SUPPLIES	17.16
5152	CONFERENCES & SEMINARS	IL FIRE INSPECTORS ASSOCIATION	FIRE SAFETY SEMINAR	235.00
5359	OTHER SUPPLIES	PROMOS 911 INC.	SUPPLIES FOR PUB ED	114.66
Program Total				366.82
Program: 10128001 -B & Z ADMIN				
5155	MEMBERSHIPS & SUBSCRIP	PADDOCK PUBLICATIONS INC.	11/16/13-1/10/14	43.00
5219	OTHER PROFESSIONAL SVCS	LAKE COUNTY RECORDER	LEIN RELEASES	61.00
5219	OTHER PROFESSIONAL SVCS	SNI COMPANIES	TEMP - JOZWIAK	385.26
5352	PRINTING-STATIONERY/FORMS	PETTY CASH - BLDG & ZONING	PLAT COPIES	0.10
5276	MAINT-SERVICE CONTRACTS	CLIFFORD-WALD	WIDE FORMAT COPIER	25.00
5219	OTHER PROFESSIONAL SVCS	SNI COMPANIES	TEMP - JOZWIAK	552.76
5219	OTHER PROFESSIONAL SVCS	SNI COMPANIES	TEMP - JOZWIAK	544.38
Program Total				1,611.50
Program: 10128081 -INSPECTIONS				
5353	OFFICE SUPPLIES	PETTY CASH - BLDG & ZONING	LENS WIPES	5.00
5353	OFFICE SUPPLIES	PETTY CASH - BLDG & ZONING	ID BADGES	4.68
5153	TRAINING & BUSINESS MTGS	PETTY CASH - BLDG & ZONING	IAEI MTG - GEORGE	20.00
5219	OTHER PROFESSIONAL SVCS	PETTY CASH - BLDG & ZONING	UNIFORM CLEANING	9.85
5354	SMALL TOOLS & EQUIP	PETTY CASH - BLDG & ZONING	TAPE MEASURE	16.20
5153	TRAINING & BUSINESS MTGS	PETTY CASH - BLDG & ZONING	IAEI MTG - TOM	54.00
Program Total				109.73
Program: 10136001 -PW ADMIN				
5155	MEMBERSHIPS & SUBSCRIP	PADDOCK PUBLICATIONS INC.	505 HERALD	34.00
5355	UNIFORMS	CUTLER HARDWARE/WORKWEAR	BOOTS SCHULER	152.96
5276	MAINT-SERVICE CONTRACTS	CLIFFORD-WALD	WIDE FORMAT COPIER	25.00
5355	UNIFORMS	R S HUGHES INC.	SAFETY VESTS AND GLOVES	374.97
5355	UNIFORMS	R S HUGHES INC.	SAFETY VESTS AND GLOVES	154.53

Village of Lake Zurich
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Fund: 101 - GENERAL FUND

Account Code	Account Title	Vendor Name	Payable Description	Payment Amount
5355	UNIFORMS	CUTLER HARDWARE/WORKWEAR	BOOTS WICINSKI	130.46
5355	UNIFORMS	CINTAS CORPORATION LOC. 355	UNIFORMS	37.18
5155	MEMBERSHIPS & SUBSCRIP	ZIMMERMAN, RYAN	WEATHER NOVEMBER	175.00
5355	UNIFORMS	CUTLER HARDWARE/WORKWEAR	BOOTS WALKINGTON	161.96
5355	UNIFORMS	CUTLER HARDWARE/WORKWEAR	BOOTS KALAL	192.55
5411	LEGAL NOTICE/PUBLISHING	PADDOCK PUBLICATIONS INC.	TREE TRIM BID	90.85
5355	UNIFORMS	HOME DEPOT CREDIT SERVICES	RESIRATOR MASK FILTERS	23.96
5355	UNIFORMS	R S HUGHES INC.	SAFETY VESTS AND GLOVES	176.08
5355	UNIFORMS	CINTAS CORPORATION LOC. 355	UNIFORMS	42.18
5355	UNIFORMS	R S HUGHES INC.	SAFETY VESTS AND GLOVES	95.40
5353	OFFICE SUPPLIES	PETTY CASH - FINANCE	PHOTO PRJ	17.51
5152	CONFERENCES & SEMINARS	PETTY CASH - FINANCE	APWA CONF-HARRISON	35.00
5152	CONFERENCES & SEMINARS	PETTY CASH - FINANCE	APWA MTG-BROWN	35.00
Program Total				1,954.59

Program: 10136042 -PARK MAINTENANCE

5271	MAINT-BLDGS & GROUNDS	DUSTCATCHERS INC.	RUGS - BF CRK	54.10
5271	MAINT-BLDGS & GROUNDS	SMITHEREEN PEST MANAGEMENT	PEST CONTROL-505 TELSER	47.00
5325	BLDG & GROUND MAINT SUPPL	BCB GROUP, INC	BATTERIES FOR PAULUS REST	10.99
5327	EQUIP MAINT PART&SUPPLIES	HOME DEPOT CREDIT SERVICES	KIDS KINGDOM PLAYGROUND S	24.75
5271	MAINT-BLDGS & GROUNDS	ANDERSON PEST SOLUTIONS	PEST CONTROL - BARN	53.63
5322	CUSTODIAL SUPPLIES	BADE PAPER PRODUCTS	CUSTODIAL SUPPLIES	509.35
5312	NATURAL GAS	NICOR GAS	KUECHMAN GAS	55.07
5312	NATURAL GAS	NICOR GAS	BARN GAS	39.96
5312	NATURAL GAS	NICOR GAS	CONCESSION GAS	53.95
5325	BLDG & GROUND MAINT SUPPL	HOME DEPOT CREDIT SERVICES	RETURN-LAMP	(53.94)
5271	MAINT-BLDGS & GROUNDS	ANDERSON PEST SOLUTIONS	PEST CONTROL - 675 OMG	55.05
5271	MAINT-BLDGS & GROUNDS	DUSTCATCHERS INC.	RUGS - BARN	37.76
5271	MAINT-BLDGS & GROUNDS	CHANGING SEASONS	PAULUS PARK RESTROOM HEAT	80.00
5325	BLDG & GROUND MAINT SUPPL	CASPER TRUE VALUE	PAULUS GATE HOUSE KEY	2.79
5327	EQUIP MAINT PART&SUPPLIES	CASPER TRUE VALUE	KIDS KINGDOM PLAYGROUND S	13.48
5325	BLDG & GROUND MAINT SUPPL	CASPER TRUE VALUE	ANTIFREEZE - PARK	19.16
5325	BLDG & GROUND MAINT SUPPL	CASPER TRUE VALUE	OUT BOX, CONNCT-PARK	8.48
5325	BLDG & GROUND MAINT SUPPL	CASPER TRUE VALUE	MISC ITEMS - CONCESSI	59.35
5325	BLDG & GROUND MAINT SUPPL	BCB GROUP, INC	EXIT LIGHT BATTERIES	25.90
5312	NATURAL GAS	NICOR GAS	351 LIONS GAS	103.06
5414	RENTALS	COMMUNITY SEWER & SEPTIC	RENTAL - CHESTNUT CRNRS	67.00
5414	RENTALS	COMMUNITY SEWER & SEPTIC	RENTALS - PAULUS PK	67.00
5325	BLDG & GROUND MAINT SUPPL	HOME DEPOT CREDIT SERVICES	LIGHT BULBS-THE BARN	184.85
5325	BLDG & GROUND MAINT SUPPL	CASPER TRUE VALUE	BLADE - PK MANT	8.49
5325	BLDG & GROUND MAINT SUPPL	HOME DEPOT CREDIT SERVICES	BUFFALO CREEK BALLAST	28.47
Program Total				1,555.70

Program: 10136043 -MUNICIPAL PROPERTY MAINT

5325	BLDG & GROUND MAINT SUPPL	CASPER TRUE VALUE	505 KEYS	19.53
5325	BLDG & GROUND MAINT SUPPL	CASPER TRUE VALUE	TOILET REPR -VH	4.79
5312	NATURAL GAS	NICOR GAS	133 MAIN GAS	44.49
5312	NATURAL GAS	NICOR GAS	505 GAS	562.97
5253	WASTE REMOVAL	WASTE MANAGEMENT	133 MAIN WASTE REMOVAL	156.19
5325	BLDG & GROUND MAINT SUPPL	HOME DEPOT CREDIT SERVICES	505 DETERGENT	23.72
5325	BLDG & GROUND MAINT SUPPL	CASPER TRUE VALUE	BITS/CABLE - VH	19.96
5322	CUSTODIAL SUPPLIES	HOME DEPOT CREDIT SERVICES	C/S SOAP	8.91

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5271	MAINT-BLDGS & GROUNDS	PAULUS & SON CARPET CARE	CUBICAL CLEANING 505	185.00
5325	BLDG & GROUND MAINT SUPPL	CASPER TRUE VALUE	THERMOSTAT - 15SOR	39.99
5312	NATURAL GAS	NICOR GAS	VILLAGE HALL GAS	26.51
5271	MAINT-BLDGS & GROUNDS	CHANGING SEASONS	HVAC REPAIR 15 SOUTH OLD	532.00
5271	MAINT-BLDGS & GROUNDS	DUSTCATCHERS INC.	RUGS - VH	78.08
5271	MAINT-BLDGS & GROUNDS	RADIANT PARTS, LLC	505 HVAC PARTS	193.98
5322	CUSTODIAL SUPPLIES	BADE PAPER PRODUCTS	CUSTODIAL SUPPLIES	198.15
5271	MAINT-BLDGS & GROUNDS	SUBURBAN ELEVATOR COMPANY	ELEVATOR - 70 E MAIN	189.00
5312	NATURAL GAS	NICOR GAS	133 W MAIN GAS	43.35
5312	NATURAL GAS	NICOR GAS	11 S OLD RAND GAS	32.10
5312	NATURAL GAS	NICOR GAS	21 S OLD RAND GAS	27.08
5325	BLDG & GROUND MAINT SUPPL	CASPER TRUE VALUE	LOCK - 15 SOR	2.79
5325	BLDG & GROUND MAINT SUPPL	CASPER TRUE VALUE	LOCK - 15 S OR	2.79
5271	MAINT-BLDGS & GROUNDS	SUBURBAN ELEVATOR COMPANY	ELEVATOR - 505 TELSER	189.00
5219	OTHER PROFESSIONAL SVCS	PETTY CASH - FINANCE	PLAN COPIES	7.00
5312	NATURAL GAS	NICOR GAS	15 S OLD RAND GAS	39.41
5325	BLDG & GROUND MAINT SUPPL	HOME DEPOT CREDIT SERVICES	COMMUNITY SERVICES HAND S	6.96
5312	NATURAL GAS	NICOR GAS	7 S OLD RAND GAS	80.32
Program Total				2,714.07

Program: 10136044 -RIGHT OF WAY MAINT

5358	SAFETY SUPPLIES	BCB GROUP, INC	BARRICADE BATTERIES	136.80
Program Total				136.80

Program: 10136045 -SNOW & ICE CONTROL

5560	VEHICLES	R. A. ADAMS ENTERPRISES INC.	8' WESTERN ULTRA MOUNT PR	4,550.00
Program Total				4,550.00

Program: 10136046 -STREET/TRAFFIC LIGHTING

5324	STREET SUPPLIES	CRESCENT ELECTRIC SUPPLY CO.	STREETLIGHT HEAD	267.00
5324	STREET SUPPLIES	CRESCENT ELECTRIC SUPPLY CO.	STREETLIGHT HEAD	21.92
Program Total				288.92

Program: 10136048 -ENGINEERING

5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	87 LINDEN RD	150.00
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	213 S. OLD RAND DRAINAGE	150.00
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	1001-1005 SAMANTHA SPOT S	50.00
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	1361 LEA LN, 1351 BERNARD	200.00
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	555 S. RAND STORM SEWER	152.00
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	236 VICTOR LN SPOT	50.00
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	511 CAPITAL DR	150.00
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	HAZARD MITIGATION	1,440.00
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	1161 CENTONI DR ADDITION	150.00
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	NPDES 11 COMPLIANCE	1,032.00
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	STORM EVENT	2,152.50
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	87 LINDEN RE REVIEW	150.00
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	885 TELSER REVIEW 2	442.50
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	230 PINE TREE ROW PERMIT	150.00
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	MFT AUDIT	1,260.00
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	SPECTRUM	136.00

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5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	ENG SERVICES GENERAL	4,845.50
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	COVENTRY CRK	540.00
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	LZ SHOPS 2ND REVIEW FINAL	425.00
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	17 E. MAIN ADDITION	150.00
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	COVENTRY CRK	420.00
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	885 TELSER FINAL ENGINEER	572.50
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	941 COUNTRY CLUB PERMIT P	150.00
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	1434 CHESWICK LN PERMIT P	150.00
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	LZ SHOPS FINAL PLAT REVIE	440.00
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	70 E. HARBOR	150.00
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	567 CHESTERFIELD ZONING	150.00
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	968 DONATA SPECIAL USE	150.00
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	LINDEN SUBDV	150.00
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	1055 PEMBRIDGE SUNROOM	150.00
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	812 INTERLAKEN WATERPROOF	150.00
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	DRIVE 614 N. OLD RAND	150.00
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	770 WARWICK LN POOL REVIE	150.00
Program Total				16,708.00

Program: 10136071 -VEHICLE MAINTENANCE

5342	FUELS	BELL FUELS INC.	FUEL	7,661.92
5326	AUTO PARTS & SUPPLIES	GLOBAL EMERGENCY PRODUCTS INC.	TEMP PROBE 214	117.00
5326	AUTO PARTS & SUPPLIES	WICKSTROM FORD	FORK 342	210.67
5326	AUTO PARTS & SUPPLIES	WICKSTROM FORD	BRACKET	50.28
5326	AUTO PARTS & SUPPLIES	WICKSTROM FORD	TARILER CONNECTIONA432	77.11
5219	OTHER PROFESSIONAL SVCS	MIKE'S TOWING	SAFETY INSPECTIONS	253.00
5327	EQUIP MAINT PART&SUPPLIES	FASTENAL COMPANY	EYE BOLT	15.10
5273	MAINT-VEHICLES	ALPINE AUTOMOTIVE INC.	ALIGNMENT 112	72.00
5355	UNIFORMS	CINTAS CORPORATION LOC. 355	UNIFORMS	30.63
5273	MAINT-VEHICLES	CASTEN AUTO BODY	PAINT TAILGATE 334	441.40
5327	EQUIP MAINT PART&SUPPLIES	INTERSTATE BATTERY	UPS BATTERIES	151.60
5219	OTHER PROFESSIONAL SVCS	MIKE'S TOWING	SAFETY TESTS	129.00
5327	EQUIP MAINT PART&SUPPLIES	BURRIS EQUIPMENT COMPANY	SAW CARB	120.85
5326	AUTO PARTS & SUPPLIES	HYDRAULIC SERVICES & REPAIRS	GASKETS 322	25.00
5326	AUTO PARTS & SUPPLIES	GLOBAL EMERGENCY PRODUCTS INC.	VALVE	78.54
5351	POSTAGE & SHIPPING	THE UPS STORE	SHIPPING-GLOBAL	8.81
5351	POSTAGE & SHIPPING	THE UPS STORE	SHIPPING-FED SIGN	9.71
5326	AUTO PARTS & SUPPLIES	O'REILLY AUTOMOTIVE STORES, INC	BATTERY 330	98.52
5326	AUTO PARTS & SUPPLIES	NAPA AUTO PARTS	FILTERS	198.00
5326	AUTO PARTS & SUPPLIES	NAPA AUTO PARTS	PEDAL PAD	4.84
5326	AUTO PARTS & SUPPLIES	NAPA AUTO PARTS	FILTERS	35.09
5326	AUTO PARTS & SUPPLIES	NAPA AUTO PARTS	STARTER 293	170.91
5354	SMALL TOOLS & EQUIP	NAPA AUTO PARTS	FILTER STRAP	6.70
5326	AUTO PARTS & SUPPLIES	O'REILLY AUTOMOTIVE STORES, INC	BATTERY 330	23.22
5326	AUTO PARTS & SUPPLIES	O'REILLY AUTOMOTIVE STORES, INC	TPMS BAND 105	7.16
5326	AUTO PARTS & SUPPLIES	CHICAGO INTERNATIONAL TRUCKS	SWITCH 3241	67.43
5326	AUTO PARTS & SUPPLIES	PRECISION SERVICE & PARTS, INC	BRAKE PADS 330	45.41
5326	AUTO PARTS & SUPPLIES	LEACH ENTERPRISES INC.	BRAKE SHOES 321	106.94
5326	AUTO PARTS & SUPPLIES	LEACH ENTERPRISES INC.	S CAM 321	38.46
5326	AUTO PARTS & SUPPLIES	LEACH ENTERPRISES INC.	SLACKADJUSTER 321	87.69
5326	AUTO PARTS & SUPPLIES	BRAKE ALIGN PARTS & SERVICES, INC	BRAKE HARDWARE 321	2.43
5326	AUTO PARTS & SUPPLIES	BRAKE ALIGN PARTS & SERVICES, INC	AXLE SEALS 321	37.69
5326	OTHER MAINT PARTS&SUPPLY	CASPER TRUE VALUE	PAINT 332	10.99

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5219	OTHER PROFESSIONAL SVCS	AIRGAS NORTH CENTRAL	LEASE CYLINDERS	33.40
5326	AUTO PARTS & SUPPLIES	CASPER TRUE VALUE	CLAMP 212	3.39
5327	EQUIP MAINT PART&SUPPLIES	ECHO INC.	SAW PARTS	1.26
5326	AUTO PARTS & SUPPLIES	NAPA AUTO PARTS	CREDIT - WARRANT	(170.91)
5326	AUTO PARTS & SUPPLIES	WICKSTROM FORD	CREDIT - CORE RETURN	(75.00)
5326	AUTO PARTS & SUPPLIES	O'REILLY AUTOMOTIVE STORES, INC	CREDIT - BATTERY	(36.00)
5326	AUTO PARTS & SUPPLIES	P & G KEENE ELECTRICAL	PRIMER MOTOR	287.41
5273	MAINT-VEHICLES	ALLSTAR AUTO GLASS	DEFOG REPAIR 3291	105.00
5355	UNIFORMS	CINTAS CORPORATION LOC. 355	UNIFORMS	30.63
5326	AUTO PARTS & SUPPLIES	WICKSTROM FORD	MODULE 7490	282.36
5328	OTHER MAINT PARTS&SUPPLY	CASPER TRUE VALUE	SCREWS 3297	1.36
5328	OTHER MAINT PARTS&SUPPLY	CASPER TRUE VALUE	WELD COMPOUND	6.99
5326	AUTO PARTS & SUPPLIES	NAPA AUTO PARTS	AIR FILTER 321	42.60
5346	LUBRICANTS & FLUIDS	NAPA AUTO PARTS	OIL STA 1 GEN	24.43
5326	AUTO PARTS & SUPPLIES	NAPA AUTO PARTS	ADAPTER SLEEVE	21.49
5326	AUTO PARTS & SUPPLIES	NAPA AUTO PARTS	FILTERS	329.29
5326	AUTO PARTS & SUPPLIES	NAPA AUTO PARTS	LIFT SUPPORTS 342	147.44
5327	EQUIP MAINT PART&SUPPLIES	CASPER TRUE VALUE	NUMBERS	6.93
5326	AUTO PARTS & SUPPLIES	NAPA AUTO PARTS	TUNE UP PARTS 330	97.80
Program Total				11,533.97

Program: 10148082 -ECONOMIC DEVELOPMENT

5153	TRAINING & BUSINESS MTGS	PETTY CASH - BLDG & ZONING	APA SEM - RYAN/SAM	30.00
Program Total				30.00

Program: 10167001 -PARK & REC ADMIN

5155	MEMBERSHIPS & SUBSCRIP	PIONEER PRESS	ANNUAL SUBSCRIPTION-LAKE	16.00
5352	PRINTING-STATIONERY/FORMS	SZYDELKO, CARLEEN	WINTER/SPRING BROCHURE TY	2,160.00
Program Total				2,176.00

Program: 10167935 -DANCE

5361	PROGRAM SUPPLIES	PETTY CASH - FINANCE	FABRIC - DANCE	54.67
5361	PROGRAM SUPPLIES	PETTY CASH - FINANCE	DANCE ROOM REPRS	8.00
Program Total				62.67

Program: 10167940 -PRESCHOOL

5361	PROGRAM SUPPLIES	PETTY CASH - FINANCE	MISC ITEMS-STAMP/FLDRS/CI	15.52
5414	RENTALS	ST PETER'S CHURCH	TERRIFIC 2'S - FACILITY R	280.00
Program Total				295.52

Program: 10167965 -ATHLETICS

5241	PROGRAM SVCS	ELA SOCCER CLUB	SUMMER SOCCER CAMPS-2013	525.00
Program Total				525.00

Program: 10167975 -SPECIAL INTEREST & EVENTS

5241	PROGRAM SVCS	KANTOR, GARY	MAGIC CLASS-NOVEMBER 6	60.00
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			<u>Program Total</u>	<u>60.00</u>

Program: 10167985 -FITNESS

5241	PROGRAM SVCS	STOCK, CHRISTINE	YOGA INSTRUCTOR 9/14-10/2	63.00
			<u>Program Total</u>	<u>63.00</u>

Fund Total	146,267.94
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Fund: 202 - MOTOR FUEL TAX

Account Code	Account Title	Vendor Name	Payable Description	Payment Amount
Program: 20236046 -STREET/TRAFFIC LIGHTS				
5311	ELECTRICITY	COMMONWEALTH EDISON	CLAIRVIEW STREETLIGHT ELE	37.50
<u>Program Total</u>				<u>37.50</u>
Fund Total				37.50

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Fund: 310 - TIF DEBT SERVICE

Account Code	Account Title	Vendor Name	Payable Description	Payment Amount
Program: 31070049 -TIF DEBT				
5620	INTEREST	BANK OF NEW YORK MELLON	2009B PRINCIPAL & INTERES	35,912.50
5620	INTEREST	BANK OF NEW YORK MELLON	2011B INTEREST	52,360.00
5620	INTEREST	BANK OF NEW YORK MELLON	2011C INTEREST	19,381.25
5610	PRINCIPAL	BANK OF NEW YORK MELLON	2012 PRINCIPAL	255,000.00
5620	INTEREST	BANK OF NEW YORK MELLON	2012 INTEREST	26,250.00
5610	PRINCIPAL	BANK OF NEW YORK MELLON	2011B PRINCIPAL	265,000.00
5610	PRINCIPAL	BANK OF NEW YORK MELLON	2011C PRINCIPAL	120,000.00
<u>Program Total</u>				<u>773,903.75</u>
Fund Total				773,903.75

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Fund: 401 - CAPITAL PROJECT

Account Code	Account Title	Vendor Name	Payable Description	Payment Amount
Program: 40124001 -POLICE CIP				
5530	BLDG & BLDG IMPROVEMENTS	ALL AMERICAN EXTERIOR SOLUTIONS	ROOF REPLACEMENT 351	16,295.70
<u>Program Total</u>				<u>16,295.70</u>
Program: 40125001 -CIP - FIRE/RESCUE - ADMIN				
5550	MACHINERY & EQUIPMENT	MARTIN ENTERPRISES	CARRIER 95%, 80,000 BTU F	3,050.00
<u>Program Total</u>				<u>3,050.00</u>
Program: 40136041 -FORESTRY				
5520	LAND IMPROVEMENTS	MC GINTY BROTHERS INC.	TREE REMOVAL CONTRACT	4,940.00
5520	LAND IMPROVEMENTS	MC GINTY BROTHERS INC.	TREE REMOVAL CONTRACT	3,836.00
<u>Program Total</u>				<u>8,776.00</u>
Program: 40136043 -CIP - MUNICIPAL PROPERTY				
5530	BLDG & BLDG IMPROVEMENTS	HOME DEPOT CREDIT SERVICES	C/S OFFICE BUILD ELECTRIC	412.42
5530	BLDG & BLDG IMPROVEMENTS	ALL AMERICAN EXTERIOR SOLUTIONS	ROOF REPLACEMENT 351 LION	16,295.70
5530	BLDG & BLDG IMPROVEMENTS	HOME DEPOT CREDIT SERVICES	C/S OFFICE BASEBOARD ADHE	17.83
5530	BLDG & BLDG IMPROVEMENTS	SIGNSCAPES	C/S B/Z MOVE BUILDING LET	155.00
5530	BLDG & BLDG IMPROVEMENTS	HOME DEPOT CREDIT SERVICES	C/S B/Z OFFICE BUILDOUT M	11.08
5530	BLDG & BLDG IMPROVEMENTS	SHERWIN INDUSTRIES INC.	C/S B/Z MOVE SIGNAGE	491.44
5530	BLDG & BLDG IMPROVEMENTS	HOME DEPOT CREDIT SERVICES	C/S OFFICE BUILD ELECTRIC	598.60
<u>Program Total</u>				<u>17,982.07</u>
Program: 40136044 -RIGHT OF WAY MAINTENANCE				
5540	INFRASTRUCTURE IMPROVEMENTS	GEWALT HAMILTON ASSOCIATES, INC	ENG SERVICES SAFE ROUTE T	412.66
5540	INFRASTRUCTURE IMPROVEMENTS	MANHARD CONSULTING LTD	SAFE ROUTE TO SCHOOL	720.00
5520	LAND IMPROVEMENTS	ALLIANCE CONTRACTORS INC.	CN NOISE WALL PROJECT	1,605.80
<u>Program Total</u>				<u>2,738.46</u>
Fund Total				48,842.23

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Fund: 402 - PARK IMPROVEMENT

Account Code	Account Title	Vendor Name	Payable Description	Payment Amount
Program: 40267900 -PARK IMP				
5520	LAND IMPROVEMENTS	MANHARD CONSULTING LTD	ENG SERVICES CWEST PARKIN	1,468.00
<u>Program Total</u>				<u>1,468.00</u>
Fund Total				1,468.00

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Fund: 405 - NHRST CAPITAL PROJECTS

Account Code	Account Title	Vendor Name	Payable Description	Payment Amount
Program: 40536044 -NHR PW ROW				
5540	INFRASTRUCTURE IMPROVEMT	MANHARD CONSULTING LTD	MIDLOTHIAN RD TRFC SIGNAL	360.00
5343	CONCRETE & ASPHALT	GESKE & SONS INC	BLACKTOP	461.80
5343	CONCRETE & ASPHALT	GESKE & SONS INC	BLACKTOP	584.91
5540	INFRASTRUCTURE IMPROVEMT	HOLMES TESTING, INC	SURFACE TESTING ROAD RESU	553.75
5343	CONCRETE & ASPHALT	GESKE & SONS INC	BLACKTOP	783.90
5343	CONCRETE & ASPHALT	GESKE & SONS INC	BLACKTOP	154.27
5540	INFRASTRUCTURE IMPROVEMT	MANHARD CONSULTING LTD	2013 ROAD RESURFACING	4,232.00
5219	OTHER PROFESSIONAL SVCS	MANHARD CONSULTING LTD	CEDAR CREEK DRAINAGE STUD	6,690.00
5343	CONCRETE & ASPHALT	GESKE & SONS INC	BLACKTOP	810.53
5343	CONCRETE & ASPHALT	FISCHER BROS. FRESH CONCRETE INC	CONCRETE	622.20
Program Total				15,253.36
Program: 40536046 -NHR PW ST/TRAFFIC LIGHTNG				
5540	INFRASTRUCTURE IMPROVEMT	MEADE ELECTRIC COMPANY INC.	MAIN AND CHURCH OPTICOM R	329.26
Program Total				329.26
Program: 40536047 -NHR PW STORM WATER CTRL				
5254	LAKE/WATER QUALITY MGMT	JD'S WILDLIFE SERVICES	BEAVER CONTROL BRAEMAR PO	700.00
5550	MACHINERY & EQUIPMENT	J DOHENY, INC	STORM WATER ROOT CUTTING	598.20
5550	MACHINERY & EQUIPMENT	R.N.O.W., INC	SEWER ROOT CUTTING HEAD	1,573.32
Program Total				2,871.52
Fund Total				18,454.14

Village of Lake Zurich
Semi-Monthly Warrant Report
Warrant Date: 11/18/2013

Report Run Date: 11/11/2013
Time: 03:45PM

Fund: 410 - TIF REDEVELOPMENT

Account Code	Account Title	Vendor Name	Payable Description	Payment Amount
Program: 41036043 -TIF - PW - CIP - PROPERTY				
5520	LAND IMPROVEMENTS	POTSIE'S INC.	DEMO LANDSCAPING DIRT	220.00
5520	LAND IMPROVEMENTS	ALL AMERICAN EXTERIOR SOLUTIONS	ROOF REPAIRS DEMOLITION 8	11,750.00
5520	LAND IMPROVEMENTS	POTSIE'S INC.	DIRT FOR DEMO LANDSCAPING	455.00
<u>Program Total</u>				<u>12,425.00</u>

			Fund Total	12,425.00
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Village of Lake Zurich
Semi-Monthly Warrant Report
Warrant Date: 11/18/2013

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Fund: 501 - WATER/SEWER

Account Code	Account Title	Vendor Name	Payable Description	Payment Amount
Program: 50156001 -UTILITIES-ADMIN				
5355	UNIFORMS	CINTAS CORPORATION LOC. 355	UNIFORMS	33.41
5353	OFFICE SUPPLIES	U S A BLUEBOOK	CARD FILE	14.99
5355	UNIFORMS	CINTAS CORPORATION LOC. 355	UNIFORMS	38.41
5610	PRINCIPAL	WELLS FARGO BANK	2006 WATER DEBT SERVICE P	700,000.00
5313	TELEPHONE	AT & T	CONCORD LIFT	54.71
5322	CUSTODIAL SUPPLIES	BADE PAPER PRODUCTS	CUSTODIAL SUPPLIES	63.10
5620	INTEREST	WELLS FARGO BANK	2006 WATER DEBT SERVICE P	19,500.00
Program Total				719,704.62
Program: 50156054 -WATER PROD/STORAGE				
5312	NATURAL GAS	NICOR GAS	WELL 9	96.71
5289	WATER SAMPLE ANALYSIS	SUBURBAN LABORATORIES, INC.	LAB ANAYSIS/WATER	114.50
5312	NATURAL GAS	NICOR GAS	WELL 10	60.13
5341	CHEMICALS	MORTON SALT, INC	BULK WATER CONDITIONING R	2,012.29
5219	OTHER PROFESSIONAL SVCS	MANHARD CONSULTING LTD	ENG SERVICES LAKE MICHIGA	6,237.75
5341	CHEMICALS	MORTON SALT, INC	BULK WATER CONDITIONING R	2,127.77
5216	ENGR/ARCHITECTURAL	DIXON ENGINEERING	PREP OF TECH & CONTRA	16,706.00
5332	PUMP REPAIR SUPPLIES	U S A BLUEBOOK	LEAD GASKETS FOR CL2	116.85
5332	PUMP REPAIR SUPPLIES	U S A BLUEBOOK	PRESSURE TRANSMITTER	279.95
5560	VEHICLES	R. A. ADAMS ENTERPRISES INC.	8' WESTERN ULTRA MOUNT PR	910.00
5271	MAINT-BLDGS & GROUNDS	HOME DEPOT CREDIT SERVICES	1500 W ELEC PRTBL CERAMIC	39.94
Program Total				28,701.89
Program: 50156055 -WATER DISTRIBUTION				
5327	EQUIP MAINT PART&SUPPLIES	U S A BLUEBOOK	PRESSURE GAUGE	99.90
5327	EQUIP MAINT PART&SUPPLIES	U S A BLUEBOOK	CUT-OFF SAW BLADES	94.16
5560	VEHICLES	R. A. ADAMS ENTERPRISES INC.	8' WESTERN ULTRA MOUNT PR	910.00
5327	EQUIP MAINT PART&SUPPLIES	U S A BLUEBOOK	14" X 1" CUT-OFF WHEEL FO	23.54
5343	CONCRETE & ASPHALT	HOME DEPOT CREDIT SERVICES	DRILL RENTAL/CONCRETE REP	60.50
5343	CONCRETE & ASPHALT	MC CANN INDUSTRIES INC.	1" X 18" STEEL DOWEL	71.85
5343	CONCRETE & ASPHALT	HOME DEPOT CREDIT SERVICES	POLYURETHANE SELF LEVELIN	20.94
5338	DISTRIBUTION SYS REPAIR	HD SUPPLY WATERWORKS LTD.	VARIOUS ASSORTED SIZE REP	1,727.00
Program Total				3,007.89
Program: 50156064 -INDUS MONITORING				
5289	WATER SAMPLE ANALYSIS	FIRST ENVIRONMENTAL LABORATORIE	QUENTIN PUMPING STATION E	607.50
Program Total				607.50
Program: 50156065 -INTERCEPTOR SEWER				
5560	VEHICLES	R. A. ADAMS ENTERPRISES INC.	8' WESTERN ULTRA MOUNT PR	910.00
Program Total				910.00
Program: 50156066 -LIFT STATIONS				
5328	OTHER MAINT PARTS&SUPPLY	KIMBALL MIDWEST	BRASS FITTINGS	21.70
5328	OTHER MAINT PARTS&SUPPLY	KIMBALL MIDWEST	CABLE TIES	33.48
5328	OTHER MAINT PARTS&SUPPLY	KIMBALL MIDWEST	BRASS FITTINGS	16.50

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Fund: 501 - WATER/SEWER

Account Code	Account Title	Vendor Name	Payable Description	Payment Amount
5560	VEHICLES	R. A. ADAMS ENTERPRISES INC.	8' WESTERN ULTRA MOUNT PR	910.00
5328	OTHER MAINT PARTS&SUPPLY	KIMBALL MIDWEST	WIRE BRUSH 5PK	23.30
5282	MAINT-PUMPS	METROPOLITAN INDUSTRIES INC.	BRAEMAR LIFT STATION PUMP	5,506.00
5253	WASTE REMOVAL	GROOT INDUSTRIES INC.	OCTOBER GRIT ROLLOFF RENT	75.00
5328	OTHER MAINT PARTS&SUPPLY	KIMBALL MIDWEST	SHIPPING	12.86
<u>Program Total</u>				<u>6,598.84</u>

Program: 50156067 -COLLECTION SYSTEM

5337	SEWER SYST REPAIR	HD SUPPLY WATERWORKS LTD.	NON SHEAR COUPLINGS	228.00
5560	VEHICLES	R. A. ADAMS ENTERPRISES INC.	8' WESTERN ULTRA MOUNT PR	910.00
<u>Program Total</u>				<u>1,138.00</u>

Fund Total	760,668.74
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Village of Lake Zurich
Semi-Monthly Warrant Report
Warrant Date: 11/18/2013

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Time: 03:45PM

Fund: 710 - PERFORMANCE ESCROW

Account Code	Account Title	Vendor Name	Payable Description	Payment Amount
Program: 710 -PERFORMANCE ESCROW				
2509	DEVELOPER DEPOSITS	825 LAKE ZURICH, LLC	MAINT. DEP REF- LA FITNES	6,967.50
<u>Program Total</u>				<u>6,967.50</u>
Fund Total				6,967.50

YTD Vendor Payments

Date: 11/11/2013

Vendor Number	Vendor Name	Current Payment	YTD Amount Paid
23138	825 LAKE ZURICH, LLC	6,967.50	6,967.50
16	AARP	97.95	97.95
32601	AIRGAS NORTH CENTRAL	33.40	1,303.64
3063	ALBARRAN, LUIS	6,666.67	53,333.36
3236	ALL AMERICAN EXTERIOR SOLUTIONS	44,341.40	93,744.50
3252	ALLIANCE CONTRACTORS INC.	1,605.80	519,746.90
3253	ALLSTAR AUTO GLASS	105.00	105.00
3521	ALPINE AUTOMOTIVE INC.	72.00	216.00
3650	AMERICAN BACKFLOW PREVENTION	592.00	1,602.00
2455	AMERICAN MESSAGING	17.00	131.03
4055	ANDERSON PEST SOLUTIONS	108.68	894.12
3683	AT & T	54.71	50,000.82
7510	BADE PAPER PRODUCTS	1,161.10	7,885.91
7978	BANK OF NEW YORK MELLON	773,903.75	995,678.50
8390	BCB GROUP, INC	226.65	682.08
8850	BELL FUELS INC.	7,661.92	187,365.56
10634	BRAKE ALIGN PARTS & SERVICES, INC	40.12	666.77
11750	BURRIS EQUIPMENT COMPANY	120.85	5,453.04
13160	CASPER TRUE VALUE	385.91	3,601.14
13170	CASTEN AUTO BODY	441.40	1,485.00
15280	CDW GOVERNMENT INC.	260.05	10,852.78
13598	CHANGING SEASONS	612.00	2,652.00
29301	CHICAGO INTERNATIONAL TRUCKS	67.43	2,031.59
14252	CINTAS CORPORATION LOC. 355	212.44	3,469.82
14645	CLARK BAIRD SMITH LLP	1,946.25	18,746.25
14760	CLIFFORD-WALD	50.00	350.00
25105	CMS SAFETY INSTITUTE, INC	103.36	3,903.09
15271	COMMONWEALTH EDISON	37.50	11,246.99
15278	COMMUNITY SEWER & SEPTIC	134.00	3,371.00
16070	CRESCENT ELECTRIC SUPPLY CO.	288.92	1,964.22
16570	CUTLER HARDWARE/WORKWEAR	637.93	1,530.93
17345	DATASOURCE, INK	1,154.00	1,154.00
18690	DIXON ENGINEERING	16,706.00	19,656.00
19700	DUSTCATCHERS INC.	169.94	1,305.42
20345	EAGLE ENGRAVING, INC	88.28	142.08
20820	ECHO INC.	1.26	257.96
15283	EHLERS INVESTMENT PARTNERS LLC	628.40	6,218.37
23141	ELA SOCCER CLUB	525.00	525.00
75333	ENCOMPASS MED & SPEC GASES LTD	65.03	717.36
25680	ETERNO, DAVID G	337.50	1,845.00
MB000015	EVER WELL MASSAGE	1,320.56	1,320.56
27515	FASTENAL COMPANY	15.10	1,647.51
28351	FIRST ENVIRONMENTAL LABORATORIES	607.50	1,903.50
28349	FISCHER BROS. FRESH CONCRETE INC.	622.20	13,127.05
30240	GALL'S INC.	385.04	8,571.86
30492	GATSO USA	26,280.00	77,280.00
80863	GESKE & SONS INC	2,795.41	14,285.80
30862	GEWALT HAMILTON ASSOCIATES, INC	412.66	664.51

YTD Vendor Payments

Date: 11/11/2013

Vendor Number	Vendor Name	Current Payment	YTD Amount Paid
30953	GLOBAL EMERGENCY PRODUCTS INC.	195.54	8,921.83
32043	GOODYEAR, TRACEY	1,719.27	2,095.32
32602	GREAT LAKES FIRE & SAFETY	617.50	3,838.75
32955	GROOT INDUSTRIES INC.	75.00	1,213.17
92422	HD SUPPLY WATERWORKS LTD.	1,955.00	23,352.38
35425	HEALTH ENDEAVORS, SC	15,050.00	17,060.00
37000	HOLMES TESTING, INC	553.75	553.75
37025	HOME DEPOT CREDIT SERVICES	1,408.99	11,652.73
38565	HYDRAULIC SERVICES & REPAIRS	25.00	1,710.01
41786	IL FIRE INSPECTORS ASSOCIATION	235.00	460.00
42395	INTERSTATE BATTERY	151.60	424.23
18813	J DOHENY, INC	598.20	9,245.12
45905	JD'S WILDLIFE SERVICES	700.00	700.00
46350	JG UNIFORMS INC.	590.08	7,758.65
48257	KANTOR, GARY	60.00	300.00
49332	KIMBALL MIDWEST	107.84	433.85
49962	KNIGHT, SHAUN	190.04	262.04
99202	KUZMA/POKOS, MALGORZATA/GRZEGORZ	129.00	129.00
51259	LAKE COUNTY RECORDER	61.00	1,082.00
51244	LC EMERGENCY TELEPHONE SYSTEM BOARD	212.93	212.93
51800	LEACH ENTERPRISES INC.	233.09	1,280.00
51290	LZ RURAL FIRE PROTECTION DIST.	210.00	1,928.34
54490	MANHARD CONSULTING LTD	36,415.75	93,046.85
54750	MARTIN ENTERPRISES	3,050.00	9,105.50
55350	MC CANN INDUSTRIES INC.	71.85	454.19
55850	MC GINTY BROTHERS INC.	8,776.00	31,437.00
56400	MEADE ELECTRIC COMPANY INC.	329.26	329.26
56826	METROPOLITAN INDUSTRIES INC.	5,506.00	47,821.00
57095	MIKE'S TOWING	382.00	2,215.00
57410	MINDFUL MIX	750.00	750.00
58269	MORTON SALT, INC	4,140.06	83,560.99
30950	MUNICIPAL EMERGENCY SERVICES, INC	234.00	9,128.95
59770	NAPA AUTO PARTS	907.68	11,795.24
60747	NI GOVERNMENT SERVICES, INC	25.28	228.96
61214	NICOR GAS	1,757.84	16,544.61
61205	NORTHEAST MULTI-REGIONAL TRNG.	75.00	5,225.00
66520	O'REILLY AUTOMOTIVE STORES, INC	92.90	6,572.99
70395	P & G KEENE ELECTRICAL	287.41	862.23
68771	PADDOCK PUBLICATIONS INC.	795.75	1,137.30
56215	PAETEC	3,839.21	8,463.70
69503	PAULUS & SON CARPET CARE	185.00	1,005.00
70251	PETTY CASH - BLDG & ZONING	139.83	139.83
70250	PETTY CASH - FINANCE	296.43	783.16
70254	PETTY CASH - POLICE DEPARTMENT	190.59	190.59
70850	PIONEER PRESS	16.00	48.00
71470	POTSIE'S INC.	675.00	1,335.00
71753	PRECISION SERVICE & PARTS, INC	45.41	4,665.38
71987	PROMOS 911 INC.	114.66	2,208.53

YTD Vendor Payments

Date: 11/11/2013

Vendor Number	Vendor Name	Current Payment	YTD Amount Paid
38235	R S HUGHES INC.	800.98	800.98
73175	R. A. ADAMS ENTERPRISES INC.	9,100.00	14,798.33
74910	R.N.O.W., INC	1,573.32	1,573.32
73200	RADIANT PARTS, LLC	193.98	193.98
73661	RED WING SHOE STORE	122.39	2,338.56
MB000014	ROBINSON, FRED	2,301.72	2,301.72
76143	RUNCO OFFICE SUPPLY & EQUIPMENT CO.	346.98	11,086.06
19400	SCHNEIDER ELECTRIC	1,354.50	4,063.50
78543	SHERWIN INDUSTRIES INC.	491.44	3,885.35
79038	SIGNSCAPES	155.00	1,300.00
99588	SINKUS, DOLORES	76.32	152.07
79977	SMART SIGN	44.76	44.76
80040	SMITHEREEN PEST MANAGEMENT	148.00	1,036.00
80178	SNI COMPANIES	1,482.40	6,842.42
76921	ST PETER'S CHURCH	280.00	1,120.00
81070	STAPLES BUSINESS ADVANTAGE	81.35	5,010.21
81730	STOCK, CHRISTINE	63.00	396.00
81921	STREICHER'S, INC	133.94	1,197.23
81960	STRYKER SALES CORPORATION	28,180.00	30,779.98
82072	SUBURBAN ELEVATOR COMPANY	378.00	7,745.00
82073	SUBURBAN LABORATORIES, INC.	114.50	2,788.50
82820	SZYDELKO, CARLEEN	2,160.00	6,122.50
54419	THE UPS STORE	18.52	242.48
84490	TLO LLC	110.00	912.25
85220	TRANS UNION CORPORATION	45.00	468.42
85340	TRUCK VAULT	737.00	2,541.00
88845	U S A BLUEBOOK	629.39	903.44
88115	UNIFORMITY INC.	251.35	10,750.36
92413	WASTE MANAGEMENT	156.19	9,816.77
92816	WELLS FARGO BANK	719,500.00	720,250.00
93900	WICKSTROM FORD	545.42	4,241.73
98600	ZEP SALES & SERVICE INC.	119.04	2,015.75
98875	ZIMMERMAN, RYAN	175.00	175.00
98905	ZUKOWSKI ROGERS FLOOD MC ARDLE	1,920.00	5,360.00

Report Total: 1,769,034.80

CAPITAL IMPROVEMENT PLAN

Fiscal Years 2014/15 - 2018/19



Village of Lake Zurich, Illinois

OVERVIEW

The Capital Improvement Plan (CIP) is the Village's continuous five-year plan that establishes both a schedule and funding strategy for high-priority capital projects as well as equipment, vehicle, and technology purchases. Examples of CIP projects include street repairs and improvements, construction/renovation of public owned facilities, park improvements, public safety/service vehicle and equipment replacements, and technology upgrades. The FY 2014/2015 CIP encompasses the five year period from FY-2015 through FY-2019. By adopting a CIP, a municipality places itself in a more strategic position to ensure sound fiscal and capital planning, asset maintenance and preservation, and physical development/redevelopment efforts.

The capital investments of the Village are significant and include an expansive network of infrastructure, including 79 miles/158 lane miles of streets, 113 miles of water mains, 93 sanitary sewer miles, five active wells (plus one emergency backup) including two elevated water storage units, (one with a 1.5 million gallon capacity and the other with a 175,000 gallon capacity), and an extensive storm water management system (423,799 feet of pipe, 1,002 inlets, 1,639 catch basins, and 1,160 manholes). The Village also owns a fleet of 85 road vehicles and trucks, five fire engines, four ambulances, and an inventory of equipment from backhoes and crane trucks to skid-steers and sewer rodders as well as multiple technology systems ranging from virtualized servers to cloud-based email.

Like all other municipalities, Lake Zurich is faced with the challenge of providing an ever-increasing number of services and facilities while being sensitive to the reality of limited financial resources and unfunded mandates. It is essential that the Village employs a comprehensive approach not only in planning for future assets, but also for maintaining and replacing its current inventory. A long-range plan for funding these expenditures is vital, as investment decisions regarding these assets affect the availability and quality of most government services.

Development of the CIP allows the Village to clearly assess the needs of the community, and assures that the projects which are proposed will best meet these needs, while still assuring the community's financial resources are not over-committed. Finally, the CIP promotes financial stability through long-term planning for the procurement of resources required for the continued delivery of municipal services. The impact of capital funding on the operating budget becomes clearly visible and can be forecasted several years into the future.

A complete, properly developed CIP provides the following benefits:

- Facilitates coordination and balance between capital needs and operating budgets.
- Decreases the probability of sudden changes in debt service requirements.
- Increases opportunities for obtaining federal and state aid.
- Focuses attention on community objectives and fiscal capacity.
- Allows time for project design and financing.
- Furthers economic and community development.
- Encourages careful project planning and design to avoid costly mistakes and assists a community to reach desired goals.
- Allows for the systematic evaluation of capital projects and equipment purchases.
- Provides for the preservation of capital assets.
- Informs the public of future needs and projects and focuses attention on community objectives.
- Fosters the cooperation and coordination of the activities of interrelated departments to encourage the most efficient deployment of available resources.

A project's inclusion in the CIP does not commit the Village to fund and accomplish it. As stated above, the CIP identifies capital needs. Available funds, taxing capacity, and debt capacity may require that some projects ultimately be deferred beyond the years in which they are initially programmed for accomplishment in the CIP. Nonetheless, the CIP will continue to be a vital planning tool for both immediate and long-range budgeting and capital investment decisions. However, detailed consideration must be provided to projects programmed for the first year of the CIP as they must be addressed in the next year's operating budget.

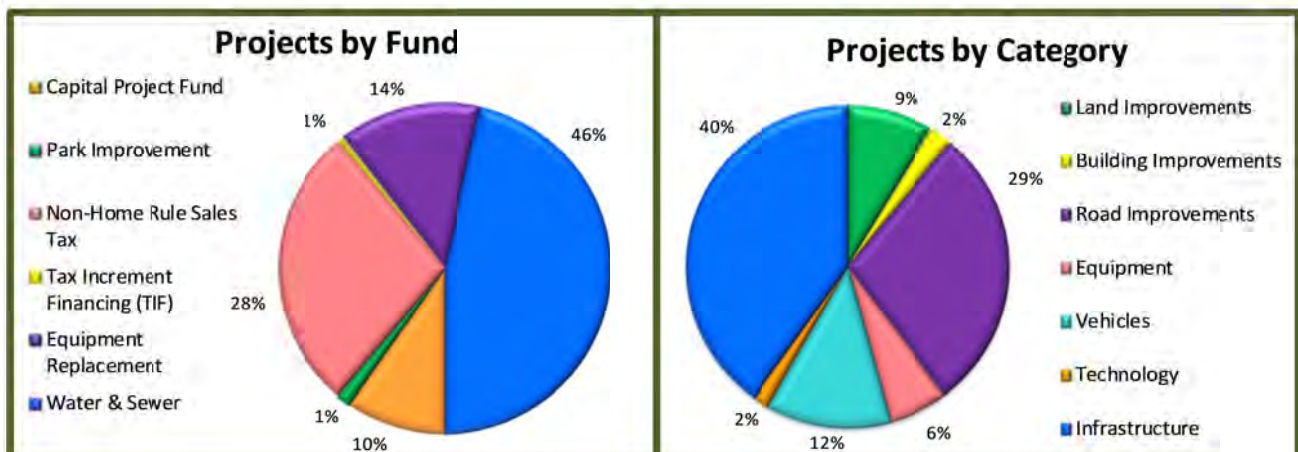
PARAMETERS

The Lake Zurich CIP covers a five-year period and is developed, reviewed, and updated annually. To be considered in the CIP, a project should have an estimated cost of at least \$10,000 in one of the fiscal years of the project and have a useful life of at least one year. Projects may not be combined to meet the minimum standard unless they are dependent upon each other.

The Village identifies the estimated costs and potential funding sources for each capital project prior to inclusion in the CIP. The operating costs to maintain capital projects are considered prior to the decision to undertake the projects. Each project is scored and ranked according to specific criteria. Capital projects and capital asset purchases will receive a higher priority based on conformance with the following criteria (in no particular order or priority):

- Legally mandated
- Effects public health and/or safety
- Impact upon the operating budget
- Impact upon the environment
- Percentage of residents served
- Preservation of a public facility
- Lengthens the expected useful life of a current asset
- Availability of grant funding
- Estimated frequency of use
- Conforms to the Village Board's Guiding Principles

Lake Zurich's 2015-2019 CIP includes 128 projects with a cumulative estimated total of \$34,985,400 across all capital funds. The projects are representative of seven categories which include Land Improvements, Building Improvements, Road Improvements, Equipment, Vehicles, Technology Systems, and Infrastructure. Charts representing both the projects by fund and by each category distribution are provided below.



The CIP is an early planning tool. Cost estimates are usually from non-engineering sources. As a project progresses, cost projections are refined and specific project budgets are established.

It is important to note that some funding sources in the CIP are legally restricted, meaning they must be used for narrowly-defined purposes only. For example, the Village must allocate its Non-Home Rule Sales Tax for expenditure on public infrastructure. Non-Home Rule Sales Tax funds are therefore limited and cannot be used for operating expenses such as staffing, supplies, etc.

CURRENT ISSUES

Provided in each fund is a financial impact statement. This statement identifies financial status of each CIP fund at a high level and demonstrates the impact of the identified projects by year. The projects selected for the upcoming fiscal year 2014/15 have been chosen depending on importance, immediacy, staff comments and administration ratings. Only projects were selected that matched with available funding for the first year. The remaining four years include projects which staff will need to prioritize and re-evaluate for each future CIP document, and, more importantly, each budget cycle.

Two of the funds included in the CIP document receive their primary revenue funding source as a transfer from the General Fund: Capital Project Fund and the new Equipment Replacement Fund. The items in these two funds do not fit into the specialized capital funds such as Non-Home Rule Sales Tax and the Park Improvement Funds. If not for having separate funds for major capital and equipment purchases, the expenditures would be budgeted out of the General Fund. By isolating the major capital needs into these funds, a spotlight is shown on the growing needs related to building improvements, equipment, and vehicles in particular. The exact amount of funding available for these funds will be determined during the annual budget process, while remaining cognizant of the multiple demands being placed on the General Fund in the upcoming budget cycle.

FUTURE ISSUES

The recession and current financial situation of the village has caused prior budgets to defer major capital projects as need allowed. While this is a tool that can be used to prioritize available budget funds, it does not eliminate the expenditures. In some cases, the maintenance on capital items can become more than the replacement cost if deferred too long. Staff will continue to make recommendations for inclusion in the annual budget based on availability of funding, however, it is important to note the significant demands relating to capital items in the upcoming years.

PROCESS

Departmental Requests

On or about October 1, a project request template is issued by the Director of Finance to all departments. Departments must provide a detailed narrative for each project request with information to include specific details and rationale for the necessity of the project, vehicle/equipment, or technology system. A description and quantification of anticipated impacts to the operating budget created by the capital project or purchase is also required.

Evaluation

The Assistant Village Manager and Director of Finance review the submitted templates and begin preparation of the CIP draft document. Proposed projects are then evaluated and assigned an administrative weight using the aforementioned multi-factor process. This process allows for the development of numerical ranking of projects and facilitates the establishment of project priorities. Meetings are then conducted with each department director the last week of October to evaluate each request based upon the aforementioned

multiple criteria. Project amounts are then adjusted and funding sources revised to best reflect village-wide capital priorities and deliver a plan that facilitates the continued delivery of public services within established financial parameters. The recommended CIP is then reviewed with the Village Manager for any final adjustments prior to submission to the Board of Trustees.

Board of Trustees Presentation and Consideration

The final CIP draft is then prepared the first week of November and forwarded to the Board of Trustees either the second regular meeting in November or the first meeting in December for review and consideration, to provide specific project details, and to respond to Board inquiries. Adjustments are subsequently made in order to reflect Board revisions. Upon the completion of this process, the final approved CIP is prepared and posted on the Village website and shared with the residents of Lake Zurich.

CLOSING

This document is the work of staff throughout the entire organization. A great deal of time and effort is required to identify, plan and account for these projects. It is only with the cooperation of all departments that this document is prepared in a manner that is useful, accurate, and meets the objectives of a quality Capital Improvement Plan.

Village of Lake Zurich
Capital Improvement Plan
CIP 2014/15 - 2018/19
Project Summary

Description	Category	Lead Dept.	Guiding Principle	Admin Rating	Page #	Capital Improvement Plan Total	Forecast 2014/15	Forecast 2015/16	Forecast 2016/17	Forecast 2017/18	Forecast 2018/19
CAPITAL PROJECTS FUND											
Carpet Replacement - Police Facility	Building Improvement	Police	D	34	CIP 1	10,000	-	-	-	10,000	-
Exterior Improvements - Village Hall	Building Improvement	Community Services	B	51	CIP 2	80,000	80,000	-	-	-	-
Floor Repairs - 505 Telser	Building Improvement	Community Services	B	51	CIP 3	15,000	15,000	-	-	-	-
Floor Repairs - Fleet Services	Building Improvement	Community Services	B	60	CIP 4	35,000	-	35,000	-	-	-
Floor Repairs - Vehicle Storage	Building Improvement	Community Services	B	44	CIP 5	65,000	-	-	65,000	-	-
Fuel Pump Replacements	Building Improvement	Community Services	B	65	CIP 6	50,000	-	50,000	-	-	-
HVAC Unit Replacement- Police Facility	Building Improvement	Police	B	58	CIP 7	30,000	-	-	30,000	-	-
Lighting Replacement	Building Improvement	Community Services	C	59	CIP 8	20,000	20,000	-	-	-	-
Radiant Heat Overhaul - 505 Telser	Building Improvement	Community Services	B	63	CIP 9	25,000	25,000	-	-	-	-
Roof Ice Damming - St. 1	Building Improvement	Fire	B	75	CIP 10	30,000	-	30,000	-	-	-
Roof Repair/ Replacement - St. 1	Building Improvement	Fire	B	66	CIP 11	80,000	-	80,000	-	-	-
Roof Replacement - Police Facility	Building Improvement	Police	B	46	CIP 12	35,000	-	-	35,000	-	-
Waterproofing of 505 Telser Exterior	Building Improvement	Community Services	B	36	CIP 13	12,000	12,000	-	-	-	-
Window Replacement - Station 1 Ground	Building Improvement	Fire	B	72	CIP 14	30,000	-	-	-	-	30,000
EAB Ash Tree Replacement	Land Improvement	Community Services	B	77	CIP 15	1,120,000	224,000	224,000	224,000	224,000	224,000
EAB Ash Tree Replacement - Grant	Land Improvement	Community Services	B	95	CIP 16	65,000	13,000	13,000	13,000	13,000	13,000
Parking Lot & Curb Repair	Land Improvement	Fire	B	81	CIP 17	25,000	-	-	25,000	-	-
Parking Lot Resurfacing - 505 Telser	Land Improvement	Community Services	B	60	CIP 18	400,000	-	400,000	-	-	-
Welcome Signs for Village	Land Improvement	Community Services	D	50	CIP 19	25,000	25,000	-	-	-	-
Right Turn Lane - Ela Road and Rt 12	Roadway Improvement	Community Services	B	75	CIP 20	990,000	90,000	900,000	-	-	-
Safe Route to School Isaac Fox	Roadway Improvement	Community Services	A	70	CIP 21	225,000	15,000	210,000	-	-	-
FUND TOTAL						3,367,000	519,000	1,942,000	392,000	247,000	267,000
NON-HOME RULE SALES TAX CAPITAL FUND											
Detention Basin Improve. Lot 42	Land Improvement	Community Services	B	83	NHR 1	100,000	100,000	-	-	-	-
Overflow Route Improvements - Deerpath	Land Improvement	Community Services	B	72	NHR 2	80,000	80,000	-	-	-	-
Overflow Route Improvements - Stanton	Land Improvement	Community Services	B	81	NHR 3	80,000	80,000	-	-	-	-
Stormwater Improvements - Cedar Creek	Land Improvement	Community Services	B	89	NHR 4	625,000	125,000	500,000	-	-	-
Stormwater Improvements - Grand Avenue	Land Improvement	Community Services	B	83	NHR 5	65,000	65,000	-	-	-	-
Pavement Management Program	Roadway Improvement	Community Services	B	44	NHR 6	85,000	85,000	-	-	-	-
Road Resurfacing Plan	Roadway Improvement	Community Services	B	66	NHR 7	7,450,000	1,500,000	1,250,000	1,700,000	1,500,000	1,500,000
Sidewalk - Quentin Road	Roadway Improvement	Community Services	B	37	NHR 8	16,000	-	16,000	-	-	-
Traffic Signal - Midlothian	Roadway Improvement	Community Services	A	76	NHR 9	1,310,000	120,000	1,190,000	-	-	-
FUND TOTAL						9,811,000	2,155,000	2,956,000	1,700,000	1,500,000	1,500,000

Description	Category	Lead Dept.	Guiding Principle	Admin Rating	Page #	Capital Improvement Plan Total	Forecast 2014/15	Forecast 2015/16	Forecast 2016/17	Forecast 2017/18	Forecast 2018/19
PARK IMPROVEMENT FUND											
Restroom Renovations - Parks	Building Improvement	Community Services	B	68	PIF 1	120,000	120,000	-	-	-	-
Amphitheater	Land Improvement	Community Services	C	40	PIF 2	75,000	75,000	-	-	-	-
Parking Lot Repairs - Meadowbrook	Land Improvement	Community Services	B	74	PIF 3	50,000	50,000	-	-	-	-
Playground Replacement	Land Improvement	Community Services	B	74	PIF 4	250,000	50,000	50,000	50,000	50,000	50,000
FUND TOTAL						495,000	295,000	50,000	50,000	50,000	50,000
TAX INCREMENT FINANCING (TIF) CAPITAL FUND											
Facility Renovation - 15 S. Old Rand	Building Improvement	Community Services	B	42	TIF 1	70,000	70,000	-	-	-	-
Roof Replacement - 133 W. Main	Building Improvement	Community Services	B	54	TIF 2	50,000	-	50,000	-	-	-
Demolition of Village Properties	Land Improvement	Community Services	B	48	TIF 3	60,000	20,000	40,000	-	-	-
FUND TOTAL						180,000	90,000	90,000	-	-	-
EQUIPMENT REPLACEMENT FUND											
Air Compressor	Equipment	Community Services	B	44	ERE 1	30,000	-	30,000	-	-	-
Air Compressor #2	Equipment	Community Services	B	44	ERE 2	30,000	-	-	30,000	-	-
Defibrillator Equipment Replacement	Equipment	Fire	A	60	ERE 3	140,000	35,000	35,000	35,000	35,000	-
Extrication Equipment Replacement	Equipment	Fire	D	57	ERE 4	28,000	-	28,000	-	-	-
Front End Loader	Equipment	Community Services	B	48	ERE 5	80,000	-	80,000	-	-	-
Mobile Radios for Vehicles	Equipment	Fire	B	67	ERE 6	30,000	10,000	-	10,000	-	10,000
Power Pro Cots	Equipment	Fire	B	59	ERE 7	29,000	29,000	-	-	-	-
Radio Console Upgrade / Replacement	Equipment	Police	D	53	ERE 8	60,000	-	-	60,000	-	-
Sewer Rodder	Equipment	Community Services	B	46	ERE 9	50,000	-	-	50,000	-	-
Sewer Rodder	Equipment	Community Services	B	46	ERE 10	50,000	-	-	-	50,000	-
Skid Steer	Equipment	Community Services	B	54	ERE 11	70,000	-	-	70,000	-	-
Skid Steer Track Machine	Equipment	Community Services	B	36	ERE 12	40,000	-	40,000	-	-	-
Enterprise Software Upgrade	Technology	Technology/Finance/CS	B	42	ERT 1	33,000	33,000	-	-	-	-
Police E-Citations	Technology	Technology/Police	D	30	ERT 2	60,000	-	60,000	-	-	-
Records Management System (RMS)	Technology	Technology/Police	B	52	ERT 3	115,000	115,000	-	-	-	-
Starcom Radio System Migration	Technology	Technology/Police	A	78	ERT 4	234,000	-	-	-	117,000	117,000
UHF Microwave Network	Technology	Technology/Police	A	64	ERT 5	60,000	-	60,000	-	-	-
Video Monitoring - Security	Technology	Technology/Police	B	56	ERT 6	75,000	-	75,000	-	-	-

Project Summary

Description	Category	Lead Dept.	Guiding Principle	Admin Rating	Page #	Capital Improvement Plan Total	Forecast 2014/15	Forecast 2015/16	Forecast 2016/17	Forecast 2017/18	Forecast 2018/19
EQUIPMENT REPLACEMENT FUND CONTINUED											
Ambulance - #241 (Fire)	Vehicle	Community Services	B	64	ERV 1*	230,000	230,000	-	-	-	-
Ambulance - #242 (Fire)	Vehicle	Community Services	A	48	ERV 2	280,000	-	-	-	280,000	-
Backhoe Replacement - #510C (CS)	Vehicle	Community Services	B	54	ERV 3	140,000	-	-	-	-	140,000
Backhoe Replacement (50%)	Vehicle	Community Services	B	57	ERV 4	60,000	-	60,000	-	-	-
Dump Truck - #321 (CS)	Vehicle	Community Services	B	48	ERV 5	150,000	-	-	-	-	150,000
Dump Truck - #322 (CS)	Vehicle	Community Services	B	54	ERV 6	130,000	-	-	130,000	-	-
Dump Truck - #323 (CS)	Vehicle	Community Services	B	48	ERV 7	135,000	-	-	-	135,000	-
Dump Truck - #326 (CS)	Vehicle	Community Services	B	54	ERV 8	140,000	-	140,000	-	-	-
Dump Truck - #331 (CS)	Vehicle	Community Services	B	52	ERV 9*	90,000	90,000	-	-	-	-
Dump Truck - #332 (CS)	Vehicle	Community Services	B	54	ERV 10	95,000	-	95,000	-	-	-
Dump Truck - #334 (CS)	Vehicle	Community Services	B	54	ERV 11	105,000	-	-	-	-	105,000
Engine - #210 (Fire)	Vehicle	Community Services	B	53	ERV 12	500,000	-	500,000	-	-	-
Engine - #211 (Fire)	Vehicle	Community Services	B	58	ERV 13	520,000	-	-	-	-	520,000
Pickup Truck - #121 (Police)	Vehicle	Community Services	B	42	ERV 14*	38,500	38,500	-	-	-	-
Pickup Truck - #295 (Fire)	Vehicle	Community Services	B	57	ERV 15*	36,000	36,000	-	-	-	-
Pickup Truck - #343 (CS)	Vehicle	Community Services	B	52	ERV 16*	32,000	32,000	-	-	-	-
Police Boat	Vehicle	Community Services	B	42	ERV 17	25,000	-	-	-	25,000	-
Sedan - #290 (Fire)	Vehicle	Community Services	B	46	ERV 18	45,000	-	-	45,000	-	-
Sedan - #291 (Fire)	Vehicle	Community Services	B	46	ERV 19	55,000	-	-	-	-	55,000
Sedan - #293 (Fire)	Vehicle	Community Services	B	46	ERV 20	35,000	-	-	-	35,000	-
Sedan - #294 (Fire)	Vehicle	Community Services	B	46	ERV 21	35,000	-	-	-	35,000	-
Sedan - #296 (Fire)	Vehicle	Community Services	B	46	ERV 22	35,000	-	-	35,000	-	-
Sedan - #350 (CS)	Vehicle	Community Services	B	46	ERV 23	30,000	-	-	30,000	-	-
Sedan - #362 (CS)	Vehicle	Community Services	B	46	ERV 24	40,000	-	-	40,000	-	-
Sport Utility Vehicle - #108 (Police)	Vehicle	Community Services	B	44	ERV 25	43,500	-	43,500	-	-	-
Squad - #105 (Police)	Vehicle	Community Services	B	46	ERV 26*	38,500	38,500	-	-	-	-
Squad - #107 (Police)	Vehicle	Community Services	B	46	ERV 27	45,000	-	-	-	-	45,000
Squad - #109 (Police)	Vehicle	Community Services	B	46	ERV 28	40,000	-	40,000	-	-	-
Squad - #110 (Police)	Vehicle	Community Services	B	46	ERV 29	45,000	-	-	-	-	45,000
Squad - #111 (Police)	Vehicle	Community Services	B	46	ERV 30	40,000	-	-	-	40,000	-
Squad - #112 (Police)	Vehicle	Community Services	B	46	ERV 31	40,000	-	40,000	-	-	-
Squad - #114 (Police)	Vehicle	Community Services	B	46	ERV 32	45,000	-	-	-	-	45,000
Squad - #115 (Police)	Vehicle	Community Services	B	46	ERV 33	33,000	-	33,000	-	-	-
Squad - #116 (Police)	Vehicle	Community Services	B	46	ERV 34	35,000	-	-	-	35,000	-
Squad - #117 (Police)	Vehicle	Community Services	B	46	ERV 35	33,000	-	-	33,000	-	-

Guiding Principles:

A - Protection of Human Health B - Preservation of Public Private Assets

C - Enhances Quality of Life D - All Other

Project Summary

Description	Category	Lead Dept.	Guiding Principle	Admin Rating	Page #	Capital Improvement Plan Total	Forecast 2014/15	Forecast 2015/16	Forecast 2016/17	Forecast 2017/18	Forecast 2018/19
EQUIPMENT REPLACEMENT FUND CONTINUED											
Squad - #119 (Police)	Vehicle	Community Services	B	46	ERV 36	40,000	-	-	40,000	-	-
Squad - #120 (Police)	Vehicle	Community Services	B	46	ERV 37	40,000	-	-	-	40,000	-
Squad - #122 (Police)	Vehicle	Community Services	B	46	ERV 38	33,000	-	-	33,000	-	-
Squad - #123 (Police)	Vehicle	Community Services	B	46	ERV 39	35,000	-	-	-	-	35,000
Truck - #341 (CS)	Vehicle	Community Services	B	52	ERV 40	45,000	-	-	-	-	45,000
Truck - #342 (CS)	Vehicle	Community Services	B	46	ERV 41	40,000	-	-	40,000	-	-
Unmarked Vehicle - #102 (Police)	Vehicle	Community Services	D	32	ERV 42*	32,000	32,000	-	-	-	-
FUND TOTAL						4,898,500	719,000	1,359,500	681,000	827,000	1,312,000
WATER & SEWER FUND											
Fire/Security Alarms for Wells 8, 9, 12	Building Improvement	Community Services	B	52	WS 1	37,000	37,000	-	-	-	-
Water Treatment Plant Dehumidification	Building Improvement	Community Services	B	57	WS 2	28,000	14,000	14,000	-	-	-
Ion Exchange Filter Media Replacement	Equipment	Community Services	A	93	WS 3	355,000	-	130,000	75,000	75,000	75,000
Lift Station Traffic Box & Control Panels	Equipment	Community Services	B	75	WS 4	140,000	-	40,000	30,000	30,000	40,000
Meter Reading Equipment Replacement	Equipment	Community Services	C	53	WS 5	100,000	-	100,000	-	-	-
Radio Read Meter Conversion	Equipment	Community Services	C	46	WS 6	86,400	86,400	-	-	-	-
SCADA Installation Lift Stations	Equipment	Community Services	B	58	WS 7	90,000	-	-	-	45,000	45,000
Water Distribution Leak Detection Equip.	Equipment	Community Services	B	78	WS 8	30,000	30,000	-	-	-	-
Water Meter Replacement	Equipment	Community Services	B	92	WS 9	500,000	100,000	100,000	100,000	100,000	100,000
Well Pump Motor Starters - Wells 7-10	Equipment	Community Services	A	89	WS 10	150,000	-	30,000	40,000	40,000	40,000
Column Pipe Replacement - Wells	Infrastructure	Community Services	A	80	WS 11	270,500	30,400	138,200	32,000	34,500	35,400
Interceptor Sewer Segment Lining (BOND)	Infrastructure	Community Services	A	91	WS 12	2,800,000	2,800,000	-	-	-	-
Ion Exchange - Well #11	Infrastructure	Community Services	A	80	WS 13	2,200,000	-	-	-	1,000,000	1,200,000
JULIE Locate Equipment Replacement	Infrastructure	Community Services	B	45	WS 14	13,000	-	13,000	-	-	-
Lift Station Replace/Relocate - Mionske/TIF	Infrastructure	Community Services	A	52	WS 15	4,500,000	-	-	4,500,000	-	-
Sanitary Sewer Improve. - Buffalo Creek	Infrastructure	Community Services	C	79	WS 16	550,000	50,000	500,000	-	-	-
Sanitary Sewer Lining - Rt 12 & Heights	Infrastructure	Community Services	B	84	WS 17	40,000	-	40,000	-	-	-
Sanitary Sewer Replace/Repair	Infrastructure	Community Services	A	108	WS 18	1,000,000	-	250,000	250,000	250,000	250,000
Water Main Abandon - Rt 22 & Whitney	Infrastructure	Community Services	B	71	WS 19	75,000	-	-	-	75,000	-
Water Main Replace - Robertson & Ironwood	Infrastructure	Community Services	C	66	WS 20	650,000	-	650,000	-	-	-
Water Main Replace. - Maple & Terrace	Infrastructure	Community Services	A	76	WS 21	400,000	400,000	-	-	-	-
Water Main Replace. - Rt. 12	Infrastructure	Community Services	B	62	WS 22	800,000	-	-	800,000	-	-
Water Main Upgrade - 4" to 8"	Infrastructure	Community Services	B	64	WS 23	500,000	-	-	-	-	500,000
Water Tower Painting - Paulus Park	Infrastructure	Community Services	B	76	WS 24	259,000	132,000	-	-	-	127,000

Guiding Principles:

A - Protection of Human Health B - Preservation of Public Private Assets

C - Enhances Quality of Life D - All Other

Description	Category	Lead Dept.	Guiding Principle	Admin Rating	Page #	Capital Improvement Plan Total	Forecast 2014/15	Forecast 2015/16	Forecast 2016/17	Forecast 2017/18	Forecast 2018/19
WATER & SEWER FUND CONTINUED											
Backhoe Replacement (50%)	Vehicle	Community Services	B	57	WS 25	60,000	-	60,000	-	-	-
Dump Truck - #410D	Vehicle	Community Services	B	54	WS 26	130,000	-	-	130,000	-	-
Sedan - #370	Vehicle	Community Services	B	49	WS 27	35,000	-	-	-	-	35,000
Truck - #430	Vehicle	Community Services	B	49	WS 28	35,000	-	-	-	35,000	-
Truck - #433	Vehicle	Community Services	B	47	WS 29	75,000	-	-	-	-	75,000
Truck - #437	Vehicle	Community Services	B	53	WS 30	75,000	-	-	-	-	75,000
Vactor Truck - #532	Vehicle	Community Services	B	61	WS 31	250,000	-	-	-	250,000	-
FUND TOTAL						16,233,900	3,679,800	2,065,200	5,957,000	1,934,500	2,597,400
GRAND TOTAL						34,985,400	7,457,800	8,462,700	8,780,000	4,558,500	5,726,400

CAPITAL PROJECT FUND

PURPOSE OF FUND: Accumulate monies necessary to fund village expenditures for capital purposes that are to be funded by general revenues of the village and do not fit into proper or allowed expenditure types of the other funds listed. Without a capital project fund, these projects would need be charged to the General Fund. The Capital Project Fund allows the village to smooth out funding for capital projects and more efficiently account for the needs and spending for reporting, budgeting and analysis purposes. Main categories for this fund are major building and land improvements

PRIMARY REVENUE SOURCE: Operating Transfer from General Fund

OTHER REVENUES SOURCES: Grant Proceeds & Interest Income. Formerly, the Motor Fuel Tax (MFT) Fund has also provided an operating transfer, but going forward, any MFT eligible expenditures will be charged directly to the MFT fund for better tracking and accountability.

COMMENTS: While the operating transfer will put a small strain on the General Fund in the current condition, some funding for maintaining existing assets has become critical and needs to be a priority of the village. If left too long, what may be minor repairs or maintenance now can become major expenditures in future years.

Capital Project Fund Financial Impact Statement

	2010/11	2011/12	2012/13	2013/14	2014/15	2015/16	2016/17	2017/18	2018/19
	Actual	Actual	Actual	Projected	Forecast	Forecast	Forecast	Forecast	Forecast
Fund Balance, May 1st	2,110,895	2,732,370	2,384,071	2,247,067	328,330	115,430	(898,410)	(1,075,087)	(1,104,597)
Total Revenues and Other Sources	1,111,216	994,966	945,601	223,800	312,100	932,160	221,323	221,490	221,659
Operating Expenditures	423,830	28,414	65,124	3,800	6,000	4,000	6,000	4,000	6,000
Capital Items	65,911	1,314,851	1,017,481	2,138,737	519,000	1,942,000	392,000	247,000	267,000
Total Expenditures and Other Uses	489,741	1,343,265	1,082,605	2,142,537	525,000	1,946,000	398,000	251,000	273,000
Excess (Deficiency) of Revenue over Expend	621,475	(348,299)	(137,004)	(1,918,737)	(212,900)	(1,013,840)	(176,677)	(29,510)	(51,341)
Fund Balance, April 30th	2,732,370	2,384,071	2,247,067	328,330	115,430	(898,410)	(1,075,087)	(1,104,597)	(1,155,938)

For Capital Items, see next page(s)

Capital Project Fund Financial Impact Statement

		2010/11	2011/12	2012/13	2013/14	2014/15	2015/16	2016/17	2017/18	2018/19
		Actual	Actual	Actual	Projected	Forecast	Forecast	Forecast	Forecast	Forecast
Capital Outlay										
Building & Building Improvements										
Roof Replacement - 351 Lions					23,667	-	-	-	-	-
HVAC Unit - St. 1					3,050	-	-	-	-	-
St. Alert Upgrade/Replace					18,400	-	-	-	-	-
Window Replacement - Attic St. 1					18,000	-	-	-	-	-
File Room Construction - PW					25,000	-	-	-	-	-
Flooding Village Hall					22,608	-	-	-	-	-
Roof 351 Lions (50%)					23,667	-	-	-	-	-
Critical VH Improvements					30,000	-	-	-	-	-
Carpet Replace. - Police Facility	CIP 1				-	-	-	-	10,000	-
Exterior Improvements - Village Hall	CIP 2				-	80,000	-	-	-	-
Floor Repairs - 505 Telser	CIP 3				-	15,000	-	-	-	-
Floor Repairs - Fleet Services	CIP 4				-	-	35,000	-	-	-
Floor Repairs - Vehicle Storage	CIP 5				-	-	-	65,000	-	-
Fuel Pump Replacements	CIP 6				-	-	50,000	-	-	-
HVAC Unit Replace.- Police Facility	CIP 7				20,947	-	-	30,000	-	-
Lighting Replacement - Village Hall	CIP 8				-	20,000	-	-	-	-
Radiant Heat Overhaul - 505 Telser	CIP 9				-	25,000	-	-	-	-
Roof Ice Damming - Station 1	CIP 10				-	-	30,000	-	-	-
Roof Repair/ Replace. - Station 1	CIP 11				-	-	80,000	-	-	-
Roof Replace. - Police Facility	CIP 12				-	-	-	35,000	-	-
Waterproofing of 505 Telser Exterior	CIP 13				-	12,000	-	-	-	-
Window Replacement - Station 1	CIP 14				-	-	-	-	-	30,000
Total Building & Bldg Improvements		14,624	34,788	46,332	185,339	152,000	195,000	130,000	10,000	30,000

**Capital Project Fund
Financial Impact Statement**

		2010/11	2011/12	2012/13	2013/14	2014/15	2015/16	2016/17	2017/18	2018/19
		Actual	Actual	Actual	Projected	Forecast	Forecast	Forecast	Forecast	Forecast
Capital Outlay - Continued										
Land & Land Improvements										
Demolition Landscaping					1,038	-	-	-	-	-
Noise Mitigation Improvements					1,783,407	-	-	-	-	-
EAB Remove & Replace	CIP 15				108,000	224,000	224,000	224,000	224,000	224,000
EAB Remove & Replace - Grant	CIP 16				-	13,000	13,000	13,000	13,000	13,000
Parking Lot & Curb Repair	CIP 17				-	-	-	25,000	-	-
Parking Lot Resurface - 505 Telser	CIP 18				-	-	400,000	-	-	-
Welcome Signs for Village	CIP 19				-	25,000	-	-	-	-
Total Land Improvements		-	6,925	28,955	1,892,445	262,000	637,000	262,000	237,000	237,000
Machinery and Equipment										
Air Compressor - Station 1					5,000	-	-	-	-	-
Total Machinery & Equipment		-	35,576	29,654	5,000	-	-	-	-	-
Other Improvements										
Outfall Improvements - Lake					10,000	-	-	-	-	-
Sidewalk - S. Old Rand and Surryse					20,953	-	-	-	-	-
Total Other Improvements		51,287	87,984	18,435	30,953	-	-	-	-	-
Roadway Improvements										
Right Turn Lane - Ela Road / Rt 12	CIP 20				20,000	90,000	900,000	-	-	-
Safe Route to School Isaac Fox	CIP 21				5,000	15,000	210,000	-	-	-
Total Roadway Improvements		-	1,149,578	894,105	25,000	105,000	1,110,000	-	-	-

Primary GP	B
Admin Rating	34

Responsible Department			Police Department			
Project Name:	Carpeting - Police High-Traffic Areas				Proposed Budget Code:	40124001-5530
Project Description & Justification	The Police Facility is experiencing normal wear-and-tear of high-traffic carpeted areas					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
					XX	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					XX	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
					XX	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
		XX				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
			XX			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
				XX		
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
				XX		
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
			XX			
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
					XX	
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			XX			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	XX					
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)

<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)

<input type="checkbox"/>	Land Improvement	<input type="checkbox"/>	Vehicle
<input checked="" type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Equipment	<input type="checkbox"/>	Technology

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	-	-	10,000	-	-	10,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	-	-	10,000	-	-	10,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: P Finlon
Date: 10/11/13

Primary GP	B
Admin Rating	51

			Responsible Department		Community Services	
Project Name:	Exterior Improvements and Paint - Village Hall			Proposed Budget Code:	40136043-5530	
Project Description & Justification	Village Hall facade maintenance, improvements and window replacements for an aging building. Half the cost is for the façade and the other half for window replacement to save on energy and maintenance costs. Either project could be done independent of the other however best achieved simultaneously for best pricing and minimal impact to operations.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
			x			
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					x	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
				x		
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
		x				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
			x			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
				x		
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
				x		
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
				x		
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
					x	
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
		x				
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	x					
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input checked="" type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact							
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19
Project Costs	-	80,000	-	-	-	-	-
On-Going Maint.	-	-	-	-	-	-	-
Total Project Costs	-	80,000	-	-	-	-	80,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	M Brown
Date:	10/03/13

Primary GP	B
Admin Rating	51

Responsible Department			Community Services		
Project Name:	Flooring Repairs / Replacement Community Services			Proposed Budget Code:	40136043-5530
Project Description & Justification	Replacement of worn and damaged flooring				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
			x		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					x
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
				x	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
		x			
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
			x		
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
				x	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
				x	
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
			x		
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
					x
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			x		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
	x				
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input checked="" type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	15,000	-	-	-	-	-	15,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	15,000	-	-	-	-	-	15,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	M Brown
Date:	10/03/13

Primary GP	B
Admin Rating	60

Responsible Department			Community Services			
Project Name:	Flooring Repairs - Fleet Maintenance				Proposed Budget Code:	40136071-5530
Project Description & Justification	Repair and re-seal shop floor at 505 Telser					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
			x			
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					x	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
					x	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
		x				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
			x			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
				x		
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
				x		
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
			x			
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
					x	
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
		x				
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	x					
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)			
<input type="checkbox"/>	Land Improvement	<input type="checkbox"/>	Vehicle
<input checked="" type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Equipment	<input type="checkbox"/>	Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	35,000	-	-	-	-	35,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	35,000	-	-	-	-	35,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	M Brown
Date:	10/03/13

Primary GP	B
Admin Rating	44

Responsible Department			Community Services		
Proposed Budget Code:			40136043-5530		
Project Name:	Flooring Repairs - Vehicle Storage				
Project Description & Justification	Repair and re-seal shop floor at 505 Telser				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
			x		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					x
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
					x
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
		x			
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
			x		
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
				x	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
				x	
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
			x		
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
					x
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
		x			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
	x				
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)			
<input type="checkbox"/>	Land Improvement	<input type="checkbox"/>	Vehicle
<input checked="" type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Equipment	<input type="checkbox"/>	Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	-	65,000	-	-	-	65,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	-	65,000	-	-	-	65,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	M Brown
Date:	10/03/13

Primary GP	B
Admin Rating	65

Responsible Department			Community Services		
Project Name: Fuel Pump Replacement			Proposed Budget Code: 40136071-5530		
Project Description & Justification: Replace existing fuel pumps at 505 Telser. Existing pump is almost 20 years old. Parts and repairs are becoming difficult to obtain due to age.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
			x		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					x
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
				x	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
		x			
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
		x			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
				x	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
		x			
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
		x			
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
					x
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			x		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
	x				
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input checked="" type="checkbox"/>	Equipment
<input type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	50,000	-	-	-	-	50,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	50,000	-	-	-	-	50,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	M Brown
Date:	10/03/13

Primary GP	B
Admin Rating	58

Responsible Department			Police Department			
Project Name:	HVAC Replacement - Police Station				Proposed Budget Code:	40124001-5530
Project Description & Justification	As the Police Department is used and ages, it will become necessary to replace Rooftop Units that operate better and are more energy efficient. RTU #2					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
			XX			
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					XX	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
				XX		
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
			XX			
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
		XX				
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
				XX		
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
			XX			
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
			XX			
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
		XX				
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			XX			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	XX					
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)

<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)

<input type="checkbox"/>	Land Improvement	<input type="checkbox"/>	Vehicle
<input checked="" type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Equipment	<input type="checkbox"/>	Technology

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	-	30,000	-	-	-	30,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	-	30,000	-	-	-	30,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: P Finlon
Date: 10/11/13

Primary GP	C
Admin Rating	59

			Responsible Department		Community Services
Project Name:	Lighting Replacement - Village Hall			Proposed Budget Code:	40136043-5530
Project Description & Justification	Light fixture replacement. Current fixtures have become antiquated and it is becoming increasingly difficult to get parts.				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
		DCEO			
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					x
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
					x
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
	x				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
		x			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
				x	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
				x	
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
			x		
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
					x
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
		x			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
	x				
List any obstacles for implementation	Possible grant funds available, up to 70% reimbursed.				

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)			
<input type="checkbox"/>	Land Improvement	<input type="checkbox"/>	Vehicle
<input checked="" type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Equipment	<input type="checkbox"/>	Technology

Financial Impact								
	Life-To-Date*	2014/152015/162016/172017/182018/19					Beyond '2018/19	Total
Project Costs	-	20,000	-	-	-	-	-	20,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	20,000	-	-	-	-	-	20,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	M Brown
Date:	10/03/13

Primary GP	B
Admin Rating	63

Responsible Department			Community Services		
Proposed Budget Code:			40136043-5530		
Project Name:	Radiant Heat - 505 Telser				
Project Description & Justification	Repairs to existing CS heating system				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
			x		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					x
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
		x			
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
		x			
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
			x		
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
				x	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
		x			
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
			x		
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
					x
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			x		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
	x				
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)			
<input type="checkbox"/>	Land Improvement	<input type="checkbox"/>	Vehicle
<input checked="" type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Equipment	<input type="checkbox"/>	Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	25,000	-	-	-	-	-	25,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	25,000	-	-	-	-	-	25,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	M Brown
Date:	10/03/13

Primary GP	B
Admin Rating	75

Project Name: Roof Ice Damming System			Responsible Department: Fire		Proposed Budget Code: 40125001-5530	
Project Description & Justification: Fire Station 1 has an ice damming system installed on the North half of the structure. This was installed due to excessive ice damming causing water to leak into the facility.						
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
			X			
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					X	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
		X				
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
		X				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
			X			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
				X		
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
		X				
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
		X				
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
		X				
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
		X				
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	X					
List any obstacles for implementation	Should be funded with roof replacement, otherwise new roof is likely to be damaged by recurring ice damming. COMPETES FOR FUNDING PRIORITY OF VILLAGE PROJECTS					

Guiding Principles Priority - (Choose One Best Fit)

- | | |
|-------------------------------------|---|
| <input type="checkbox"/> | (A) Protection of Human Health |
| <input checked="" type="checkbox"/> | (B) Preservation of Public & Private Assets |
| <input type="checkbox"/> | (C) Enhances Quality of Life |
| <input type="checkbox"/> | (D) All Other Activities & Expenditures |

Category of Capital Expenditures (Choose One Best Fit)

- | | | | |
|-------------------------------------|----------------------|--------------------------|----------------|
| <input type="checkbox"/> | Land Improvement | <input type="checkbox"/> | Vehicle |
| <input checked="" type="checkbox"/> | Building Improvement | <input type="checkbox"/> | Infrastructure |
| <input type="checkbox"/> | Equipment | <input type="checkbox"/> | Technology |

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	30,000	-	-	-	-	30,000
On-Going Maint.			-	-		-	-	-
Total Project Costs	-	-	30,000	-	-	-	-	30,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: DEPUTY CHIEF

Date: 10/03/13

Primary GP	B
Admin Rating	66

Project Name: Roof Repairs/Replace - Fire Station 1			Responsible Department: Fire		Proposed Budget Code: 40125001-5530	
Project Description & Justification: FS 1 has a complex roof system that was added to the building 24 years ago to address the flat roof of the original design. The current roof has had minor repairs over a period of years to valleys and gutters. Recommend funding in the next few years to safeguard the facility under the roof. Cost also includes R&R of the current ice damming system.						
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
			X			
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
				X		
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
	X					
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
			X			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
				X		
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
			X			
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
		X				
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
		X				
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	X					
List any obstacles for implementation	COMPETES FOR FUNDING PRIORITY OF VILLAGE PROJECTS					

Guiding Principles Priority - (Choose One Best Fit)

- | | |
|-------------------------------------|---|
| <input type="checkbox"/> | (A) Protection of Human Health |
| <input checked="" type="checkbox"/> | (B) Preservation of Public & Private Assets |
| <input type="checkbox"/> | (C) Enhances Quality of Life |
| <input type="checkbox"/> | (D) All Other Activities & Expenditures |

Category of Capital Expenditures (Choose One Best Fit)

- | | | | |
|-------------------------------------|----------------------|--------------------------|----------------|
| <input type="checkbox"/> | Land Improvement | <input type="checkbox"/> | Vehicle |
| <input checked="" type="checkbox"/> | Building Improvement | <input type="checkbox"/> | Infrastructure |
| <input type="checkbox"/> | Equipment | <input type="checkbox"/> | Technology |

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-		80,000	-		-	-	80,000
On-Going Maint.			-	-		-	-	-
Total Project Costs	-	-	80,000	-	-	-	-	80,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: DEPUTY CHIEF

Date: 10/03/13

Primary GP	B
Admin Rating	46

			Responsible Department		Police Department	
Project Name:	Roof Repairs/Replace - Firearms Range			Proposed Budget Code:	40124001-5530	
Project Description & Justification	Firearms Range Roof leaks during heavy rains and specific wind conditions					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
			XX			
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					XX	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
					XX	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
		XX				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
			XX			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
				XX		
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
				XX		
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
			XX			
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
		XX				
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			XX			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	XX					
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)

<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)

<input type="checkbox"/>	Land Improvement	<input type="checkbox"/>	Vehicle
<input checked="" type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Equipment	<input type="checkbox"/>	Technology

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	-	35,000	-	-	-	35,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	-	35,000	-	-	-	35,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: P Finlon
Date: 10/11/13

Primary GP	B
Admin Rating	36

Project Name: Waterproofing of 505 Telser			Responsible Department		Community Services
Proposed Budget Code:			40136043-5530		
Project Description & Justification	Building exterior stone needs to be sealed and waterproofed				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
			x		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					x
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
					x
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
		x			
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
			x		
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
				x	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
				x	
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
				x	
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
					x
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			x		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
	x				
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)			
<input type="checkbox"/>	Land Improvement	<input type="checkbox"/>	Vehicle
<input checked="" type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Equipment	<input type="checkbox"/>	Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	12,000	-	-	-	-	12,000	24,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	12,000	-	-	-	-	12,000	24,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	M Brown
Date:	10/03/13

Primary GP	B
Admin Rating	72

Project Name: Window Replacement - Fire Station			Responsible Department: Fire		Proposed Budget Code: 40125001-5530	
Project Description & Justification: Fire Station 1 will be 38 years old at this point in the plan. Many windows in the facility have come to an age in which they are not used due to failing operators and latches. Our building is a transitional facility imbedded in a residential setting. Target first floor windows.						
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
			X			
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					X	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
		X				
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
		X				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
			X			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
				X		
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
			X			
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
		X				
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
		X				
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
		X				
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	X					
List any obstacles for implementation	COMPETES FOR FUNDING PRIORITY OF VILLAGE PROJECTS					

Guiding Principles Priority - (Choose One Best Fit)

- | | |
|-------------------------------------|---|
| <input type="checkbox"/> | (A) Protection of Human Health |
| <input checked="" type="checkbox"/> | (B) Preservation of Public & Private Assets |
| <input type="checkbox"/> | (C) Enhances Quality of Life |
| <input type="checkbox"/> | (D) All Other Activities & Expenditures |

Category of Capital Expenditures (Choose One Best Fit)

- | | | | |
|-------------------------------------|----------------------|--------------------------|----------------|
| <input type="checkbox"/> | Land Improvement | <input type="checkbox"/> | Vehicle |
| <input checked="" type="checkbox"/> | Building Improvement | <input type="checkbox"/> | Infrastructure |
| <input type="checkbox"/> | Equipment | <input type="checkbox"/> | Technology |

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	-	-	-	30,000	-	30,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	-	-	-	30,000	-	30,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: DEPUTY CHIEF

Date: 10/03/13

Primary GP	B
Admin Rating	77

Project Name: EAB Ash Tree Replacement Program			Responsible Department		Community Services
Proposed Budget Code: 40136041-5520					
Project Description & Justification: Removal and replacement of infected Village owned trees. 2800 remaining infested trees. \$800 per tree for removal and replacement over 10 year period.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
			x		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					x
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
			x		
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
			x		
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
	x				
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
			x		
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
		x			
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
		x			
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
		x			
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
	x				
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
	x				
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)

- | | |
|-------------------------------------|---|
| <input type="checkbox"/> | (A) Protection of Human Health |
| <input checked="" type="checkbox"/> | (B) Preservation of Public & Private Assets |
| <input type="checkbox"/> | (C) Enhances Quality of Life |
| <input type="checkbox"/> | (D) All Other Activities & Expenditures |

Category of Capital Expenditures (Choose One Best Fit)

- | | | | |
|-------------------------------------|----------------------|--------------------------|----------------|
| <input checked="" type="checkbox"/> | Land Improvement | <input type="checkbox"/> | Vehicle |
| <input type="checkbox"/> | Building Improvement | <input type="checkbox"/> | Infrastructure |
| <input type="checkbox"/> | Equipment | <input type="checkbox"/> | Technology |

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	224,000	224,000	224,000	224,000	224,000	1,120,000	2,240,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	224,000	224,000	224,000	224,000	224,000	1,120,000	2,240,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: M Brown

Date: 10/03/13

Primary GP	B
Admin Rating	95

Project Name: EAB Tree Removal and Replacement (Grant Eligible)			Responsible Department		Community Services	
Project Description & Justification: Removal and replacement of infected trees			Proposed Budget Code:		40136041-5520	
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
		x				
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
		x				
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
			x			
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
				x		
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
	x					
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
			x			
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
				x		
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
		x				
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
		x				
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
	x					
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	x					
List any obstacles for implementation	Grant denial					

Guiding Principles Priority - (Choose One Best Fit)

- | | |
|-------------------------------------|---|
| <input type="checkbox"/> | (A) Protection of Human Health |
| <input checked="" type="checkbox"/> | (B) Preservation of Public & Private Assets |
| <input type="checkbox"/> | (C) Enhances Quality of Life |
| <input type="checkbox"/> | (D) All Other Activities & Expenditures |

Category of Capital Expenditures (Choose One Best Fit)

- | | | | |
|-------------------------------------|----------------------|--------------------------|----------------|
| <input checked="" type="checkbox"/> | Land Improvement | <input type="checkbox"/> | Vehicle |
| <input type="checkbox"/> | Building Improvement | <input type="checkbox"/> | Infrastructure |
| <input type="checkbox"/> | Equipment | <input type="checkbox"/> | Technology |

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	13,000	13,000	13,000	13,000	13,000		65,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	13,000	13,000	13,000	13,000	13,000	-	65,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: M Brown

Date: 10/03/13

Primary GP	B
Admin Rating	81

			Responsible Department		Fire	
Project Name:	Parking Lot Repairs & Curb Enhancements			Proposed Budget Code:	40125001-5520	
Project Description & Justification	In 2005 the parking area was removed and rebuilt. At that time curbs were not considered for installation. With the introduction of curbs, we can eliminate the pooling of water in grassy areas of the property and redirect runoff to storm water management systems.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
			X			
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
		X				
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
		X				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
		X				
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
				X		
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
		X				
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
		X				
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
		X				
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
		X				
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	X					
List any obstacles for implementation	COMPETES FOR FUNDING PRIORITY OF VILLAGE PROJECTS					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input checked="" type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	-	25,000			-	25,000
On-Going Maint.	-	-	-	-		-	-	-
Total Project Costs	-	-	-	25,000	-	-	-	25,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	DIV KELLY
Date:	10/03/13

Primary GP	B
Admin Rating	60

Responsible Department			Community Services		
Proposed Budget Code:			40136043-5520		
Project Name:	Parking Lot Resurfacing - 505 Telser				
Project Description & Justification	Resurfacing of Community Services parking lot.				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
			x		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					x
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
		x			
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
		x			
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
			x		
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
				x	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
			x		
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
			x		
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
					x
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			x		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
	x				
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input checked="" type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	400,000	-	-	-	-	400,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	400,000	-	-	-	-	400,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	M Brown
Date:	11/04/13

Primary GP	D
Admin Rating	50

Responsible Department			Community Services		
Proposed Budget Code:			40136044-5530		
Project Name:	Welcome Signs for Village				
Project Description & Justification	Replacement of five worn and faded monument signs.				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
			x		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					x
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
					x
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
		x			
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
			x		
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
	x				
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
				x	
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
			x		
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
					x
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
		x			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
	x				
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input checked="" type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input checked="" type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/152015/162016/172017/182018/19					Beyond '2018/19	Total
Project Costs	-	25,000	-	-	-	-	-	25,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	25,000	-	-	-	-	-	25,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	M Brown
Date:	10/03/13

Primary GP	B
Admin Rating	75

Responsible Department			Community Services			
Project Name:	Right Turn - Ela Road and Rt. 12				Proposed Budget Code:	40136044-5540
Project Description & Justification	Installation of north and south bound right turn lanes on US Route 12 at Ela Road. 80% CMAQ / 20% Local (excludes administrative costs, relocation of Village utilities 100% Village cost)					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
	CMAQ					
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					X	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
		X				
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
		X				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
		X				
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
				X		
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
			X			
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
			X			
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
					X	
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			X			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	X					
List any obstacles for implementation	Lake County DOT has approached the Village to take over project in Phase 3 (Construction) with the exception of funding relocation of LZ utilities. After grant funding Village expense is approximately \$250,000.					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input type="checkbox"/>	Vehicle Infrastructure Technology
<input checked="" type="checkbox"/>	

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	82,000	90,000	900,000	-	-	-	-	1,072,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	82,000	90,000	900,000	-	-	-	-	1,072,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	M Brown
Date:	10/03/13

Primary GP	A
Admin Rating	70

Project Name: Safe Routes To School-Issac Fox			Responsible Department: Community Services		Proposed Budget Code: 40136044-5540	
Project Description & Justification: Pedestrian/Bike path installation in multiple locations to provide safe routes to Issac Fox school. 100% Reimbursable (excludes administrative costs)						
Funding Source	Existing Grant Funds Identified for Project SRTS	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard x	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs x	Significant Increase to Operating and/or Personnel Costs		
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution x	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served x		
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue x	
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance x	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals x	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
Recreational or Aesthetic Value	Major Value	Moderate value x	No Value	Possibly Detrimental		
Estimated Frequency of Use	Every Day x	Several Times per Week	Several Times per Month	Once per Month or Less		
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)

- ☒ (A) Protection of Human Health
☐ (B) Preservation of Public & Private Assets
☐ (C) Enhances Quality of Life
☐ (D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)

- | | |
|---|---|
| <input type="checkbox"/> Land Improvement | <input type="checkbox"/> Vehicle Infrastructure Technology |
| <input type="checkbox"/> Building Improvement | <input checked="" type="checkbox"/> Infrastructure Technology |
| <input type="checkbox"/> Equipment | |

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	15,000	15,000	210,000	-	-	-	-	240,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	15,000	15,000	210,000	-	-	-	-	240,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: M Brown

Date: 10/03/13

NON-HOME RULE SALES TAX PROJECT FUND

PURPOSE OF FUND: Accumulate monies necessary to fund village expenditures for public infrastructure and/or property tax relief. State Statute: 65 ILCS 5/8-11

PRIMARY REVENUE SOURCE: Non-Home Rule Sales Tax

OTHER REVENUES SOURCES: Grant Proceeds & Interest Income

COMMENTS: The non-home rule sales tax was enacted with an effective date of July 2011. Funds were previously accounted for in a special revenue fund and were changed to a capital fund for fiscal year 2012/13 forward.

Non-Home Rule Sales Tax Financial Impact Statement

	2010/11	2011/12	2012/13	2013/14	2014/15	2015/16	2016/17	2017/18	2018/19
	Actual	Actual	Actual	Projected	Forecast	Forecast	Forecast	Forecast	Forecast
Fund Balance, May 1st	-	-	-	750,408	1,014,393	660,590	25,300	201,206	613,200
Total Revenues and Other Sources	-	-	1,957,541	1,928,470	1,987,147	2,506,936	2,067,720	2,109,564	2,151,735
Operating Expenditures	-	-	118,189	155,685	164,526	169,463	174,548	179,785	185,179
Minor Capital Outlay	-	-	22,441	20,800	21,424	16,763	17,266	17,785	18,319
Capital Items (See Below)	-	-	1,066,503	1,488,000	2,155,000	2,956,000	1,700,000	1,500,000	1,500,000
Total Expenditures and Other Uses	-	-	1,207,133	1,664,485	2,340,950	3,142,226	1,891,814	1,697,570	1,703,498
Excess (Deficiency) of Revenue over Expend	-	-	750,408	263,985	(353,803)	(635,290)	175,906	411,994	448,237
Fund Balance, April 30th	-	-	750,408	1,014,393	660,590	25,300	201,206	613,200	1,061,437

Capital Outlay

Land & Land Improvements

Detention Basin Improve - Lot 42	NHR 1		5,000	100,000	-	-	-	-
Overflow Route Improve. - Deerpath	NHR 2		5,000	80,000	-	-	-	-
Overflow Route Improve. - Stanton	NHR 3		20,000	80,000	-	-	-	-
Stormwater Improve. - Cedar Creek	NHR 4		40,000	125,000	500,000	-	-	-
Stormwater Improve. - Grand Ave.	NHR 5		3,000	65,000	-	-	-	-
Total Land Improvements		-	-	73,000	450,000	500,000	-	-

Roadway Improvements

Pavement Management Program	NHR 6		-	85,000	-	-	-	-
Road Resurfacing Plan	NHR 7		1,350,000	1,500,000	1,250,000	1,700,000	1,500,000	1,500,000
Sidewalk - Quentin Rd	NHR 8		-	-	16,000	-	-	-
Traffic Signal - Midlothian	NHR 9		65,000	120,000	1,190,000	-	-	-
Total Roadway Improvements		-	-	1,066,503	1,415,000	2,456,000	1,700,000	1,500,000

Primary GP	B
Admin Rating	83

Responsible Department			Community Services			
Project Name:	Detention Basin Improvements - Lot 42				Proposed Budget Code:	40536047-5540
Project Description & Justification	Improvements to existing stormwater infrastructure. Actual budget numbers will be available upon completion of engineering evaluation.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				NHRST		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
				x		
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
		x				
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
	x					
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
		x				
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
				x		
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
		x				
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
		x				
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
					x	
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			x			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
			x			
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact							
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19
Project Costs	5,000	100,000	-	-	-	-	-
On-Going Maint.	-	-	-	-	-	-	-
Total Project Costs	5,000	100,000	-	-	-	-	-

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	M Brown
Date:	10/03/13

Primary GP	B
Admin Rating	72

Project Name: Overflow Route Improvements - Deerpath			Responsible Department		Community Services
Proposed Budget Code:			40536047-5540		
Project Description & Justification: Deerpath Road has overtopped and washed out several times. This project will provide a "hard-armour" improvement to prevent washouts and help reduce occurrences of overtopping the roadway.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
				NHRST	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					x
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
		x			
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
	x				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
		x			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
				x	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
		x			
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
		x			
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
					x
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			x		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
			x		
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)

- | | |
|-------------------------------------|---|
| <input type="checkbox"/> | (A) Protection of Human Health |
| <input checked="" type="checkbox"/> | (B) Preservation of Public & Private Assets |
| <input type="checkbox"/> | (C) Enhances Quality of Life |
| <input type="checkbox"/> | (D) All Other Activities & Expenditures |

Category of Capital Expenditures (Choose One Best Fit)

- | | | | |
|--------------------------|----------------------|-------------------------------------|----------------|
| <input type="checkbox"/> | Land Improvement | <input type="checkbox"/> | Vehicle |
| <input type="checkbox"/> | Building Improvement | <input checked="" type="checkbox"/> | Infrastructure |
| <input type="checkbox"/> | Equipment | <input type="checkbox"/> | Technology |

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	5,000	80,000						85,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	5,000	80,000	-	-	-	-	-	85,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: M Brown

Date: 10/03/13

Primary GP	B
Admin Rating	81

Responsible Department			Community Services			
Project Name:	Overflow Route Improvements - Stanton				Proposed Budget Code:	40536047-5540
Project Description & Justification	Buffalo Creek emergency overflow across Stanton Road has washed out several times. This project will provide a "hard-armour" improvement to prevent washouts.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				NHRST		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
				x		
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
		x				
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
	x					
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
		x				
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
				x		
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
		x				
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
		x				
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
					x	
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			x			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
				x		
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)

<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)

<input type="checkbox"/>	Land Improvement	<input type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input checked="" type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Equipment	<input type="checkbox"/>	Technology

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	20,000	80,000	-	-	-	-	-	100,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	20,000	80,000	-	-	-	-	-	100,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: M Brown
 Date: 10/03/13

Primary GP	B
Admin Rating	89

		Responsible Department		Community Services	
Project Name:	Stormwater Improvements - Cedar Creek			Proposed Budget Code:	40536047-5540
Project Description & Justification	Improvements to existing stormwater infrastructure. Actual budget numbers will be available January-February 2014				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
		HMGP		NHRST	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
				x	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
	x				
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
	x				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
		x			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
				x	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
		x			
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
		x			
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
					x
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			x		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
			x		
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact							
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19
Project Costs	40,000	125,000	500,000				665,000
On-Going Maint.	-	-	-	-	-	-	-
Total Project Costs	40,000	125,000	500,000	-	-	-	665,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	M Brown
Date:	10/03/13

Primary GP	B
Admin Rating	83

Responsible Department			Community Services			
Project Name:	Stormwater Improvements - Grand Avenue				Proposed Budget Code:	40536047-5540
Project Description & Justification	Improvements to existing stormwater infrastructure. Actual budget numbers will be available upon completion of an engineering evaluation.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				NHRST		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
				x		
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
		x				
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
	x					
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
		x				
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
				x		
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
		x				
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
		x				
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
					x	
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			x			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
			x			
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact							
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19
Project Costs	3,000	65,000	-	-	-	-	-
On-Going Maint.	-	-	-	-	-	-	-
Total Project Costs	3,000	65,000	-	-	-	-	68,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	M Brown
Date:	10/03/13

Primary GP	B
Admin Rating	44

Responsible Department			Community Services		
Project Name: Pavement Management Program			Proposed Budget Code: 40536044-5540		
Project Description & Justification: The current 20 year road resurfacing program is unsustainable. A pavement management program will inventory the Village's current road system and provide annual road project recommendations based on need and available budget.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
				NHRST	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					x
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
					x
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
	x				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
			x		
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
	x				
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
			x		
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
				x	
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
					x
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			x		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
				x	
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input type="checkbox"/>	Vehicle Infrastructure Technology
<input checked="" type="checkbox"/>	

Financial Impact							
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19
Project Costs	-	85,000	-	-	-	-	-
On-Going Maint.	-	-	-	-	-	-	-
Total Project Costs	-	85,000	-	-	-	-	85,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	M Brown
Date:	10/03/13

Primary GP	B
Admin Rating	66

Responsible Department			Community Services		
Proposed Budget Code:			40536044-5540		
Project Name: Road Resurfacing Annual Program					
Project Description & Justification: Annual road resurfacing project.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
				NHRST	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					x
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
		x			
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
		x			
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
			x		
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
				x	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
		x			
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
			x		
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
					x
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			x		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
	x				
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	20,000	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000	9,020,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	20,000	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000	9,020,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	M Brown
Date:	10/03/13

Primary GP	B
Admin Rating	37

Responsible Department			Community Services		
Proposed Budget Code:			40536044-5540		
Project Name:	Sidewalk - Quentin Road				
Project Description & Justification	Construction of new sidewalk from White Pine Road to IL Route 22 in conjunction with Kildeer and LCDOT				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
				NHRST	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					X
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
					X
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
		X			
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
			X		
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
				X	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
					X
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
		X			
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
					X
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			X		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
		X			
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input type="checkbox"/>	Vehicle Infrastructure Technology
<input checked="" type="checkbox"/>	

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	16,000	-	-	-	-	16,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	16,000	-	-	-	-	16,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	M Brown
Date:	10/03/13

Primary GP	A
Admin Rating	76

Responsible Department			Community Services		
Proposed Budget Code:			40535044-5540		
Project Name: Traffic Signal - Midlothian					
Project Description & Justification Installation of traffic signal on Midlothian at Lake Zurich High School. Drainage improvements on Main Street. High Priority Project grant for \$480,000 earmarked for project.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
	HPP			NHRST	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					x
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
		x			
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
		x			
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
			x		
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
			x		
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
		x			
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
		x			
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
					x
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			x		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
	x				
List any obstacles for implementation Participation from District 95 per IGA.					

Guiding Principles Priority - (Choose One Best Fit)	
<input checked="" type="checkbox"/>	(A) Protection of Human Health
<input type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)			
<input type="checkbox"/>	Land Improvement	<input type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input checked="" type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Equipment	<input type="checkbox"/>	Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	65,000	120,000	1,190,000	-	-	-	-	1,375,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	65,000	120,000	1,190,000	-	-	-	-	1,375,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	M Brown
Date:	10/03/13

PARK IMPROVEMENT FUND

PURPOSE OF FUND: Accumulate monies necessary to fund village expenditures for park improvements and corresponding costs

PRIMARY REVENUE SOURCE: No current source.

Formerly deposits from developers.

OTHER REVENUES SOURCES: Interest Income and Small Donations

COMMENTS: The projects submitted include items from both the Park Advisory Board and the Village. Due to limited available funds, several listed projects have been cut based on criticality to providing core services and maintaining existing improvements. Should the Village and the Park Advisory Board agree to re-prioritize the projects listed, other currently funded sources would need to be cut or additional funding sources identified. The General Fund is not a viable funding source at this point in time.

Park Improvement Fund Financial Impact Statement

	2010/11	2011/12	2012/13	2013/14	2014/15	2015/16	2016/17	2017/18	2018/19
	Actual	Actual	Actual	Projected	Forecast	Forecast	Forecast	Forecast	Forecast
Fund Balance, May 1st	788,872	797,303	766,797	740,084	384,518	81,018	24,018	(24,982)	(73,982)
Total Revenues and Other Sources	52,221	20,314	11,535	14,369	5,500	5,500	5,500	5,500	5,500
Operating Expenditures	-	24,497	4,702	13,935	14,000	12,500	4,500	4,500	4,500
Capital (Detail Below)	43,790	22,861	33,546	356,000	295,000	50,000	50,000	50,000	50,000
Total Expenditures and Other Uses	43,790	47,358	38,248	369,935	309,000	62,500	54,500	54,500	54,500
Excess (Deficiency) of Revenue over Expend	8,431	(27,044)	(26,713)	(355,566)	(303,500)	(57,000)	(49,000)	(49,000)	(49,000)
Fund Balance, April 30th	797,303	770,259	740,084	384,518	81,018	24,018	(24,982)	(73,982)	(122,982)

Capital Outlay

Building & Building Improvements									
Concrete Steps - Preschool				5,000	-	-	-	-	-
Roof - Buffalo Creek				100,000	-	-	-	-	-
Security System - Concession				8,000	-	-	-	-	-
Restroom Renovations - Parks	PIF 1			-	120,000	-	-	-	-
Total Building & Bldg Improvements		-	11,251	20,439	113,000	120,000	-	-	-
Land Improvements									
Concrete Steps - Paulus Park				10,000	-	-	-	-	-
Parking Lot - Countryside West				50,000	-	-	-	-	-
Playground - Kids Kingdom				170,000	-	-	-	-	-
Skate Park				8,000	-	-	-	-	-
Amphitheater	PIF 2			-	75,000	-	-	-	-
Parking Lot Repairs - Meadowbrook	PIF 3			-	50,000	-	-	-	-
Playground Replacement	PIF 4			-	50,000	50,000	50,000	50,000	50,000
Total Land Improvements		43,790	11,610	9,709	238,000	175,000	50,000	50,000	50,000
Machinery and Equipment									
Back-up Pump for Spray Grounds				5,000	-	-	-	-	-
Total Machinery & Equipment		-	-	3,398	5,000	-	-	-	-

Primary GP	B
Admin Rating	68

			Responsible Department		CS/PR	
Project Name: Restroom renovations			Proposed Budget Code:		40267900-5530	
Project Description & Justification Restroom renovations at Paulus Park and Breezewald Park to update current facilities.						
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Park Improvement	No Identified Funding	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input checked="" type="checkbox"/>	Equipment
<input type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact							
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19
Project Costs	-	120,000					
On-Going Maint.	-	-	-	-	-	-	-
Total Project Costs	-	120,000	-	-	-	-	-

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Dave Peterson
Date:	10/04/13

Primary GP	C
Admin Rating	40

			Responsible Department		CS/PR	
Project Name: Amphitheater			Proposed Budget Code:		40267900-5530	
Project Description & Justification Construction of multi-purpose theater at Paulus Park						
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Park Improvement	No Identified Funding	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					x	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
					x	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
		x				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
			x			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
				x		
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
					x	
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
			x			
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
					x	
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
	x					
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
		x				
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input type="checkbox"/>	(B) Preservation of Public & Private Assets
<input checked="" type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input checked="" type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact							
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19
Project Costs	-	75,000					75,000
On-Going Maint.	-	-	-	-	-	-	-
Total Project Costs	-	75,000	-	-	-	-	75,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Dave Peterson
Date:	10/04/13

Primary GP	B
Admin Rating	74

Responsible Department			CS/PR		
Project Name:	Parking Lot Repairs - Meadowbrook		Proposed Budget Code:	40267900-5520	
Project Description & Justification	Resurfacing of failing parking lot				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Park Improvement	No Identified Funding
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input checked="" type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact							
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19
Project Costs	-	50,000					50,000
On-Going Maint.	-	-	-	-	-	-	-
Total Project Costs	-	50,000	-	-	-	-	50,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Dave Peterson
Date:	10/04/13

Primary GP	B
Admin Rating	74

			Responsible Department		CS/PR	
Project Name: Playground Replacement			Proposed Budget Code:		40267900-5520	
Project Description & Justification Replacement of worn, outdated, or unsafe equipment. Funds indicate replacement of one playground annually.						
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Park Improvement	No Identified Funding	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input checked="" type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	50,000	50,000	50,000	50,000	50,000	50,000	300,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	50,000	50,000	50,000	50,000	50,000	50,000	300,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Dave Peterson
Date:	10/04/13

TAX INCREMENT FINANCING FUND(S)

PURPOSE OF FUND: Accumulate monies necessary to account for incoming incremental tax revenues and expenditures related to development and improvements within the TIF district for the betterment of the community

PRIMARY REVENUE SOURCE: Incremental Property Tax (since 2002)

OTHER REVENUES SOURCES: Rental Income, Interest Income and Bond Proceeds. Long-term advances will be necessary from the General Fund to provide funding for debt service payments until the TIF increment can absorb the debt. These advances will be advances similar to an IOU to the General Fund and not be recorded as revenues for the TIF Funds.

COMMENTS: Minimal capital projects have been scheduled for the TIF capital fund. As the funds required to meet debt service principal and interest obligations drastically increases in December 2013, the TIF funds are in serious financial difficulty. The forecast assumes steady increment proceeds, as we are hoping the EAV of the TIF district has finally steadied at the current rate. The payment to the school district is still under discussion and may negatively affect the cash availability of the fund depending on the outcome.

Tax Increment Financing Funds Financial Impact Statement

	2010/11	2011/12	2012/13	2013/14	2014/15	2015/16	2016/17	2017/18	2018/19
	Actual	Actual	Actual	Projected	Forecast	Forecast	Forecast	Forecast	Forecast
Fund Balance, May 1st	12,655,427	12,670,937	12,910,487	13,326,908	12,258,388	11,524,630	10,798,745	10,081,731	9,207,602
Total Revenues and Other Sources	6,080,664	2,163,933	4,756,568	1,938,358	2,449,076	2,524,578	2,600,580	2,600,582	2,650,584
Operating Expenditures	1,078,989	910,003	449,818	360,170	600,630	609,034	602,531	606,119	609,806
Debt Service	4,986,165	907,271	3,771,211	2,426,467	2,492,204	2,551,429	2,715,063	2,868,592	2,993,940
Capital Items (See Below)	-	107,109	119,118	220,241	90,000	90,000	-	-	-
Total Expenditures and Other Uses	6,065,154	1,924,383	4,340,147	3,006,878	3,182,834	3,250,463	3,317,594	3,474,711	3,603,746
Excess (Deficiency) of Revenue over Expend	15,510	239,550	416,421	(1,068,520)	(733,758)	(725,885)	(717,014)	(874,129)	(953,162)
Fund Balance, April 30th	12,670,937	12,910,487	13,326,908	12,258,388	11,524,630	10,798,745	10,081,731	9,207,602	8,254,440
CASH BALANCE, April 30th	396,411	1,950,797	2,270,039	1,201,519	617,761	41,876	(525,138)	(1,249,267)	(2,052,429)

*Fund balance for the TIF Funds is tied up in Property Held for Resale: \$12.3 Million

Capital Outlay

Building & Building Improvements

HVAC Replacements			5,000	-	-	-	-	-
Roof Replacement - 2-10 E Main			45,000	-	-	-	-	-
Facility Renovation - 15 S Old Rand	TIF 1		-	70,000	-	-	-	-
Roof Replacement - 133 W Main	TIF 2		-	-	50,000	-	-	-
Total Building & Bldg Improvements		-	99,323	89,402	50,000	70,000	50,000	-

Land & Land Improvements

Demolition of Vlg Properties	TIF 3			170,241	20,000	40,000	-	-
Total Land Improvements		-	7,786	29,716	170,241	20,000	40,000	-

Primary GP	B
Admin Rating	42

Responsible Department			Community Services			
Project Name:	Facility Renovations - 15 S. Old Rand				Proposed Budget Code:	41036043-5530
Project Description & Justification	Repairs and renovations of existing structure and infrastructure of former Alpine Medical Building.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				x		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					x	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
					x	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
			x			
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
			x			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
				x		
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
			x			
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
			x			
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
					x	
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
		x				
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	x					
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input checked="" type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/152015/162016/172017/182018/19					Beyond '2018/19	Total
Project Costs	-	70,000	-	-	-	-	-	70,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	70,000	-	-	-	-	-	70,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	M Brown
Date:	10/03/13

Primary GP	B
Admin Rating	54

Responsible Department			Community Services		
Proposed Budget Code:			41036043-5530		
Project Name:	Roof Replacement - 133 West Main				
Project Description & Justification	Replacement of existing roof				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
				x	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					x
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
				x	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
		x			
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
			x		
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
				x	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
				x	
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
			x		
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
					x
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			x		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
	x				
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input checked="" type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	50,000	-	-	-	-	50,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	50,000	-	-	-	-	50,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	M Brown
Date:	10/03/13

Primary GP	B
Admin Rating	48

Responsible Department			Community Services		
Proposed Budget Code:			41036043-5520		
Project Name: Demolition of Village Properties Project Description & Justification: Demolition of current properties owned by the village in downtown for new development 36 Mionski, 22 and 44 Park					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
				x	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					x
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
					x
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
	x				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
		x			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
				x	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
				x	
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
	x				
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
					x
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
	x				
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
		x			
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input checked="" type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	20,000	40,000	-	-	-	-	60,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	20,000	40,000	-	-	-	-	60,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	M Brown
Date:	10/03/13

EQUIPMENT REPLACEMENT FUND (New)

PURPOSE OF FUND: Accumulate monies necessary to fund village expenditures for capital purposes that are to be funded by general revenues of the village and do not fit into proper or allowed expenditure types of the other funds listed. Without an equipment replacement fund, these projects would need to be charged to the General Fund. This fund allows for more efficient tracking of major equipment purchases such as vehicles, as well as spotlights the growing concerns of the aging fleet and equipment. Main categories for this fund are vehicles, technology, and major equipment.

PRIMARY REVENUE SOURCE: Operating Transfer from General Fund

OTHER REVENUES SOURCES: Interest Income

COMMENTS: While the operating transfer will put a small strain on the General Fund in the current condition, some funding for maintaining existing assets has become critical and needs to be a priority of the village. Fleet and equipment purchases have been delayed significantly over the past several fiscal years and condition continues to deteriorate. If left too long, what may be minor repairs or maintenance now can become major expenditures in future years. With the creation of this fund, items charged to the General Fund previously will be moved into this fund, reducing the impact to General Fund while affecting this fund instead.

Equipment Replacement Fund Financial Impact Statement

	2010/11	2011/12	2012/13	2013/14	2014/15	2015/16	2016/17	2017/18	2018/19
	Actual	Actual	Actual	Projected	Forecast	Forecast	Forecast	Forecast	Forecast
Fund Balance, May 1st	-	-	-	-	-	29,451	(488,672)	(538,097)	(608,982)
Total Revenues and Other Sources	-	-	-	-	748,451	841,377	631,575	756,115	868,680
Operating Expenditures	-	-	-	-	-	-	-	-	-
Capital Items	-	-	-	-	719,000	1,359,500	681,000	827,000	1,312,000
Total Expenditures and Other Uses	-	-	-	-	719,000	1,359,500	681,000	827,000	1,312,000
Excess (Deficiency) of Revenue over Expend	-	-	-	-	29,451	(518,123)	(49,425)	(70,885)	(443,320)
Fund Balance, April 30th	-	-	-	-	29,451	(488,672)	(538,097)	(608,982)	(1,052,302)

For Capital Items, see next page(s)

-

Equipment Replacement Fund Financial Impact Statement

				2010/11	2011/12	2012/13	2013/14	2014/15	2015/16	2016/17	2017/18	2018/19
				Actual	Actual	Actual	Projected	Forecast	Forecast	Forecast	Forecast	Forecast
Capital Outlay												
Equipment												
Air Compressor #1	ERE 1							-	30,000	-	-	-
Air Compressor #2	ERE 2							-	-	30,000	-	-
Defibrillator Equipment Replace.	ERE 3							35,000	35,000	35,000	35,000	-
Extrication Equipment Replace.	ERE 4							-	28,000	-	-	-
Front End Loader	ERE 5							-	80,000	-	-	-
Mobile Radios for Vehicles	ERE 6							10,000	-	10,000	-	10,000
Power Pro Cots	ERE 7							29,000	-	-	-	-
Radio Console Upgrade	ERE 8							-	-	60,000	-	-
Sewer Rodder	ERE 9							-	-	50,000	-	-
Sewer Rodder	ERE 10							-	-	-	50,000	-
Skid Steer	ERE 11							-	-	70,000	-	-
Skid Steer Track Machine	ERE 12							-	40,000	-	-	-
Total Equipment				-	-	-	-	74,000	213,000	255,000	85,000	10,000
Technology												
Enterprise Software Upgrade	ERT 1							33,000	-	-	-	-
Police E-Citations	ERT 2							-	60,000	-	-	-
Records Management System	ERT 3							115,000	-	-	-	-
Starcom Radio System Migration	ERT 4							-	-	-	117,000	117,000
UHF Microwave Network	ERT 5							-	60,000	-	-	-
Video Monitoring - Security	ERT 6							-	75,000	-	-	-
Total Technology				-	-	-	-	148,000	195,000	-	117,000	117,000
Vehicles												
Ambulance	Fire	241	ERV 1					230,000	-	-	-	-
Ambulance	Fire	242	ERV 2					-	-	-	280,000	-
Backhoe Replace.	CS	510	ERV 3					-	-	-	-	140,000
Backhoe Replace. 50%	CS	XX	ERV 4					-	60,000	-	-	-
Dump Truck	CS	321	ERV 5					-	-	-	-	150,000
Dump Truck	CS	322	ERV 6					-	-	130,000	-	-
Dump Truck	CS	323	ERV 7					-	-	-	135,000	-

Equipment Replacement Fund Financial Impact Statement

				2010/11	2011/12	2012/13	2013/14	2014/15	2015/16	2016/17	2017/18	2018/19
				Actual	Actual	Actual	Projected	Forecast	Forecast	Forecast	Forecast	Forecast
Vehicles Continued												
Dump Truck	CS	326	ERV 8					-	140,000	-	-	-
Dump Truck	CS	331	ERV 9					90,000	-	-	-	-
Dump Truck	CS	332	ERV 10					-	95,000	-	-	-
Dump Truck	CS	334	ERV 11					-	-	-	-	105,000
Fire Engine	Fire	210	ERV 12					-	500,000	-	-	-
Fire Engine	Fire	211	ERV 13					-	-	-	-	520,000
Pickup Truck	Police	121	ERV 14					38,500	-	-	-	-
Pickup Truck	Fire	295	ERV 15					36,000	-	-	-	-
Pickup Truck	CS	343	ERV 16					32,000	-	-	-	-
Police Boat	Police	XX	ERV 17					-	-	-	25,000	-
Sedan	Fire	290	ERV 18					-	-	45,000	-	-
Sedan	Fire	291	ERV 19					-	-	-	-	55,000
Sedan	Fire	293	ERV 20					-	-	-	35,000	-
Sedan	Fire	294	ERV 21					-	-	-	35,000	-
Sedan	Fire	296	ERV 22					-	-	35,000	-	-
Sedan	CS	350	ERV 23					-	-	30,000	-	-
Sedan	CS	362	ERV 24					-	-	40,000	-	-
Sport Utility Vehicle	Police	108	ERV 25					-	43,500	-	-	-
Squad Car	Police	105	ERV 26					38,500	-	-	-	-
Squad Car	Police	107	ERV 27					-	-	-	-	45,000
Squad Car	Police	109	ERV 28					-	40,000	-	-	-
Squad Car	Police	110	ERV 29					-	-	-	-	45,000
Squad Car	Police	111	ERV 30					-	-	-	40,000	-
Squad Car	Police	112	ERV 31					-	40,000	-	-	-
Squad Car	Police	114	ERV 32					-	-	-	-	45,000
Squad Car	Police	115	ERV 33					-	33,000	-	-	-
Squad Car	Police	116	ERV 34					-	-	-	35,000	-
Squad Car	Police	117	ERV 35					-	-	33,000	-	-
Squad Car	Police	119	ERV 36					-	-	40,000	-	-
Squad Car	Police	120	ERV 37					-	-	-	40,000	-
Squad Car	Police	122	ERV 38					-	-	33,000	-	-

Equipment Replacement Fund Financial Impact Statement

				2010/11	2011/12	2012/13	2013/14	2014/15	2015/16	2016/17	2017/18	2018/19
				Actual	Actual	Actual	Projected	Forecast	Forecast	Forecast	Forecast	Forecast
Vehicles Continued												
Squad Car	Police	123	ERV 39					-	-	-	-	35,000
Truck	CS	341	ERV 40					-	-	-	-	45,000
Truck	CS	342	ERV 41					-	-	40,000	-	-
Unmarked Vehicle	Police	102	ERV 42					32,000	-	-	-	-
Total Vehicles				-	-	-	-	497,000	951,500	426,000	625,000	1,185,000

Primary GP	B
Admin Rating	44

Responsible Department	CS-General Services
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Project Name:	Air Compressor Replacement					Proposed Budget Code:	61536075-5550	
Project Description & Justification	Replacement of Leroi Air Compressor.							
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding			
				Equipment Replace.				
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability			
					x			
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue			
					x			
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs				
	x							
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution			
			x					
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served				
		x						
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue			
				x				
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance			
		x						
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff			
					x			
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental				
			x					
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less				
			x					
List any obstacles for implementation								

Guiding Principles Priority - (Choose One Best Fit)

<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)

<input type="checkbox"/>	Land Improvement	<input type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input checked="" type="checkbox"/>	Equipment	<input type="checkbox"/>	Technology

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	30,000	-	-	-	-	30,000
On-Going Maint.	-	-		-		-	-	-
Total Project Costs	-	-	30,000	-	-	-	-	30,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	44

Responsible Department	CS-General Services
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Project Name:	Air Compressor #2					Proposed Budget Code:	61536075-5550	
Project Description & Justification	Replacement of Leroi Air Compressor #2							
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding			
				Equipment Replace.				
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability			
					x			
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue			
					x			
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs				
	x							
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution			
			x					
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served				
		x						
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue			
				x				
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance			
		x						
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff			
					x			
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental				
			x					
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less				
			x					
List any obstacles for implementation								

Guiding Principles Priority - (Choose One Best Fit)

<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)

<input type="checkbox"/>	Land Improvement	<input type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input checked="" type="checkbox"/>	Equipment	<input type="checkbox"/>	Technology

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	-	30,000	-	-	-	30,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	-	30,000	-	-	-	30,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	A
Admin Rating	63

Project Name: Defibrillator Equipment Replacement			Responsible Department: Fire		Proposed Budget Code: 61525075-5550	
Project Description & Justification: Four of our current monitors are 12+ years old. Medtronic/Physio control has announced that they will not guarantee service/support for these units past Sept 2016 based on a September 10, 2008 product support letter from the manufacturer. Useful life is 10-12 years. 2014/15 will be year 2 of a 5 year plan.						
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Equipment Replace.	No Identified Funding	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	X
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	X
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		X
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	X
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		X
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	X
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	X
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	X
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		X
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		X
List any obstacles for implementation	***Please note that this project is not a normal recurring expense. Competes against other departmental priorities.***					

Guiding Principles Priority - (Choose One Best Fit)

- ☒ (A) Protection of Human Health
☐ (B) Preservation of Public & Private Assets
☐ (C) Enhances Quality of Life
☐ (D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)

- | | |
|---|---|
| <input type="checkbox"/> Land Improvement | <input type="checkbox"/> Vehicle |
| <input type="checkbox"/> Building Improvement | <input type="checkbox"/> Infrastructure |
| <input checked="" type="checkbox"/> Equipment | <input type="checkbox"/> Technology |

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	35,000	35,000	35,000	35,000		-	140,000
On-Going Maint.			-	-		-	-	-
Total Project Costs	-	35,000	35,000	35,000	35,000	-	-	140,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: DEPUTY CHIEF

Date: 10/03/13

Primary GP	D
Admin Rating	51

Project Name: Extrication Equipment Replacement			Responsible Department: Fire		Proposed Budget Code: 61525075-5550	
Project Description & Justification: This equipment, also known as the "Jaws of Life", will be updated to keep pace with new vehicle technologies. Single source vendor of Holmatro to incorporate new equipment with existing pieces. Current equipment is about 20 years old.						
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Equipment Replace.	No Identified Funding	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)

- ☐ (A) Protection of Human Health
☐ (B) Preservation of Public & Private Assets
☐ (C) Enhances Quality of Life
☒ (D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)

- | | |
|---|---|
| <input type="checkbox"/> Land Improvement | <input type="checkbox"/> Vehicle |
| <input type="checkbox"/> Building Improvement | <input type="checkbox"/> Infrastructure |
| <input checked="" type="checkbox"/> Equipment | <input type="checkbox"/> Technology |

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-		28,000				-	28,000
On-Going Maint.			-	-		-	-	-
Total Project Costs	-	-	28,000	-	-	-	-	28,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: DEPUTY CHIEF

Date: 10/03/13

Primary GP	B
Admin Rating	48

Responsible Department CS-General Services

Project Name:	Front End Loader					Proposed Budget Code:	61536072-5560	
Project Description & Justification	Replacement of Front End Loader.							
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding			
				Equipment Replace.				
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability			
					x			
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue			
					x			
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs				
	x							
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution			
			x					
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served				
		x						
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue			
				x				
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance			
		x						
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff			
					x			
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental				
			x					
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less				
		x						
List any obstacles for implementation								

Guiding Principles Priority - (Choose One Best Fit)

<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)

<input type="checkbox"/>	Land Improvement	<input checked="" type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Equipment	<input type="checkbox"/>	Technology

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	80,000	-	-	-	-	80,000
On-Going Maint.	-	-		-		-	-	-
Total Project Costs	-	-	80,000	-	-	-	-	80,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: Steve Paulus
Date: 10/07/13

Primary GP	B
Admin Rating	67

				Responsible Department	Fire
Project Name:	Mobile Radio for Vehicles			Proposed Budget Code:	61525075-5550
Project Description & Justification	Many vehicle mounted radios are of significant age. Radios 10-15 years old may not be supported or repairable. Motorola product life expectancy is 15-20 years. Recent repairs on one mobile radio was difficult due to age. Many radios in fleet are of similar model.				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Equipment Replace.	No Identified Funding
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard X	No Health or Safety Issue
Operating Budget Impact	Decreases Operating and/or Personnel Costs X	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo X	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served X	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance X	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance X	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals X	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
Recreational or Aesthetic Value	Major Value	Moderate value	No Value X	Possibly Detrimental	
Estimated Frequency of Use	Every Day X	Several Times per Week	Several Times per Month	Once per Month or Less	
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)

<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)

<input type="checkbox"/>	Land Improvement	<input type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input checked="" type="checkbox"/>	Equipment	<input type="checkbox"/>	Technology

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	10,000	-	10,000	-	10,000	-	30,000
On-Going Maint.		-	-	-	-	-	-	-
Total Project Costs	-	10,000	-	10,000	-	10,000	-	30,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: DEPUTY CHIEF

Date: 10/03/13

Primary GP	B
Admin Rating	59

			Responsible Department		Fire	
Project Name:	Power Pro Cots Year 2 of 2 year plan			Proposed Budget Code:	61525075-5550	
Project Description & Justification	Stryker manufactures patient conveyance devices including the cots used for our ambulances. They have advised that their product life expectancy is 7 years. This is a continuation of our replacement program funded in FY14.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				Equipment Replace.		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					X	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
				X		
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
		X				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
			X			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
				X		
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
			X			
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
				X		
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
		X				
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			X			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	X					
List any obstacles for implementation	***Please note that this project is not a normal recurring expense. Competes against other departmental priorities.***					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input checked="" type="checkbox"/>	Equipment
<input type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	28,180	29,000					-	57,180
On-Going Maint.			-	-		-	-	-
Total Project Costs	28,180	29,000	-	-	-	-	-	57,180

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	DEPUTY CHIEF
Date:	10/03/13

Primary GP	D
Admin Rating	53

			Responsible Department		Police Department	
Project Name:	Radio Console Upgrade/Replacement - Zetron			Proposed Budget Code:	61524017-5550	
Project Description & Justification	Technology improvements and company support requires periodic upgrade/replacement of the PC-based radio consoles.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				Equipment Replace.		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					X	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
				X		
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
		X				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
			X			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
	X					
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
				X		
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
				X		
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
		X				
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			X			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	X					
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input checked="" type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input checked="" type="checkbox"/>	Equipment
<input type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	-	60,000	-	-	-	60,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	-	60,000	-	-	-	60,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	P Finlon
Date:	10/11/13

Primary GP	B
Admin Rating	46

Responsible Department			CS-Utilities		
Proposed Budget Code:			61536075-5550		
Project Name:	Sewer Rodder				
Project Description & Justification	Replacement of Sewer Rodder.				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Equipment Replace.	No Identified Funding
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input checked="" type="checkbox"/>	Equipment
<input type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	-	50,000	-	-	-	50,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	-	50,000	-	-	-	50,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	46

Responsible Department			CS-General Services		
Proposed Budget Code:			61536075-5550		
Project Name:	Sewer Rodder				
Project Description & Justification	Replacement of Sewer Rodder.				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Equipment Replace.	No Identified Funding
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input checked="" type="checkbox"/>	Equipment
<input type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact							
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19
Project Costs	-	-			50,000		-
On-Going Maint.	-	-		-		-	-
Total Project Costs	-	-	-	-	50,000	-	50,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	54

Responsible Department CS-General Services

Project Name:	Skid Steer					Proposed Budget Code:	61536075-5550	
Project Description & Justification	Replacement of Case Skid Steer.							
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding			
				Equipment Replace.				
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability			
					x			
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue			
					x			
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs				
	x							
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution			
		x						
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served				
		x						
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue			
				x				
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance			
		x						
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff			
					x			
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental				
			x					
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less				
		x						
List any obstacles for implementation								

Guiding Principles Priority - (Choose One Best Fit)

<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)

<input type="checkbox"/>	Land Improvement	<input type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input checked="" type="checkbox"/>	Equipment	<input type="checkbox"/>	Technology

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	-	70,000	-	-	-	70,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	-	70,000	-	-	-	70,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: Steve Paulus
Date: 10/07/13

Primary GP	B
Admin Rating	36

Project Name: Skid Steer Track Machine			Responsible Department		CS-General Services	
Proposed Budget Code:			61536075-5550			
Project Description & Justification Replacement of Skid Steer Track Machine.						
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				Equipment Replace.		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					x	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
					x	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
	x					
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
			x			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
		x				
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
				x		
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
		x				
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
					x	
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			x			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
			x			
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input checked="" type="checkbox"/>	Equipment
<input type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	40,000	-	-	-	-	40,000
On-Going Maint.	-	-		-		-	-	-
Total Project Costs	-	-	40,000	-	-	-	-	40,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	42

Project Name: Enterprise Software Upgrade			Responsible Department: Technology		Proposed Budget Code: 61512017-5551	
Project Description & Justification: The Village's core financial, utility management, permit & inspection system was last updated in 2005. The least expensive option that ensures business continuity is to migrate to SunGard's cloud platform. Wholesale replacement of the software would cost approximately \$450,000. Funding split 75% General & 25% Water. Combined upfront cost \$33,000.						
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				Equipment Replace.		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					X	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
					X	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
			X			
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
		X				
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
	X					
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
				X		
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
	X					
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			X			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	X					
List any obstacles for implementation	Due to the Villages distributed environment performance may not be uniform in all buildings. User training is web based and would need to be reinforced through train the trainer sessions.					

Guiding Principles Priority - (Choose One Best Fit)

- | | |
|-------------------------------------|---|
| <input type="checkbox"/> | (A) Protection of Human Health |
| <input checked="" type="checkbox"/> | (B) Preservation of Public & Private Assets |
| <input type="checkbox"/> | (C) Enhances Quality of Life |
| <input type="checkbox"/> | (D) All Other Activities & Expenditures |

Category of Capital Expenditures (Choose One Best Fit)

- | | | | |
|--------------------------|----------------------|-------------------------------------|----------------|
| <input type="checkbox"/> | Land Improvement | <input type="checkbox"/> | Vehicle |
| <input type="checkbox"/> | Building | <input type="checkbox"/> | Infrastructure |
| <input type="checkbox"/> | Building Improvement | <input checked="" type="checkbox"/> | Technology |

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	33,000	-	-	-	-	-	33,000
On-Going Maint.	-	57,600	59,328	61,108	62,941	64,829		305,806
Total Project Costs	-	90,600	59,328	61,108	62,941	64,829	-	338,806

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Michael Duebner
Date:	10/10/13

Primary GP	D
Admin Rating	30

Project Name: Police Dept E-Citations			Responsible Department: Technology (Police)		
Proposed Budget Code: 61512017-5551					
Project Description & Justification: The State of Illinois now permits the use of electronic citations (e-citations) for traffic violations and other offenses that previously required a hand written ticket. Switching to e-citations would save labor costs and increase accuracy.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
					X
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					X
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
					X
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
		X			
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
		X			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
				X	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
					X
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
				X	
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
					X
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			X		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
	X				
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)

- ☐ (A) Protection of Human Health
☐ (B) Preservation of Public & Private Assets
☐ (C) Enhances Quality of Life
☒ (D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)

- ☐ Land Improvement
☐ Building
☐ Building Improvement
☐ Vehicle Infrastructure
☒ Technology

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	60,000	-	-	-	-	60,000
On-Going Maint.	-	-	14,678	15,118	15,571	16,039	16,520	77,925
Total Project Costs	-	-	74,678	15,118	15,571	16,039	16,520	137,925

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: Michael Duebner
Date: 11/04/13

Primary GP	B
Admin Rating	52

Project Name: Records Management System (RMS)			Responsible Department: Technology (Police)		Proposed Budget Code: 61524017-5551	
Project Description & Justification: The Police Dept. uses several applications based on Microsoft Access to track incidents, arrests, investigations & citations. Applications are inefficient in their use of manpower vs productivity, crash weekly and is unsupported. An RMS to manage these functions needs to be identified and deployed. Costs are estimates.						
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				Equipment Replace.		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					X	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
			X			
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
			X			
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
		X				
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
				X		
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
		X				
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
			X			
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
					X	
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			X			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	X					
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)

- ☐ (A) Protection of Human Health
☐ (B) Preservation of Public & Private Assets
☐ (C) Enhances Quality of Life
☒ (D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)

- | | |
|---|--|
| <input type="checkbox"/> Land Improvement | <input type="checkbox"/> Vehicle |
| <input type="checkbox"/> Building | <input type="checkbox"/> Infrastructure |
| <input type="checkbox"/> Building Improvement | <input checked="" type="checkbox"/> Technology |

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	115,000	-	-	-	-	-	115,000
On-Going Maint.	-	14,250	14,678	15,118	15,571	16,039	16,520	92,175
Total Project Costs	-	129,250	14,678	15,118	15,571	16,039	16,520	207,175

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: Michael Duebner
Date: 10/10/13

Primary GP	A
Admin Rating	78

Project Name: Starcom Radio System Migration			Responsible Department: Police Department		
Proposed Budget Code: 61524017-5552					
Project Description & Justification	The FCC has previously provided a deadline for operation on the UHF T-Band frequency used by law enforcement. This deadline has been identified as 2019, but is not firm. This is a project that will use the Illinois Starcom network for law enforcement operations. This also addresses current network infrastructure costs and interoperability concerns.				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
				Equipment Replace.	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
		X			
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
			X		
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
	X				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
			X		
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
				X	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
			X		
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
			X		
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
		X			
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			X		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
	X				
List any obstacles for implementation	Issues related to Dispatch Center clients required to purchase new equipment.				

Guiding Principles Priority - (Choose One Best Fit)

<input checked="" type="checkbox"/>	(A) Protection of Human Health
<input type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)

<input type="checkbox"/>	Land Improvement	<input type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Equipment	<input checked="" type="checkbox"/>	Technology

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	-	-	117,000	117,000	-	234,000
On-Going Maint.	-	-	-	-	15,000	21,120	23,040	59,160
Total Project Costs	-	-	-	-	132,000	138,120	23,040	293,160

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:

P Finlon

Date:

10/11/13

Primary GP	A
Admin Rating	64

Responsible Department			Police Department			
Project Name:	UHF Microwave Network				Proposed Budget Code:	61524017-5552
Project Description & Justification	Ongoing costs for dedicated circuits to connect satellite receivers to the voter located at the Police Facility. Replaces dedicated circuits.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				Equipment Replace.		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					X	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
			X			
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
	X					
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
			X			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
				X		
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
				X		
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
			X			
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
		X				
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			X			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	X					
List any obstacles for implementation	If election is to migrate to Starcom network, then this may be unnecessary.					

Guiding Principles Priority - (Choose One Best Fit)	
<input checked="" type="checkbox"/>	(A) Protection of Human Health
<input type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input type="checkbox"/>	Vehicle Infrastructure Technology
<input checked="" type="checkbox"/>	

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	60,000	-	-	-	-	60,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	60,000	-	-	-	-	60,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Pfinlon
Date:	10/11/13

Primary GP	B
Admin Rating	56

Project Name: Video Monitoring - Security			Responsible Department: Police Department/IT		Proposed Budget Code: 61524017-5552	
Project Description & Justification: Current video capabilities for internal security and external Village asset monitoring will require a change in technology to IP-based video.						
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				Equipment Replace.		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					X	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
					X	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
	X					
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
			X			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
			X			
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
			X			
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
				X		
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
		X				
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			X			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	X					
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)			
<input type="checkbox"/>	Land Improvement	<input type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Equipment	<input checked="" type="checkbox"/>	Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	75,000	-	-	-	-	75,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	75,000	-	-	-	-	75,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	P Finlon
Date:	10/11/13

Primary GP	B
Admin Rating	64

Responsible Department			Fire-Rescue Dept.		
Project Name: Ambulance - #241			Proposed Budget Code: 61525072-5560		
Project Description & Justification: Replacement of Ambulance 241, 2000 International with 96,000 due to age, patient comfort, increased maintenance costs and technological advances.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
				Equipment Replace.	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					x
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
					x
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
	x				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
		x			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
	x				
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
				x	
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
			x		
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
					x
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			x		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
	x				
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input checked="" type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact							
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19
Project Costs	-	230,000	-	-	-	-	-
On-Going Maint.	-	-	-	-	-	-	-
Total Project Costs	-	230,000	-	-	-	-	-
							Total

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/03/13

Primary GP	A
Admin Rating	48

			Responsible Department		Fire Dept.	
Project Name: Ambulance - #242			Proposed Budget Code:		61525072-5560	
Project Description & Justification Replacement of ambulance 242.						
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				Equipment Replace.		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					x	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
					x	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
	x					
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
		x				
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
	x					
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
				x		
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
			x			
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
					x	
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			x			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	x					
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)	
<input checked="" type="checkbox"/>	(A) Protection of Human Health
<input type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)			
<input type="checkbox"/>	Land Improvement	<input checked="" type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Equipment	<input type="checkbox"/>	Technology

Financial Impact							
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19
Project Costs	-	-			280,000		-
On-Going Maint.	-	-		-		-	-
Total Project Costs	-	-	-	-	280,000	-	-
							Total
							280,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	54

Responsible Department			CS-General Services		
Proposed Budget Code:			61536072-5560		
Project Name:	Backhoe - #510C				
Project Description & Justification	Replacement of backhoe 510C.				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
				Equipment Replace.	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					x
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
					x
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
	x				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
		x			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
		x			
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
				x	
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
		x			
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
					x
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			x		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
		x			
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input checked="" type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	-	-	-	140,000	-	140,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	-	-	-	140,000	-	140,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	57

Project Name: Backhoe Replacement			Responsible Department		CS-Public Works	
Proposed Budget Code:			61536072-5560			
Project Description & Justification: Replacement of a 1989 backhoe with 6000 hours. Current backhoe lacks 4 wheel drive, swivel bucket and interchangeable bucket. Shared cost with the Water Fund 50/50. Cost listed is HALF the cost.						
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				Equip 50% Water 50%		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					X	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
					X	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
	X					
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
		X				
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
			X			
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
				X		
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
		X				
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
					X	
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			X			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	X					
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)

- | | |
|-------------------------------------|---|
| <input type="checkbox"/> | (A) Protection of Human Health |
| <input checked="" type="checkbox"/> | (B) Preservation of Public & Private Assets |
| <input type="checkbox"/> | (C) Enhances Quality of Life |
| <input type="checkbox"/> | (D) All Other Activities & Expenditures |

Category of Capital Expenditures (Choose One Best Fit)

- | | | | |
|--------------------------|----------------------|-------------------------------------|----------------|
| <input type="checkbox"/> | Land Improvement | <input checked="" type="checkbox"/> | Vehicle |
| <input type="checkbox"/> | Building Improvement | <input type="checkbox"/> | Infrastructure |
| <input type="checkbox"/> | Equipment | <input type="checkbox"/> | Technology |

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	60,000	-	-	-		60,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	60,000	-	-	-	-	60,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: Steve Paulus

Date: 10/03/13

Primary GP	B
Admin Rating	48

Responsible Department			CS-General Services		
Proposed Budget Code:			61536072-5560		
Project Name:	Dump Truck - #321				
Project Description & Justification	Dump Truck replacement 321.				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Equipment Replace.	No Identified Funding
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input checked="" type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-				150,000	-	150,000
On-Going Maint.	-	-		-		-	-	-
Total Project Costs	-	-	-	-	-	150,000	-	150,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	54

Responsible Department			CS-General Services		
Proposed Budget Code:			61536072-5560		
Project Name:	Dump Truck - #322				
Project Description & Justification	Dump Truck replacement 322.				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Equipment Replace.	No Identified Funding
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input checked="" type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	-	130,000	-	-	-	130,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	-	130,000	-	-	-	130,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	48

Responsible Department	CS-General Services
------------------------	---------------------

Project Name:	Dump Truck - #323					Proposed Budget Code:	61536072-5560	
Project Description & Justification	Dump Truck replacement 323.							
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding			
				Equipment Replace.				
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability			
					x			
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue			
					x			
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs				
	x							
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution			
			x					
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served				
	x							
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue			
				x				
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance			
			x					
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff			
					x			
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental				
			x					
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less				
		x						
List any obstacles for implementation								

Guiding Principles Priority - (Choose One Best Fit)

<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)

<input type="checkbox"/>	Land Improvement	<input checked="" type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Equipment	<input type="checkbox"/>	Technology

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-			135,000		-	135,000
On-Going Maint.	-	-		-		-	-	-
Total Project Costs	-	-	-	-	135,000	-	-	135,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	54

Responsible Department			CS-General Services		
Proposed Budget Code:			61536072-5560		
Project Name:	Dump Truck - #326				
Project Description & Justification	Dump Truck replacement 326.				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Equipment Replace.	No Identified Funding
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input checked="" type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	140,000	-	-	-	-	140,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	140,000	-	-	-	-	140,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	52

Responsible Department			CS-Public Works		
Proposed Budget Code:			61536072-5560		
Project Name:	Dump Truck - #331				
Project Description & Justification	Replacement of dump truck #331, a 1999 with 81,000. Extreme corrosion issues, increased maintenance costs. Lacks 4 wheel drive.				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Equipment Replace.	No Identified Funding
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input checked="" type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact							
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19
Project Costs	-	90,000	-	-	-	-	-
On-Going Maint.	-	-	-	-	-	-	-
Total Project Costs	-	90,000	-	-	-	-	90,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/03/13

Primary GP	B
Admin Rating	54

Project Name: Dump Truck - #332			Responsible Department		CS-General Services	
Proposed Budget Code:			61536072-5560			
Project Description & Justification Dump Truck replacement 332.						
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				Equipment Replace.		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					x	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
					x	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
	x					
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
		x				
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
	x					
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
				x		
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
			x			
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
					x	
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			x			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
		x				
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)

- | | |
|-------------------------------------|---|
| <input type="checkbox"/> | (A) Protection of Human Health |
| <input checked="" type="checkbox"/> | (B) Preservation of Public & Private Assets |
| <input type="checkbox"/> | (C) Enhances Quality of Life |
| <input type="checkbox"/> | (D) All Other Activities & Expenditures |

Category of Capital Expenditures (Choose One Best Fit)

- | | | | |
|--------------------------|----------------------|-------------------------------------|----------------|
| <input type="checkbox"/> | Land Improvement | <input checked="" type="checkbox"/> | Vehicle |
| <input type="checkbox"/> | Building Improvement | <input type="checkbox"/> | Infrastructure |
| <input type="checkbox"/> | Equipment | <input type="checkbox"/> | Technology |

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	95,000	-	-	-	-	95,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	95,000	-	-	-	-	95,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: Steve Paulus

Date: 10/07/13

Primary GP	B
Admin Rating	54

Project Name: Dump Truck - #334			Responsible Department: CS-General Services		
Proposed Budget Code: 61536072-5560					
Project Description & Justification: Dump Truck replacement 334.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
				Equipment Replace.	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					x
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
					x
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
	x				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
		x			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
	x				
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
				x	
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
			x		
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
					x
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			x		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
		x			
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input checked="" type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-				105,000	-	105,000
On-Going Maint.	-	-		-		-	-	-
Total Project Costs	-	-	-	-	-	105,000	-	105,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	53

Project Name: Engine - #210			Responsible Department		Fire Dept.	
Proposed Budget Code:			61525072-5560			
Project Description & Justification Replacement of Engine 210.						
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				Equipment Replace.		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					x	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
					x	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
	x					
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
		x				
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
	x					
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
				x		
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
		x				
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
					x	
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			x			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	x					
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)			
<input type="checkbox"/>	Land Improvement	<input checked="" type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Equipment	<input type="checkbox"/>	Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	500,000	-	-	-	-	500,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	500,000	-	-	-	-	500,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	58

Project Name: Engine - #211			Responsible Department: Fire Dept.		Proposed Budget Code: 61525072-5560	
Project Description & Justification: Replacement of Engine 211.						
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				Equipment Replace.		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					x	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
					x	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
	x					
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
		x				
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
	x					
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
				x		
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
		x				
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
					x	
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			x			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	x					
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)			
<input type="checkbox"/>	Land Improvement	<input checked="" type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Equipment	<input type="checkbox"/>	Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-				520,000	-	520,000
On-Going Maint.	-	-		-		-	-	-
Total Project Costs	-	-	-	-	-	520,000	-	520,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	42

Responsible Department			Police Dept.		
Project Name: Pickup Truck - #121			Proposed Budget Code: 61524072-5560		
Project Description & Justification Replacement of marked pickup truck #121, 2000 Chevrolet pickup truck with 96,000 miles.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Equipment Replace.	No Identified Funding
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
Operating Budget Impact	Decreases Operating and/or Personnel Costs X	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo X	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served X	Less than 50% of the Population Served	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance X	New Facility Safety Issue
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance X	1-4 Years with Normal Maintenance
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff X
Recreational or Aesthetic Value	Major Value	Moderate value	No Value X	Possibly Detrimental	
Estimated Frequency of Use	Every Day X	Several Times per Week	Several Times per Month	Once per Month or Less	
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)

<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)

<input type="checkbox"/>	Land Improvement	<input checked="" type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Equipment	<input type="checkbox"/>	Technology

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	38,500	-	-	-	-		38,500
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	38,500	-	-	-	-	-	38,500

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/03/13

Primary GP	B
Admin Rating	57

Project Name: Pickup Truck - #295			Responsible Department: Fire-Rescue Dept.		
Proposed Budget Code: 61525072-5560					
Project Description & Justification: Replacement of pickup truck 295, 1990 Chevrolet with 46,000 miles. Truck has corrosion, paint peeling, increased maintenance costs. Lacks major safety features.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
			x	Equipment Replace.	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					x
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
				X	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
	X				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
			X		
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
			X		
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
				X	
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
			X		
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
					X
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			X		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
	X				
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)

- ☐ (A) Protection of Human Health
☒ (B) Preservation of Public & Private Assets
☐ (C) Enhances Quality of Life
☐ (D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)

- ☐ Land Improvement
☐ Building Improvement
☐ Equipment
☒ Vehicle
☐ Infrastructure
☐ Technology

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	36,000	-	-	-	-	-	36,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	36,000	-	-	-	-	-	36,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: Steve Paulus

Date: 10/03/13

Primary GP	B
Admin Rating	52

Project Name: Pickup Truck - #343			Responsible Department		CS-Public Works	
Proposed Budget Code:			61536072-5560			
Project Description & Justification Replacement of truck #343, a 1994 two wheel drive pickup. Lacks updated safety features and 4 wheel drive. Increased maintenance costs.						
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				Equipment Replace.		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					X	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
					X	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
	X					
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
		X				
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
			X			
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
				X		
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
			X			
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
					X	
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			X			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	X					
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)

- | | |
|-------------------------------------|---|
| <input type="checkbox"/> | (A) Protection of Human Health |
| <input checked="" type="checkbox"/> | (B) Preservation of Public & Private Assets |
| <input type="checkbox"/> | (C) Enhances Quality of Life |
| <input type="checkbox"/> | (D) All Other Activities & Expenditures |

Category of Capital Expenditures (Choose One Best Fit)

- | | | | |
|--------------------------|----------------------|-------------------------------------|----------------|
| <input type="checkbox"/> | Land Improvement | <input checked="" type="checkbox"/> | Vehicle |
| <input type="checkbox"/> | Building Improvement | <input type="checkbox"/> | Infrastructure |
| <input type="checkbox"/> | Equipment | <input type="checkbox"/> | Technology |

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	32,000	-	-	-	-	35,000	67,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	32,000	-	-	-	-	35,000	67,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: Steve Paulus

Date: 10/03/13

Primary GP	B
Admin Rating	42

		Responsible Department		Police Dept.	
Project Name:		Police Boat		Proposed Budget Code:	
		61524072-5560			
Project Description & Justification		Replacement of Police Boat.			
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
				Equipment Replace.	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					x
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
					x
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
	x				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
			x		
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
				x	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
				x	
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
			x		
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
					x
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			x		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
		x			
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input checked="" type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact							
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19
Project Costs	-	-	-	-	25,000	-	-
On-Going Maint.	-	-	-	-	-	-	-
Total Project Costs	-	-	-	-	25,000	-	25,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	46

Responsible Department			Fire Dept.		
Proposed Budget Code:			61525072-5560		
Project Name:	Sedan - #290				
Project Description & Justification	Replacement of Car 290.				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Equipment Replace.	No Identified Funding
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input checked="" type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact							
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19
Project Costs	-	-	-	45,000	-	-	-
On-Going Maint.	-	-	-	-	-	-	-
Total Project Costs	-	-	-	45,000	-	-	-

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	46

Responsible Department			Fire Dept.		
Proposed Budget Code:			61525072-5560		
Project Name:	Sedan - #291				
Project Description & Justification	Replacement of Car 291.				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Equipment Replace.	No Identified Funding
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input checked="" type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	-	-	-	55,000	-	55,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	-	-	-	55,000	-	55,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	46

Responsible Department			Fire Dept.		
Proposed Budget Code:			61525072-5560		
Project Name:	Sedan - #293				
Project Description & Justification	Replacement of Car 293.				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Equipment Replace.	No Identified Funding
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input checked="" type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact							
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19
Project Costs	-	-			35,000		-
On-Going Maint.	-	-		-		-	-
Total Project Costs	-	-	-	-	35,000	-	35,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	46

Responsible Department			Fire Dept.		
Proposed Budget Code:			61525072-5560		
Project Name:	Sedan - #294				
Project Description & Justification	Replacement of Car 294.				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Equipment Replace.	No Identified Funding
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input checked="" type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact							
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19
Project Costs	-	-			35,000		-
On-Going Maint.	-	-		-		-	-
Total Project Costs	-	-	-	-	35,000	-	35,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	46

Responsible Department			Fire Dept.		
Proposed Budget Code:			61525072-5560		
Project Name:	Sedan - #296				
Project Description & Justification	Replacement of Car 296.				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
				Equipment Replace.	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					x
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
					x
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
	x				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
			x		
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
	x				
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
				x	
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
				x	
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
					x
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			x		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
	x				
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input checked="" type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	-	35,000	-	-	-	35,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	-	35,000	-	-	-	35,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	46

Responsible Department			CS-Administration		
Proposed Budget Code:			61536072-5560		
Project Name:	Sedan - #350				
Project Description & Justification	Replacement car 350.				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Equipment Replace.	No Identified Funding
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input checked="" type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	-	30,000	-	-	-	30,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	-	30,000	-	-	-	30,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	46

Responsible Department	CS-General Services
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Project Name:	Sedan - #362					Proposed Budget Code:	61536072-5560	
Project Description & Justification	Repalcement truck 362.							
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding			
				Equipment Replace.				
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability			
					x			
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue			
					x			
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs				
	x							
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution			
			x					
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served				
	x							
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue			
				x				
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance			
				x				
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff			
					x			
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental				
			x					
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less				
	x							
List any obstacles for implementation								

Guiding Principles Priority - (Choose One Best Fit)

<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)

<input type="checkbox"/>	Land Improvement	<input checked="" type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Equipment	<input type="checkbox"/>	Technology

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	-	40,000	-	-	-	40,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	-	40,000	-	-	-	40,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	44

Project Name: Sport Utility Vehicle - #108			Responsible Department: Police Dept.		
Proposed Budget Code: 61524072-5560					
Project Description & Justification: Replacement of 2007 Shift Commander SUV with a 103,000 miles.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Equipment Replace.	No Identified Funding
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input checked="" type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	43,500	-	-	-	-	43,500
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	43,500	-	-	-	-	43,500

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/03/13

Primary GP	B
Admin Rating	46

Responsible Department			Police Dept.		
Project Name: Squad Car Replacement - #105			Proposed Budget Code: 61524072-5560		
Project Description & Justification Replacement of 2008 Squad with 112,00 miles.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Equipment Replace.	No Identified Funding
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input checked="" type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	38,500	-	-	-	-	40,000	78,500
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	38,500	-	-	-	-	40,000	78,500

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/03/13

Primary GP	B
Admin Rating	46

Responsible Department			Police Dept.		
Project Name: Squad Car - #107			Proposed Budget Code: 61524072-5560		
Project Description & Justification Replacement of Car 107.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Equipment Replace.	No Identified Funding
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input checked="" type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	-	-	-	45,000	-	45,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	-	-	-	45,000	-	45,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	46

Project Name: Squad Car - #109			Responsible Department		Police Dept.	
Proposed Budget Code:			61524072-5560			
Project Description & Justification Replacement of Car 109.						
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				Equipment Replace.		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					x	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
					x	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
	x					
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
			x			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
	x					
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
				x		
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
				x		
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
					x	
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			x			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	x					
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input checked="" type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	40,000	-	-	-	-	40,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	40,000	-	-	-	-	40,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	46

Project Name: Squad Car - #110			Responsible Department: Police Dept.		
Proposed Budget Code: 61524072-5560					
Project Description & Justification: Replacement of Car 110.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
				Equipment Replace.	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					x
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
					x
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
	x				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
			x		
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
	x				
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
				x	
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
				x	
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
					x
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			x		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
	x				
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input checked="" type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	-	-	-	45,000	-	45,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	-	-	-	45,000	-	45,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	46

Responsible Department			Police Dept.		
Proposed Budget Code:			61524072-5560		
Project Name:	Squad Car - #111				
Project Description & Justification	Replacement of Car 111.				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Equipment Replace.	No Identified Funding
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input checked="" type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact							
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19
Project Costs	-	-			40,000	-	-
On-Going Maint.	-	-		-	-	-	-
Total Project Costs	-	-	-	-	40,000	-	-
							Total

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	46

Responsible Department			Police Dept.		
Proposed Budget Code:			61524072-5560		
Project Name:	Squad Car - #112				
Project Description & Justification	Replacement of Car 112.				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
				Equipment Replace.	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					x
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
					x
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
	x				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
			x		
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
	x				
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
				x	
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
				x	
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
					x
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			x		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
	x				
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input checked="" type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	40,000	-	-	-	-	40,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	40,000	-	-	-	-	40,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	46

Project Name: Squad Car - #114			Responsible Department		Police Dept.	
Proposed Budget Code:			61524072-5560			
Project Description & Justification Replacement of Car 114.						
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				Equipment Replace.		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					x	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
					x	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
	x					
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
			x			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
	x					
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
				x		
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
				x		
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
					x	
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			x			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	x					
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input checked="" type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	-	-	-	45,000	-	45,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	-	-	-	45,000	-	45,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	46

Responsible Department			Police Dept.		
Proposed Budget Code:			61524072-5560		
Project Name:	Squad Car - #115				
Project Description & Justification	Replacement of Car 115.				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Equipment Replace.	No Identified Funding
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)

<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)

<input type="checkbox"/>	Land Improvement	<input checked="" type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Equipment	<input type="checkbox"/>	Technology

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	33,000	-	-	-	-	33,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	33,000	-	-	-	-	33,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: Steve Paulus

Date: 10/07/13

Primary GP	B
Admin Rating	46

Responsible Department			Police Dept.		
Proposed Budget Code:			61524072-5560		
Project Name:	Squad Car - #116				
Project Description & Justification	Replacement of Car 116.				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
				Equipment Replace.	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					x
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
					x
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
	x				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
			x		
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
	x				
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
				x	
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
				x	
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
					x
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			x		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
	x				
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input checked="" type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact							
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19
Project Costs	-	-			35,000	-	-
On-Going Maint.	-	-		-	-	-	-
Total Project Costs	-	-	-	-	35,000	-	-
							Total

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	46

			Responsible Department		Police	
Project Name: Squad Car - #117			Proposed Budget Code:		61524072-5560	
Project Description & Justification Replacement of Car 117.						
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				Equipment Replace.		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					x	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
					x	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
	x					
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
			x			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
	x					
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
				x		
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
				x		
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
					x	
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			x			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	x					
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)			
<input type="checkbox"/>	Land Improvement	<input checked="" type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Equipment	<input type="checkbox"/>	Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	-	33,000	-	-	-	33,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	-	33,000	-	-	-	33,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	46

Responsible Department			Police Dept.		
Proposed Budget Code:			61524072-5560		
Project Name:	Squad Car - #119				
Project Description & Justification	Replacement of Car 119.				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Equipment Replace.	No Identified Funding
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input checked="" type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	-	40,000	-	-	-	40,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	-	40,000	-	-	-	40,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	46

Project Name: Squad Car - #120			Responsible Department		Police Dept.	
Proposed Budget Code:			61524072-5560			
Project Description & Justification Replacement of Car 120.						
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				Equipment Replace.		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					x	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
					x	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
	x					
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
			x			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
	x					
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
				x		
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
				x		
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
					x	
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			x			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	x					
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)

<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)

<input type="checkbox"/>	Land Improvement	<input checked="" type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Equipment	<input type="checkbox"/>	Technology

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-			40,000	-	-	40,000
On-Going Maint.	-	-		-		-	-	-
Total Project Costs	-	-	-	-	40,000	-	-	40,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: Steve Paulus

Date: 10/07/13

Primary GP	B
Admin Rating	46

			Responsible Department		Police Dept.	
Project Name: Squad Car - #122			Proposed Budget Code:		61524072-5560	
Project Description & Justification Replacement of Car 122.						
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				Equipment Replace.		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					x	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
					x	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
	x					
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
			x			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
	x					
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
				x		
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
				x		
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
					x	
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			x			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	x					
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input checked="" type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	-	33,000	-	-	-	33,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	-	33,000	-	-	-	33,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	46

Project Name: Squad Car - #123			Responsible Department		Police Dept.	
Proposed Budget Code:			61524072-5560			
Project Description & Justification Replacement of Car 123.						
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				Equipment Replace.		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					x	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
					x	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
	x					
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
			x			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
	x					
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
				x		
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
				x		
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
					x	
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			x			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	x					
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)			
<input type="checkbox"/>	Land Improvement	<input checked="" type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Equipment	<input type="checkbox"/>	Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	-	-	-	35,000	-	35,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	-	-	-	35,000	-	35,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	52

Responsible Department			CS-Fleet Srvices		
Proposed Budget Code:			61536072-5560		
Project Name:	Truck - #341				
Project Description & Justification	Replacement of truck 341.				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
				Equipment Replace.	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					x
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
					x
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
	x				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
		x			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
	x				
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
				x	
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
				x	
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
					x
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			x		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
	x				
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input checked="" type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-				45,000	-	45,000
On-Going Maint.	-	-		-		-	-	-
Total Project Costs	-	-	-	-	-	45,000	-	45,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	46

Responsible Department			CS-Fleet Services		
Proposed Budget Code:			61536072-5560		
Project Name:	Truck - #342				
Project Description & Justification	Replacement truck 342.				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Equipment Replace.	No Identified Funding
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
Operating Budget Impact	Decreases Operating and/or Personnel Costs x	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo x	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
% Of Population Served	100% of Population Served by Project x	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance x	New Facility Safety Issue
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance x	1-4 Years with Normal Maintenance
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
Recreational or Aesthetic Value	Major Value	Moderate value	No Value x	Possibly Detrimental	
Estimated Frequency of Use	Every Day x	Several Times per Week	Several Times per Month	Once per Month or Less	
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input checked="" type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact							
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19
Project Costs	-	-	-	40,000	-	-	-
On-Going Maint.	-	-	-	-	-	-	-
Total Project Costs	-	-	-	40,000	-	-	-

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	D
Admin Rating	32

Responsible Department			Police Dept.		
Project Name: Unmarked Vehicle- #102			Proposed Budget Code: 61524072-5560		
Project Description & Justification Add on car for new Police Chief.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Equipment Replace.	No Identified Funding
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability X
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue X
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs X	Significant Increase to Operating and/or Personnel Costs	
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo X	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
% Of Population Served	100% of Population Served by Project	Majority of Population Served X	Approximately 50% of Population Served	Less than 50% of the Population Served	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance X	New Facility Safety Issue
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance X	1-4 Years with Normal Maintenance
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff X
Recreational or Aesthetic Value	Major Value	Moderate value	No Value X	Possibly Detrimental	
Estimated Frequency of Use	Every Day X	Several Times per Week	Several Times per Month	Once per Month or Less	
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)

<input type="checkbox"/>	(A) Protection of Human Health
<input type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input checked="" type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)

<input type="checkbox"/>	Land Improvement	<input checked="" type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Equipment	<input type="checkbox"/>	Technology

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	32,000	-	-	-	-	-	32,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	32,000	-	-	-	-	-	32,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/03/13

WATER & SEWER FUND

PURPOSE OF FUND: Accumulate monies necessary to provide quality water and sewer service to the customers of the village water service and for the necessary maintenance of the infrastructure used to deliver the water and sewer service.

PRIMARY REVENUE SOURCE: Charge for Service of all customers based on consumption.

OTHER REVENUES SOURCES: Investment Income and bond proceeds (prior year)

COMMENTS: The Water and Sewer Fund has been struggling to keep up with growing capital expenses of the aging infrastructure. Projects submitted have been determined to be both critical and necessary. While the financial impact statement shows significant deficiencies in future years, all projects and funding sources will be evaluated and prioritized annually to sustain the fund. The forecast includes the water and sewer rate increase effective 05/01/14. Small increases of 3% have been built into the forecast for planning purposes but does not grant authority to raise rates.

Water & Sewer Fund Financial Impact Statement

	2010/11	2011/12	2012/13	2013/14	2014/15	2015/16	2016/17	2017/18	2018/19
	Actual	Actual	Actual	Projected	Forecast	Forecast	Forecast	Forecast	Forecast
Fund Balance, May 1st	43,883,775	42,338,208	42,346,958	42,405,292	45,055,974	41,482,966	39,514,021	33,661,240	31,828,733
Total Revenues and Other Sources	3,708,325	4,682,420	4,897,994	8,962,556	5,333,191	5,434,329	5,595,333	5,753,330	5,915,824
Operating Expenditures	3,006,379	1,738,033	2,708,704	2,872,991	2,969,160	3,072,002	3,179,670	3,292,472	3,411,232
Debt Service	196,557	165,617	134,444	187,543	245,195	193,667	176,867	160,251	143,520
Internal Service Charges	291,800	323,645	333,904	341,790	352,044	362,605	373,483	384,687	396,228
Depreciation	1,659,436	1,649,345	1,657,944	1,660,000	1,660,000	1,709,800	1,761,094	1,813,927	1,868,345
Capital Items	99,720	797,030	151,496	1,249,550	3,679,800	2,065,200	5,957,000	1,934,500	2,597,400
Total Expenditures and Other Uses	5,253,892	4,673,670	4,986,492	6,311,874	8,906,199	7,403,274	11,448,114	7,585,837	8,416,725
Excess (Deficiency) of Revenue over Expend	(1,545,567)	8,750	(88,498)	2,650,682	(3,573,008)	(1,968,945)	(5,852,781)	(1,832,507)	(2,500,901)
Fund Balance, April 30th	42,338,208	42,346,958	42,258,460	45,055,974	41,482,966	39,514,021	33,661,240	31,828,733	29,327,832
WORKING CAPITAL BALANCE, April 30th	111,587	(216,261)	(304,759)	4,152,755	2,239,747	2,020,602	(2,041,085)	(2,029,665)	(2,621,721)

For Capital Items, see next page(s)

- - - - -

Water & Sewer Fund Financial Impact Statement

	2010/11	2011/12	2012/13	2013/14	2014/15	2015/16	2016/17	2017/18	2018/19
	Actual	Actual	Actual	Projected	Forecast	Forecast	Forecast	Forecast	Forecast
Capital Outlay									
Building & Building Improvements									
Roof Replacement - Quentin Pump				4,000	-	-	-	-	-
Safety Railing - Well 8				5,000	-	-	-	-	-
Fire/Security Alarms - Wells 8,9,12				-	37,000	-	-	-	-
Water Treatment Plant Dehumid.				-	14,000	14,000	-	-	-
Total Building & Bldg Improvements	-	-	-	9,000	51,000	14,000	-	-	-
Equipment									
Chlorine Fee Scale/Booster Pumps				25,000	-	-	-	-	-
Miscellaneous Small Capital				2,150	-	-	-	-	-
Pneumatic Sewer Plugs & Positioner				8,000	-	-	-	-	-
Pump Motor Starter Upgrades				15,000	-	-	-	-	-
Pump Replacement - Deerpath				24,000	-	-	-	-	-
Ion Exchange Filter Media Replace.				-	-	130,000	75,000	75,000	75,000
Lift Stations Traffic Box & Control Pnl				-	-	40,000	30,000	30,000	40,000
Meter Reading Equipment				-	-	100,000	-	-	-
Radio Read Meter Conversion				86,400	86,400	-	-	-	-
SCADA Installation Lift Stations				-	-	-	-	45,000	45,000
Water Distrib. Leak Detection Equip.				-	30,000	-	-	-	-
Water Meter Replacement				-	100,000	100,000	100,000	100,000	100,000
Well Pump Motor Starter - #7				-	-	30,000	40,000	40,000	40,000
Total Equipment	26,584	211,810	89,154	160,550	216,400	400,000	245,000	290,000	300,000

Water & Sewer Fund Financial Impact Statement

	2010/11	2011/12	2012/13	2013/14	2014/15	2015/16	2016/17	2017/18	2018/19
	Actual	Actual	Actual	Projected	Forecast	Forecast	Forecast	Forecast	Forecast
Infrastructure									
Midlothian Water Tower Paint				350,000	-	-	-	-	-
Water Main - Pine (DCEO Grant)				500,000	-	-	-	-	-
Column Pipe Replacement - Wells				20,000	30,400	138,200	32,000	34,500	35,400
Interceptor Sewer Segment Lining				150,000	2,800,000	-	-	-	-
Ion Exchange - Well #11				-	-	-	-	1,000,000	1,200,000
JULIE Locate Equipment Replace.				-	-	13,000	-	-	-
Lift Station Replace. - Mionske/TIF				25,000	-	-	4,500,000	-	-
Sanitary Sewer Improve. - Buffalo Creek				-	50,000	500,000	-	-	-
Sanitary Sewer Lining - Rt 12 & Heights				-	-	40,000	-	-	-
Sanitary Sewer Replace/Repair				-	-	250,000	250,000	250,000	250,000
Water Main Abandon - Rt 22/Whitney				-	-	-	-	75,000	-
Water Main Replace. - Maple & Terrace				-	400,000	-	-	-	-
Water Main Replace. - Robertson/Ironwood				-	-	650,000	-	-	-
Water Main Replace. - Rt 12 (Golfview/Miller)				-	-	-	800,000	-	-
Water Main Upgrade - 4" to 8"				-	-	-	-	-	500,000
Water Tower Painting - Paulus Park				-	132,000	-	-	-	127,000
Total Infrastructure	21,136	550,873	62,342	1,045,000	3,412,400	1,591,200	5,582,000	1,359,500	2,112,400

Capital Outlay - Continued

Vehicles

Pickup Truck				35,000	-	-	-	-	-
Backhoe Replacement (50%)				-	-	60,000	-	-	-
Dump Truck #410D				-	-	-	130,000	-	-
Vactor Truck #532				-	-	-	-	250,000	-
Sedan #370				-	-	-	-	-	35,000
Truck #430				-	-	-	-	35,000	-
Truck #433				-	-	-	-	-	75,000
Truck #437				-	-	-	-	-	75,000
Total Vehicles	52,000	34,347		35,000	-	60,000	130,000	285,000	185,000

Primary GP	B
Admin Rating	52

Responsible Department			Community Services			
Project Name:	Fire & Security Alarms for Wells 8, 9, and 12				Proposed Budget Code:	50136050/60-5530
Project Description & Justification	Install fire & security alarm systems at Wells 8, 9 & 10 and at NW & Quentin Pump Stations to integrate with Village-wide ADT wireless network. \$25,000 for water 50136050 and \$12,000 for sewer 50136060. Current budgeted funds of \$28,000 will not be used in 2013/14.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				Water/Sewer		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					X	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
			X			
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
		X				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
			X			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
		X				
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
					X	
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
			X			
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
		X				
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			X			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	X					
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)			
<input type="checkbox"/>	Land Improvement	<input type="checkbox"/>	Vehicle
<input checked="" type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Machinery & Equipment	<input type="checkbox"/>	Technology

Financial Impact								
	Life-To-Date*	2014/152015/162016/172017/182018/19					Beyond '2018/19	Total
Project Costs	-	37,000	-	-	-	-	-	37,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	37,000	-	-	-	-	-	37,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Schmitt
Date:	10/08/13

Primary GP	B
Admin Rating	57

Project Name: Water Treatment Plant Dehumidification			Responsible Department: Community Services		Proposed Budget Code: 50136050-5530	
Project Description & Justification: Add additional dehumidification units at Well 7, 9, 10 & 12 Water Treatment Plants. Current units are not able to reduce humidity to satisfactory level.						
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				Water/Sewer		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					X	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
					X	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
		X				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
			X			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
	X					
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
		X				
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
				X		
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
		X				
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			X			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	X					
List any obstacles for implementation	If the village chooses to move forward with Lake Michigan water, this expense would be decreased in the future.					

Guiding Principles Priority - (Choose One Best Fit)

<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)

<input type="checkbox"/>	Land Improvement	<input type="checkbox"/>	Vehicle
<input checked="" type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Machinery & Equipment	<input type="checkbox"/>	Technology

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	14,000	14,000		-	-	-	28,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	14,000	14,000	-	-	-	-	28,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: Steve Schmitt

Date: 10/10/13

Primary GP	A
Admin Rating	93

Project Name: Ion Exchange Filter Media Replacement			Responsible Department: Community Services		
Proposed Budget Code: 50136050-5550					
Project Description & Justification	Replacement of filter media is required in order to ensure proper water treatment. Filter media has a limited lifetime (10-15 years) and becomes less effective as it ages. Our first Ion Exchange plant was put in service in 2004. Note: disposal of media containing radium residuals are regulated which increases disposal costs.				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
				Water/Sewer	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
		X			
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
	X				
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
				X	
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
		X			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
	X				
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
			X		
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
			X		
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
	X				
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			X		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
	X				
List any obstacles for implementation	If the village chooses to move forward with Lake Michigan water, this expense would be decreased in the future.				

Guiding Principles Priority - (Choose One Best Fit)

- | | |
|-------------------------------------|---|
| <input checked="" type="checkbox"/> | (A) Protection of Human Health |
| <input type="checkbox"/> | (B) Preservation of Public & Private Assets |
| <input type="checkbox"/> | (C) Enhances Quality of Life |
| <input type="checkbox"/> | (D) All Other Activities & Expenditures |

Category of Capital Expenditures (Choose One Best Fit)

- | | | | |
|-------------------------------------|-----------------------|--------------------------|----------------|
| <input type="checkbox"/> | Land Improvement | <input type="checkbox"/> | Vehicle |
| <input type="checkbox"/> | Building Improvement | <input type="checkbox"/> | Infrastructure |
| <input checked="" type="checkbox"/> | Machinery & Equipment | <input type="checkbox"/> | Technology |

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	130,000	75,000	75,000	75,000	75,000	430,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	130,000	75,000	75,000	75,000	75,000	430,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: Steve Schmitt

Date: 10/08/13

Primary GP	B
Admin Rating	75

Project Name: Lift Station Traffic Boxes & Control Panels			Responsible Department: Community Services		
Proposed Budget Code: 50136060-5550					
Project Description & Justification: Replace deteriorated Traffic Box and update obsolete lift station controls for operational reliability at multiple locations such as Bristol Trails, Chasewood, Deerpath and Thorndale (traffic box only). 2013A Bond project for first \$40,000.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
			X		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					X
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
		X			
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
	X				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
			X		
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
				X	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
		X			
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
		X			
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
		X			
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			X		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
	X				
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)

- | | |
|-------------------------------------|---|
| <input type="checkbox"/> | (A) Protection of Human Health |
| <input checked="" type="checkbox"/> | (B) Preservation of Public & Private Assets |
| <input type="checkbox"/> | (C) Enhances Quality of Life |
| <input type="checkbox"/> | (D) All Other Activities & Expenditures |

Category of Capital Expenditures (Choose One Best Fit)

- | | | | |
|-------------------------------------|-----------------------|--------------------------|----------------|
| <input type="checkbox"/> | Land Improvement | <input type="checkbox"/> | Vehicle |
| <input type="checkbox"/> | Building Improvement | <input type="checkbox"/> | Infrastructure |
| <input checked="" type="checkbox"/> | Machinery & Equipment | <input type="checkbox"/> | Technology |

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	40,000	30,000	30,000	40,000	-	140,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	40,000	30,000	30,000	40,000	-	140,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: Steve Schmitt

Date: 10/10/13

Primary GP	C
Admin Rating	53

Project Name: Meter Reading Equipment Replacement			Responsible Department: Community Services		
Proposed Budget Code: 50136050-5550					
Project Description & Justification: Replace handheld meter reading equipment to fixed base reading equipment. Necessary after completion of the conversion project to radio read technology for utilization of additional capabilities.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Water/Sewer	No Identified Funding
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability X
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue X
Operating Budget Impact	Decreases Operating and/or Personnel Costs X	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo X	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
% Of Population Served	100% of Population Served by Project X	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue X
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance X	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals X	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
Recreational or Aesthetic Value	Major Value	Moderate value	No Value X	Possibly Detrimental	
Estimated Frequency of Use	Every Day x	Several Times per Week	Several Times per Month	Once per Month or Less	
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)

- ☐ (A) Protection of Human Health
☐ (B) Preservation of Public & Private Assets
☒ (C) Enhances Quality of Life
☐ (D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)

- | | |
|---|---|
| <input type="checkbox"/> Land Improvement | <input type="checkbox"/> Vehicle |
| <input type="checkbox"/> Building Improvement | <input type="checkbox"/> Infrastructure |
| <input checked="" type="checkbox"/> Machinery & Equipment | <input type="checkbox"/> Technology |

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	100,000	-	-	-	-	100,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	100,000	-	-	-	-	100,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: Steve Schmitt

Date: 10/11/13

Primary GP	C
Admin Rating	46

Project Name: Radio Read Water Meter Conversion			Responsible Department: Community Services		Proposed Budget Code: 50136050-5550	
Project Description & Justification: Conversion of residential water meter reading technology to Radio Read. Project is 90% complete.						
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				Water/Sewer		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					X	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
					X	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
	X					
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
			X			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
	X					
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
					X	
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
			X			
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
	X					
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			X			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
			X			
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input type="checkbox"/>	(B) Preservation of Public & Private Assets
<input checked="" type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)			
<input type="checkbox"/>	Land Improvement	<input type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input checked="" type="checkbox"/>	Machinery & Equipment	<input type="checkbox"/>	Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	742,175	86,400	-	-	-	-	-	828,575
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	742,175	86,400	-	-	-	-	-	828,575

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Schmitt
Date:	10/09/13

Primary GP	B
Admin Rating	58

Project Name: SCADA Installation Lift Stations			Responsible Department: Community Services		
Proposed Budget Code: 50136060-5550					
Project Description & Justification: SCADA (Supervisory Control and Data Acquisition) installation at Lift Stations. SCADA technology provides ability to monitor operational functions, control setpoints and collect data remotely. Can reduce manhours dedicated to daily lift station inspections and data collection.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
			X		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					X
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
			X		
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
	X				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
			X		
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
		X			
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
					X
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
				X	
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
		X			
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			X		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
	X				
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)

- ☐ (A) Protection of Human Health
☒ (B) Preservation of Public & Private Assets
☐ (C) Enhances Quality of Life
☐ (D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)

- ☐ Land Improvement
☐ Building Improvement
☐ Machinery & Equipment
☐ Vehicle Infrastructure
☒ Technology

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-		-	-	45,000	45,000	110,000	200,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	-	-	45,000	45,000	110,000	200,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: Steve Schmitt

Date: 10/10/13

Primary GP	B
Admin Rating	78

Project Name: Water Distribution Leak Detection Equipment			Responsible Department: Community Services		
Proposed Budget Code: 50136050-5550					
Project Description & Justification: Purchase of water distribution leak detection equipment will allow staff to perform leak surveys in-house verses contracting for service. Will assist in reducing lost/unaccounted for water.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
				Water/Sewer	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
		X			
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
					X
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
	X				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
		X			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
	X				
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
		X			
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
				X	
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
		X			
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			X		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
			X		
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)

<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)

<input type="checkbox"/>	Land Improvement	<input type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input checked="" type="checkbox"/>	Machinery & Equipment	<input type="checkbox"/>	Technology

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	30,000	-	-	-	-	-	30,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	30,000	-	-	-	-	-	30,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: Steve Schmitt

Date: 10/11/13

Primary GP	B
Admin Rating	92

			Responsible Department		Community Services	
Project Name: Water Meter Replacement			Proposed Budget Code:		50136050-5550	
Project Description & Justification: Replace water meters for accountability (lost water) and to meet new 2014 lead regulations. Includes commercial, industrial and residential meters.						
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				Water/Sewer		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
		X				
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
		X				
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
	X					
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
		X				
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
				X		
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
					X	
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
			X			
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
		X				
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			X			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	X					
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)

<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)

<input type="checkbox"/>	Land Improvement	<input type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input checked="" type="checkbox"/>	Machinery & Equipment	<input type="checkbox"/>	Technology

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	100,000	100,000	100,000	100,000	100,000	800,000	1,300,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	100,000	100,000	100,000	100,000	100,000	800,000	1,300,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Schmitt
Date:	10/09/13

Primary GP	A
Admin Rating	89

Project Name: Well Pump Motor Starters - Wells 7, 8, 9 & 10			Responsible Department: Community Services		
Proposed Budget Code: 50136050-5550					
Project Description & Justification: Replace obsolete motor starters in order to continue high level of reliability. Starters are a critical component of well operations. Retrofit to "soft-start" technology can save on electricity cost.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
				Water/Sewer	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					X
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
		X			
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
	X				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
		X			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
	X				
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
			X		
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
		X			
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
	X				
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			X		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
	X				
List any obstacles for implementation	If the village chooses to move forward with Lake Michigan water, this expense would be decreased in the future.				

Guiding Principles Priority - (Choose One Best Fit)

- | | |
|-------------------------------------|---|
| <input checked="" type="checkbox"/> | (A) Protection of Human Health |
| <input type="checkbox"/> | (B) Preservation of Public & Private Assets |
| <input type="checkbox"/> | (C) Enhances Quality of Life |
| <input type="checkbox"/> | (D) All Other Activities & Expenditures |

Category of Capital Expenditures (Choose One Best Fit)

- | | | | |
|-------------------------------------|-----------------------|--------------------------|----------------|
| <input type="checkbox"/> | Land Improvement | <input type="checkbox"/> | Vehicle |
| <input type="checkbox"/> | Building Improvement | <input type="checkbox"/> | Infrastructure |
| <input checked="" type="checkbox"/> | Machinery & Equipment | <input type="checkbox"/> | Technology |

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	30,000	40,000	40,000	40,000	-	150,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	30,000	40,000	40,000	40,000	-	150,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: Steve Schmitt

Date: 10/08/13

Primary GP	A
Admin Rating	80

			Responsible Department		Community Services	
Project Name:	Column Pipe Replacement - Water Supply Wells			Proposed Budget Code:	50136050-5550	
Project Description & Justification	Replace deteriorated well column pipe. Generally 1/3 of the column pipe at each well requires replacement every 5 years.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				Water/Sewer		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					X	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
			X			
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
		X				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
			X			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
	X					
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
	X					
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
			X			
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
	X					
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			X			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	X					
List any obstacles for implementation	If the village chooses to move forward with Lake Michigan water, this expense would be decreased in the future. Note: 2015/16 increase due to the inclusion of Well 11 in addition to the regularly scheduled well site.					

Guiding Principles Priority - (Choose One Best Fit)	
<input checked="" type="checkbox"/>	(A) Protection of Human Health
<input type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Machinery & Equipment
<input type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	20,000	30,400	138,200	32,000	34,500	35,400	-	290,500
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	20,000	30,400	138,200	32,000	34,500	35,400	-	290,500

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Schmitt
Date:	10/08/13

Primary GP	A
Admin Rating	91

Responsible Department			Community Services		
Project Name:	Interceptor Sewer Segment Lining			Proposed Budget Code:	50136060-5540
Project Description & Justification	Install structural lining (example: Insituform) in approximately 12,000' of Interceptor Sewer (gravity) due to deterioration. Interceptor is critical for delivery of wastewater to the County. Included in 2013A Bond project listing.				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
			X		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					X
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
	X				
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
		X			
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
		X			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
	X				
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
	X				
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
		X			
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
	X				
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			X		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
	X				
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input checked="" type="checkbox"/>	(A) Protection of Human Health
<input type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)			
<input type="checkbox"/>	Land Improvement	<input type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input checked="" type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Machinery & Equipment	<input type="checkbox"/>	Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	2,800,000	-	-	-	-	-	2,800,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	2,800,000	-	-	-	-	-	2,800,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Schmitt
Date:	10/09/13

Primary GP	A
Admin Rating	80

Responsible Department			Community Services			
Project Name:	Ion Exchange Treatment Plant Addition - Well 11				Proposed Budget Code:	50136050-5530
Project Description & Justification	Ion Exchange treatment is necessary for the removal of Radium 226/228 from groundwater. A treatment plant addition for well 11 was not completed due to consideration of Lake Michigan water supply. Well 11 is on emergency use only.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				Water/Sewer		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
		X				
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
	X					
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
			X			
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
			X			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
	X					
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
					X	
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
		X				
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
	X					
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			X			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
		X				
List any obstacles for implementation	If the village chooses to move forward with Lake Michigan water, this expense would be decreased in the future.					

Guiding Principles Priority - (Choose One Best Fit)	
<input checked="" type="checkbox"/>	(A) Protection of Human Health
<input type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)			
<input type="checkbox"/>	Land Improvement	<input type="checkbox"/>	Vehicle
<input checked="" type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Machinery & Equipment	<input type="checkbox"/>	Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	-	-	1,000,000	1,200,000	-	2,200,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	-	-	1,000,000	1,200,000	-	2,200,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Schmitt
Date:	10/08/13

Primary GP	B
Admin Rating	45

Responsible Department			Community Services		
Project Name: JULIE Locating Equipment Replacement			Proposed Budget Code: 50136050-5550		
Project Description & Justification Replace existing JULIE locators that have reached the end of useful life.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Water/Sewer	No Identified Funding
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability X
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue X
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs X	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo X	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
% Of Population Served	100% of Population Served by Project	Majority of Population Served X	Approximately 50% of Population Served	Less than 50% of the Population Served	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue X
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance X	1-4 Years with Normal Maintenance
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals X	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
Recreational or Aesthetic Value	Major Value	Moderate value	No Value X	Possibly Detrimental	
Estimated Frequency of Use	Every Day X	Several Times per Week	Several Times per Month	Once per Month or Less	
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input checked="" type="checkbox"/>	Machinery & Equipment
<input type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	13,000	-	-	-	-	13,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	13,000	-	-	-	-	13,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Schmitt
Date:	10/10/13

Primary GP	A
Admin Rating	52

Project Name: Lift Station Replacement/Relocation - Mionske/TIF			Responsible Department: Community Services		
Proposed Budget Code: 50136060-5540					
Project Description & Justification: New regional lift station to replace the Mionske & Concord lift stations. Current Mionske lift station location conflicts with future redevelopment and is not designed for potential flows from the TIF District. Capacity is needed at the Concord lift station, flow to be diverted to new regional lift station. See TIF District Lift Station Study (Draft) by Baxter & Woodman dated May 8, 2013.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
					X
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					X
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
			X		
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
		X			
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
			X		
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
				X	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
					X
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
		X			
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
	X				
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			X		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
	X				
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)

- | | |
|-------------------------------------|---|
| <input checked="" type="checkbox"/> | (A) Protection of Human Health |
| <input type="checkbox"/> | (B) Preservation of Public & Private Assets |
| <input type="checkbox"/> | (C) Enhances Quality of Life |
| <input type="checkbox"/> | (D) All Other Activities & Expenditures |

Category of Capital Expenditures (Choose One Best Fit)

- | | | | |
|--------------------------|-----------------------|-------------------------------------|----------------|
| <input type="checkbox"/> | Land Improvement | <input type="checkbox"/> | Vehicle |
| <input type="checkbox"/> | Building Improvement | <input checked="" type="checkbox"/> | Infrastructure |
| <input type="checkbox"/> | Machinery & Equipment | <input type="checkbox"/> | Technology |

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	-	4,500,000	-	-	-	4,500,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	-	4,500,000	-	-	-	4,500,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: Steve Schmitt

Date: 10/09/13

Primary GP	C
Admin Rating	79

Responsible Department			Community Services			
Project Name:	Sanitary Sewer Improve - Buffalo Creek				Proposed Budget Code:	50136060-5540
Project Description & Justification	Buffalo Creek Lift Station is obsolete, reaching the end of its useful life and requires replacement. The result of feasibility study may indicate that the existing Lift Station can be eliminated by installing a deeper gravity sewer.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				Water/Sewer		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					X	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
			X			
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
	X					
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
	X					
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
				X		
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
					X	
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
		X				
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
	X					
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
		X				
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	X					
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input type="checkbox"/>	(B) Preservation of Public & Private Assets
<input checked="" type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)			
<input type="checkbox"/>	Land Improvement	<input type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input checked="" type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Machinery & Equipment	<input type="checkbox"/>	Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	50,000	500,000	-	-	-	-	550,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	50,000	500,000	-	-	-	-	550,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Schmitt
Date:	10/10/13

Primary GP	B
Admin Rating	84

Project Name: Sanitary Sewer Lining - Rt 12/Heights			Responsible Department: Community Services		
Proposed Budget Code: 50136060-5540					
Project Description & Justification: Install structural lining (example; Insituform) in segment of Rt 12 sanitary sewer from Honey Lake to Ravinia. Currently, this problematic line has reached the end of its useful life. Lining is a cost effective alternative to replacement and has comparable life expectancy.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Water/Sewer	No Identified Funding
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)

- ☐ (A) Protection of Human Health
☒ (B) Preservation of Public & Private Assets
☐ (C) Enhances Quality of Life
☐ (D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)

- ☐ Land Improvement
☐ Building Improvement
☐ Machinery & Equipment
☐ Vehicle Infrastructure Technology

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	40,000	-	-	-	-	40,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	40,000	-	-	-	-	40,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: Steve Schmitt

Date: 10/11/13

Primary GP	A
Admin Rating	108

			Responsible Department		Community Services	
Project Name:	Sanitary Sewer Replacement/Repair			Proposed Budget Code:	50136060-5540	
Project Description & Justification	Repair/Replace recommended sanitary sewer segments identified in previous year's I & I Reduction Study.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				Water/Sewer		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
		X				
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
			X			
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
	X					
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
	X					
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
		X				
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
		X				
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
		X				
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
	X					
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			X			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	X					
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)

<input checked="" type="checkbox"/>	(A) Protection of Human Health
<input type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)

<input type="checkbox"/>	Land Improvement	<input type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input checked="" type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Machinery & Equipment	<input type="checkbox"/>	Technology

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	250,000	250,000	250,000	250,000	750,000	1,750,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	250,000	250,000	250,000	250,000	750,000	1,750,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Schmitt
Date:	10/10/13

Primary GP	B
Admin Rating	71

Project Name: Water Main Abandonment - W. Rt 22 & Whitney			Responsible Department: Community Services		
Proposed Budget Code: 50156055-5540					
Project Description & Justification: Abandon aged and problematic rear easement water main between 520 to 580 W. Rt. 22. Requires installation of new water services to the water main located on the south side of Rt 22.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
				Water / Sewer	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					X
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
			X		
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
		X			
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
			X		
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
				X	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
		X			
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
		X			
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
		X			
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			X		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
	X				
List any obstacles for implementation	Need individual agreements with each property owner to install new services (no easements).				

Guiding Principles Priority - (Choose One Best Fit)

- | | |
|-------------------------------------|---|
| <input type="checkbox"/> | (A) Protection of Human Health |
| <input checked="" type="checkbox"/> | (B) Preservation of Public & Private Assets |
| <input type="checkbox"/> | (C) Enhances Quality of Life |
| <input type="checkbox"/> | (D) All Other Activities & Expenditures |

Category of Capital Expenditures (Choose One Best Fit)

- | | | | |
|--------------------------|-----------------------|-------------------------------------|----------------|
| <input type="checkbox"/> | Land Improvement | <input type="checkbox"/> | Vehicle |
| <input type="checkbox"/> | Building Improvement | <input checked="" type="checkbox"/> | Infrastructure |
| <input type="checkbox"/> | Machinery & Equipment | <input type="checkbox"/> | Technology |

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	-	-	75,000	-	-	75,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	-	-	75,000	-	-	75,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: Steve Schmitt

Date: 10/08/13

Primary GP	C
Admin Rating	66

		Responsible Department		Community Services	
Project Name:	Water Main Replacement - Ironwood Ct & Robertson Road			Proposed Budget Code:	50136050-5540
Project Description & Justification	Water main replacement - Ironwood Ct & Robertson Road. Existing water main at the end of useful life & water quality issues on Ironwood Ct.				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
				Water/Sewer	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					X
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
		X			
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
	X				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
			X		
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
				X	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
					X
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
		X			
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
		X			
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			X		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
	X				
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input type="checkbox"/>	(B) Preservation of Public & Private Assets
<input checked="" type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)			
<input type="checkbox"/>	Land Improvement	<input type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input checked="" type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Machinery & Equipment	<input type="checkbox"/>	Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	650,000	-	-	-	-	650,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	650,000	-	-	-	-	650,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Schmitt
Date:	10/08/13

Primary GP	A
Admin Rating	76

Responsible Department			Community Services			
Project Name:	Water Main Replacement - Maple and Terrace				Proposed Budget Code:	50136050-5540
Project Description & Justification	Water Main replacement - Maple and Terrace. Phase 2 of project to improve water quality and flow capabilities.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				Water/Sewer		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					X	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
		X				
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
		X				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
			X			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
				X		
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
		X				
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
		X				
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
	X					
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			X			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	X					
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)	
<input checked="" type="checkbox"/>	(A) Protection of Human Health
<input type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)			
<input type="checkbox"/>	Land Improvement	<input type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input checked="" type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Machinery & Equipment	<input type="checkbox"/>	Technology

Financial Impact								
	Life-To-Date*	2014/152015/162016/172017/182018/19					Beyond '2018/19	Total
Project Costs	-	400,000	-	-	-	-	-	400,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	400,000	-	-	-	-	-	400,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Schmitt
Date:	10/08/13

Primary GP	B
Admin Rating	62

Project Name: Water Main Replacement - Rt. 12			Responsible Department: Community Services		Proposed Budget Code: 50156055-5540	
Project Description & Justification: Replace aged and problematic water main on the east side of Rt. 12 between Golfview and Miller.						
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				Water/Sewer		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					X	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
		X				
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
		X				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
			X			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
				X		
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
					X	
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
		X				
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
		X				
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			X			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	X					
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)			
<input type="checkbox"/>	Land Improvement	<input type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input checked="" type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Machinery & Equipment	<input type="checkbox"/>	Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	-	800,000		-	-	800,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	-	800,000	-	-	-	800,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Schmitt
Date:	10/08/13

Primary GP	B
Admin Rating	64

Project Name: Water Main Upgrade - 4" to 8"			Responsible Department: Community Services		Proposed Budget Code: 50136050-5540	
Project Description & Justification: Replace various segments of undersized 4" water main in the Heights, Woodlands and Mionske Subdivisions.						
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				Water/Sewer		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					X	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
		X				
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
		X				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
			X			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
				X		
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
					X	
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
		X				
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
	X					
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			X			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	X					
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)			
<input type="checkbox"/>	Land Improvement	<input type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input checked="" type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Machinery & Equipment	<input type="checkbox"/>	Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	-	-	-	500,000	1,500,000	2,000,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	-	-	-	500,000	1,500,000	2,000,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Schmitt
Date:	10/09/13

Primary GP	B
Admin Rating	76

Project Name: Water Tower Painting - Paulus Park			Responsible Department: Community Services		
Proposed Budget Code: 50136050-5540					
Project Description & Justification: Per 2012 Inspection by Dixon Engineering, abrasive blast clean and paint entire wet interior within 1-2 years. Budget for exterior overcoating and repairs in 5 years.					
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
				Water/Sewer	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					X
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
				X	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
		X			
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
			X		
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
	X				
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
		X			
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
			X		
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
		X			
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
		X			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
	X				
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)

<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)

<input type="checkbox"/>	Land Improvement	<input type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input checked="" type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Machinery & Equipment	<input type="checkbox"/>	Technology

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	132,000	-	-	-	127,000	-	259,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	132,000	-	-	-	127,000	-	259,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: Steve Schmitt

Date: 10/08/13

Primary GP	B
Admin Rating	57

Project Name: Backhoe Replacement			Responsible Department		CS-Utilities	
Proposed Budget Code:			50156067-5560			
Project Description & Justification: Replacement of a 1989 backhoe with 6000 hours. Current backhoe lacks 4 wheel drive, swivel bucket and interchangeable bucket. Shared cost with the Equipment Replacement Fund 50/50. Cost listed is HALF the cost.						
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding	
				Equip 50% Water 50%		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					X	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
					X	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
	X					
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
		X				
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
			X			
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
				X		
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
		X				
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
					X	
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			X			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	X					
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)

<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)

<input type="checkbox"/>	Land Improvement	<input checked="" type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Equipment	<input type="checkbox"/>	Technology

Financial Impact

	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	60,000	-	-	-		60,000
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	60,000	-	-	-	-	60,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By: Steve Paulus

Date: 10/03/13

Primary GP	B
Admin Rating	54

Project Name: Backhoe Replacement - #410D			Responsible Department		CS-Utilities	
Proposed Budget Code:			50156067-5560			
Project Description & Justification Replacement of backhoe 410D.						
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Water & Sewer	No Identified Funding	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					x	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
					x	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
	x					
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
		x				
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
		x				
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
				x		
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
		x				
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
					x	
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			x			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
		x				
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)			
<input type="checkbox"/>	Land Improvement	<input checked="" type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Equipment	<input type="checkbox"/>	Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-	-	-	-	-	-	-
On-Going Maint.	-	-	-	-	-	-	-	-
Total Project Costs	-	-	-	-	-	-	-	-

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	49

Project Name: Sedan - #370			Responsible Department		CS-Utilities	
Proposed Budget Code:			50156001-5560			
Project Description & Justification Replacement car 370						
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Water & Sewer	No Identified Funding	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input checked="" type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-				35,000	-	35,000
On-Going Maint.	-	-		-		-	-	-
Total Project Costs	-	-	-	-	-	35,000	-	35,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	49

Project Name: 430			Responsible Department		CS-Utilities	
Proposed Budget Code:			50156001-5560			
Project Description & Justification Repalcement truck 430.						
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Water & Sewer	No Identified Funding	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability	
					x	
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue	
					x	
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs		
	x					
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution	
			x			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served		
	x					
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue	
				x		
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance	
				x		
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff	
					x	
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental		
			x			
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less		
	x					
List any obstacles for implementation						

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input checked="" type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact							
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19
Project Costs	-	-			35,000		-
On-Going Maint.	-	-		-		-	-
Total Project Costs	-	-	-	-	35,000	-	35,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	47

Responsible Department			CS-Utilities		
Proposed Budget Code:			50156001-5560		
Project Name:	Truck - #433				
Project Description & Justification	Replacement truck 433.				
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Water & Sewer	No Identified Funding
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)	
<input type="checkbox"/>	Land Improvement
<input type="checkbox"/>	Building Improvement
<input type="checkbox"/>	Equipment
<input checked="" type="checkbox"/>	Vehicle Infrastructure Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-				75,000	-	75,000
On-Going Maint.	-	-		-		-	-	-
Total Project Costs	-	-	-	-	-	75,000	-	75,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	53

				Responsible Department		CS-Utilities	
Project Name: Truck - #437				Proposed Budget Code:		50156001-5560	
Project Description & Justification Replacement truck 437.							
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name) Water & Sewer	No Identified Funding		
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability		
					x		
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue		
					x		
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs			
	x						
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution		
			x				
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served			
	x						
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue		
				x			
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance		
			x				
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff		
					x		
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental			
			x				
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less			
	x						
List any obstacles for implementation							

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)			
<input type="checkbox"/>	Land Improvement	<input checked="" type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Equipment	<input type="checkbox"/>	Technology

Financial Impact								
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19	Total
Project Costs	-	-				75,000	-	75,000
On-Going Maint.	-	-		-		-	-	-
Total Project Costs	-	-	-	-	-	75,000	-	75,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Primary GP	B
Admin Rating	61

		Responsible Department		CS-Utilities	
Project Name:		Vactor Truck - #532		Proposed Budget Code:	
				50156067-5560	
Project Description & Justification		Replacement truck 532. (Vactor)			
Funding Source	Existing Grant Funds Identified for Project	Potential Grant Funds identified	Funds Identified From Capital Project Fund	Funds Identified From Other Fund (Name)	No Identified Funding
				Water & Sewer	
Legally Mandated	Court Decision	Regulatory Requirement	Pending Legal Action	Potential Legal Action	Normal Liability
					x
Public Health & Safety	Existing Severe Hazard	Existing Minor Hazard	Potential Severe Hazard	Potential Minor Hazard	No Health or Safety Issue
					x
Operating Budget Impact	Decreases Operating and/or Personnel Costs	Minimal or No Impact on Operating and/or Personnel Costs	Slight Increase to Operating and/or Personnel Costs	Significant Increase to Operating and/or Personnel Costs	
	x				
Environmental or Pollution Impact	Enhances Environment and/or Reduces Pollution	Benefits Environment and/or Slightly Reduces Pollution	No Environmental Impact / Status Quo	Minor or Negative Environmental Impact / Slight Pollution	Diminishes Environment or Creates Pollution
		x			
% Of Population Served	100% of Population Served by Project	Majority of Population Served	Approximately 50% of Population Served	Less than 50% of the Population Served	
	x				
Preservation of Facility	Loss of Facility Imminent without Project Completion	Additional Damage Likely without Project Completion	Project Constitutes Normal Major Maintenance	Project Constitutes Normal Minor Maintenance	New Facility Safety Issue
				x	
Project Useful Life	20+ Years With Extraordinary Maintenance	20+ Years With Normal Maintenance	10-20 Years With Normal Maintenance	5-9 Years with Normal Maintenance	1-4 Years with Normal Maintenance
		x			
Conformity to Strategic Plans & Village/Dept. Goals	Critical to accomplishing Established Plans / Goals	Assists in Accomplishing Established Plans / Goals	Will Not Assist or Will Hinder Accomplishing Plans / Goals	Recommended by Village Board	Recommended by Staff
					x
Recreational or Aesthetic Value	Major Value	Moderate value	No Value	Possibly Detrimental	
			x		
Estimated Frequency of Use	Every Day	Several Times per Week	Several Times per Month	Once per Month or Less	
	x				
List any obstacles for implementation					

Guiding Principles Priority - (Choose One Best Fit)	
<input type="checkbox"/>	(A) Protection of Human Health
<input checked="" type="checkbox"/>	(B) Preservation of Public & Private Assets
<input type="checkbox"/>	(C) Enhances Quality of Life
<input type="checkbox"/>	(D) All Other Activities & Expenditures

Category of Capital Expenditures (Choose One Best Fit)			
<input type="checkbox"/>	Land Improvement	<input checked="" type="checkbox"/>	Vehicle
<input type="checkbox"/>	Building Improvement	<input type="checkbox"/>	Infrastructure
<input type="checkbox"/>	Equipment	<input type="checkbox"/>	Technology

Financial Impact							
	Life-To-Date*	2014/15	2015/16	2016/17	2017/18	2018/19	Beyond '2018/19
Project Costs	-	-			250,000		-
On-Going Maint.	-	-		-		-	-
Total Project Costs	-	-	-	-	250,000	-	250,000

*Life-to-date includes any actual expenditures from start of project through September 2013 and estimates for the remainder of FY 2012/13.

Completed By:	Steve Paulus
Date:	10/07/13

Community Services Dept.

- Building & Zoning
- Public Works

505 Telser Road
Lake Zurich, IL 60047



AGENDA ITEM 8E

Phone: (847) 540-1696

Fax: (847) 726-2182

Web: www.LakeZurich.org

MEMORANDUM

Date: November 12, 2013

To: Jason Slowinski, Village Manager

From: Michael J. Earl, Director of Community Services

Subject: Amendment to Agreements with Village of Kildeer

Issue: The Village received a request from the Village of Kildeer for a change to the existing sewer agreement between the two Villages as it pertains to Kildeer's connection to Lake Zurich's sanitary sewer. The request focuses on notification to Lake Zurich in the event Kildeer desires to pursue disconnection from Lake Zurich's sanitary sewer.

Background: The January 3, 1990 Letter of Agreement between the two Villages (Exhibit 1) allows Kildeer to connect to and use Lake Zurich's sanitary sewer collection system. The agreement calls for Lake Zurich to reserve a maximum of 100,000 gallons of sewage per day and for Kildeer to pay Lake Zurich a service charge of 1.25 times the service charge assessed by Lake Zurich to its residents. For the last four quarters, the net sewer fee contribution fee from Kildeer to Lake Zurich was \$108,024.

Analysis: With the planned widening of Quentin Road by Lake County, Kildeer may be forced to relocate its sanitary sewers and may take the opportunity to pursue other means with which to provide sanitary sewer collection to its residents. With this in mind, Kildeer has requested the Letter Agreement be modified to provide for disconnection and notice procedures.

Staff has reviewed the request and acknowledges that the Letter Agreement does not bind Kildeer to use the Village's sewer collection facilities. Staff is comfortable with the six-month advanced notification prior to disconnection and requested inclusion of language in the Amendment that requires Kildeer to review the disconnection method with Village staff in advance. In the course of this review, Village Engineer Peter Stoehr suggested both parties take the opportunity to clarify language pertaining to the intergovernmental agreement (IGA) dated June 21, 1991 (Exhibit 2) wherein Kildeer grants easement rights to Lake Zurich for work on its sanitary sewer transmission main that may be necessary in Kildeer's right-of-way.

Exhibit 3 is an amendment to the January 3, 1990 Letter of Agreement detailing the agreed to sanitary sewer disconnection and notice procedures. Exhibit 4 is an amendment to the IGA easement agreement that clarifies the scope of the easement rights and includes an obligation by Lake Zurich to prepare the easement grant documentation.

Recommendation: Staff recommends approval of the attached Ordinance (Exhibit 5) that amends the Letter Agreement and IGA Easement agreement with Kildeer pertaining to its connection with Lake Zurich's sanitary sewer and its granting of an easement to Lake Zurich for the sanitary sewer transmission main.

w/ Attachments:

- Exhibit 1 - January 3, 1990 Letter of Agreement
- Exhibit 2 - June 21, 1991 Intergovernmental Agreement Granting Easement Rights
- Exhibit 3 – Letter Amendment to January 3, 1990 Letter of Agreement
- Exhibit 4 – Amendment to June 21, 1991 Easement Agreement
- Exhibit 5 – Ordinance Amending Both Amendments

Copy to:

Michael Brown, Public Works Manager
Steve Schmitt, Utilities Superintendent
Peter Stoehr, Village Engineer – Manhard Consulting

EXHIBIT 1

LAW OFFICES OF

BURKE, BOSSELMAN & WEAVER

BARBARA A. ADAMS
TED P. BOSSELMAN
JOHN E. BURKE
MARK E. BURLAND
MAUREEN A. CROWLEY
ANGELA S. CURRAN
WILLIAM P. DUFFY
MARTIN O. DURKIN, JR.
STEVEN M. ELSOD
VICTOR P. FILIPPEL, JR.
JAMES W. FOLEY
CHARLES D. KRIGHT
DAVID A. LOCKMILLER
ELLEN C. LONDON
CLAUDIA J. LOVELETTE
JEFFREY S. MCCUTCHEAN
LISA N. MUELLER
MICHAEL N. MURKOLLAND
ROBERT C. NEWMAN

XEROX CENTRE
65 WEST MONROE STREET
SUITE 800
CHICAGO, ILLINOIS 60603

TELEPHONE: 312-263-3600
TELECOPIER: 312-263-0189

ONE LINCOLN PLACE
1800 GLADES ROAD, SUITE 330
BOCA RATON, FLORIDA 33433
TELEPHONE: 407-361-0400
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MATTHEW E. NORTON
KENNETH D. PETERS
FRANCIS E. PRELL
MARK S. PROFFER
HENRY R. ROUNDA
EDWARD P. RYAN
JULIE P. SHELTON
COLIN SMITH
MARK A. STANG
KATHERINE J. STEINBERGER
RANDY B. STROUD
WILLIAM J. TOSSEVILLE II
DEBRA J. TUNGMAN
CLIFFORD L. WEAVER
THOMAS R. WOODROW

*ADMITTED ILLINOIS AND FLORIDA
*ADMITTED FLORIDA ONLY

January 3, 1990

John M. Mullen, Esq.
Conzelman, Schultz, Snarski & Mullen
33 North County Street
Suite 500
Waukegan, Illinois 60085

Re: Letter Agreement between Lake Zurich and Kildeer

Dear Mr. Mullen:

This letter is intended to serve as an Agreement between your client, the Village of Kildeer ("Kildeer"), and our client, the Village of Lake Zurich ("Lake Zurich") for connection of Kildeer's sewage collection system to Lake Zurich's sewage collection system and discharge by Kildeer through that connection of up to 100,000 gallons of sewage per day. This letter also is intended to establish, in general terms, the understanding between the parties that they will negotiate in good faith certain other issues of mutual concern.

The issues addressed in this Agreement arise as part of an effort to settle various litigation pending between Kildeer and Lake Zurich and involving various developers with projects in Lake Zurich. Kildeer initiated litigation in both state and federal courts because of its concern about possible adverse effects on Buffalo Creek created by effluent from one of Lake Zurich's sewage treatment plants (the "Southeast Plant"). Lake Zurich responded to the concerns raised in that litigation by acting to restrict additional sewage flows to the Southeast Plant and initiating a comprehensive capital improvement program including, in addition to extensive interim improvements, construction of a trunk sewer (the "Lake Zurich Trunk Sewer") to connect Lake Zurich's sewage collection system to Lake County's Des Plaines River Wastewater Treatment Plant.

As part of its agreement to dismiss all pending litigation and to waive and release all claims it may have against Lake Zurich or the developers, Kildeer seeks access to the Lake Zurich

John M. Mullen, Esq.
January 3, 1990
Page 2

Trunk Sewer for transmission of up to 100,000 gallons per day, average daily flow.

As discussed by the representatives of Kildeer and Lake Zurich at the meeting among them December 20, 1989, the following are the terms for such access and transmission:

1. Connection to Lake Zurich System. Kildeer may construct, at its expense, a connection between its sewage collection system and Lake Zurich's sewage collection system (the "Connection"). Kildeer may discharge through the Connection and into the Lake Zurich Trunk Sewer, and sufficient capacity in the Lake Zurich Trunk Sewer shall be reserved for, a maximum of 100,000 gallons of sewage per day, average daily flow. Construction of the Connection may commence as soon as practicable after installation of the sewer mains to which the Connection will be made, acceptance of such sewer mains by Lake Zurich, and payment by Kildeer of the fee established in Paragraph 2 below. Lake Zurich shall grant all necessary Village permits and approvals for construction of the Connection. Kildeer shall be responsible for acquiring and maintaining all required permits and approvals from the Illinois Environmental Protection Agency or other agency with jurisdiction. Lake Zurich shall assist in any reasonable way Kildeer's efforts to acquire such permits and approvals. Lake Zurich agrees to waive all Village permit fees related to construction of the Connection by Kildeer except as specifically provided herein. All plans and specifications for the Connection shall be submitted by Kildeer to Lake Zurich for the review and approval of Lake Zurich's Village Engineer and Director of Public Works in advance of the commencement of construction. All construction shall be done in accordance with the approved plans and specifications.

2. Connection Charge. Kildeer shall pay the sum of \$45,954 for the Connection, in accordance with Subparagraph 7-5-8-1A(1) of the Lake Zurich Municipal Code. Such payment shall be made before the commencement of construction of the Connection. Such payment shall be deemed to include every unit currently connected to the Kildeer sewage collection system as of the date of execution of this Agreement. Kildeer shall provide to Lake Zurich reasonable access to its records for purposes of determining such units and further shall provide Lake Zurich with a copy of every permit issued after the date of execution of this Agreement that authorizes any connection for which a fee must be paid pursuant to this Paragraph and Subparagraph 4D below and proof that such fee has been paid.

3. Measurement of Flow. The amount of the flow through the Connection shall be measured by a flow measuring device installed upstream of the Connection and maintained by Kildeer. At any time such amount of flow cannot be calculated by such flow measuring device, then the amount of flow shall be calculated as 350 gallons per residential unit equivalent.

4. Charges and Fees. Kildeer shall pay the following charges and fees for construction and use of the Lake Zurich Trunk Sewer and in accordance with Subparagraphs 7-5-8-2A and C of the Lake Zurich Municipal Code and Article XIV of the Lake Zurich Building Code:

A. Proportionate Cost of Construction. Kildeer shall pay its "proportionate share" of the "total cost of construction" of the Lake Zurich Trunk Sewer calculated as follows:

Proportionate Share = $1/120 \times \text{Total Cost of Construction}$.

The parties agree that, based on an estimated total cost of construction of \$12,000,000, Kildeer shall pay to Lake Zurich an estimated proportionate share of \$100,000. Such payment shall be made on or before October 1, 1993; provided, however, that this date may be extended to October 1, 1994, by payment by Kildeer to Lake Zurich on October 1, 1993, of interest on the \$100,000 for one year calculated as \$100,000 times either the highest rate of interest then being paid by Lake Zurich for debt incurred by Lake Zurich in connection with the construction of the Lake Zurich Trunk Sewer or 7.5 percent, whichever is greater. The failure of Kildeer to make any payment provided in this Paragraph shall be grounds for Lake Zurich to declare that the reservation for Kildeer of 100,000 gallons per day of capacity in the Lake Zurich Trunk Sewer is extinguish and, upon such declaration, the duty under this Agreement for Lake Zurich to so reserve such capacity shall be ended. After the Lake Zurich Trunk Sewer is completed, final payments therefor made and the contract therefor closed out, and the total cost of construction ascertained, either Lake Zurich shall reimburse to Kildeer any part of said \$100,000 in excess of Kildeer's proportionate share or Kildeer shall pay to Lake Zurich any sum calculated as part of its proportionate share in excess of \$100,000. Any such reimbursement or payment shall be made within 60 days after ascertainment of the total cost of construction, or October 1, 1993, whichever is later. For purposes of this Subparagraph, "total cost of construction" shall be defined as the actual cost of

constructing the Lake Zurich Trunk Sewer from the Kildeer point of connection to and including connection to the Lake County Des Plaines River Wastewater Treatment Plant, including design, engineering, property acquisition, legal expenses, overhead, profit, and similar charges.

B. An Availability Charge, on a calendar quarter basis, for each sewer connection tributary to the Connection as follows:

<u>Size Of Water Connection</u>	<u>Quarterly Charge</u>
residential well	
(per unit) or	
1" or smaller	\$ 4.50
1½"	10.00
2"	26.00
3"	71.00
4"	145.00

as such charge may be amended from time to time.

C. A Service Charge, on a calendar quarter basis, of 1.25 times the service charge assessed by Lake Zurich to Lake Zurich residents.

D. A New Connection Charge for each new sewer connection tributary to the Connection in accordance with Paragraph 2 above and with Article XIV of the Lake Zurich Building Code.

Lake Zurich shall assess the charges and fees described in Subparagraphs B and C above beginning the first calendar quarter following the commencement of discharge of flow through the Connection. Kildeer shall be responsible for the payment of all charges and fees to Lake Zurich and for the levying and collecting of all charges and fees from the users of Kildeer's sewer collection system. Such payments shall be made by Kildeer to Lake Zurich no later than 30 days after the date of Lake Zurich's invoice. Late payments shall be subject to a late payment charge of one percent per month or any fraction thereof. Except for the 1.25 multiplier, such charges and fees shall be subject to all Lake Zurich ordinances and regulations generally applicable to similar charges and fees levied generally in Lake Zurich.

5. Kildeer - Lake County Agreement. The authorization granted by this Agreement for Kildeer to discharge sewage into the Lake Zurich Trunk Sewer shall be contingent on an agreement

between Kildeer and Lake County authorizing such discharge. Kildeer shall provide Lake Zurich with sufficient evidence of such an agreement prior to the commencement of such discharge.

6. Construction of Lake Zurich Trunk Sewer. Kildeer shall grant to Lake Zurich all easements over, under, on, and through the Cuba Road right of way and any other public property in Kildeer mutually agreeable to Lake Zurich and Kildeer necessary for construction and maintenance of the Lake Zurich Trunk Sewer. Kildeer shall not unreasonably withhold or delay its consent to such easements over, under, on, and through such other public property. Such easements shall provide that Lake Zurich shall promptly restore all areas disturbed by it or its agents during construction or maintenance to a condition at least as good as existed before such disturbance. Kildeer also shall grant all necessary permits and approvals for such construction and maintenance and shall waive all fees and charges therefor. Further, Kildeer shall assist Lake Zurich in acquiring all necessary easements for such construction and maintenance from private property owners and from the Village of Long Grove; provided that Kildeer shall not be required to expend any funds in payment for any such easements or to utilize its condemnation powers. The engineering plans and specifications for that portion of the Lake Zurich Trunk Sewer that would traverse Kildeer public right-of-way shall be submitted to Kildeer for its review and comments prior to commencement of construction. This Agreement shall constitute and stand in place of all Kildeer permits and assignment of rights under existing public utility franchise agreements required by or useful to Lake Zurich or its contractors to construct and install the Lake Zurich Trunk Sewer within Kildeer, and Kildeer hereby agrees to execute any and all additional documents, approvals, waivers, or consents, if any, that may be necessary in order for Lake Zurich to secure any permits required from any County, State, or federal agency, or that may be necessary to cause relocation of public utility facilities for or in connection with any and all work to be done by or on behalf of Lake Zurich within the boundaries of Kildeer. Lake Zurich shall pay to Kildeer on or before April 1, 1990, \$23,000 as full consideration for the provisions contained in this Paragraph and every other paragraph of this Agreement.

7. Conveyance of Portion of Cuba Road. Upon satisfactory resolution of the issues between Kildeer and Lexington Homes, Inc. related to connection of the Chestnut Corners Unit 4 Subdivision by Lexington Homes, Inc. to Cuba Road at Warwick Lane in Kildeer, Lake Zurich shall convey to Kildeer, by ordinance substantially similar to the ordinance attached hereto as Exhibit A, a portion of Cuba Road as described in said ordinance.

John M. Mullen, Esq.
January 3, 1990
Page 6

8. Negotiation of Other Issues. Lake Zurich and Kildeer agree to negotiate with each other in good faith the following issues:

A. Boundary Agreement, including establishment of a line marking the boundaries of the jurisdiction of each of the villages pursuant to the authority granted by Section 11-12-9 of the Illinois Municipal Code, and including issues relating to the appropriate zoning of property along mutual boundary lines.

B. Kildeer Facility Plan. Lake Zurich shall not unreasonably object to the approval of the proposed Kildeer Facility Plan.

If Kildeer is agreeable to the terms of this Agreement, please have President Welch and Clerk Schreiber sign both enclosed copies after proper authorization by Kildeer's Board of Trustees and return them to me. I then shall present the Agreement to Lake Zurich's Board of Trustees for its approval and to Mayor Kay and Clerk Barton for their signatures.

Sincerely,


Mark E. Burkland

MEB:tmb

cc: Mayor James W. Kay
Mr. Edward A. Geick
Mr. David L. Talbott

The Village of Kildeer, acting upon the authority of its Board of Trustees and through its Village President, hereby agrees to all of the terms set forth in the above letter agreement.

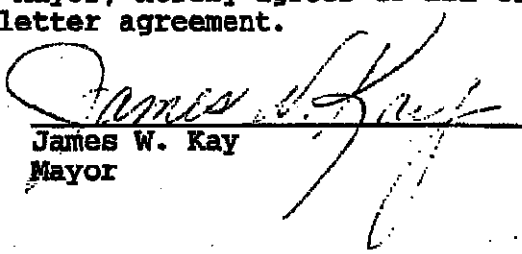

George L. Welch
Village President

ATTEST:



Laurel Schreiber

John M. Mullen, Esq.
January 3, 1990
Page 7

The Village of Lake Zurich, acting upon the authority of its Board of Trustees and through its Mayor, hereby agrees to all of the terms set forth in the above letter agreement.


James W. Kay
Mayor

ATTEST:


Claudia A. Barton
Village Clerk

June 21, 1991

EXHIBIT 2 INTERGOVERNMENTAL AGREEMENT
BETWEEN THE VILLAGE OF LAKE ZURICH
AND THE VILLAGE OF KILDEER GRANTING AN EASEMENT

THIS EASEMENT AGREEMENT, made and entered into this 1st day of July, 1991, by and between the VILLAGE OF LAKE ZURICH, a municipal corporation created and existing under the laws of the State of Illinois, ("Lake Zurich") and the VILLAGE OF KILDEER, a municipal corporation created and existing under the laws of the State of Illinois ("Kildeer"),

W I T N E S S E T H:

WHEREAS, Lake Zurich is constructing a sanitary sewage transmission main from its sewage collection system to the County of Lake's Des Plaines River Wastewater Treatment Plant, a portion of which sewage transmission main, and related structures and appurtenances, will lie in the Cuba Road right-of-way located within the municipal limits of Kildeer; and

WHEREAS, Lake Zurich has redesigned a substantial portion of the sewage transmission main within the Cuba Road right-of-way, which had been designed as a gravity sewer, to be a force main, thereby allowing a shallower depth of installation, a smaller pipe diameter, and, consequently, a substantially reduced impact on Cuba Road and its environs during construction; and

June 21, 1991

WHEREAS, Kildeer and Lake Zurich desire to establish terms and conditions for the construction and maintenance of said portion of the sewage transmission main, in accordance with the terms of the letter agreement between them dated January 3, 1990, and in an effort to ensure the health and safety of Kildeer residents affected by the project and to protect the Cuba Road environment from adverse impact from the project;

NOW, THEREFORE, in consideration of the foregoing, and the mutual covenants and agreements hereinafter set forth, and in the exercise of their respective powers and authority under the intergovernmental cooperation provisions of Article VIII, Section 10 of the Illinois Constitution of 1970 and the Illinois Intergovernmental Cooperation Act (Ill.Rev.Stat. Chapter 127 § 741 et seq.) and other applicable authority, Lake Zurich and Kildeer hereby agree as follows:

SECTION 1: Recitals. The foregoing recitals are by this reference incorporated herein as substantive provisions of this Agreement.

SECTION 2: Grant of Easement. Kildeer hereby grants and conveys to Lake Zurich a perpetual easement 20 feet in width, 10 feet on either side of the centerline of the sewer main described herein and depicted in Exhibit A, attached hereto and incorporated by reference herein (the "Property"), and a temporary construction easement 20 feet north of said centerline to the south right-of-way line, also as depicted in Exhibit A, to construct and maintain

June 21, 1991

(said activities hereinafter collectively referred to as "installation") a thirty-six inch (36") diameter sanitary sewer transmission main, together with attachments, equipment, and appurtenances thereto (said transmission main, attachments, equipment, and appurtenances hereinafter collectively referred to as the "Pipeline"). The duration of the temporary construction easement shall begin with the commencement of construction, and continue through construction and restoration until the warranty period set forth in Section 3 (N) expires.

SECTION 3: Terms and Conditions of Construction. The installation of the Pipeline, and any subsequent modifications or repair of the Pipeline, shall be undertaken in accordance with the following terms and conditions:

- A. General Standard. All work shall be performed in a good and workmanlike manner, and with due care and so as to minimize any interference with, damage to, or harm to the Property or any adjacent property owned by or under the jurisdiction of Kildeer.
- B. Engineering Plans and Specifications. The engineering plans and specifications shall be designed to minimize disruption of the Cuba Road right-of-way, minimize traffic disruption, minimize disruption to vegetation in the vicinity, and to provide the minimum impact possible upon Kildeer and its citizens.

- C. Construction Traffic. All construction vehicles carrying materials or equipment to or from the Property during the initial installation or any subsequent repair of the Pipeline shall travel to the Property via Quentin Road or Old McHenry Road.
- D. Working Hours. Working hours shall be limited to 7:00 A.M. to 7:00 P.M. weekdays and 9:00 A.M. to 5:00 P.M. Saturdays. Equipment shall not be operated at any other times without the permission of Kildeer, except in the event of emergency.
- E. Repaving After Initial Construction. All roadway removed or damaged during installation of the Pipeline shall be repaved with bituminous binder, and surface courses. The surface course to be placed upon Cuba Road shall extend across the entire pavement width.
- F. Repaving After Subsequent Repairs. All roadway removed or damaged during subsequent repairs of the Pipeline shall be repaved with bituminous binder, and surface courses shall be placed upon Cuba Road, which shall extend across the entire pavement width, unless not advisable consistent with good engineering practices.
- G. Removal of Spoil. Excavated materials shall be promptly removed from the Property, except such material that is suitable for, and shall be used for, backfilling.

- H. Parking. All parking of the workers' automobiles shall be located only at a pre-determined parking area mutually acceptable to Kildeer and Lake Zurich.
- I. Roadway Restoration and Inspection. After each installation of the Pipeline, Lake Zurich shall promptly restore the Property to a condition as good as or better than existing before said construction, including replacing and grading any topsoil removed during installation. Kildeer shall have the right to inspect all roadway construction and restoration work. Costs for such inspection work are included in the lump sum payment made by Lake Zurich pursuant to Section 8 of this Agreement.
- J. Drainage; Erosion Control. Adequate drainage shall be maintained through roadway ditches at all times. Adequate provisions for erosion control shall be made along the construction route, and drainage and erosion control measures shall be explicitly stated on the engineering plans.
- K. Mail Service. Mail service shall be maintained to all residents along Cuba Road.
- L. Notice Before Final Inspection. Lake Zurich shall notify Kildeer at least five (5) days in advance of any final inspection by Lake Zurich of construction work by any general contractor. Kildeer may attend any such final

June 21, 1991

inspection, and for a five (5) day period thereafter; provide comments to Lake Zurich regarding all work undertaken by such general contractor. Lake Zurich shall incorporate, in its final punch list for the contractor, those items identified by Kildeer that are required to be completed pursuant to the applicable contract. Both parties shall exercise good faith to prepare a reasonable and complete punch list for the contractor, which must be substantially completed prior to final payment to the contractor.

M. Traffic Management. Lake Zurich shall provide all required traffic management and control devices, equipment, and personnel required for proper and safe installation of the Pipeline in accordance with Standard Specifications for Road and Bridge Construction, July 1, 1988, Illinois Department of Transportation (Section 648), and all other applicable federal and state laws, rules, and regulations. Lake Zurich shall provide to Kildeer for its review and approval, no fewer than 14 days before commencement of the initial installation or any major subsequent repair of the Pipeline, a plan for management of traffic during installation within Kildeer's municipal limits. For minor repairs or emergency repairs, Lake Zurich shall give such notice as is reasonably practicable to Kildeer prior to the

June 21, 1991

commencement of such repairs. Lake Zurich shall make its best efforts to cause at least one lane of traffic to be maintained on Cuba Road for use by neighboring residents and emergency vehicles. Lake Zurich may store temporary stockpiles within the closed lane, provided suitable barricades, warning signs, and lights are maintained. Lake Zurich also shall cause temporary access to be maintained to each residence currently having access from Cuba Road, and shall not obstruct such access at any time, except for a period of time not exceeding 48 hours for installation of the Pipeline at the point of such access.

N. Guarantee. Lake Zurich shall promptly repair, at its expense, any surface or base failure in Cuba Road within Kildeer for a period of 2 years after initial construction of the Pipeline is completed, resulting from defective design, construction, workmanship, or materials of the Pipeline or the reconstructed road.

O. Contract Terms; Remedies. Lake Zurich shall include, in every contract for installation work in Kildeer, terms binding on the contractor requiring compliance with the terms of this Easement Agreement, and specific remedies against the contractor in the event of a breach or default by the contractor of such terms. Lake Zurich also shall include in each such contract terms requiring

June 21, 1991

that, once construction operations begin in Kildeer, the contractor shall continuously prosecute all work within Kildeer to its completion. Lake Zurich also shall include in each such contract terms requiring that, in the event the contractor fails to perform the work in a timely fashion, Lake Zurich shall have the option, upon written notice to the contractor, to proceed to prosecute such work as may be deemed necessary by Lake Zurich, and deduct the cost therefor from the compensation due to the contractor under such contract. Lake Zurich shall provide to Kildeer one copy of each such contract, and any such written notice.

- P. Communications. Lake Zurich shall keep Kildeer, the Lake County Sheriff's Office, and relevant fire districts informed of the status of the installation of the Pipeline within Kildeer on a regular basis, and shall, upon Kildeer's request, meet with Kildeer officials at mutually convenient times. All required notices and communications shall be in writing to the Kildeer Village Administrator, or, in the event of a bona fide emergency, to the Kildeer Police Department, through its dispatcher. Kildeer shall be given sufficient prior notice of any preconstruction or construction meetings so that a Kildeer representative may attend any and all such meetings.

Q. Vegetation. Lake Zurich shall include in every contract and engineering plans for installation, maintenance, or repair of the Pipeline within Kildeer, terms binding on the contractor for the protection of existing vegetation. Such terms shall provide that trimming of overhanging branches and removal of vegetation shall only be permitted when reasonably necessary for the construction. Lake Zurich, at all times and under all circumstances, shall cause its contractors to position and operate all construction equipment to avoid unnecessary trimming of or damage to vegetation. All damaged or removed vegetation shall be replaced with native, indigenous species vegetation to the extent reasonably possible so that the affected area is restored to a condition as good or better than existed prior to the construction. It is the intent of this paragraph to ensure the after construction or repair that areas with disturbed or removed vegetation are restored so that the screening effect that existed prior to construction or disturbance is restored after the construction or disturbance.

R. Roadway Restoration. For the initial construction or any subsequent repair, the following standards shall govern and apply:

1. Trench backfill shall be 1/4" to 1" crushed stone or gravel for both the bedding and the initial backfill.

June 21, 1991

2. The top 2 feet of the trench shall be CA6, either grade 8 or grade 9. This shall be compacted to 95% density.

3. The contractor shall provide a temporary asphalt patch within the trench area until an adequate length of the project has been completed to warrant resurfacing.

4. Upon completion of the Pipeline or any subsequent repair within the Kildeer portion of Cuba Road, the temporary asphalt patch shall be removed, all deteriorated pavement shall be sawcut and removed, and the asphalt shall be replaced with bituminous binder to a depth of at least 4 inches, but in no case shall it be less than 2 inches thicker than the existing adjacent asphalt.

5. Upon completion of the Pipeline within Kildeer, Cuba Road (within the limits of Kildeer) shall be overlaid over its entire width with a leveling binder, petromat, and a 2 inch asphalt surface course.

SECTION 4: Permits, Licenses, and Approvals. A Kildeer permit shall be required for the initial construction, which is issued simultaneously with the execution of this Agreement, and for any subsequent repair that involves construction or excavation. No fee shall be required. Plans and specifications and a schedule of construction shall be submitted at the time of permit application.

June 21, 1991

SECTION 5: Plans and Specifications. Lake Zurich agrees to construct the Pipeline in substantial accordance with the engineering plans and specifications described on Exhibit B, attached hereto and incorporated by this reference herein, which plans and specifications have been approved by Kildeer. Lake Zurich shall provide Kildeer with a copy of the "as-built" drawings relating thereto promptly after such drawings are available to Lake Zurich.

Lake Zurich shall immediately notify Kildeer's designated field representative (Village Engineer Michael Shrake or Director of Public Works William Holmes, through the Village Administrator) of any material change to the approved plans and specifications necessitated by field conditions or otherwise. No material changes to the plans and specifications shall be permitted without the consent of the Village of Kildeer's designated field representative, which consent shall not be unreasonably withheld. For the purpose of this paragraph, material changes shall include any changes in alignment of the sewer transmission main or the construction of any additional structures not specified on the plans and specifications or any other changes not represented on the plans and specifications affecting the area outside of the sewer alignment area depicted on Exhibit A.

SECTION 6: Indemnification. Lake Zurich undertakes and agrees to indemnify, defend, and hold harmless Kildeer for and against any and all damages, claims, demands, actions, causes of

June 21, 1991

action, judgments, or liabilities arising out of the installation, construction, operation, maintenance, or repair of the Pipeline. As to any such claim, Kildeer shall not offer or enter into a settlement of any claim, demand, action, cause of action, judgment, or liability without the prior express written approval of such settlement by Lake Zurich.

SECTION 7: Connections with Kildeer. Lake Zurich shall not allow any property within the territorial limits of Kildeer, as it exists from time to time, to be connected to the Pipeline, without the prior written consent of Kildeer. If Lake Zurich violates the terms of this Section 7, then Lake Zurich shall pay all costs incurred by Kildeer in enforcing the terms of this Section 7. The parties understand and agree that the terms of this paragraph shall be subject to an action for equitable relief, since monetary damages would not be adequate.

SECTION 8: Consideration. In consideration of the rights granted herein, and to offset all costs incurred by Kildeer in the review and administration of this Easement Agreement and the work envisioned hereunder including without limitation all engineering fees, inspection fees, and other professional fees, including legal fees, and in place of all fees and charges for all permits and approvals from Kildeer, Lake Zurich has paid to Kildeer a lump sum of \$23,000, the receipt and sufficiency of which is hereby acknowledged by Kildeer.

June 21, 1991

SECTION 9: Rights and Remedies. This Easement Agreement may be enforced by either party by an action at law or in equity, and the parties agree that this Easement Agreement may be enforced by injunctive relief, as an action for damages alone will not provide adequate relief for a breach thereof.

SECTION 10: Prior Notice. Lake Zurich shall give Kildeer 14 days' prior written notice before initial installation, or any subsequent repair, except in the case of a bona fide emergency. In the case of a bona fide emergency, Lake Zurich shall give such notice as is reasonably possible.

SECTION 11: Kildeer Connection. Kildeer may construct, at its expense, a connection between its sewage collection system and Lake Zurich's sewage collection system (the "Connection") in accordance with the terms of the letter agreement between them dated January 3, 1990. Kildeer may discharge through the Connection and into the Pipeline, and sufficient capacity in the Pipeline shall be reserved for a maximum of 100,000 gallons of sewage per day, average daily flow. Construction of the Connection may commence as soon as practicable after installation of the sewer mains to which the Connection will be made, acceptance of such sewer mains by Lake Zurich, and payment by Kildeer of the fee established in the January 3, 1990 letter of agreement. Lake Zurich shall grant all necessary Village permits and approvals for construction of the Connection. Kildeer shall be responsible for acquiring and maintaining all required permits and approvals from

June 21, 1991

the Illinois Environmental Protection Agency or other agency with jurisdiction. Lake Zurich shall assist in any reasonable way Kildeer's efforts to acquire such permits and approvals. Lake Zurich agrees to waive all Village permit fees related to construction of the Connection by Kildeer except as specifically provided herein. All plans and specifications for the Connection shall be submitted by Kildeer to Lake Zurich for the review and approval of Lake Zurich's Village Engineer and Director of Public Works in advance of the commencement of construction. All construction shall be done in accordance with the approved plans and specifications.

SECTION 12: Consent and Approvals. Kildeer shall not unreasonably withhold, delay, or condition any consent or approval which is required pursuant to this Easement Agreement.

SECTION 13: Recordation. Lake Zurich shall cause this Easement Agreement to be recorded with the Recorder of Deeds for Lake County, Illinois.

SECTION 14: Modifications or Amendments. No modification, amendment, change, or addition to this Easement Agreement shall be binding upon the parties hereto unless reduced to writing and approved and executed by both parties hereto.

SECTION 15: Binding Effect. All rights, interests, privileges, and benefits herein granted and conveyed, and all burdens hereby imposed, shall be binding upon and inure to the benefit of the parties hereto, and their successors.

June 21, 1991

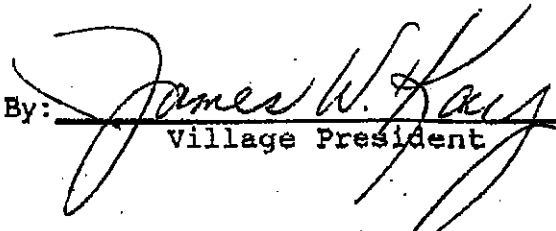
SECTION 16: Attorney Fees. In the event of litigation to enforce the terms of this agreement, the party who substantially prevails shall be entitled to an award of reasonable attorneys fees against the other party.

SECTION 17: No Assignment. Neither this agreement, nor the easement granted herein, shall be assigned by either party, unless approved by both parties.


IN WITNESS WHEREOF, the parties hereto have caused this instrument to be duly authorized, executed, and delivered by their proper representatives as of the day, month, and year first written above.

VILLAGE OF LAKE ZURICH

By:


Village President

ATTEST:


Village Clerk

June 21, 1991

STATE OF ILLINOIS)
) SS.
COUNTY OF LAKE)

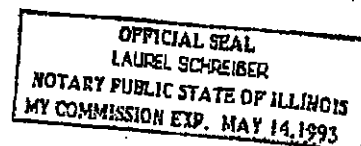
I, Laurel Schreiber, a Notary Public in and for said County, in the State aforesaid, do hereby certify that James W. Kay, personally known to me to be the Village President of the Village of Lake Zurich, an Illinois municipal corporation, and Kenneth Grooms, personally known to me to be the Village Clerk of said Village, and both personally known to me to be the same persons whose names are subscribed to the foregoing instrument, appeared before me this day in person and acknowledge that they signed, sealed, and delivered said instrument as their free and voluntary act and as the free and voluntary act of said Village for the uses and purposes therein set forth.

Given under my hand and official seal this 1st day of

July, 1991.

Laurel Schreiber

Notary Public



June 21, 1991

VILLAGE OF KILDEER

By: 
Village President

ATTEST:

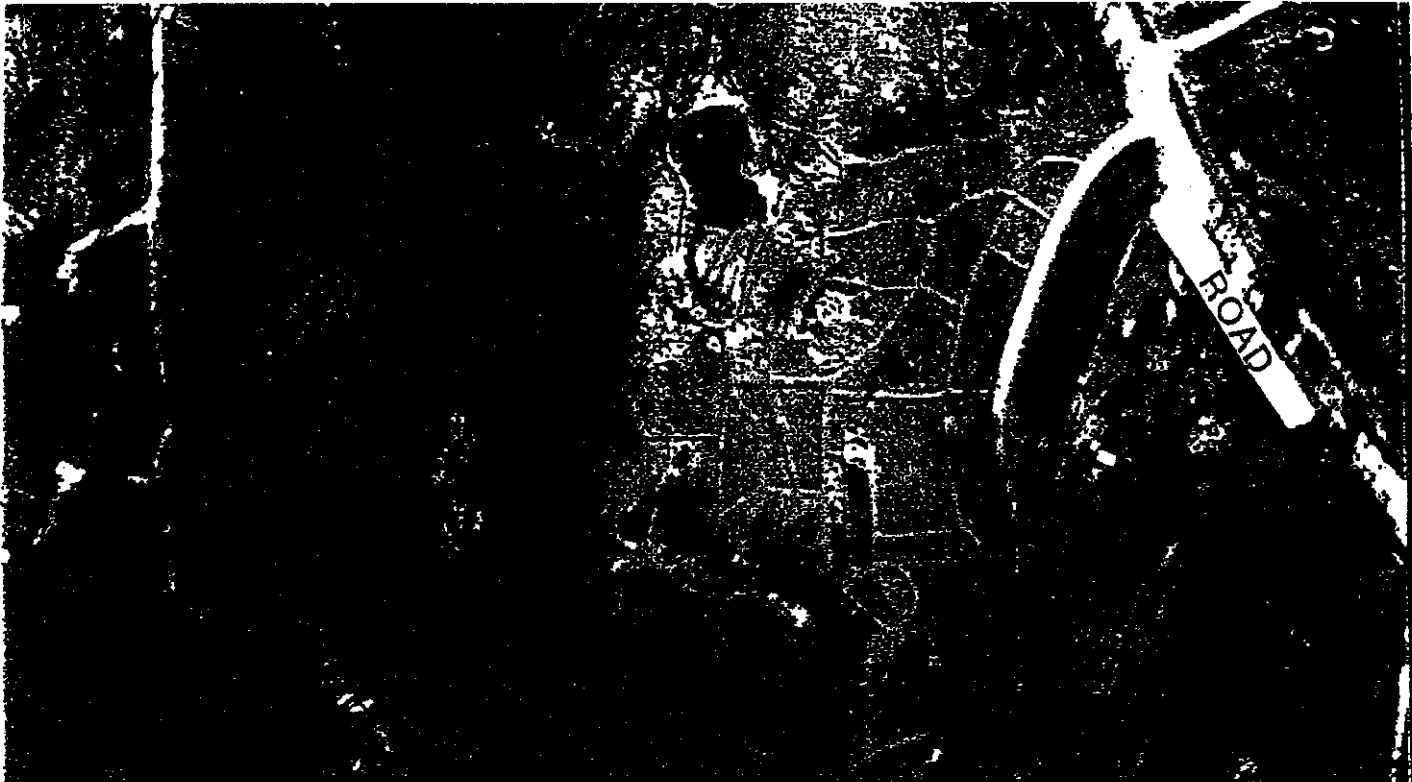

Village Clerk

STATE OF ILLINOIS)
) SS.
COUNTY OF LAKE)

I, _____, a Notary Public in and for said County, in the State aforesaid, do hereby certify that George L. Welch, personally known to me to be the President of the Village of Kildeer, an Illinois municipal corporation, and Laurel Schreiber, personally known to me to be the Clerk of said Village, and both personally known to me to be the same persons whose names are subscribed to the foregoing instrument, appeared before me this day in person and acknowledge that they signed, sealed, and delivered said instrument as their free and voluntary act and as the free and voluntary act of said Village for the uses and purposes therein set forth.

Given under my hand and official seal this _____ day of _____, 1991.

Notary Public



Rezek, Henry, Meisenheimer
and Gende, Inc.
Libertyville, Ill

Forcemains and Trunk Sewers
Village of Lake Zurich
Wastewater Transfer Facilities

GENERAL PLAN

Exhibit A

91-0-

7/1/91

Segment C - Forcemain from the Forcemain Flow Control Structure on
Cuba Road to the Quentin Road Pumping Station.

Drawings C1 - C17

FACILITIES - SEGMENT C

Job No. 892024

Date December, 1990

C1

Engineering plans and specifications, approved
by the IEPA and mutually acceptable to Lake
Zurich and Kildeer to be added.

EXHIBIT B

EXHIBIT 3

LETTER AMENDMENT Amendment to Letter Agreement for Sewer connection Between the Village of Kildeer and the Village of Lake Zurich

This Amendment to Letter Agreement for Sewer Connections is made as of _____, _____, 2013 between the Village of Kildeer and the Village of Lake Zurich with reference to the following facts:

WHEREAS, the Village of Lake Zurich and the Village of Kildeer entered into a Letter Agreement dated January 3, 1990 ("AGREEMENT"), which permitted certain sanitary sewer connections between the sanitary sewer systems of the Villages; and

WHEREAS, The Village of Kildeer is reviewing possible upgrades and/or modifications to its sanitary sewer system and disconnection from the Village of Lake Zurich sewer system; and

WHEREAS, it is in the interest of both parties to provide for notification procedures, should the Village of Kildeer modify its sanitary system and discontinue the use of sanitary sewer connections with the Village of Lake Zurich.

NOW, THEREFORE, for and in consideration of the foregoing and other good and valuable considerations the parties agree to Amend the AGREEMENT by adding Paragraph 9 to the AGREEMENT as follows:

PARAGRAPH 9 – DISCONNECTION AND NOTICE PROCEDURES

In the event the Village of Kildeer discontinues the use of the interconnections to the sanitary system of the Village of Lake Zurich, it is agreed by and between the parties:

(a) The Village of Kildeer shall provide written notice to the Village of Lake Zurich no later than 6 months prior to the initiation of disconnection tasks *and then to notify the Village of Lake Zurich of the actual time and date of the disconnection work and to allow Lake Zurich to review and provide any input regarding the proper completion of the disconnection project and work by which the Connection is rendered inoperable, to confirm that there is no material adverse impact on the continued operation and maintenance of the Lake Zurich sanitary sewer system. The Village of Kildeer agrees to consider and reasonably address any such input or comments from the Village of Lake Zurich.*

(b) That upon the completion of disconnection activities, the terms and conditions of the Letter Agreement shall be complete and the Letter Agreement shall automatically terminate.

(c) *That the Village of Kildeer agrees to obtain any Lake County or other applicable governmental entities' approval, as may be needed, for the completion of such work.*

IN WITNESS WHEREOF, the Villages have set their hands and affixed their seals on the _____ day of _____, 2013.

VILLAGE OF KILDEER

By: _____
Village President

ATTEST:

By: _____
Village Clerk

VILLAGE OF LAKE ZURICH

By: _____
Mayor

ATTEST:

By: _____
Village Clerk

EXHIBIT 4

EASEMENT AMENDMENT

Amendment to Intergovernmental Agreement Between The Village of Lake Zurich and The Village of Kildeer Granting An Easement

This Amendment to Intergovernmental Agreement Between The Village of Lake Zurich and The Village of Kildeer Granting An Easement is made as of _____, ____, 2013 between the Village of Kildeer and the Village of Lake Zurich based on and with reference to the following facts:

WHEREAS, the Village of Lake Zurich and the Village of Kildeer entered into an Intergovernmental Agreement Between The Village of Lake Zurich and The Village of Kildeer Granting An Easement, dated July 1, 1991, establishing the terms and conditions for the construction and maintenance of the sewage transmission main identified therein, pursuant to the terms of that agreement ("AGREEMENT"); and

WHEREAS, The Village of Kildeer is reviewing possible upgrades and/or modifications to its sanitary sewer system and disconnection from this portion of the Village of Lake Zurich sewer system; and

WHEREAS, it is in the best interests of both Villages and in furtherance of the goal of intergovernmental support and cooperation to act to clarify the provisions of the AGREEMENT and to facilitate the proper future construction, maintenance and repair of this portion of the municipal sewer system by Lake Zurich.

NOW, THEREFORE, for and in consideration of the foregoing and other good and valuable considerations the parties agree to amend the following Sections and provisions of the AGREEMENT, as follows:

SECTION 1: That the Village of Kildeer agrees to grant and approve (or regrant/reapprove), subject to its prior review and approval, the perpetual 20 foot-wide sewer easement previously intended and provided for in SECTION 2 of the AGREEMENT, and the inclusion of temporary construction easement rights, as may be needed by the Village of Lake

Zurich from time to time, to clarify and adequately describe the actual easement area and rights involved and needed for the proper operation of these sewer facilities. The parties agree that the easement grant will include the current rights as well as the ongoing right to maintain proper sewer facilities to address Village needs, including the right to maintain, repair, improve, replace and construct proper sewer facilities. The Village of Lake Zurich agrees to prepare the easement grant documentation. The Village of Kildeer agrees to cooperate in good-faith with the efforts of the Village of Lake Zurich to draft an accurate easement grant for these purposes. Following the preparation of this sewer easement grant, and approval by the Village of Kildeer, the Village of Lake Zurich shall record the easement document.

SECTION 2: That SECTION 3 of the AGREEMENT, subsection F entitled "Repaving After Subsequent Repairs", be and is hereby amended by deleting the following language in the subsection "... which shall extend across the entire pavement width...". SECTION 3, is further amended at subsection M by replacing the following language in the subsection "... July 1, 1988 ..." with the language "... as amended from time to time ...".

SECTION 3: That SECTION 4 of the AGREEMENT be and is hereby amended to add the following two sentences at the end of the current SECTION: "Permit approval shall not be unreasonably withheld. For emergency repairs, if inconsistent with public health and safety, the Village of Lake Zurich is authorized to proceed without a prior permit, provided the Village shall make application for such permit as soon as possible."

SECTION 4: That to the extent these changes are inconsistent or in conflict with any other provisions in the AGREEMENT, the changes and rights set forth herein shall prevail.

IN WITNESS WHEREOF, the Villages have set their hands and affixed their seals on the _____ day of _____, 2013.

VILLAGE OF KILDEER

By: _____
Village President

ATTEST:

By: _____
Village Clerk

VILLAGE OF LAKE ZURICH

By: _____
Mayor

ATTEST:

By: _____
Village Clerk

**ORDINANCE AUTHORIZING AMENDMENTS TO SEWER
CONNECTION AGREEMENTS**

(Letter Agreement Village of Lake Zurich-Village of Kildeer (1990))

ORDINANCE NO. 2013-11-_____

WHEREAS, the Corporate Authorities of the Village of Lake Zurich, Lake County, Illinois ("Lake Zurich") have reviewed and are proposing an amendment to the existing letter agreement between the Corporate Authorities of Village of Kildeer, Lake County, Illinois ("Kildeer") and Lake Zurich, executed in 1990, authorizing connection to and use of a certain sanitary sewer line in the Lake Zurich by Kildeer, a true and correct copy of said letter agreement attached hereto and made a part hereof as *EXHIBIT 1* ("LETTER AGREEMENT"); and

WHEREAS, to establish the terms and conditions for the construction and maintenance of the portion of the sanitary sewer line addressed by the LETTER AGREEMENT, Lake Zurich and Kildeer entered into an Intergovernmental Agreement Between the Village of Lake Zurich and the Village of Kildeer Granting an Easement, dated July 1, 1991, a true and correct copy of said easement agreement attached hereto and made a part hereof as *EXHIBIT 2* ("EASEMENT AGREEMENT");

WHEREAS, both Lake Zurich and Kildeer have determined that it is in the best interests of both Villages that said LETTER AGREEMENT be amended to provide for proper notification to the Village of Lake Zurich of the modification and discontinuation of the Kildeer sewer Connection, as set forth in the attached amendment to the LETTER AGREEMENT, a true and correct copy of said amendment to the LETTER AGREEMENT attached hereto and made a part hereof as *EXHIBIT 3* ("LETTER AMENDMENT");

WHEREAS, Lake Zurich has also reviewed the existing EASEMENT AGREEMENT and is proposing changes to the EASEMENT AGREEMENT in furtherance of the proper discontinuation referenced above and to facilitate cooperation between Lake Zurich and Kildeer regarding ongoing maintenance, construction and improvements of the portion of the sewer line within the easement, as set forth in the attached amendment to the EASEMENT AGREEMENT, a true and correct copy of said amendment to the EASEMENT AGREEMENT attached hereto and made a part hereof as *EXHIBIT 4* ("EASEMENT AMENDMENT");.

NOW, THEREFORE, Be It Ordained by the President and Board of Trustees of the Village of Lake Zurich, Illinois, as follows:

SECTION 1: LETTER AMENDMENT. This President and Board of Trustees of the Village of Lake Zurich hereby find that it is in the best interests of the Village of Lake Zurich and its residents that the aforesaid "AMENDMENT" be entered into and executed by said Village of Lake Zurich, with the Village of Kildeer, with said LETTER AMENDMENT to be substantially in the form attached hereto and made a part hereof as *EXHIBIT 3*.

SECTION 2: EASEMENT AMENDMENT. This President and Board of Trustees of the Village of Lake Zurich hereby find that it is in the best interests of the Village of Lake Zurich and its residents that the aforesaid "EASMENT AMENDMENT" be entered into and executed by said Village of Lake Zurich, with the Village of Kildeer, with said EASEMENT AMENDMENT to be substantially in the form attached hereto and made a part hereof as EXHIBIT 4.

The President and Clerk of the Village of Lake Zurich, Lake County, Illinois, are hereby authorized to execute for and on behalf of said Village of Lake Zurich the aforesaid LETTER AMENDMENT and EASEMENT AMENDMENT, and to take such further actions as may be needed to complete or implement either of said AMENDMENTS.

SECTION 3:

All ordinances or parts of ordinances in conflict herewith are hereby repealed to the extent of such conflict.

SECTION 4:

This Ordinance shall be in full force and effect upon its passage, approval and publication in pamphlet form (which publication is hereby authorized) as provided by law.

Passed this 18th day of November, 2013.

Ayes:

Nays:

Absent:

Abstain:

APPROVED this 18th day of November, 2013.

Mayor Tom Poynton

ATTEST:

Village Clerk
Kathleen Johnson

Published: _____



VILLAGE MANAGER'S OFFICE

MONTHLY INFORMATION REPORT

OCTOBER 2013

HIGHLIGHTING DATA METRICS
TO IDENTIFY OPERATIONAL TRENDS
AND
FACILITATE INFORMED DECISION MAKING

70 E. MAIN STREET
LAKE ZURICH, IL 60047

Departmental Narrative

During the month of October, the Village Manager's Office has progressed on implementing several important initiatives for the organization and is focused on modernization efforts Village-wide. The goals of these efforts remain to create a more progressive organization that is focused on long-term results and outcomes.

The new departmental monthly reports, one of which you hold in your hands, is an important change that will shift the organization into thinking about long-term trends and deliver a more metric and data driven approach to facilitate informed decision making. The expectations are that including more quantitative and statistical measurements of departmental activity will provide greater context to Village operations compared to the former bi-weekly reports, which largely took the form of one sentence bullet-points.

These new reports do not highlight all of the important work that Lake Zurich employees perform on a daily basis. The metrics included in these reports represent data that is readily accessible. As these reports evolve, metrics may be added, removed, or edited to make them more useful. Over time, this data will be a critical source of information for strategic planning workshops, budgeting decisions, and the implementation of performance measurements.

Major activities during October include:

- The desire to revitalize the downtown area and boost the performance of the TIF district has resulted in the creation of the *Moving Lake Zurich Forward: Six Point Downtown Action Plan*, which outlines immediate action steps the Village can take to continue downtown progress. Village operating departments have been given key roles in implementing action steps and will be actively involved moving forward.
- The Village Manager's Office has been working with Northern Illinois University to implement a planning process which is nearing completion, which will result in a new high-level five-year strategic plan for Lake Zurich. This guiding document will help prioritize the most important long-term goals for the community, allowing elected officials and staff to focus limited time and financial resources on the critical issues facing Lake Zurich.
- The second year of the Capital Improvement Plan has been a large collaborative effort of the Village Manager's Office and Finance Department, which will soon be presented to the Village Board as a detailed investment plan that provides a valuable tool for budgeting, cash management, and long-term financial planning. This will highlight the big picture of the capital needs of the community.
- Several important human resource activities have taken place recently. While active efforts have resulted in reductions to the total number and severity of workers compensation claims (resulting in lower insurance premiums), much work continues to create solid loss prevention programs and a culture of safety that encourages safe work practices in all departments. A comprehensive recruitment effort for the new Police Chief is also underway, with nearly 100 resumes received in response to Police Finlon's impending retirement on November 25. While the Management Analyst position has been staffed for a few months now, we continue to search for the best candidate for the economic development coordinator position, which will finally provide a fully-staffed Village Manager's Office.

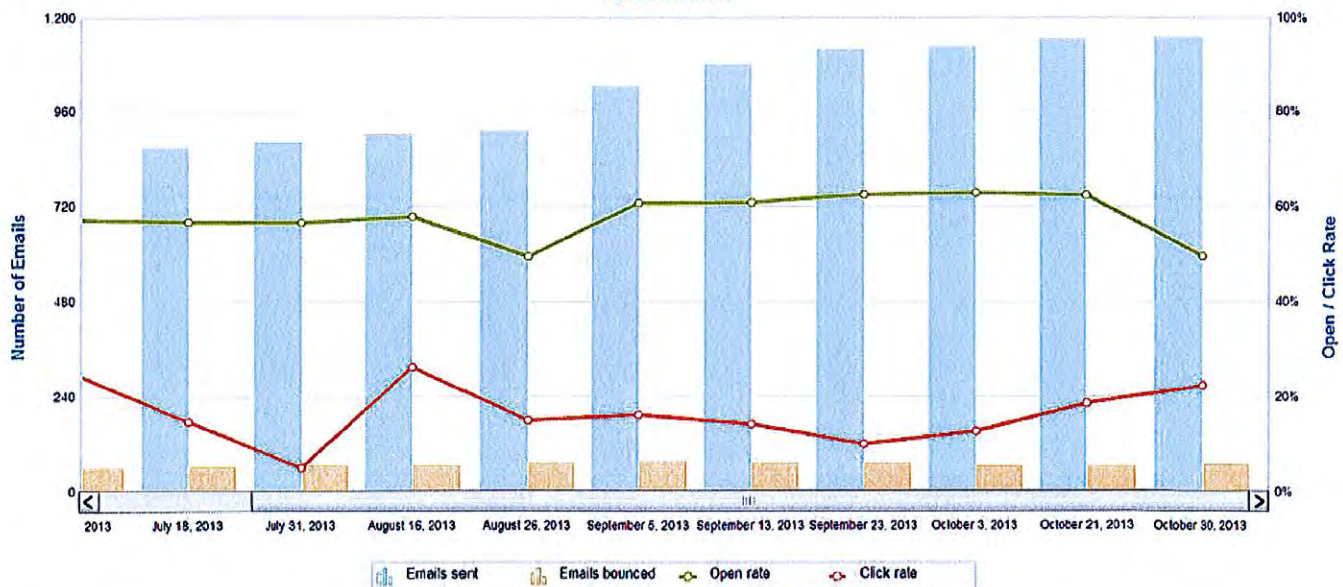
The organization is headed in a positive direction and we will continue to work together as a team to get to where we need to be.

FOIA Requests Village Wide

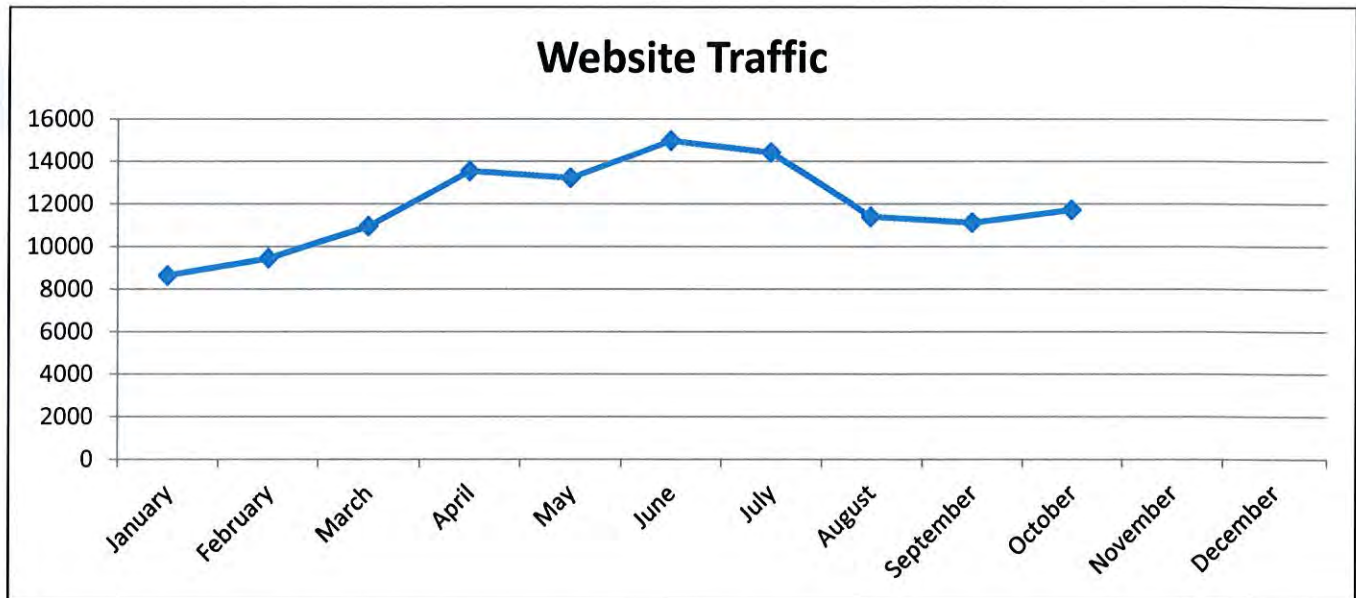


Open and honest government is the cornerstone of American democracy. The Freedom of Information Act is intended to ensure that members of the public have access to information about their government and its decision-making process. This graph includes all of the FOIA requests received Village-wide among all departments. It should be noted that several FOIA requests require collaboration among more than one department, resulting in a team effort to obtain the correct information.

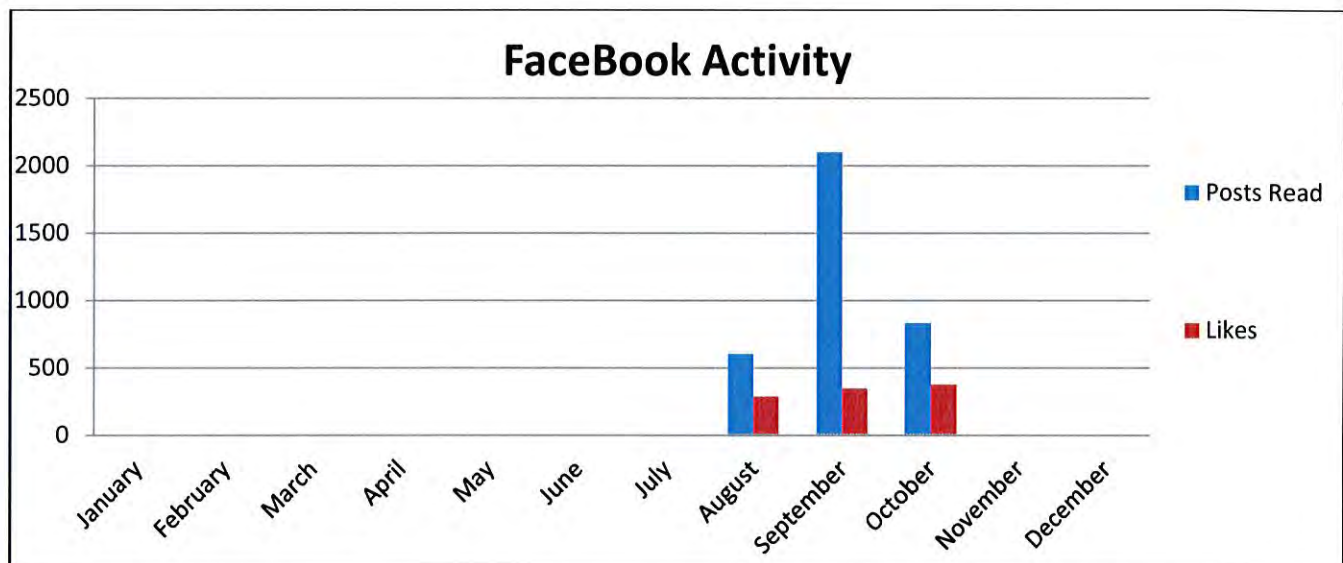
Email Statistics



Benchmarks is the Village e-newsletter that is used as a central communication device for the public. This graph shows the number of people signed up for *Benchmarks*, as well as the bounce back rate, open rate, and click rate of readers. Subscription numbers seem to have plateaued slightly under 1,200, indicating a large growth potential for the roughly 6,800 total housing units in the Village. However, the impressive open rate is consistently over 50%, showing a high interest rate for those that do receive *Benchmarks*.

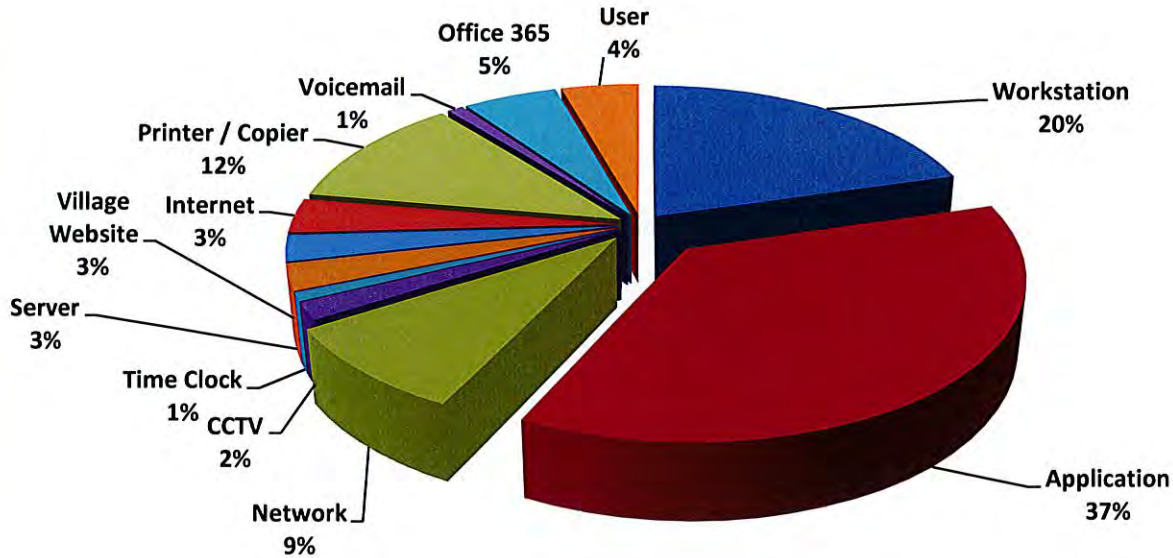


This data represents the number of website page views on LakeZurich.org. A digital presence for Lake Zurich is important for government transparency and providing citizen oriented service. E-government can also improve the overall democratic process by increasing collaboration with citizens and facilitating decision making.



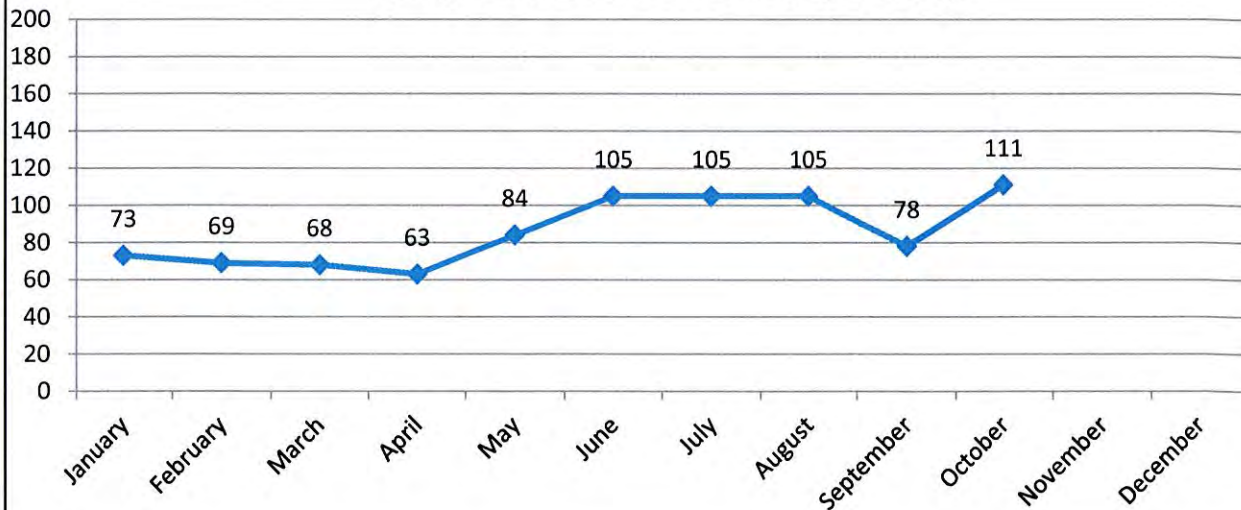
This shows the level of activity on the official Village FaceBook page. There are many opportunities for Lake Zurich to engage the community, especially the younger generation, through the use of social media. Reports indicate 55% of citizens use social media at least once per day, highlighting the importance of an official FaceBook page.

Help Desk Tickets By Category (This Month)



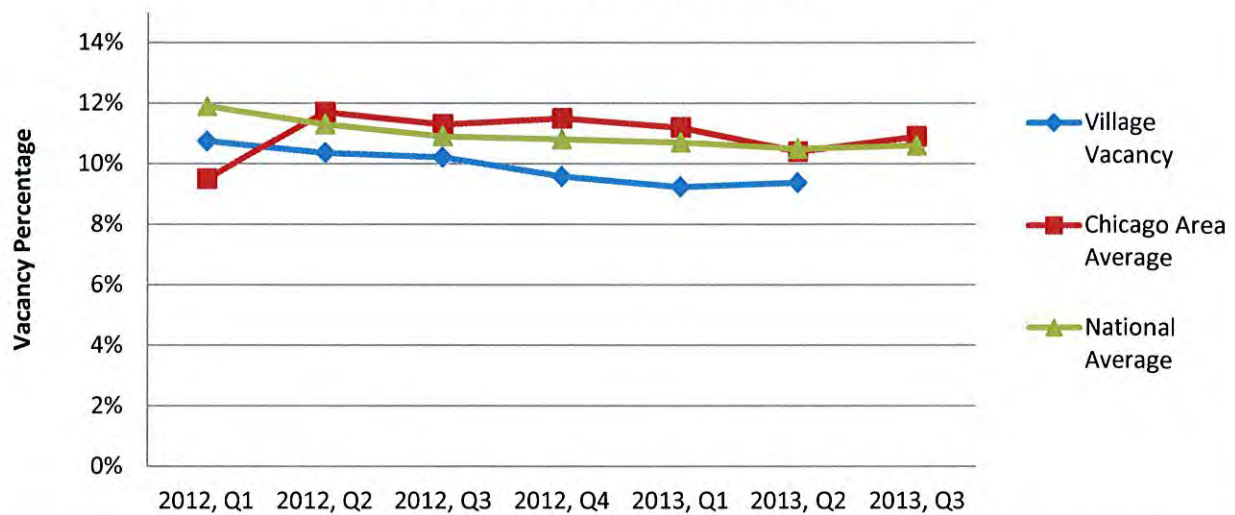
Help Desk is the digital request from Village employees to the technology department to help troubleshoot various technology related problems. A large number of Help Desk tickets may indicate a need for a training workshops or investment in technology upgrades. This graph shows the most common requests for assistance this month.

Helpdesk Ticket Totals (Trend)



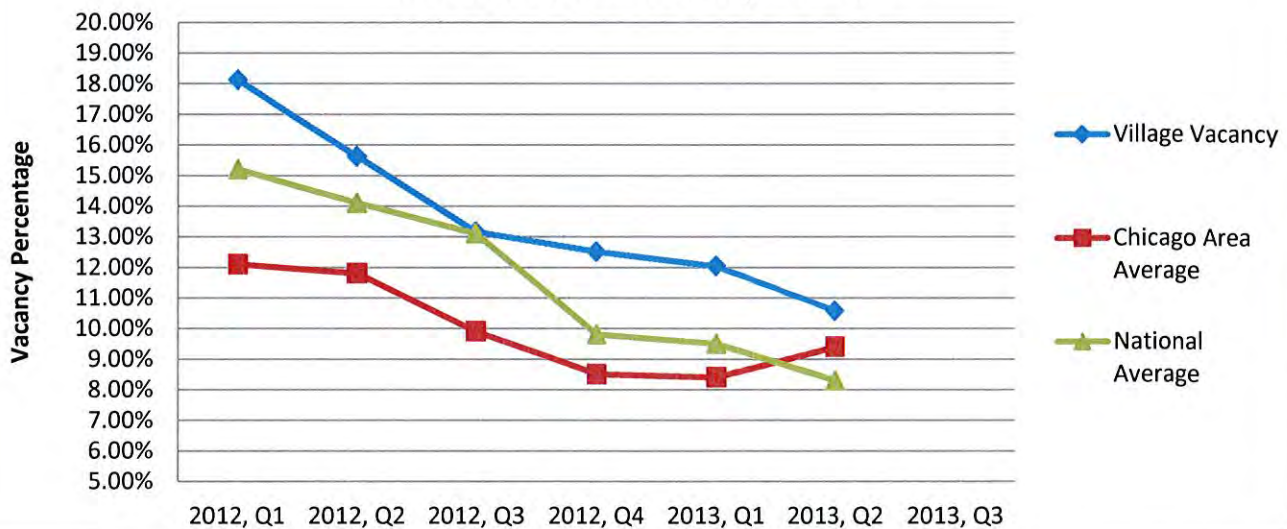
Help Desk is the digital request from Village employees to the technology department to help troubleshoot various technology related problems. A large number of Help Desk tickets may indicate a need for a training workshops or investment in technology upgrades. This graph shows the annual trend of technology problems.

Retail Vacancy Rates



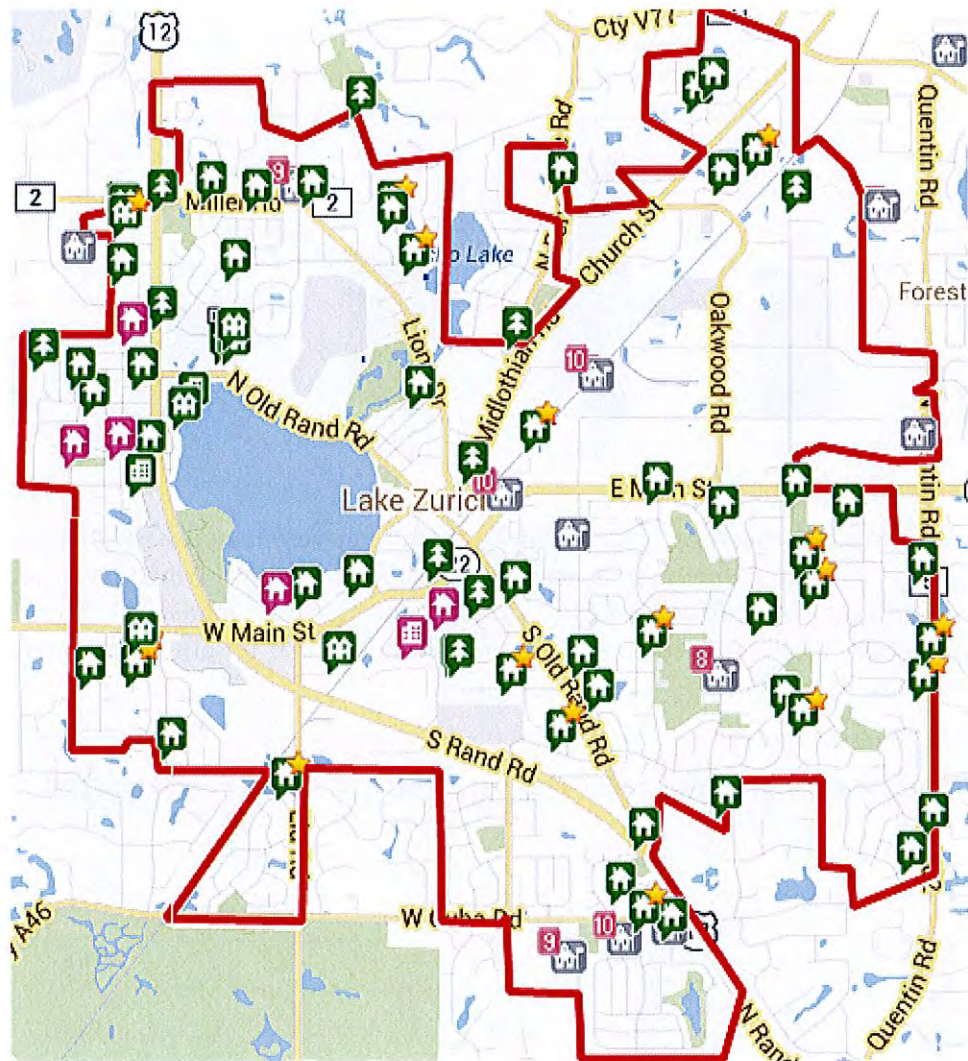
The Village of Lake Zurich Retail Vacancy rate experienced a small increase during the Q2 of 2013, increasing from 9.23% in Q1 to 9.3% in Q2. However, the year-to-year vacancy rate still shows improvement from 10.36% in Q2, 2011 to 9.37% in Q2, 2013. The Village retail vacancy rate is lower than both the Chicago area 10.40% and National Average of 10.50% for Q2, 2013.

Industrial Vacancy Rates



The Lake Zurich Industrial Market posted another quarter of improving market conditions as the vacancy rate fell to 12.04% from 12.51% in Q4, 2012. The Village vacancy rate is higher than the national average of 8.4% and Chicago area average of 9.5%.

Homes for Sale in Lake Zurich as of October, 2013

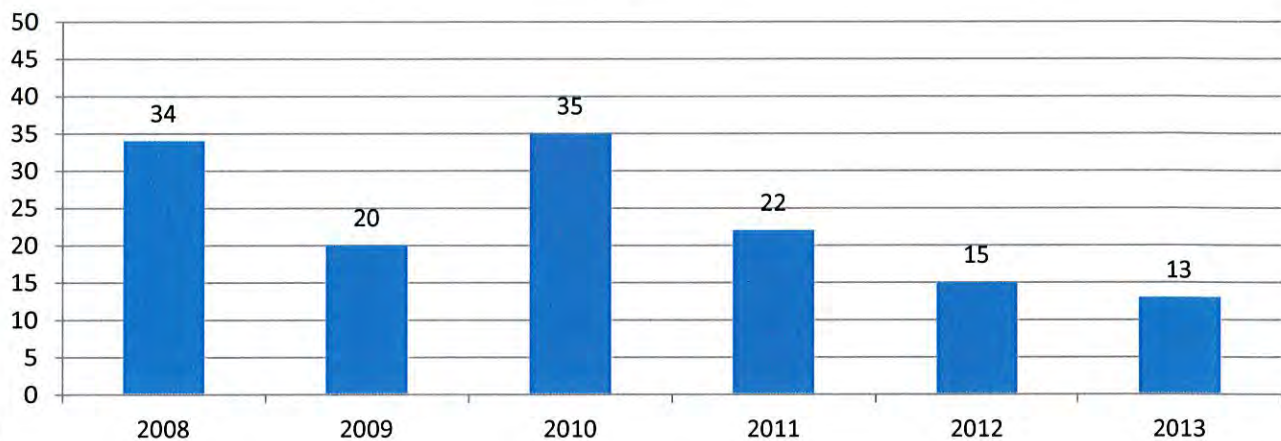


Housing Inventory Statistics for October

(Source: redfin.com)

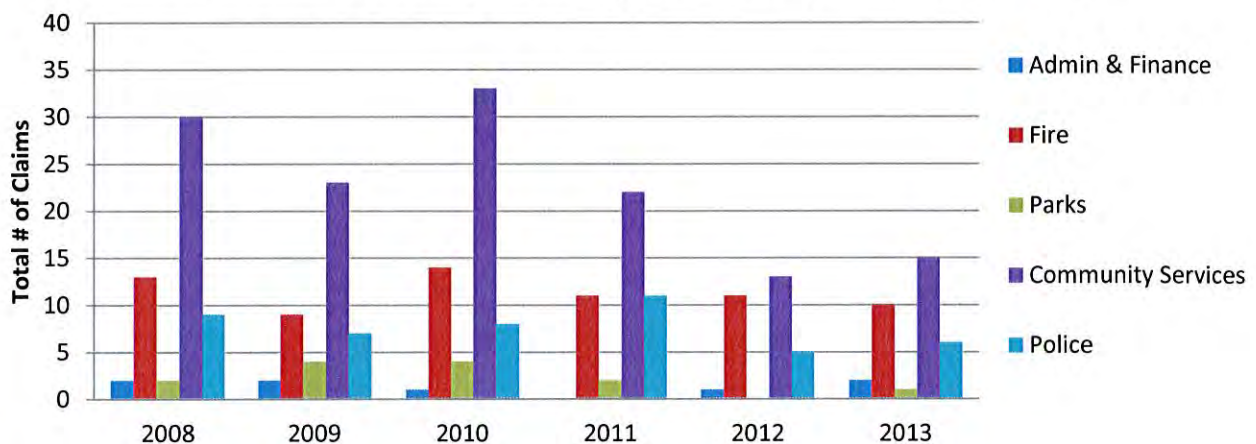
Homes Reported for Sale:	84
Average Price:	\$301,200
Average Beds:	3.4
Average Baths:	2.5
Average Square Footage:	2,239
Average \$/Square Foot:	\$163
Average Days Listed:	136

General Liability Claims -Total Incidents (Year-to-Date)



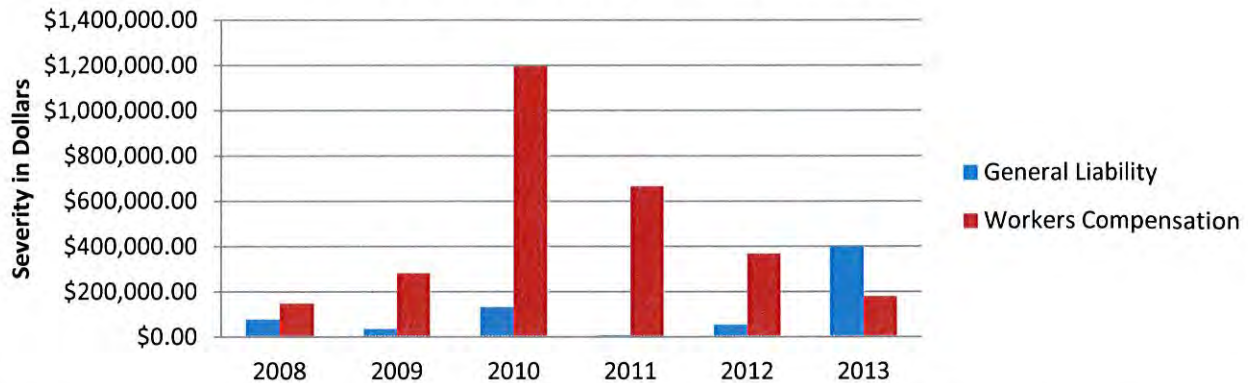
Risk management insurance coverage is provided by the Intergovernmental Risk Management Agency, a risk sharing pool of 70 local municipalities and special service districts, which have joined together to management and fund their property/casualty/workers' compensation claims. This metric reports total General Liability claims in all departments. It should be noted that unsettled claims are not reflected here until they are closed.

Claim Frequency By Department (Year-to-Date)



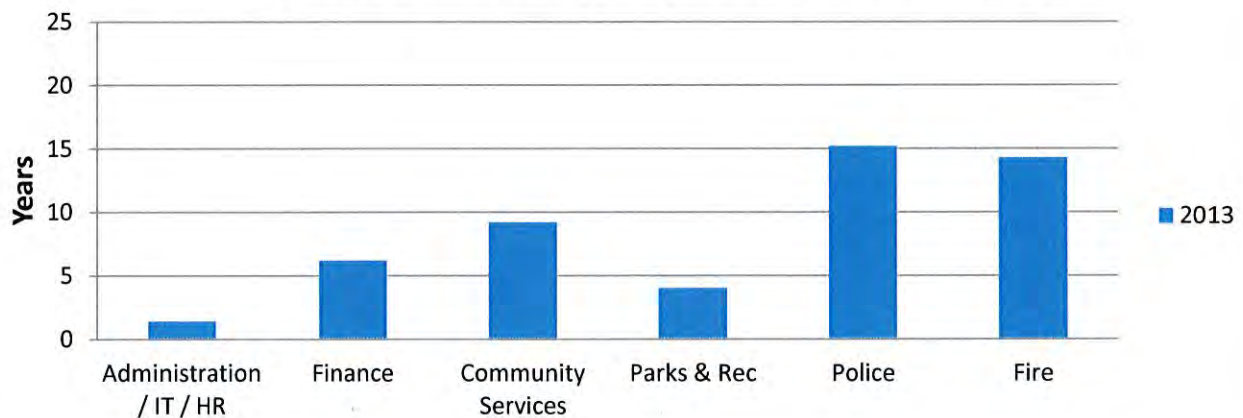
Solid loss prevention programs and a culture of safety that encourages safe work practices will decrease claim frequency rates. This data shows the total number of claims by department, which is an aggregate number of the following claim types: Auto Liability, Auto Physical Damage, General Liability, Property Damage, and Workers Compensation. It is important to realize that the Public Works Division of Community Services is responsible for routine maintenance, so their general liability claims will naturally be higher due to claims involving parkway trees, fire hydrants, the municipal fleet, etc.

Claim Severity for General Liability and Workers Compensation (Year-to-Date)



This metric provides a snapshot of the Village's overall liability position. Fewer claims filed against the Village mean less money spent and improved financial stability. The large spike in Workers Compensation claims filed in 2010 has slowly been decreasing in the 3 subsequent years. This data includes the total costs, including net property loss and any other associated expenses, such as attorney fees. Please note that the 2013 increase is due to an estimated reserve that has been set by the Intergovernmental Risk Management Agency, which is likely to decrease in the future.

Average Length of Employment (Year-to-Date)



A longer length of employment indicates a higher level of institutional knowledge in the organization. Organizations spend a lot of time and resources developing knowledge and capability. While some of this gets translated into written policies, most of it resides in the heads, hands, and hearts of individual employees. This data can show the need to improve strategies related to employee retention. Note that this data rounds down to the nearest year and includes part time and temporary employees.



POLICE DEPARTMENT

MONTHLY INFORMATION REPORT

OCTOBER 2013

HIGHLIGHTING DATA METRICS
TO IDENTIFY OPERATIONAL TRENDS
AND
FACILITATE INFORMED DECISION MAKING

70 E. MAIN STREET
LAKE ZURICH, IL 60047

Departmental Narrative

General

- The Department continues to present the Citizen Police Academy that is being very well received.
- On October 26th, the Department participated in the DEA Drug Take-Back Program. The Department disposed of 266 lbs. of prescription drugs.
- Department Administrative Staff have been developing a Capital Improvement Plan. This includes the identification and purchase of a records management system.
- Cmdr. Willie Quinones participated in the Lake County Latino Coalition meeting.
- The Department has concluded its participation in the Police-Citizen Interaction Survey administered by the University of Illinois at Chicago. 5896 requests were sent to individuals that were involved in traffic stops and reports of criminal incidents. The return rate for the surveys was approximately 4.5 percent.
- The Department will assist the Woodstock PD Explorer Post with various training related subjects.

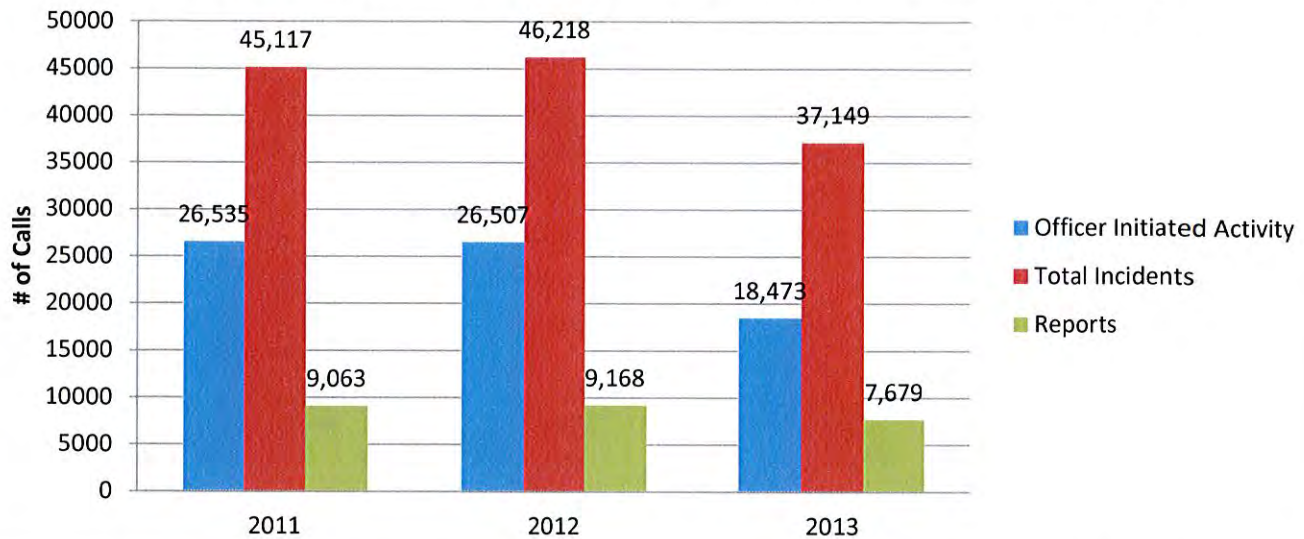
Patrol and Communications

- Kristin Schroeder has been hired as a telecommunicator to replace Kathy Tracz. Kristin has experience with a previous Public Safety Dispatch Center.
- Sgt. Tracey Goodyear and Ofc. Scott Frost traveled to Philadelphia, PA. to accept the 1st Place Award for the International Association Chiefs of Police – National Law Enforcement Challenge on behalf of the Department.

Investigations

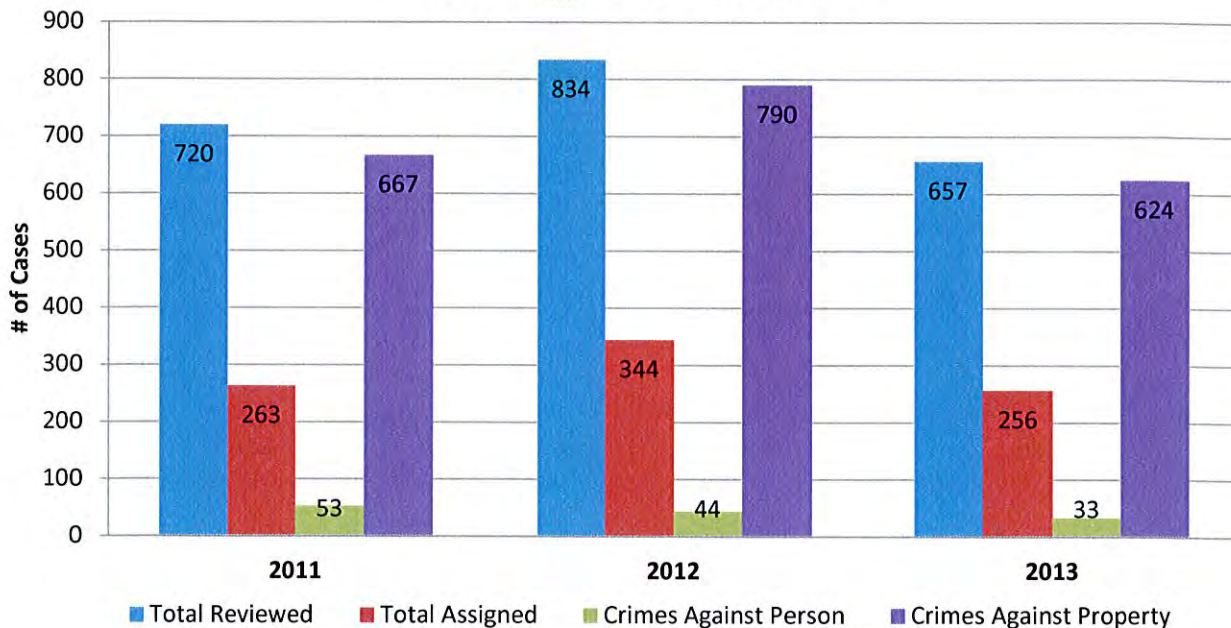
- Det. Seiber participated in a Lake County Major Crime Task Force call-out to Zion to investigate a murder that occurred at a Halloween house party.
- On October 4th, the Investigations Section conducted Liquor Compliance Checks in conjunction with the Lake County Underage Drinking and Drug Use Prevention Task Force. Thirty-two license holders were checked resulting in 2 violations.
- On October 16th, Det. Bradstreet and Det. Seiber assisted with Peer Jury which is conducted at the Police Facility.
- Officer Shaun Knight has been selected to replace Detective Denise Bradstreet in Investigations beginning January 1, 2014.

Computer-Aided Dispatch (CAD) Incidents (Year-to-Date)



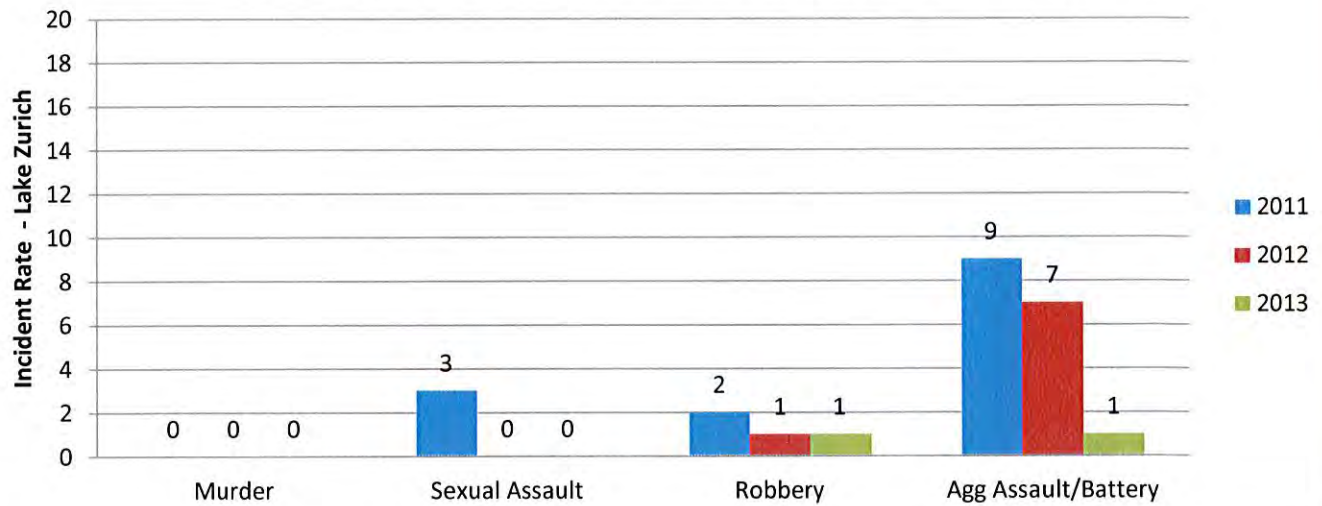
Officer initiated activity includes; DUI Arrests, Vehicle Violation Citations, Non-Vehicle Violation Citations, Driving while License Suspended/Revoked, Crime Prevention Notices, Criminal Arrests, Traffic Citations, Traffic Stops, Extra Watches conducted, and Zero Tolerance enforcement. Total incidents are all CAD incidents. Reports are incidents that receive a sequential case number and generally a written report. Current year data is year-to-date.

Investigative Caseload



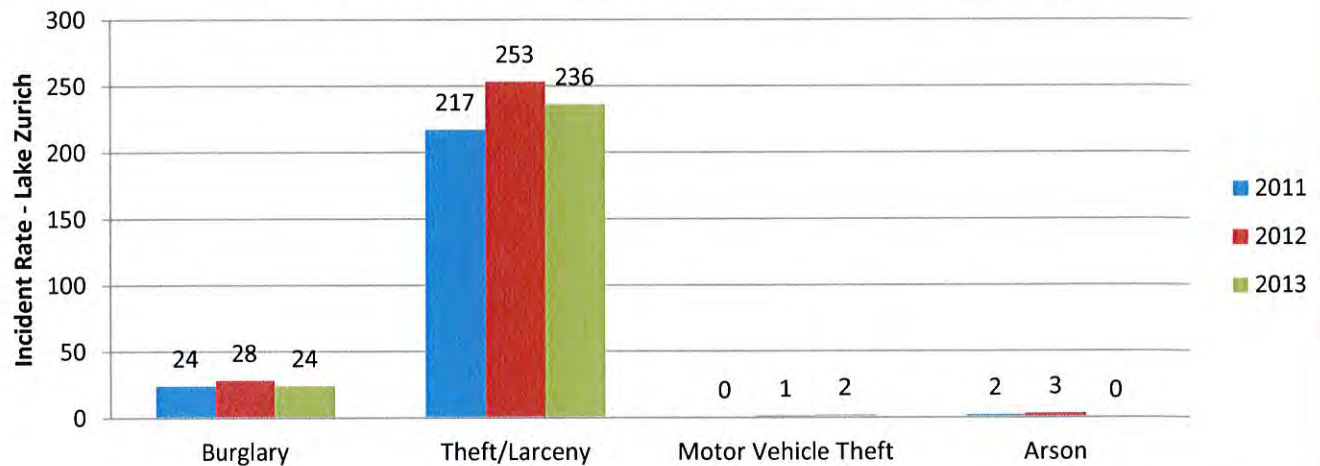
Original criminal reports, generally taken by Patrol section personnel, are reviewed by the Investigations Commander and assigned to Investigative personnel based on Solvability Factors.

Crime Rate for Violent Crimes (Year-to-Date)



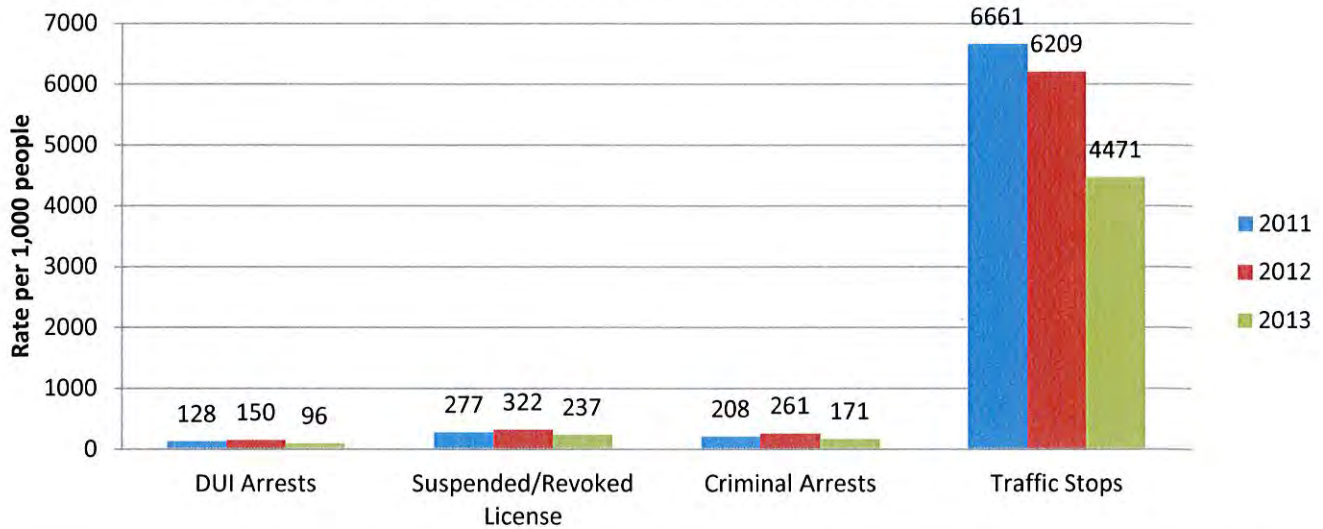
The Federal Bureau of Investigation requires four different Crimes against Persons offenses be reported for statistical purposes based on identified criteria. This information is provided to the Illinois State Police (Illinois Uniform Crime Report (I-UCR)) and passed through to the FBI. Generally, this information is converted to incidents per 100,000, although the actual numbers are used for this report. 2013 data is year-to-date.

Crime Rate for Property Crimes (Year-to-Date)



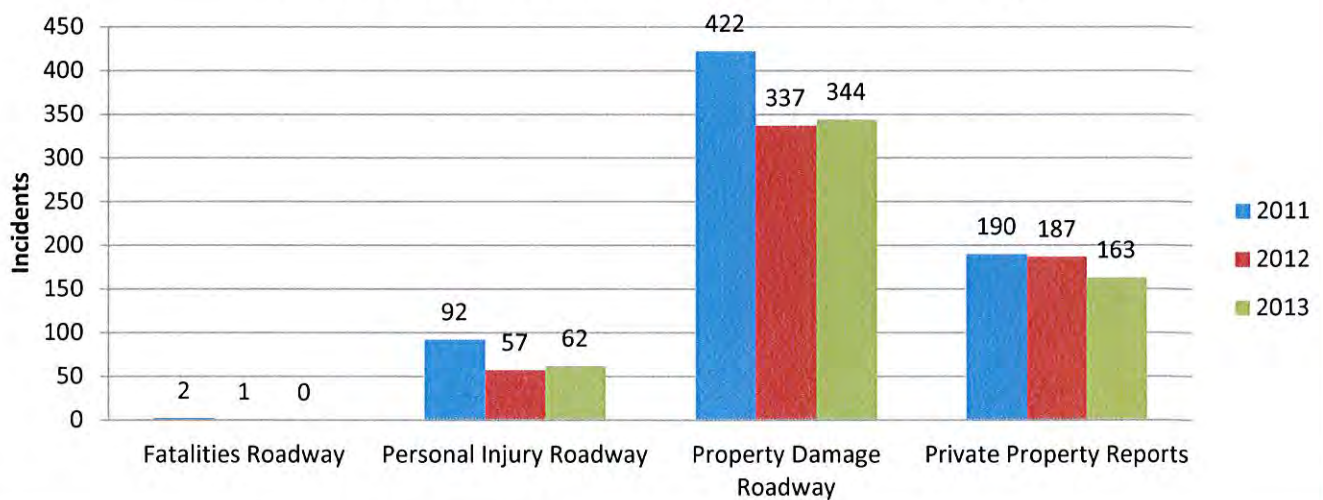
This information is provided to the Federal Bureau of Investigation via the Illinois State Police. These are Crimes against Property and the actual numbers reported are provided. Generally, this information is converted to incidents per 100,000. More information about this can be found at the Illinois State Police website: (<http://www.isp.state.il.us/crime/ucrhome.cfm>). Crime in Illinois provides crime information throughout Illinois. 2013 data is year-to-date.

Criminal and Traffic Offenses (Year-to-Date)



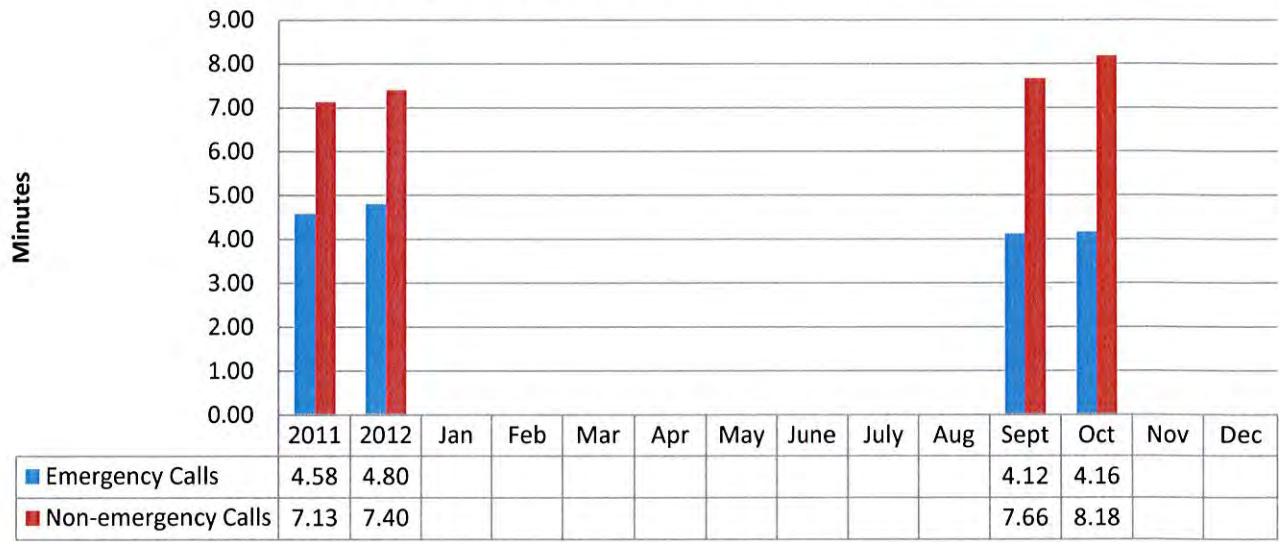
Information depicted in this graph relates to Driving Under the Influence of Alcohol/Drug arrests, Driving while Driver's License Suspended/Revoked arrests, various criminal arrests (Domestic Battery, Retail Theft, Drug Offenses, etc.), and traffic stops conducted by Department personnel. Past year information is for the full year. The current year information is year-to-date data.

Vehicle Crash Incidents (Year-to-Date)



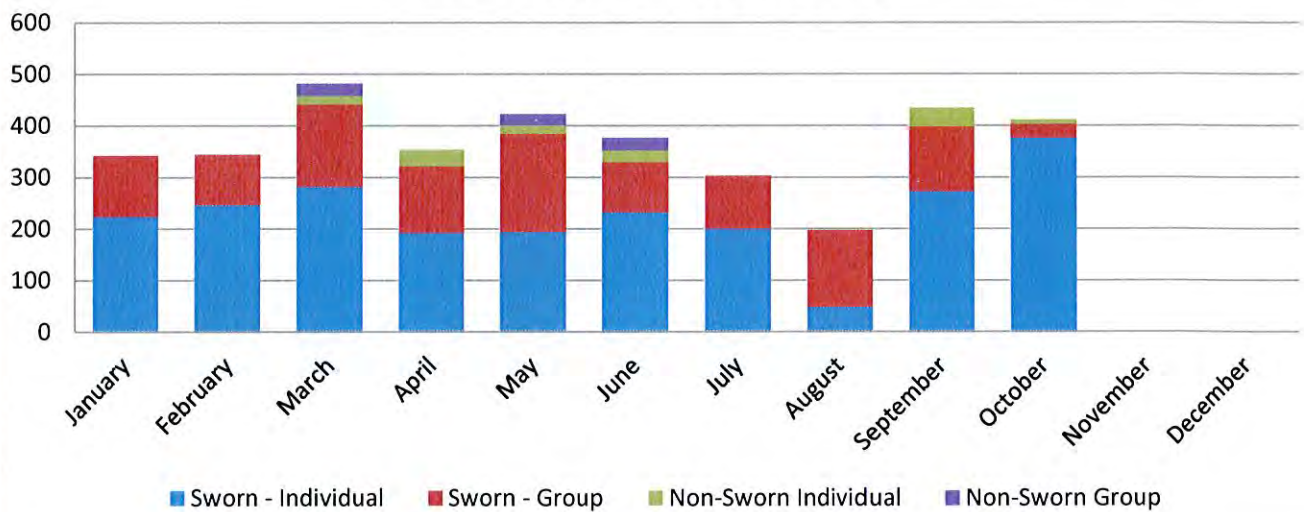
The Department conducts traffic crash investigations on both public roadways and private property (primarily parking lots). The traffic crashes are broken down into four categories: fatal, personal injury, property damage, and private property. Routine traffic crashes are taken by Patrol personnel. Traffic Safety personnel investigate fatal, serious personal injury, and commercial motor vehicles. Previous year data is full year; current year data is year-to-date.

Average Response Time for Police



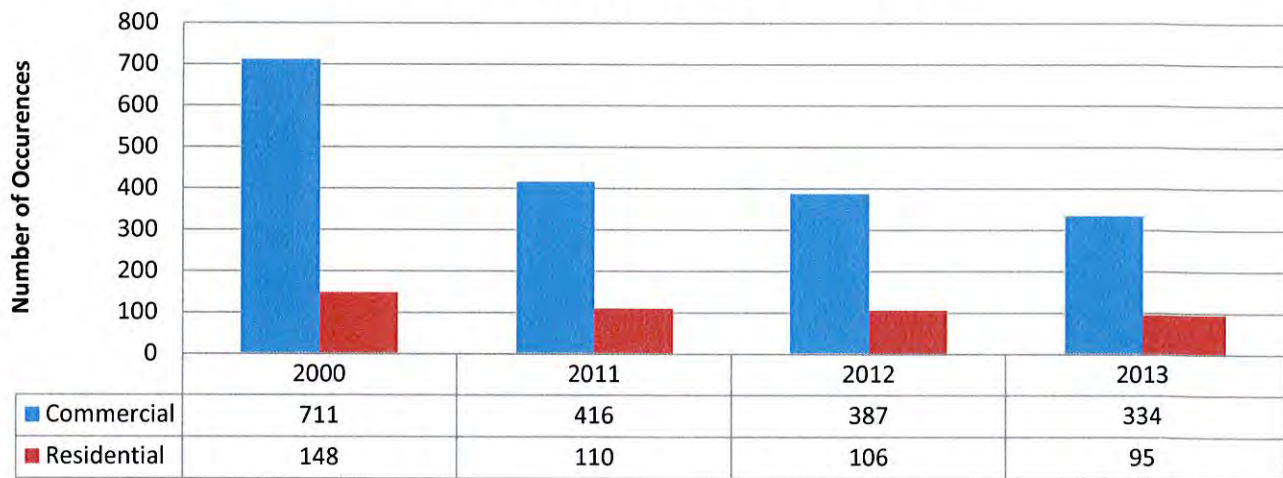
Response time is based on time a call-for-service is dispatched until a unit indicates, through a radio transmission to Dispatch or mobile-data-computer data transmission, they have arrived on-scene. The fraction of the minute is based on sixty seconds. (i.e. .50 = 30 seconds)

Training Time (in Hours)



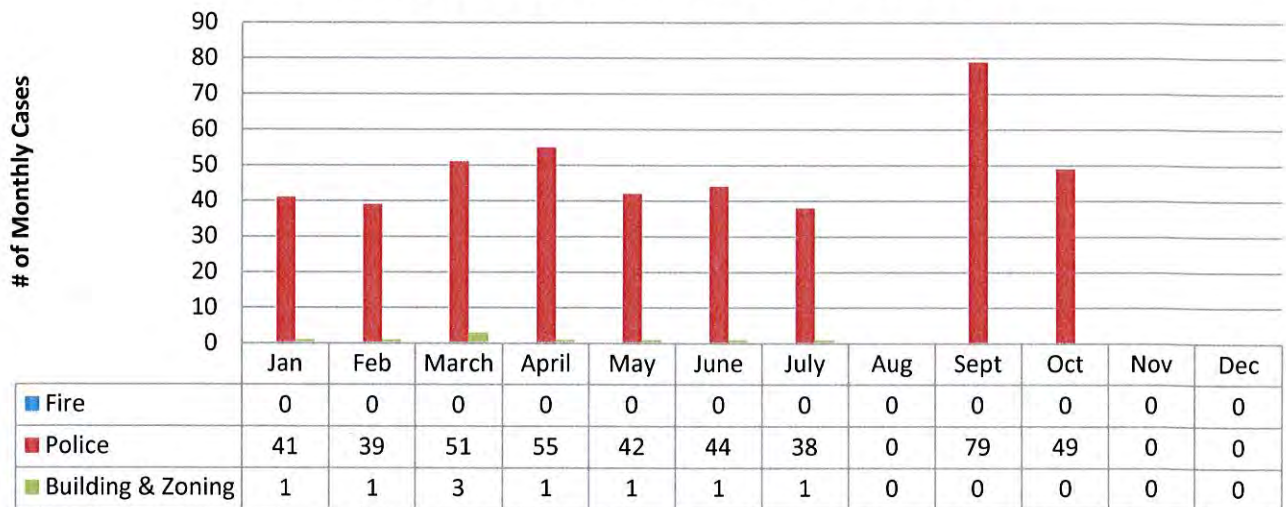
Training is an important element to maintain or improve skills/knowledge/ability. The training categories are divided into four categories: Sworn-Individual (personnel assigned to specific training courses), Sworn – Group (training presented to all sworn personnel multiplied by the number of personnel attending), Non-Sworn – Individual, and Non-Sworn – Group. Sworn personnel include police officers. Non-Sworn includes; telecommunicators, clerks, and Community Service Officers.

Alarm Occurences (Year-to-Date)



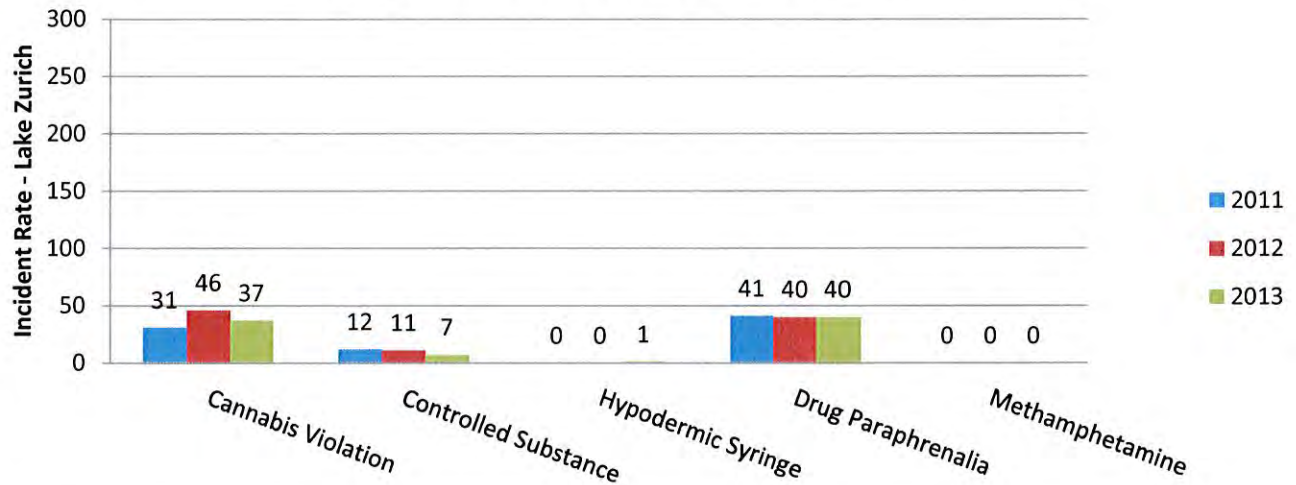
The Crime Prevention/Community Relations function monitors the number of alarms to which Patrol personnel respond – many of the alarms are false. Information from the year 2000 is benchmark information and indicates the progress that has been made regarding the false alarms that the Department has received. Previous year information is full year. Current year information is year-to-date.

Administrative Adjudication Caseload



The Administrative Adjudication process was originally put in place to address automated traffic enforcement citations. This program has been expanded to review vehicle equipment compliance citations, administrative tows, and parking citations. This process can be used by Building and Zoning and Fire Department personnel for code enforcement issues.

Drug Crime Arrests (Year-to-Date)



The Department is required to report Drug Crime Arrests to the Illinois State Police. The violations reported are related to the Cannabis Control Act, Controlled Substances Act, Hypodermic Syringe Act, Drug Paraphrenalia Act, and the Methamphetamine Act. Current year data is year-to-date.



FIRE DEPARTMENT

MONTHLY INFORMATION REPORT

OCTOBER 2013

HIGHLIGHTING DATA METRICS
TO IDENTIFY OPERATIONAL TRENDS
AND
FACILITATE INFORMED DECISION MAKING

70 E. MAIN STREET
LAKE ZURICH, IL 60047

Departmental Narrative

The Department held our Open House early in the month and had an estimated 400-450 people in attendance.

Later in the month we held our annual Business After Hours event, co-sponsored by Whole Foods. The event was a success and it is always positive to have our local business partners in to visit.

We had one structure fire in the Fire District off Rainbow Rd. The fire actually started on the roof and burned into the structure, but the crews made a good stop on the fire progression. There was some additional water damage, due to fighting the fire from above to start with.

October is Fire Prevention Month and as such has a number of concentrated activities related to fire safety and education.

- Fire Prevention Specialist Kleinheinz visited the Seth Paine 1st grade classes and talked to the students about Fire Safety. Topics covered were stop, drop and roll, crawl low in smoke, 911 and smoke detectors.
- FPS Kleinheinz visited St. Matthews pre-school for a fire safety talk.
- The Boy Scouts of May Whitney visited Fire Station 1 and received a tour given by the on-duty crew along with the Bureau.
- DFM Bzdusek gave a tour of Fire Station 1 to the preschoolers' of St. Francis.

New Training Initiatives:

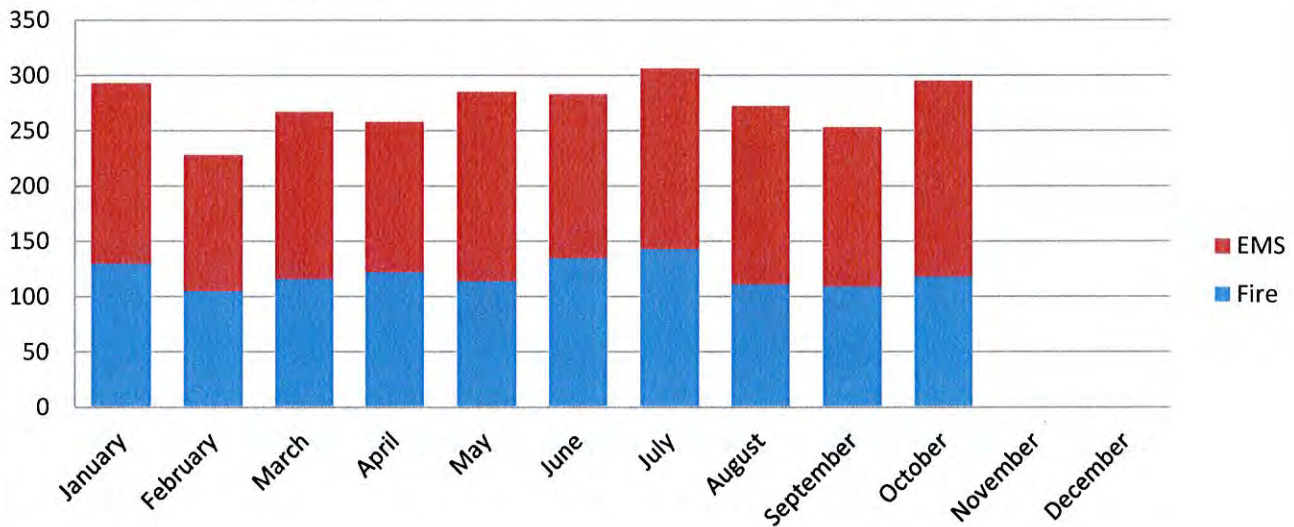
FPS Kleinheinz attended an Office of the State Fire Marshal class in Plainfield, IL. This certification will enable him to do public school fire inspections.

4 Firefighters completed the OSFM – Vehicle Machinery Operator Course

Community Access:

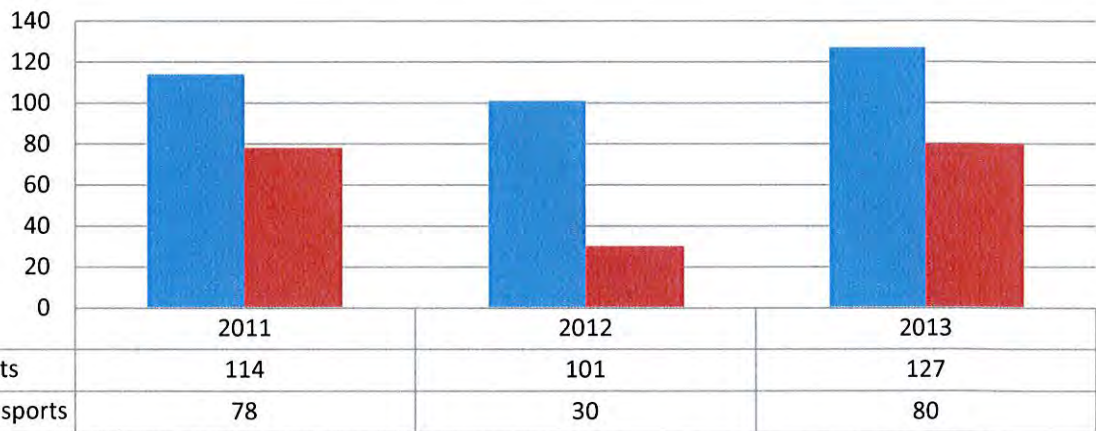
Deputy Fire Marshal Bzdusek along with FPS Kleinheinz visited the homeowner at 220 Victor Ln to explain the how their home fire suppression system worked and what they need to do to maintain it.

Fire Rescue Department - Monthly Calls by Type



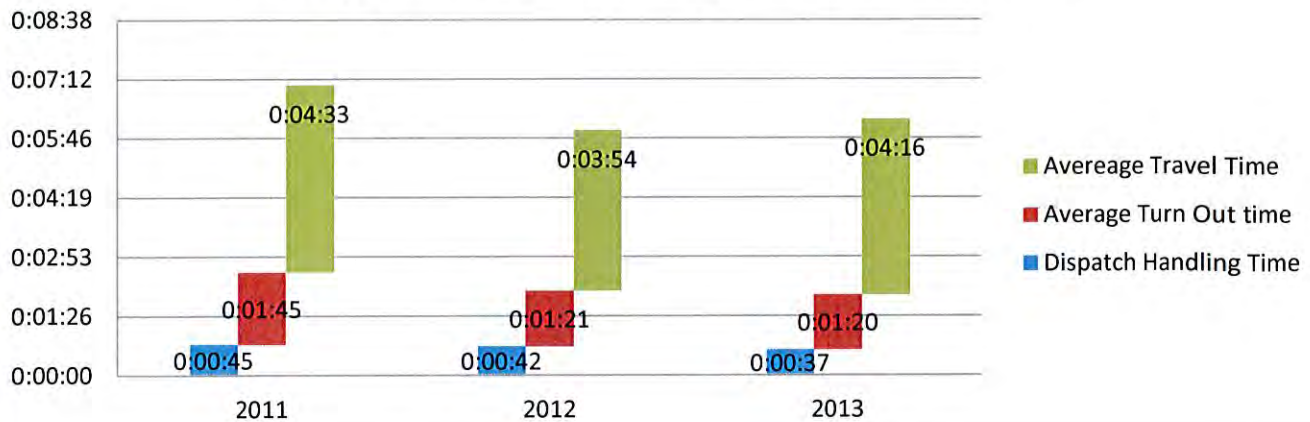
The department ran 295 calls in October 2013. The break out of Fire versus EMS was 118 Fire calls and 177 EMS calls. By and large each month we run more EMS calls than those calls coded as fire calls. The majority of EMS calls tie up units far longer than most fire calls. With transport, an EMS call can tie up resources for over an hour. It should be noted that most of our "fire" calls are not structure fires, but are alarm related, wires down and other types of service calls.

EMS Transports vs Non-Transports - (Patients) Monthly 3 yr - Comparison



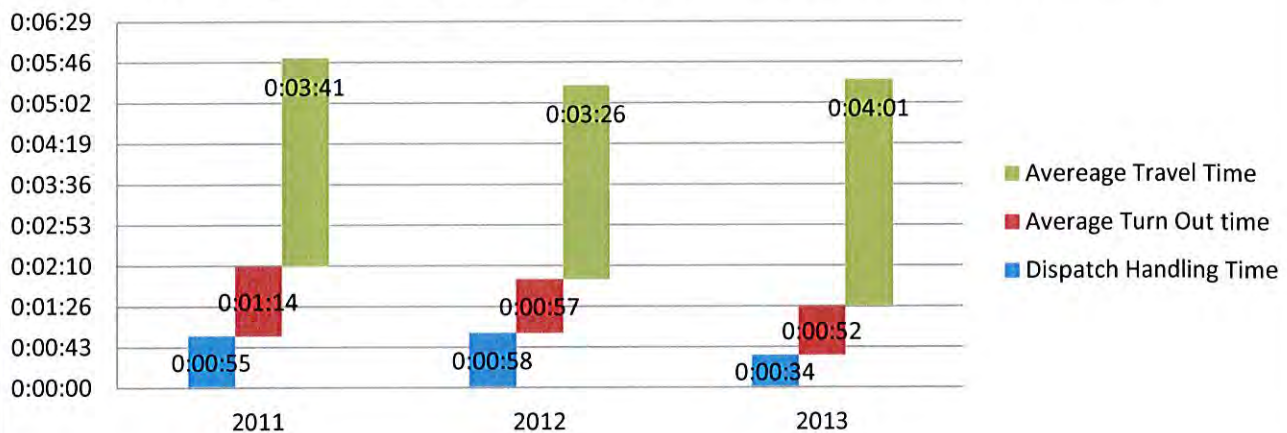
This data reflects activity related to emergency medical services, a core function of the Fire Department. Not every EMS call results in a transport. A prime example is an auto accident where a number of victims decide against transport. EMS transports always outpace refusals. This chart compares the Month of October across 3 years. The trend is consistent over the data period. These numbers represent patients seen.

Average Emergency Response Time for Fire



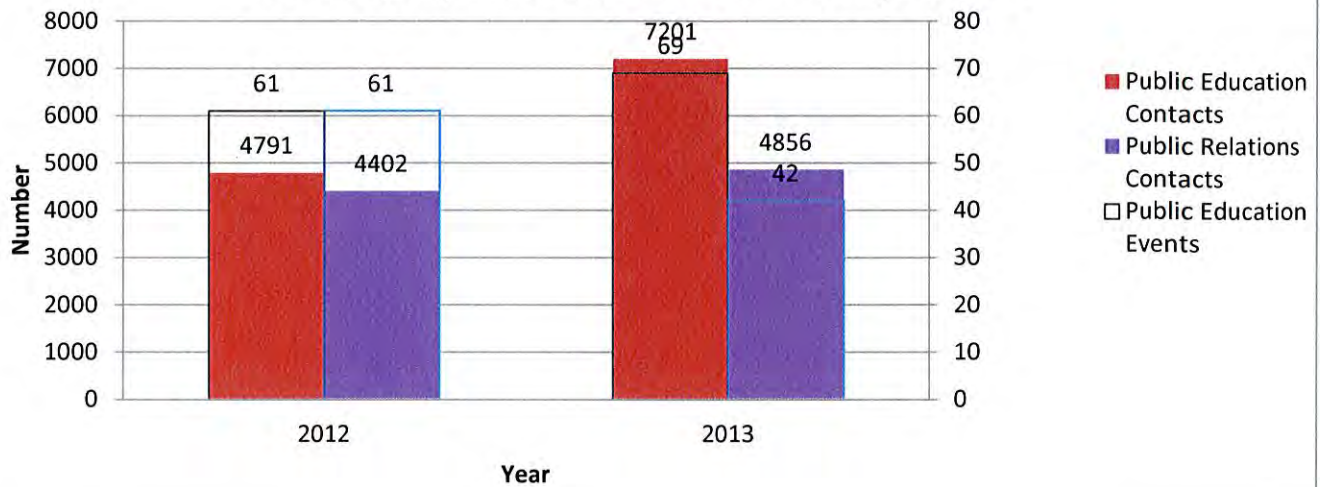
Response time is made up of three key factors – Dispatch handling time – the time for dispatch to take in information and then turn around and dispatch crews. The turn out time is the time for crews to receive the call and then get into the vehicles for response. Travel time reflects the time on the road to the call. Construction and speed limits affect travel time. A safe and rapid response is the foundation in providing a safe and secure community, especially with a medical emergency or with the potential for a rapidly developing fire. The overall goal for Fire response is a total of 6 minutes and 20 seconds, 90% of the time or better. We monitor our times closely and strive to identify factors affecting longer response times.

Average Emergency Response Time for Ambulance



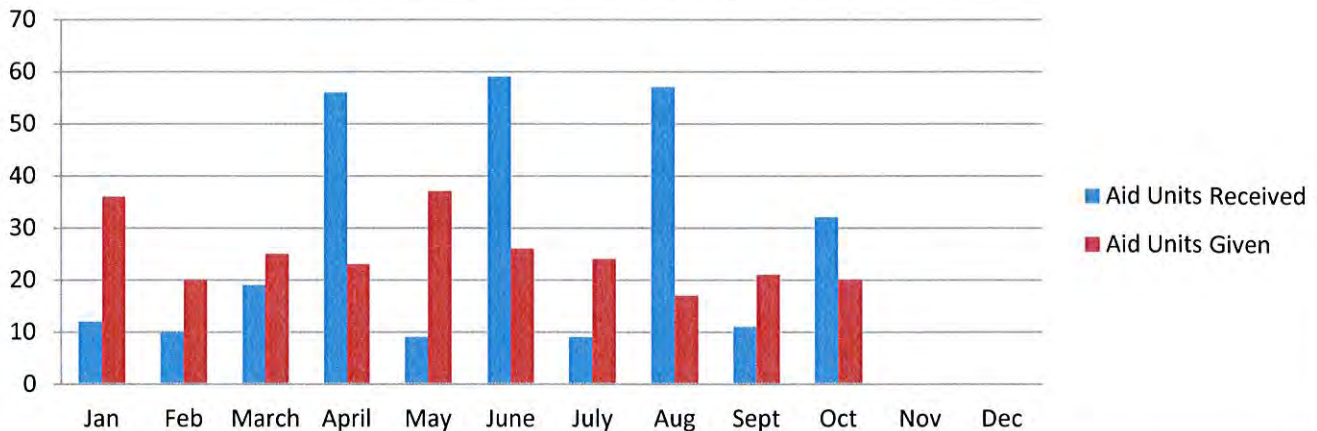
Response time is made up of three key factors – Dispatch handling time – the time for dispatch to take in information and then turn around and dispatch crews. The turn out time is the time for crews to receive the call and then get into the vehicles for response. Travel time reflects the time on the road to the call. Construction and speed limits affect travel time. A safe and rapid response is the foundation in providing a safe and secure community, especially with a medical emergency or with the potential for a rapidly developing fire. The overall goal for EMS response is a total of 6 minutes, 90% of the time or better. We monitor our times closely and strive to identify factors affecting longer response times.

Fire Safety Education - YTD Comparison



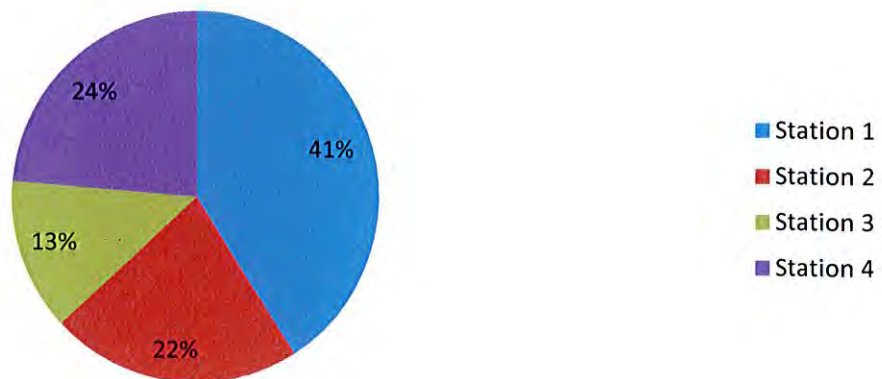
Visits are broken into two main categories. Public Relation Events are primarily events where the fire department has a presence and seeks to answer general questions from the public and demonstrate or explain our capabilities. A Public Education Event has a fire safety or other educational message as the prime objective. An example is school talks, and station tours where a safety message such as stop drop and roll are covered. The fire department stays active within the community by providing a host of programs to promote fire awareness and safety. We monitor fire and severe weather drills in the schools, educate children in fire fighter recognition, water and fire safety and teach exit drills in the home to middle school students.

Mutual Aid Summary (Year-to-Date)



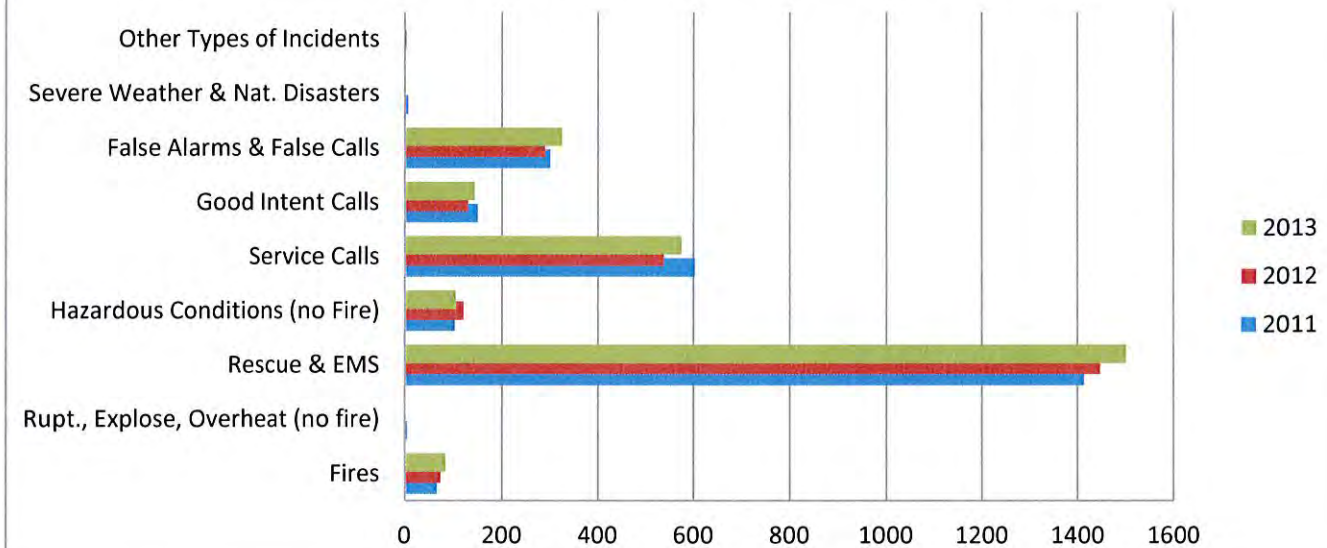
Mutual and Auto-aid are a key component to sharing resources across the region. The spikes seen in aid units received, will usually correlate to a structure fire in our area, where we pull in more units to assist or some special rescue incident. Overall, when considered in total, the received versus given is relatively equal on a per unit basis. As an example, to date in 2013 we have given mutual aid – 249 times and received mutual aid 274 times. Those numbers reflect actual response units – as an example, a fire will bring in a large number of towns – each town is considered as a response to us.

Call Analysis by Area of Call - Month Totals



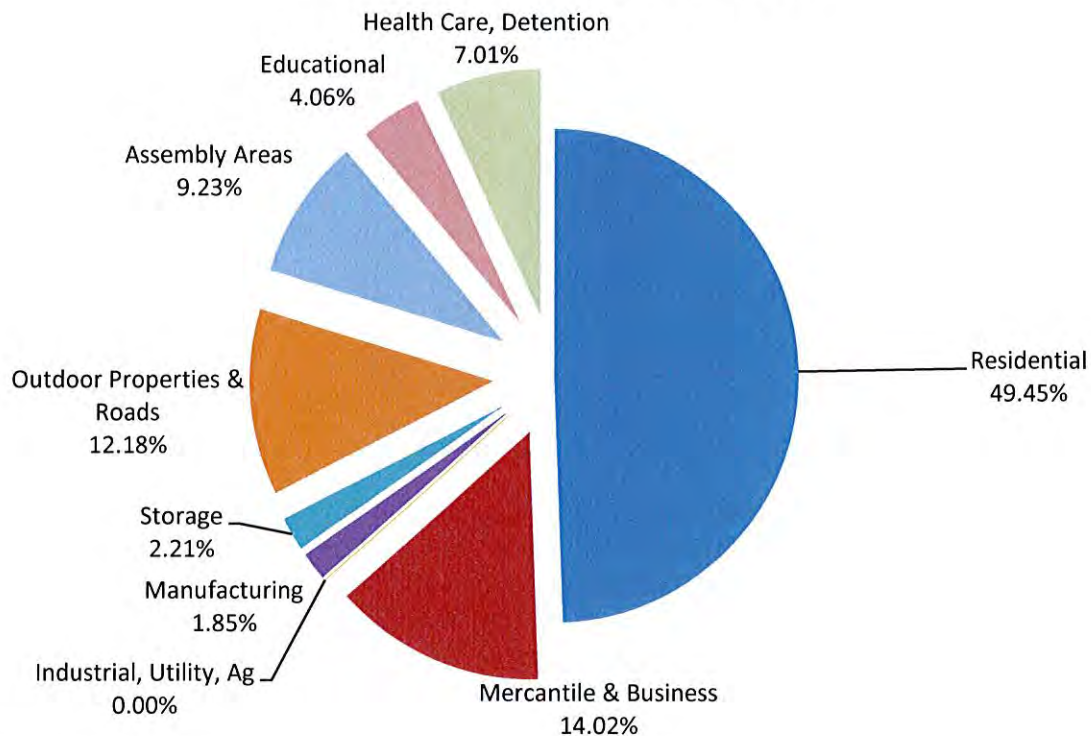
The Village and District are considered together as one area. The placement of the four stations allows the area to be sectorized into what is commonly referred to as first response areas for each station. Thus, the area surrounding the station in which it is the closest unit to respond. This graph simply represents where the calls for October 2013, were from. Station 1 is usually the busiest area.

Fire Rescue Call Categories - Year-to-Date



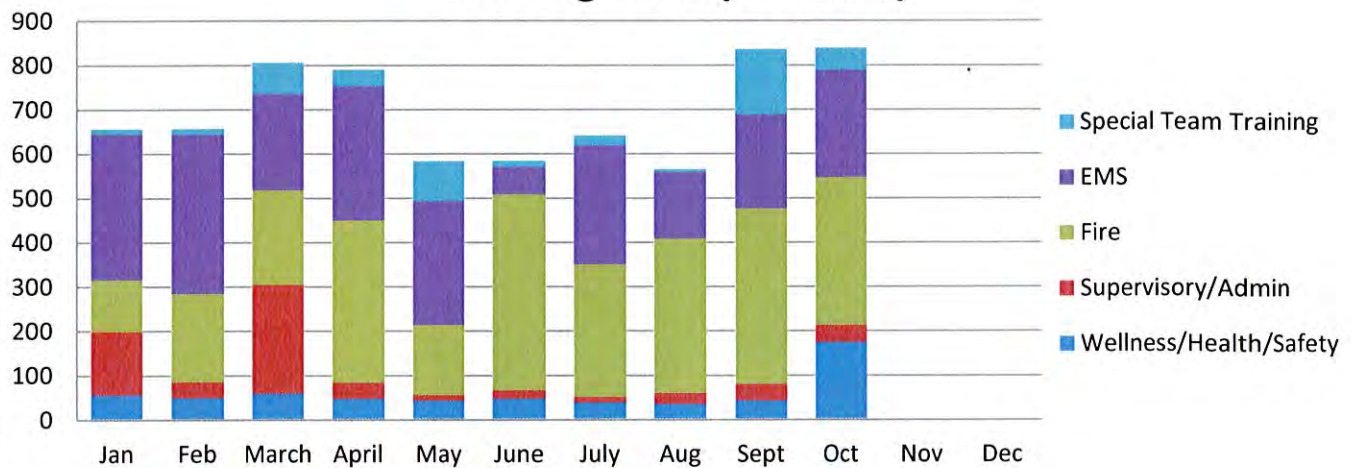
All of the calls that we respond on as a department are coded within the guidelines of the National Fire Incident Reporting System (NFIRS). The codes all relate to the text categories noted in the above chart. You will see that even across the three years, the trends remain essentially the same. Rescue and EMS clearly dominate the number of calls we encounter.

Calls by Property Use Code



As mentioned in previous sections, there is a national standard for coding the type of occupancy we respond to. How is this relevant? We can see trends in various types of occupancies and get a sense for how each type of occupancy affects service demand. As an example, the Health Care category could see an increase if additional assisted senior living or nursing centers are opened. Watch this category in the coming months as we get closer to Cedar Lake Center opening. Each month you will see that we continue to respond to residential properties more than any other area. So our residents continue to receive the bulk of our services. Auto accidents – get categorized in the Outdoor properties/roads section.

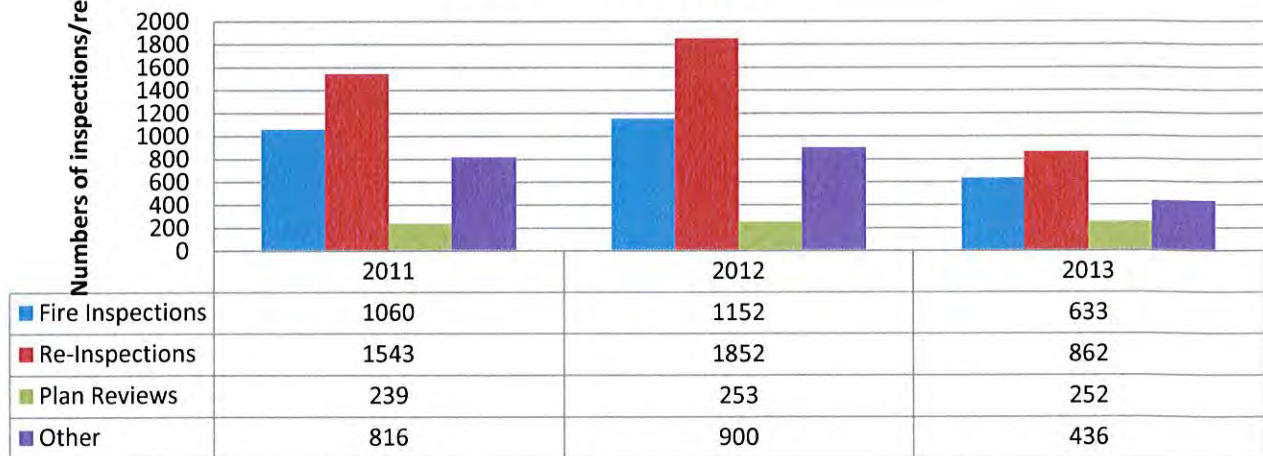
Training Time (in Hours)



Ongoing continuing education is an essential part of maintaining our high quality services. As a way of quantifying and categorizing our training the sessions are designated with one of the categories noted above. This allows us to review where our emphasis is in any given month, and assess if any changes are required. These are reported by man hours involved, and smaller amounts do not necessarily translate into less emphasis, but rather less personnel partaking in the training.

Year-to-Date Annual Safety Inspections (Village and District)

Numbers of inspections/reviews



The Fire Prevention Bureau covers the entire 25 square mile District. We handle all building projects and occupancies that require inspections in the villages we cover. This results in over 1600+ units for inspection. The first inspection is just the start though; many times a re-inspection is required to verify compliance. Plan reviews are the review of new building plans and alarm systems. The "Other" category covers a myriad of visits that the bureau makes. These may include alarm system tests and acceptance as well as sprinkler system reviews. These numbers represent visits or actions on the part of the bureau staff.



COMMUNITY SERVICES DEPARTMENT

MONTHLY INFORMATION REPORT

OCTOBER 2013

HIGHLIGHTING DATA METRICS
TO IDENTIFY OPERATIONAL TRENDS
AND
FACILITATE INFORMED DECISION MAKING

70 E. MAIN STREET
LAKE ZURICH, IL 60047

Departmental Narrative

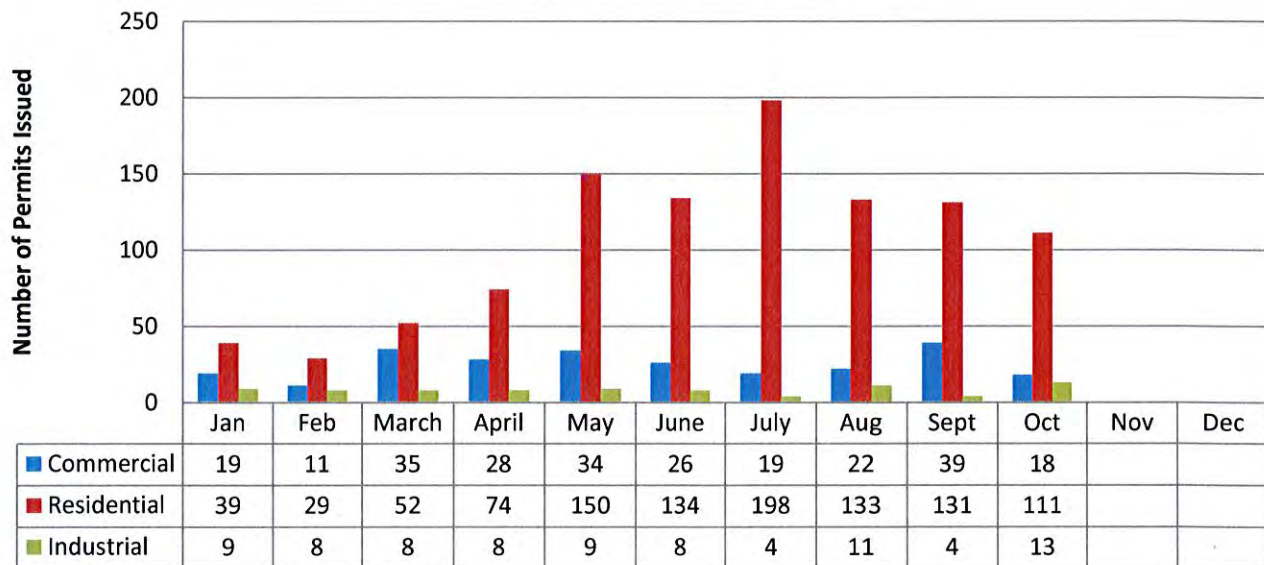
- The Building and Zoning operations began operating out of the CS facility on October 28. B&Z staff continues to focus on getting organized in the new work and office areas. The acclimation has been challenging given the heavy permit and inspection workload which typically occurs this time of the years with residents and builders wanting to complete projects before the cold weather sets in.
- Work continues on the new file room in the mezzanine area in the vehicle storage area. It is scheduled to be completed in November.
- Road resurfacing in the Lake Zurich Heights subdivision continues. All curb and sidewalk improvements have been completed. Leveling binder has been placed on all roadways and the final surface layer is anticipated to be completed by the end of November.
- Crack sealing has begun on South Pleasant Road, Beech, and the entire Heatherleigh subdivision. Project completion is scheduled during the week of November 18.
- Church Street water tower painting project is nearing completion. The exterior is 95% top coated and the new logo is complete on both sides. . Project completion is expected by the end of the November.



- Elva/Pine/Elm water main project began on November 4, 2013. Elva Road will be closed until November 18, 2013 for water main installation crossings at Maple, Pine, and Terrace. The contractor is currently on schedule.
- The Plan Commission heard two applications at their October meeting. The first was for a Special Use Permit for pickle manufacturing to Northstar Pickle, which the Plan Commission voted to recommended approval. The second was for changes to the industrial zoning district regulations and district boundaries. The Plan Commission voted for a second time to continue deliberation on this matter, and the item will appear on the agenda for the November Plan Commission meeting.
- Planning staff is working with Lake County to develop model zoning regulations that can be used by any municipality in Lake County to regulate cannabis cultivation and dispensing organizations, which will be allowed by Illinois State Law beginning in 2014. Staff anticipates a text amendment to the Zoning Code to appear before the Plan Commission in early 2014.
- On October 21st, the Village made public a Request for Qualifications for developers interested in submitting proposals for the redevelopment of Block A in downtown. On October 28th, staff held a pre-submission meeting for any interested developers to address any potential questions about the site and RFQ process. The deadline for RFQ submittal is November 18th.

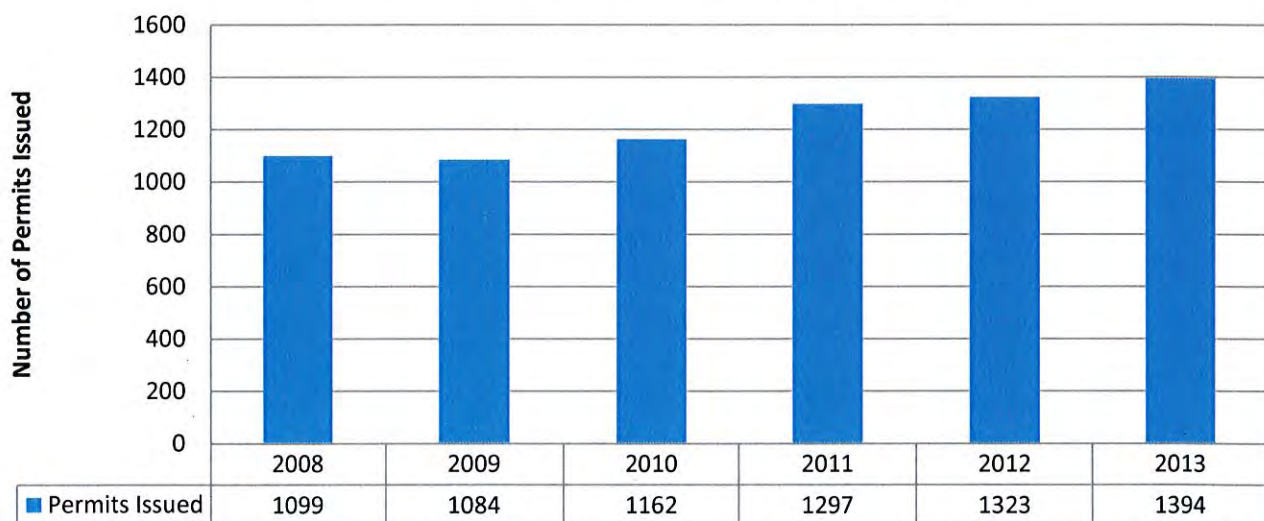
BUILDING AND ZONING DIVISION

Monthly Permit Activity for 2013



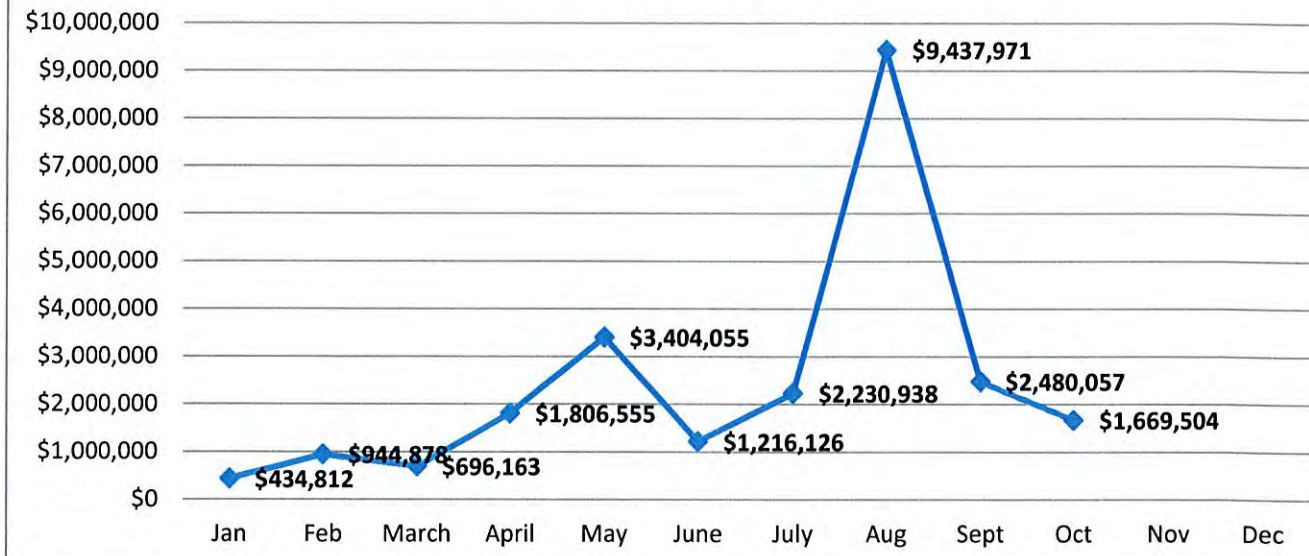
This graph represents the number of construction building permits issued by category during the month and calendar year to date.

Annual Permit Activity (Jan-Oct)



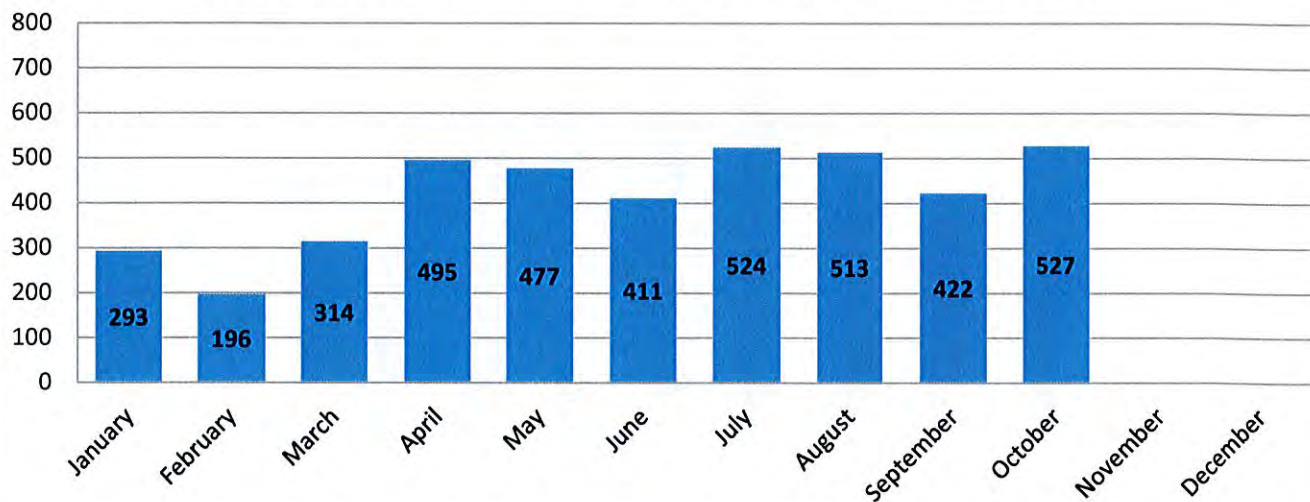
Construction permit activity has seen an increase in 2013. Permit activity is up 5.4% year-to-date from 2012.

Construction Value of New Permits



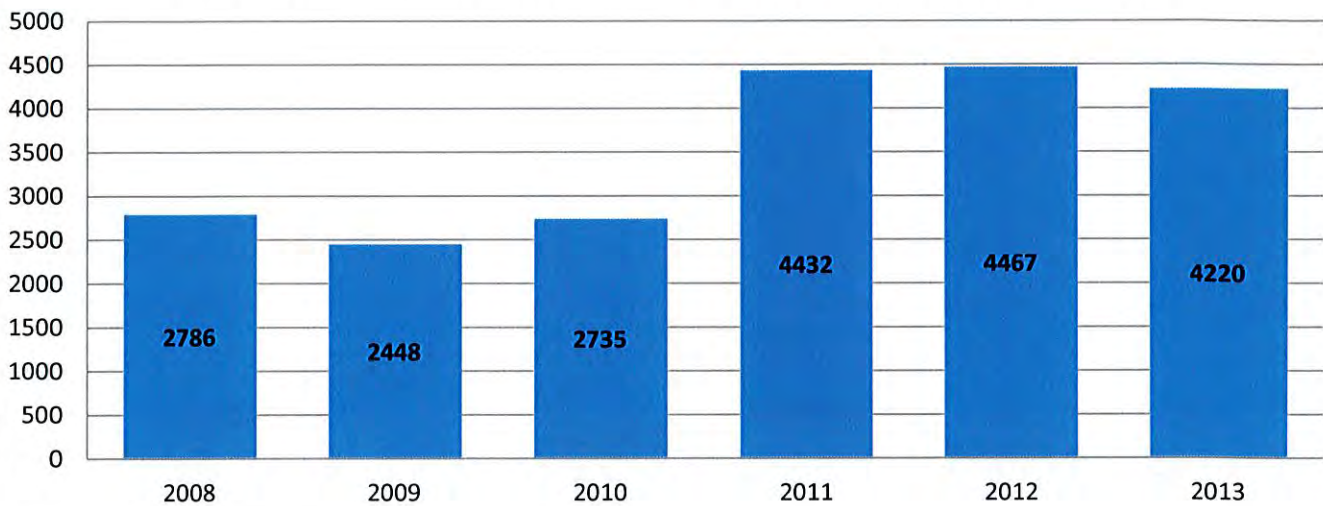
Construction value is an indication of the growth in value of our community by the investment in construction. The spike in value in August is attributed primarily to the Mariano development.

Monthly Number of Permit Inspections for 2013



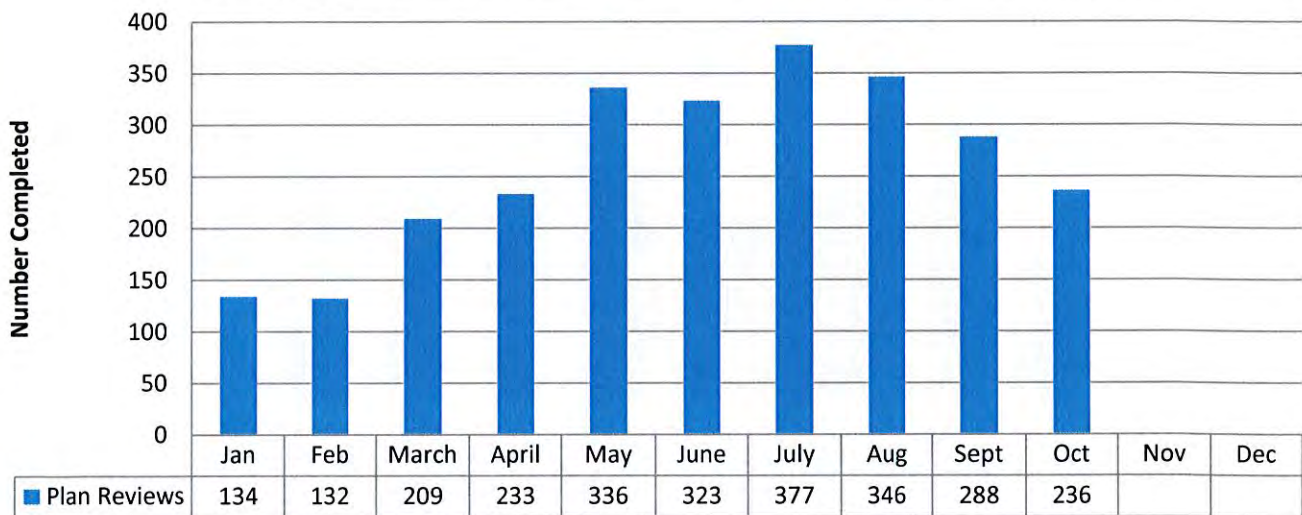
The department has seen an increase of construction permit related inspection activity during the month. Cedar Lake Assisted Living Facility has entered into the rough inspection phase for all disciplines. Now that Mariano's is under roof interior related inspections have increased. Repeat inspections might occur if an initial inspection reveals work is not being completed properly.

Annual Number of Permit Inspections (Jan-Oct)



This graph represents the level of building permit inspection activity over the past six years. Inspection staff level has decreased by 3 positions. Two building inspector positions were eliminated one each in 2009 and 2011. The Assistant Building Department Manager position was eliminated in 2013.

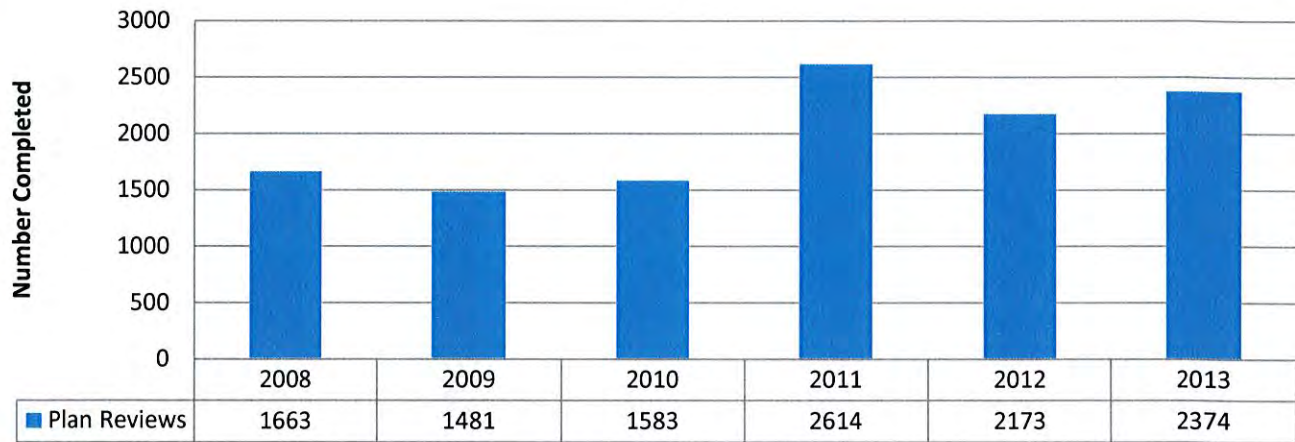
Monthly Number of Plan Reviews Completed for 2013



This graph represents the number of building permit plan reviews that were completed during the given period. Projects such as Mariano's and Schneider Graphics are viewed as single reviews similar to fences, sheds and other reviews. Total number includes initial reviews and subsequent re-reviews as required to get the plans in compliance with Village code requirements.

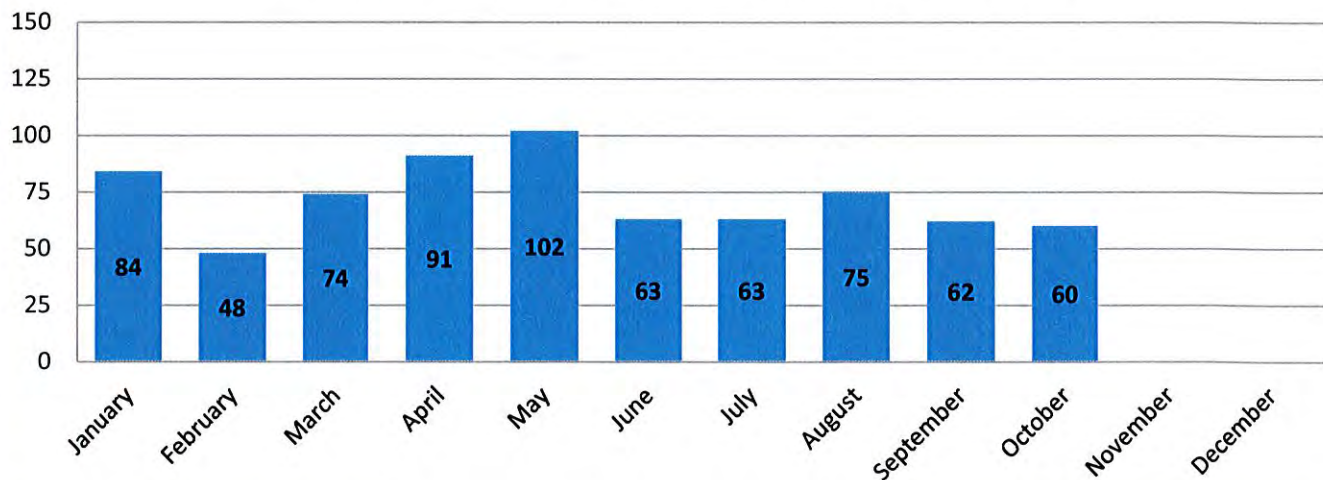
Plan reviews for plan commission, zoning board of appeals or Village Board packets are not included in this graph.

Annual Number of Plan Reviews Completed (Year to date)



Plan Review activity is up 9.3%% year-to-date from 2012.

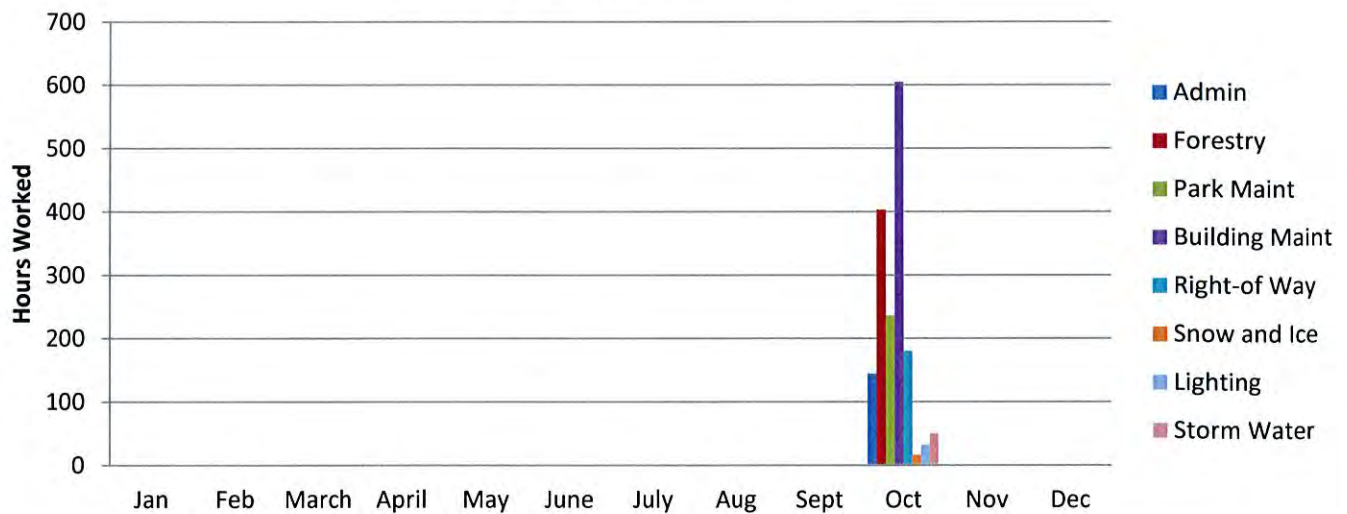
Total Number of Contractor Registrations



Each contractor that works within the Village is required to register and provide a \$10,000 surety bond. The purpose of registration and the bond is to protect residents, businesses and property owners from non-compliant work. The registration is good for the calendar year and is renewable in January.

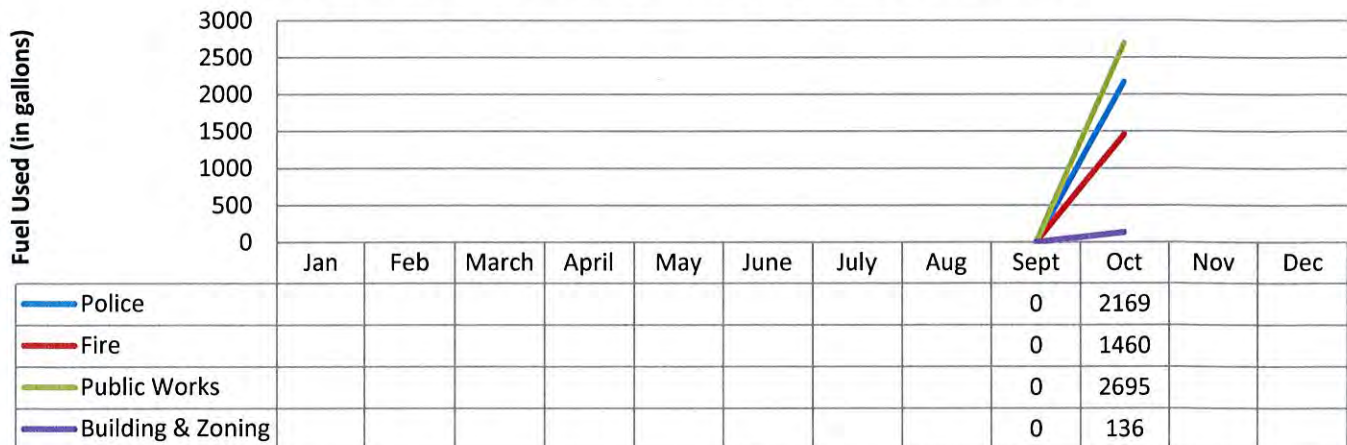
PUBLIC WORKS DIVISION

Workload Concentration



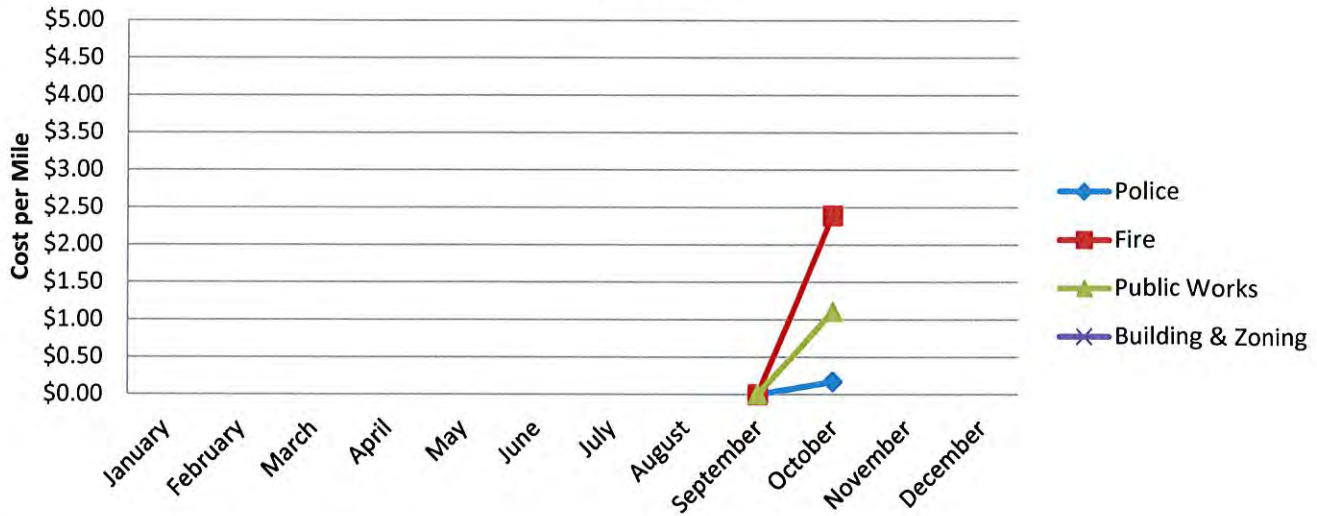
A core function of Public Works are related to the completion of work orders for several categories, including administrative, forestry, park maintenance, municipal property maintenance, right-of-way, snow and ice, street lighting, and storm water system maintenance. This chart shows the number of hours worked on major activities.

Fleet Fuel Consumption (By Department)



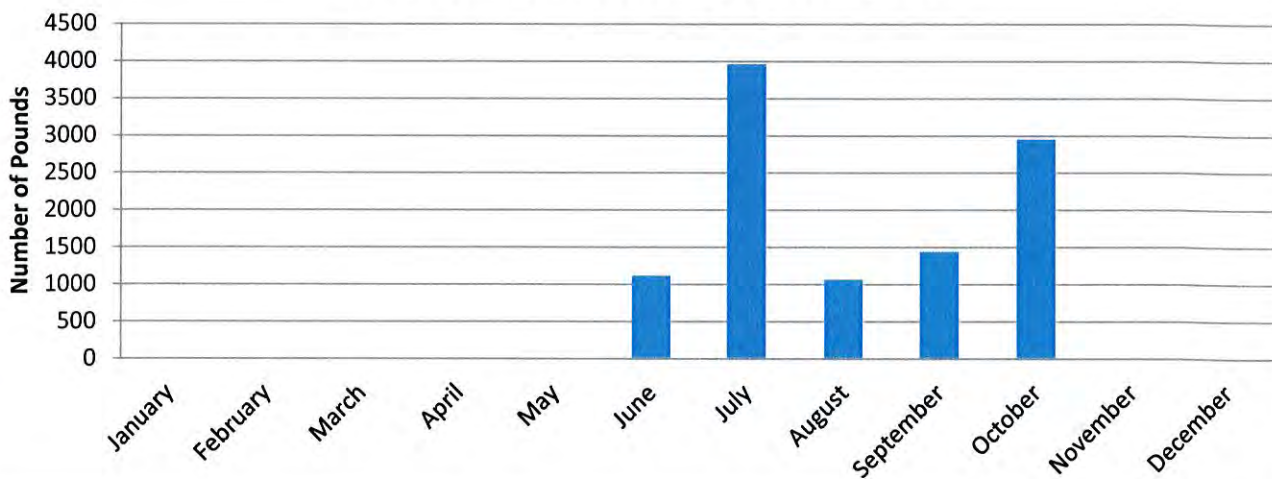
Tracking fuel consumption allows staff to make informed decisions relating to the municipal vehicle fleet, including the number of vehicles in each department, the types of vehicles purchased and the type of fuel source used. Dramatic fluctuations in fuel consumption can occur during events such as heavy snow storms.

Average Cost per Mile for Village Fleet (By Department)



Vehicle cost per mile is an initial indicator of an efficient fleet operation. With basic cost per mile information in hand, all components that feed into that cost can be scrutinized and measured. These components include labor rates, fuel costs and parts costs. Looking further into the Village' vehicle cost per mile, staff can measure other components such as average vehicle age. When vehicles are replaced within their life cycle, the impact is usually positive.

E-Waste Collection (By Weight)



The Village encourages proper disposal of electronics and offers a drop-off site at the Community Services facility. This graph shows, in weight, the amount of electronics that are being collected at this site and disposed of in an environmentally friendly way.



FINANCE DEPARTMENT

MONTHLY INFORMATION REPORT

SEPTEMBER 2013

HIGHLIGHTING DATA METRICS
TO IDENTIFY OPERATIONAL TRENDS
AND
FACILITATE INFORMED DECISION MAKING

70 E. MAIN STREET
LAKE ZURICH, IL 60047

DEPARTMENT NARRATIVE

The month of September is a busy month for the Finance Department. The Comprehensive Annual Financial Report (CAFR) was finalized for presentation to the Village Board for acceptance in early October. Staff also conducted internal audits on various accounts to ensure accurate financial reporting and to ease the burden of the annual audit next spring.

GENERAL FUND OPERATING RESULTS

SUMMARY

For the month of September, revenues totaled \$4,482,013 and expenditures totaled \$2,970,201 resulting in an operating surplus of \$1,511,812. From a budget perspective, we had expected expenditures to exceed revenues by \$1,284,374 in September. Year-to-date figures below represent five months of activity. The bulk of revenue is attributable to property taxes, which are received primarily in June and September. This tax is then utilized throughout the fiscal year.

General Fund Operating Results

	Current Month Budget	Current Month Actual	Year-to-Date Budget	Year-to-Date Actual
Revenues	\$ 4,517,979	\$ 4,482,013	\$ 14,291,544	\$ 14,430,131
Expenditures	3,233,605	2,970,201	12,481,619	11,497,705
Excess (Deficiency)	\$ 1,284,374	\$ 1,511,812	\$ 1,809,925	\$ 2,932,426

REVENUES

Following is a summary of revenues by type through September 30, 2013. These figures represent five months of financial activity. A more detailed analysis can be found on pages 8 through 10.

Revenue Type	Current Month's Budget	Current Month's Actual	% Variance	Year-to-Date Budget	Year-to-Date Actual	% Variance	% of Annual Budget
Taxes	\$ 3,202,058	\$ 3,154,468	-1.49%	\$ 7,257,800	\$ 7,231,903	-0.36%	89.89%
Intergovernmental	1,073,727	1,084,508	1.00%	5,507,446	5,745,199	4.32%	39.69%
Licenses & Permits	53,513	76,061	42.14%	554,293	468,682	-15.45%	49.84%
Fines and Forfeits	66,667	70,768	6.15%	333,335	329,913	-1.03%	41.24%
Charges for Services	95,623	65,211	-31.80%	506,715	476,795	-5.90%	44.74%
Investment Income	1083	1,085	0.18%	5,415	7,582	40.02%	58.32%
Miscellaneous	25,308	29,912	18.19%	126,540	170,057	34.39%	43.64%
Total Revenue	\$ 4,517,979	\$ 4,482,013	-0.80%	\$ 14,291,544	\$ 14,430,131	0.97%	56.08%

As can be seen above, actual revenues of \$4,482,013 were below our budget estimate of \$4,517,979 by \$35,966, or -0.8%, during the month of September for the General Fund. Year-to-date revenues are currently about \$138,600 higher than expected by this point.

Taxes:

Revenue from taxes came in at \$3,154,468 in September, a -1.5% variance from the \$3,202,058 projected in the budget. This category is primarily made up of the property tax receipts and telecommunications tax receipts. A large percentage of property tax distributions of the 2012 levy were received in June and September. Year to date property taxes are averaging just above 95% of the annual budget as is expected. Telecommunications tax receipts were almost 7% lower than expected for the month, but year-to-date revenues for this source are still exceeding budget by about \$15,000. More information regarding the Telecommunications Tax can be found on page 13.

Intergovernmental Revenue:

Revenue from other governments totaled \$1,084,508 in September, which was 1% above the projected \$1,073,727. Income Tax receipts came in on target for budget expectations, with the receipts for September totaling \$105,638 compared to an expected \$105,732. However, due to the extremely high receipt for May, year-to-date revenues for Income Tax are still about 9% higher than budget-to-date. Details on Income Tax are provided on page 15.

State sales tax receipts came in 1.6% over budget at \$525,059 in September, compared to a budget of \$516,882 for the month. This receipt represents sales from June 2013 and was 3.9% higher than receipts the same month last year. More information regarding Sales Tax can be found on page 14.

Licenses and Permits:

Revenue from the issuance of licenses and permits came in at \$76,061 for September, which was about \$22,500 higher than the projection for the month, particularly for a mixture of the smaller permit accounts. The difference is due to the variable nature of these types of revenues that fluctuate depending on activity. Plumbing permits have already reached 91% of the annual budget and park fees are exceeding budget expectations at 100.1%. After five months of activity, about 50% of the annual budget has been received. Many of the revenues in this category will receive their full annual receipts in the next few months as construction activity is at its highest during the warmest months.

Fines and Forfeits:

Revenue from police fines totaled \$70,768 in September, which was 6% above the \$66,667 projected. The revenues in this category include various fines generated from police citations, such as red light and local ordinance violations. This revenue category has been lagging behind expectations all fiscal year, primarily with red light camera citations. With the higher receipts for August and September, the year-to-date is now only about 1% lower than projections. This category will experience various spikes throughout the fiscal year depending on enforcement campaigns and times of the year or events that tend to trigger more violations than average.

Charges for Services:

Revenue from service charges totaled \$65,211 in September, compared to a projection of \$95,623. The two main revenue sources in this category, ambulance fees and park program fees, both had receipts lower than budget expectations, the opposite of the prior month. As ambulance fees are based purely on activity and need, this revenue source can fluctuate considerably during the year. Year-to-date receipts for this category are off from budget by 6%.

Investment Income:

The General Fund investment income in September was \$1,085, compared to an estimate of \$1,083. The annualized rate for September 2013 in the Illinois Fund decreased slightly during the month from a monthly average of 0.014% in August to 0.010% in September. The average rate for September 2012 was 0.125%. More detail on investments is provided on page 17.

Miscellaneous:

The General Fund miscellaneous revenue in September was \$29,912, which was above the projected amount of \$25,308. The largest item in miscellaneous receipts was rental income. The village also experienced an increase in unrealized gains during the month, countering negative gains from the month prior. This change is on paper only, as any realized gains or losses on investments are not final until maturity or sale. Year-to-date figures include a refund from Lake County related to property taxes paid by the village in prior years. These property taxes were paid on properties that have now been declared exempt, retroactively, resulting in a refund of over \$27,000.

EXPENDITURES

Expenditures charged to the General Fund in September total \$2,970,201, which is 8% below projections of \$3,233,605. The table below presents a summary of General Fund expenditures by department as of September 30, 2013. Additional detail can be found on pages 11 and 12.

General Fund Expenditures by Department

Department Or Program	Current Month's Budget	Current Month's Actual	% Variance	YTD Budget	YTD Actual	% Variance
Legislative	\$ 4,681	\$ 6,403	36.8%	\$ 34,060	\$ 33,674	-1.1%
Administration	55,667	48,901	-12.2%	266,933	227,622	-14.7%
Finance	56,072	56,928	1.5%	218,498	203,805	-6.7%
Technology	35,682	24,798	-30.5%	225,285	196,998	-12.6%
Police	1,084,726	1,077,303	-0.7%	4,081,085	3,875,462	-5.0%
Fire	1,454,106	1,402,260	-3.6%	5,239,164	4,983,972	-4.9%
Community Services	457,453	302,857	-33.8%	1,903,883	1,534,690	-19.4%
Park & Recreation	85,218	50,751	-40.4%	512,711	441,482	-13.9%
Total	\$ 3,233,605	\$ 2,970,201	-8.15%	\$ 12,481,619	\$ 11,497,705	-7.88%

As can be seen on the table above, the month of September saw spending below expectations across most departments. Some departments still have savings from vacant budgeted positions that have not been staffed as of September 30th. Year-to-date spending is also showing results below budget expectations. After the first five months, expenditures are about \$983,914 lower than was planned. Expenditures are expected to increase in the coming months as autumn projects are concluded before the weather turns. While this will fluctuate with the timing of expenditures, it is also attributable to departments making a concerted effort to save money where possible.

Expenditures in the Legislative category were over budget for the month due to the unbudgeted purchase of new tables and chairs for the village board room. Expenditures within the Finance Department were slightly over-budget due to unusually high postage usage from other departments. Year-to-date, all departments remain under budgeted expectations.

OPERATING RESULTS OF OTHER FUNDS

Attached to this report is a comparison of actual revenues and expenditures to budget for all funds maintained by the Village. Following are some important observations.

Special Revenue Funds:

Motor fuel tax revenue came in at \$47,196 in September, which was 14.5% above the budget of \$41,216. Year-to-date revenues for this fund are now 4.3% higher than expectations at this point in the fiscal year. Expenditures this month from the Motor Fuel Tax Fund were just above budget at \$24,805 compared to a budget estimate of \$22,888. Year-to-date spending is well under budgeted expectations due to timing of projects.

September revenues for the Hotel Tax Fund totaled \$9,627 which was \$187 below budget. The revenue in this fund is a combination of hotel tax receipts and interest income. Expenditures in the fund this month totaled \$605, mostly for lingering items related to the Fourth of July festival. Staff is currently recruiting for an open position that will be partly responsible for evaluating tourism options and uses of the reserves of this fund.

The TIF Tax Allocation Fund revenues were just below the target for the month at \$583,957 compared to \$595,211. The expenditure side is showing only a small amount of expenditures for the month. For year-to-date, the effect of the Lake County property tax refund, similar to in the General Fund, for taxes paid on parcels now considered exempt is contributing to low year-to-date costs. For the TIF Tax Fund, this amount totaled \$7,489 against current year expenditures. An important note to remember is the Village is responsible for property taxes on TIF property where rental income is being collected so these parcels were not part of the refund.

Debt Service Funds:

The debt service funds record annual debt service payments for several of the village issuances, mostly due December 2013 and January 2014 as scheduled. Revenues for September include property-tax receipts and interest earnings. Interest payments are paid semi-annually, typically June and December. An exception is the 2013 issuance, of which principal and interest were both paid in September.

Capital Projects Funds:

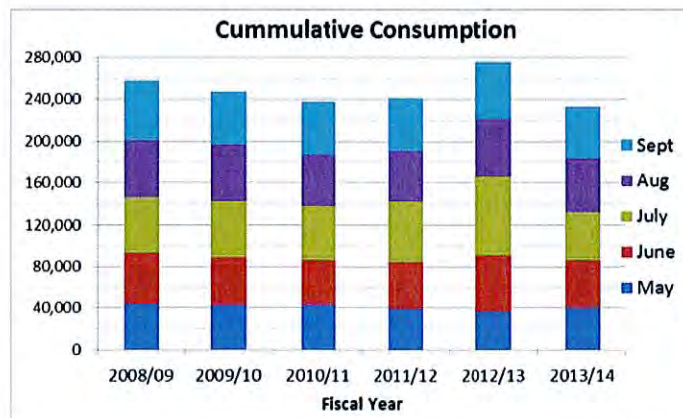
September revenue for the capital projects funds in total came in mostly from the Non-Home Rule Sales Tax (NHRST), with receipts for September of \$168,242, which is 2.4% higher than the

\$164,312 budgeted. September receipts represent sales from June. Year-to-date revenues are 2.7% higher than budgeted expectations and 4.8% higher than the same periods of the prior year. More detail on the NHRST revenue can be found on page 16.

Expenditures for capital projects were \$425,857 for September, with \$47,000 spent on the roof for 351 Lions Drive, \$16,000 spent on EAB tree removal, about \$200,000 spent on the CN Noise wall and \$120,000 for the property demolitions in the TIF district. The remaining items were a combination of smaller items such as concrete and asphalt. Due to the timing of the budgeted capital projects, the majority of expenditures will be spent later in the fiscal year as time and funds allow.

Water and Sewer Fund:

September revenue totaled \$4,453,635, which was 5.3% below the estimate of \$4,704,546. Bond proceeds of about \$4 million constitute the majority of the revenues for the month. Consumption billed in September was typical compared to prior years, with 51M gallons billed compared to an average of 52.6M. The consumption billed in September primarily represents water metered in August. Year-to-date consumption is lower for this fiscal year than it has been for the same time period in any of the past five fiscal years.



Expenditures in the Water Fund came in on target, totaling \$321,833 compared to an estimate of \$322,148. Excluding the bond proceeds, overall the Water Fund netted a positive \$131,800 for the month of September compared to an expected surplus of \$382,398. This surplus will be used for expenditures later in the year, as well as to help rebuild the working capital of the fund and provide cash flow for improvements to maintain the aging infrastructure.

Internal Service Funds:

Internal service funds are used to reserve resources for a specific purpose and to allocate the user charges accordingly. The village has two active internal service funds: Medical Self Insurance and Risk Management. Vehicle Maintenance expenditures have been included in the General Fund starting with this fiscal year except for the transfers of remaining funds once the final fund balance is determined in the annual audit. Revenues are a combination of user charges from other funds as appropriate. Expenditures fluctuate, depending on activity levels, particularly in the Vehicle Risk Management Fund.

Special Service Areas:

While the village does not budget for Special Service Area (SSA) revenues and expenses, as funds are being collected and spent for village SSA's, the following information can be of value to report.

SSA Activity Sep-13									
SSA #	Location	Beginning Balance 5/1/2013	Year-To-Date		Ending Balance 9/30/2013	Annual Expected		Annual Expected	
			Revenues	Expenses		Revenues	YTD %	Expenses	YTD %
SSA #8	Heatherleigh	68,062	9,581	-	77,643	10,105	94.81%	11,662	0.00%
SSA #9	Willow Ponds	101,300	11,651	-	112,951	12,005	97.05%	12,750	0.00% ^b
SSA #10	Westberry	8,411	928	-	9,339	1,015	91.43%	-	N/A
SSA #11	Lake Zurich Pines	19,132	3,000	545	21,587	3,000	100.00% ^a	3,100	17.58%
SSA #13	Conventry Creek	240,075	36,217	-	276,292	40,001	90.54% ^a	TBD	N/A ^c
SSA #15	Country Club	112	-	-	112	-	N/A	TBD	N/A ^d
SSA #16	Country Club	14	-	-	14	-	N/A	TBD	N/A ^d
		437,106	61,377	545	497,938	66,126	92.82%	27,512	0.00%

a) Flat amount levied per property.

b) At some point after enough funds have accrued, Willow Ponds will require dredging, estimated at \$120,000

c) Conventry Creek maintenance costs will be determined once subdivision areas have been turned over to the Village.

d) First levy for SSA #15 and SSA #16 will be the 2013 levy, payable in fiscal year 2014/15

While this report only covers five fiscal periods, no major concerns were identified. Major revenue sources are performing at or above expectations and expenditures have been kept to a minimum. As the fiscal year continues, we will get a more accurate picture of how these revenues and expenditures will shape up compared to budget.

Respectfully Submitted,

Jodie K. Hartman

Jodie K. Hartman, CPA
Director of Finance

VILLAGE OF LAKE ZURICH
OPERATING REPORT SUMMARY
REVENUES
September 30, 2013

	Current Month			Year-to-Date				% of Annual
	Budget	Actual	% Variance	Budget	Actual	% Variance	Annual Budget	Budget Collected
GENERAL FUND								
Taxes								
Property Tax-General	960,811	928,348	(3.4%)	2,080,873	2,024,088	(2.7%)	2,123,339	95.3%
Property Tax-Police Prot	288,243	284,552	(1.3%)	624,262	620,411	(0.6%)	637,002	97.4%
Property Tax-Fire Protec	288,243	284,552	(1.3%)	624,262	620,411	(0.6%)	637,002	97.4%
Property Tax-Ambulance	61,492	64,024	4.1%	133,176	139,592	4.8%	135,894	102.7%
Property Tax-IMRF	79,665	81,809	2.7%	172,534	178,368	3.4%	176,056	101.3%
Property Tax-Police Pen	569,246	565,547	(0.6%)	1,232,842	1,233,069	0.0%	1,258,003	98.0%
Property Tax-Fire Pen	779,811	775,403	(0.6%)	1,688,873	1,690,621	0.1%	1,723,339	98.1%
Property Tax-SRA	81,450	81,809	0.4%	176,400	178,368	1.1%	180,000	99.1%
Property Tax-Road/Bridge	3,711	5,251	41.5%	8,037	11,529	43.4%	8,200	140.6%
Cable Tv Franchise	-	-	0.0%	105,218	108,985	3.6%	231,062	47.2%
Telecom Tax	89,386	83,173	(7.0%)	411,323	426,461	3.7%	935,772	45.6%
Total Taxes	3,202,058	3,154,468	(1.5%)	7,257,800	7,231,903	(0.4%)	8,045,669	89.9%
Intergovernmental								
State Income Tax	105,732	105,638	(0.1%)	766,076	836,948	9.3%	1,809,338	46.3%
State Use Tax	28,094	32,072	14.2%	124,386	128,334	3.2%	310,327	41.4%
Personal Prop Repl Tax	-	-	0.0%	20,414	24,882	21.9%	50,918	48.9%
State Sales Tax	516,882	525,059	1.6%	2,414,841	2,485,964	2.9%	6,174,409	40.3%
Municipal Auto Rental Tax	250	572	128.8%	1,250	2,206	76.5%	3,000	73.5%
Pull Tab & Jar Game Tax	-	-	0.0%	167	-	(100.0%)	2,000	0.0%
IDOT Street Maint Reim	-	-	0.0%	4,700	3,377	(28.1%)	18,000	18.8%
State Fire/Rescue Trng	-	-	0.0%	147	-	(100.0%)	585	0.0%
Rand & Paulus Traffic Lgt	-	-	0.0%	1,125	1,168	3.8%	4,500	26.0%
Grants	-	-	0.0%	-	8,400	0.0%	674,000	1.2%
Fire/Rescue Srvc Contract	414,603	411,173	(0.8%)	2,073,015	2,055,865	(0.8%)	4,975,230	41.3%
Reimbursements	8,166	9,994	22.4%	101,325	198,055	95.5%	453,615	43.7%
Total Intergovernmental	1,073,727	1,084,508	1.0%	5,507,446	5,745,199	4.3%	14,475,922	39.7%
Licenses & Permits								
Liquor Licenses	100	100	0.0%	2,300	198	(91.4%)	80,000	0.2%
Business Licenses	800	968	21.0%	1,600	3,373	110.8%	96,000	3.5%
Building Permits	8,345	9,450	13.2%	80,998	53,676	(33.7%)	108,980	49.3%
Electric Permits	2,676	3,569	33.4%	22,509	23,186	3.0%	28,000	82.8%
Plumbing Permits	3,423	6,651	94.3%	31,834	36,384	14.3%	40,108	90.7%
Special Use Permits	271	875	222.9%	4,334	2,625	(39.4%)	6,500	40.4%
HVAC/Mechanical	1,449	4,825	233.0%	23,187	27,601	19.0%	34,780	N/A
Com/Ind Sprinkler Sys	292	-	(100.0%)	4,666	285	(93.9%)	7,000	4.1%
Build Out Sprinkler Sys	1,424	2,309	62.1%	12,674	5,908	(53.4%)	18,000	32.8%
Admin Fees	328	1,132	245.1%	5,250	8,187	55.9%	7,875	104.0%
Contractor Registration	1,917	5,400	181.7%	9,585	31,700	230.7%	46,700	67.9%
Occupancy Certif-Other	625	1,678	168.5%	10,000	8,101	(19.0%)	15,000	54.0%
Admin Plan Review	8,000	10,672	33.4%	66,332	69,217	4.3%	80,000	86.5%
Site Plan Review	583	1,050	80.1%	9,334	7,980	(14.5%)	14,000	57.0%
Engineering Review	6,667	6,147	(7.8%)	56,667	10,787	(81.0%)	80,000	13.5%
Engineering Reimbursemet	-	-	0.0%	-	5,065	0.0%	-	N/A
Pr-Sprinkler System	1,766	2,729	54.5%	12,266	10,837	(11.7%)	18,000	60.2%
Pr-Fire Alarm Systems	292	195	(33.2%)	4,666	1,570	(66.4%)	7,000	22.4%
Elevator Inspections	608	546	(10.2%)	9,722	1,586	(83.7%)	14,580	10.9%
Kildeer Inspection Fees	313	-	(100.0%)	5,002	-	(100.0%)	7,500	0.0%
Deer Park Inspection Fees	1,042	-	(100.0%)	16,666	907	(94.6%)	25,000	3.6%
Overweight Truck Permits	208	855	311.1%	3,334	2,250	(32.5%)	5,000	45.0%
Park Permits	594	-	(100.0%)	22,563	19,333	(14.3%)	28,500	67.8%
Park Fees	1,100	1,262	14.7%	51,101	60,088	17.6%	60,000	100.1%
Water Shed Devlp	333	250	(24.9%)	5,334	2,250	(57.8%)	8,000	28.1%
Other Permits	9,333	12,914	38.4%	65,999	56,444	(14.5%)	80,000	70.6%
Misc. Licenses And Permits	1,024	2,484	142.6%	16,370	19,144	16.9%	23,850	80.3%
Total Licenses & Permits	53,513	76,061	42.1%	554,293	468,682	(15.4%)	940,373	49.8%

VILLAGE OF LAKE ZURICH
OPERATING REPORT SUMMARY
REVENUES
September 30, 2013

	Current Month			Year-to-Date			Annual Budget	% of Annual Budget Collected
	Budget	Actual	% Variance	Budget	Actual	% Variance		
Fines and Forfeits	66,667	70,768	6.2%	333,335	329,913	(1.0%)	800,000	41.2%
Charges for Services								
Printing/Reproduction Fee	462	948	105.2%	2,310	1,991	(13.8%)	5,550	35.9%
Police-Alarm Fees	500	275	(45.0%)	2,500	1,600	(36.0%)	6,000	26.7%
Police-Alarm Rebate Fees	-	-	0.0%	15,000	24,429	62.9%	45,000	54.3%
Police Special Detail	2,917	3,770	29.2%	14,585	9,265	(36.5%)	35,000	26.5%
Police Admin Tow Fee	7,604	4,765	(37.3%)	38,020	27,925	(26.6%)	91,250	30.6%
Police Lockout Fees	583	150	(74.3%)	2,915	3,225	10.6%	7,000	46.1%
Fire/Rescue-Special Dtl	1,038	5,794	458.2%	5,190	6,366	22.7%	12,450	51.1%
Fire/Rescue Ambulance Fee	34,045	17,502	(48.6%)	170,225	161,210	(5.3%)	408,540	39.5%
Park Program Fees	47,336	31,906	(32.6%)	248,280	230,656	(7.1%)	439,150	52.5%
Concert Sales	208	101	(51.4%)	1,040	101	(90.3%)	2,500	4.0%
Park Special Events	763	-	(100.0%)	3,815	8,782	130.2%	9,150	96.0%
Park Outings	42	-	(100.0%)	210	-	(100.0%)	500	0.0%
Park Concessions	-	-	0.0%	2,000	-	(100.0%)	2,000	N/A
Other Charges for Services	125	-	(100.0%)	625	1,245	99.2%	1,500	83.0%
Total Charges for Services	95,623	65,211	(31.8%)	506,715	476,795	(5.9%)	1,065,590	44.7%
Investment Income	1,083	1,085	0.2%	5,415	7,582	40.0%	13,000	58.3%
Miscellaneous								
Recycling Reimbursement	4,167	-	(100.0%)	20,835	14,280	(31.5%)	50,000	28.6%
Rental Income	18,700	19,647	5.1%	93,217	97,960	5.1%	224,400	43.7%
Sale of Fixed Asset	1,667	-	(100.0%)	7,918	10,151	28.2%	20,000	50.8%
Other Miscellaneous Revenue	774	10,265	1,226.2%	4,570	47,666	943.0%	95,280	50.0%
Total Miscellaneous Revenue	25,308	29,912	18.2%	126,540	170,057	34.4%	389,680	43.6%
Total General Fund	4,517,979	4,482,013	(0.8%)	14,291,544	14,430,131	1.0%	25,730,234	56.1%
							Benchmark:	41.7%

VILLAGE OF LAKE ZURICH
OPERATING REPORT SUMMARY
REVENUES
September 30, 2013

	Current Month			Year-to-Date				% of Annual Budget Collected
	Budget	Actual	% Variance	Budget	Actual	% Variance	Annual Budget	
SPECIAL REVENUE FUNDS								
Motor Fuel Tax Fund	41,216	47,196	14.5%	196,837	205,350	4.3%	481,592	42.6%
Hotel Tax Fund	9,814	9,627	(1.9%)	49,241	48,145	(2.2%)	93,038	51.7%
TIF Tax Allocation Fund	595,211	583,957	(1.9%)	1,316,055	1,298,924	(1.3%)	1,398,526	92.9%
Total Special Revenue Funds	646,241	640,780	(0.8%)	1,562,133	1,552,419	(0.6%)	1,973,156	78.7%
DEBT SERVICE FUNDS								
General Debt Service Fund	483,216	483,751	0.1%	1,046,642	1,054,775	0.8%	1,068,287	98.7%
TIF Debt Service Fund	333	4	(99%)	538,665	539,262	0.1%	1,841,000	29.3%
Total Debt Service Funds	483,549	483,755	0.0%	1,585,307	1,594,037	0.6%	2,909,287	54.8%
CAPITAL PROJECT FUNDS								
Capital Project Fund	14,333	21,547	50.3%	19,665	2,790	(85.8%)	398,030	0.7%
Park Improvement Fund	850	1,393	63.9%	4,250	4,249	(0.0%)	10,200	41.7%
Non-Home Rule Capital Projects	164,312	168,242	2.4%	748,226	768,227	2.7%	2,842,863	27.0%
TIF Redevelopment Fund	417	-	(100.0%)	2,085	-	(100.0%)	5,000	0.0%
Total Capital Projects Funds	179,912	191,182	6.3%	774,226	775,266	0.1%	3,256,093	23.8%
ENTERPRISE FUNDS								
Waterworks and Sewerage Fund	4,704,546	4,453,635	(5.3%)	6,529,314	6,235,344	(4.5%)	9,052,055	68.9%
Total Enterprise Funds	4,704,546	4,453,635	(5.3%)	6,529,314	6,235,344	(4.5%)	9,052,055	68.9%
INTERNAL SERVICE FUNDS								
Medical Self Insurance Fund	207,244	203,280	(1.9%)	1,036,220	1,090,728	5.3%	2,486,932	43.9%
Vehicle Maintenance Fund	-	-	0.0%	-	-	0.0%	-	0.0%
Risk Management Fund	111,250	108,516	(2.5%)	556,250	559,384	0.6%	1,335,000	41.9%
Total Internal Service Fund	318,494	311,796	(2.1%)	1,592,470	1,650,112	3.6%	3,821,932	43.2%
TRUST AND AGENCY FUNDS								
Special Service Area No. 8 Fund	-	4,166	0.0%	-	9,581	0.0%	-	N/A
Special Service Area No. 9 Fund	-	5,089	0.0%	-	11,651	0.0%	-	N/A
Special Service Area No. 10 Fund	-	406	0.0%	-	928	0.0%	-	N/A
Special Service Area No. 11 Fund	-	1,425	0.0%	-	3,000	0.0%	-	N/A
Special Service Area No. 13 Fund	-	11,622	0.0%	-	36,217	0.0%	-	N/A
Total Trust and Agency Fund	-	22,708	0.0%	-	61,377	0.0%	-	N/A
Benchmark:							41.7%	

VILLAGE OF LAKE ZURICH
OPERATING REPORT SUMMARY
EXPENDITURES
September 30, 2013

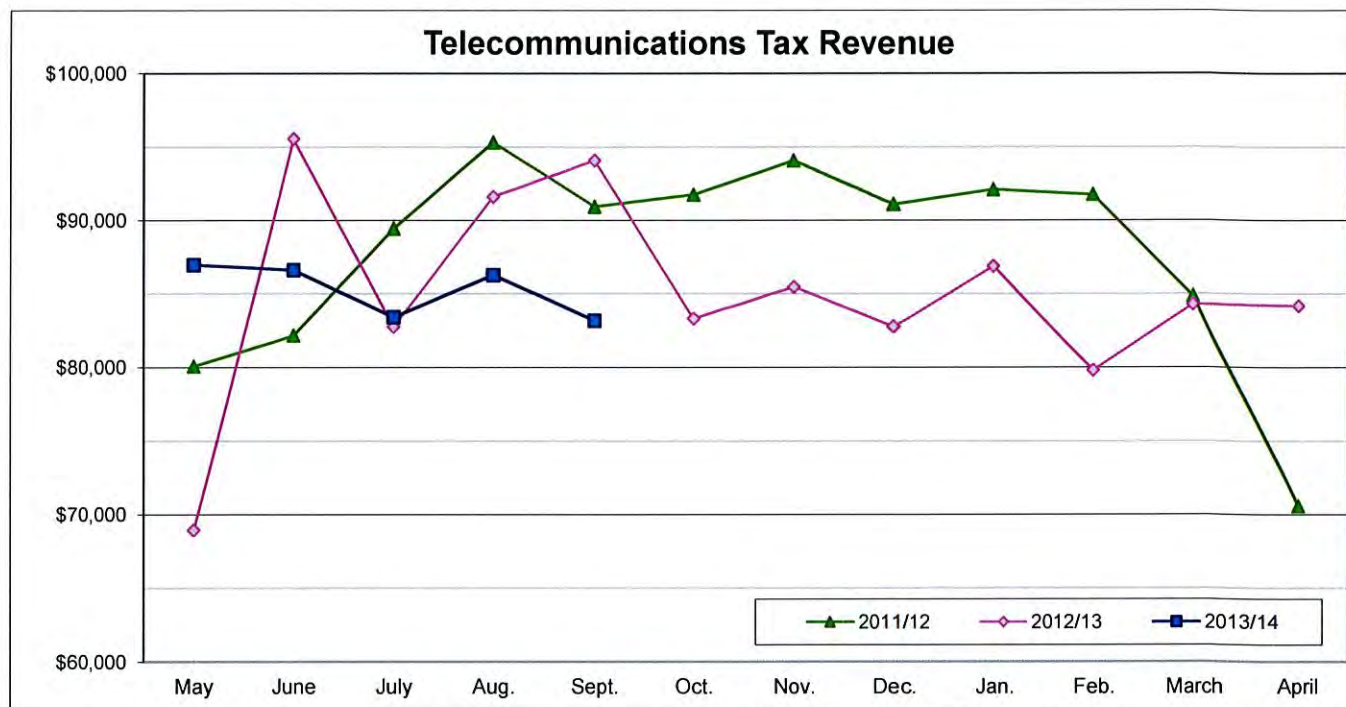
	Current Month			Year-to-Date			Annual	% of
	Budget	Actual	%	Budget	Actual	%	Budget	Annual
			Variance			Variance		Budget
								Expended
GENERAL FUND								
Legislative								
Village President and Trustees	3,650	5,972	63.6%	28,873	30,431	5.4%	255,131	11.9%
Clerk's Office	631	431	(31.7%)	2,979	2,154	(27.7%)	9,078	23.7%
Board and Commissions	400	-	(100.0%)	2,208	1,089	(50.7%)	5,306	20.5%
Total	4,681	6,403	36.8%	34,060	33,674	(1.1%)	269,515	12.5%
Administration								
Village Administration	40,880	41,124	0.6%	204,364	180,420	(11.7%)	511,584	35.3%
Human Resources	14,787	7,777	(47.4%)	62,569	47,202	(24.6%)	170,966	27.6%
Total	55,667	48,901	(12.2%)	266,933	227,622	(14.7%)	682,550	33.3%
Finance Department								
Financial Administration	35,949	36,530	1.6%	115,515	109,843	(4.9%)	241,733	45.4%
Accounting Services	20,123	20,398	1.4%	102,983	93,962	(8.8%)	250,548	37.5%
Total	56,072	56,928	1.5%	218,498	203,805	(6.7%)	492,281	41.4%
Technology	35,682	24,798	(30.5%)	225,285	196,998	(12.6%)	540,310	36.5%
Police Department								
Police Administration	141,716	136,816	(3.5%)	572,183	511,482	(10.6%)	1,194,532	42.8%
Operations	662,992	661,877	(0.2%)	2,357,887	2,286,273	(3.0%)	4,501,305	50.8%
Communications	101,377	110,689	9.2%	540,384	533,134	(1.3%)	1,286,734	41.4%
Crime Prevention	101,316	89,794	(11.4%)	341,423	289,900	(15.1%)	649,722	44.6%
Intergovernmental	77,325	78,127	1.0%	269,208	254,673	(5.4%)	514,385	49.5%
Total	1,084,726	1,077,303	(0.7%)	4,081,085	3,875,462	(5.0%)	8,146,678	47.6%
Fire Department								
Fire Administration	129,221	124,794	(3.4%)	616,416	585,264	(5.1%)	1,377,243	42.5%
Emergency Management	5,742	3,770	(34.3%)	35,103	27,851	(20.7%)	64,899	42.9%
Fire Suppression	581,262	565,009	(2.8%)	2,015,771	1,953,559	(3.1%)	3,899,335	50.1%
Emergency Medical Services	667,178	644,609	(3.4%)	2,258,574	2,155,127	(4.6%)	4,374,153	49.3%
Special Rescue	44,516	39,494	(11.3%)	172,043	146,500	(14.8%)	331,386	44.2%
Fire Prevention	26,187	24,584	(6.1%)	141,257	115,671	(18.1%)	339,686	34.1%
Total	1,454,106	1,402,260	(3.6%)	5,239,164	4,983,972	(4.9%)	10,386,702	48.0%
Community Services								
Building and Zoning Division								
B&Z Administration	38,432	40,328	4.9%	198,322	174,097	(12.2%)	489,156	35.6%
Inspections	26,704	27,455	2.8%	138,853	134,173	(3.4%)	341,784	39.3%
Economic Development	5,438	919	(83.1%)	159,269	123,349	(22.6%)	501,882	24.6%
Sub-Total	70,574	68,702	(2.7%)	496,444	431,619	(13.1%)	1,332,822	32.4%
Public Works								
PW Administration	25,051	25,468	1.7%	173,025	145,809	(15.7%)	356,689	40.9%
Forestry	34,952	15,452	(55.8%)	97,549	71,290	(26.9%)	202,782	35.2%
Park Maintenance	76,696	34,294	(55.3%)	256,053	181,739	(29.0%)	513,989	35.4%
Municipal Property Maint	38,366	15,237	(60.3%)	123,231	79,154	(35.8%)	263,660	30.0%
Right-of-Way Maint	47,954	28,110	(41.4%)	189,819	153,836	(19.0%)	393,069	39.1%
Snow & Ice Control	49,741	9,385	(81.1%)	91,358	49,260	(46.1%)	233,922	21.1%
Street & Traffic Lighting	6,447	5,876	(8.9%)	33,939	30,173	(11.1%)	87,287	34.6%
Storm Water Control	34,580	13,310	(61.5%)	108,184	86,452	(20.1%)	218,493	39.6%
Engineering	11,554	40,660	251.9%	49,188	79,735	62.1%	131,587	60.6%
Vehicle Maintenance	61,538	46,363	(24.7%)	285,093	225,623	(20.9%)	729,040	30.9%
Sub-Total	386,879	234,155	(39.5%)	1,407,439	1,103,071	(21.6%)	3,130,518	35.2%
Total	457,453	302,857	(33.8%)	1,903,883	1,534,690	(19.4%)	4,463,340	34.4%

Benchmark: **41.7%**

VILLAGE OF LAKE ZURICH
OPERATING REPORT SUMMARY
EXPENDITURES
September 30, 2013

	Current Month			Year-to-Date			Annual Budget	% of Annual Budget Expended
	Budget	Actual	% Variance	Budget	Actual	% Variance		
Park & Recreation Department								
P&R Administration	23,583	22,050	(6.5%)	126,502	132,177	4.5%	303,542	43.5%
Special Recreation	5,000	-	(100.0%)	113,000	105,534	(6.6%)	287,464	36.7%
Dance Program	5,713	2,112	(63.0%)	24,099	11,718	(51.4%)	65,656	17.8%
Preschool Program	12,658	10,960	(13.4%)	38,974	24,230	(37.8%)	135,221	17.9%
Youth Program	-	240	0.0%	283	405	43.1%	1,350	30.0%
Camp Program	12,926	2,594	(79.9%)	96,851	75,499	(22.0%)	98,162	76.9%
Athletics Program	11,000	1,919	(82.6%)	44,705	26,032	(41.8%)	44,705	58.2%
Aquatics Program	10,992	1,885	(82.9%)	59,466	47,153	(20.7%)	60,513	77.9%
Special Interest/Events	2,650	8,558	222.9%	5,250	16,766	219.4%	15,740	106.5%
Fitness Program	621	433	(30.3%)	3,206	1,968	(38.6%)	7,653	25.7%
Miscellaneous	75	-	(100.0%)	375	-	(100.0%)	900	0.0%
Total	85,218	50,751	(40.4%)	512,711	441,482	(13.9%)	1,020,906	43.2%
Total General Fund	3,233,605	2,970,201	(8.1%)	12,481,619	11,497,705	(7.9%)	26,002,282	44.2%
							Benchmark:	41.7%
SPECIAL REVENUE FUNDS								
Motor Fuel Tax Fund	22,888	24,805	8.4%	99,289	65,292	(34.2%)	459,500	14.2%
Hotel Tax Fund	3,042	605	(80.1%)	59,808	43,676	(27.0%)	82,605	52.9%
TIF Tax Allocation Fund	7,339	1,439	(80.4%)	66,385	17,361	(73.8%)	1,685,905	1.0%
Total Special Revenue Funds	33,269	26,849	(19.3%)	225,482	126,329	(44.0%)	2,228,010	5.7%
DEBT SERVICE FUNDS								
General Debt Service Fund	541,028	541,028	0.0%	622,003	609,743	(2.0%)	1,075,528	56.7%
TIF Debt Service Fund	-	-	0.0%	591,019	595,417	0.7%	2,422,665	24.6%
Total Debt Service Funds	541,028	541,028	0.0%	1,213,022	1,205,160	(0.6%)	3,498,193	34.5%
CAPITAL PROJECT FUNDS								
Capital Project Fund	301,666	270,362	(10.4%)	398,500	348,328	(12.6%)	1,836,673	19.0%
Park Improvement Fund	3,200	3,067	(4.2%)	14,700	12,252	(16.7%)	385,000	3.2%
Non-Home Rule Capital Projects	29,016	23,063	(20.5%)	58,429	45,687	(21.8%)	2,215,500	2.1%
TIF Redevelopment Fund	135,000	129,365	(4.2%)	135,000	129,365	(4.2%)	415,000	31.2%
Total Capital Projects Funds	468,882	425,857	(9.2%)	606,629	535,632	(11.7%)	4,852,173	11.0%
ENTERPRISE FUNDS								
Waterworks and Sewerage Fund	322,148	321,833	(0.1%)	1,565,217	1,287,066	(17.8%)	5,977,185	21.5%
Total Enterprise Funds	322,148	321,833	(0.1%)	1,565,217	1,287,066	(17.8%)	5,977,185	21.5%
INTERNAL SERVICE FUNDS								
Medical Self Insurance Fund	206,354	198,822	(3.7%)	1,031,770	1,180,342	14.4%	2,476,243	47.7%
Vehicle Maintenance Fund	-	-	0.0%	-	-	0.0%	100,000	0.0%
Risk Management Fund	87,746	68,599	(21.8%)	438,730	423,972	(3.4%)	1,052,947	40.3%
Total Internal Service Funds	294,100	267,421	(9.1%)	1,470,500	1,604,314	9.1%	3,629,190	44.2%
TRUST AND AGENCY FUNDS								
Special Service Area No. 8 Fund	-	-	0.0%	-	-	0.0%	-	N/A
Special Service Area No. 9 Fund	-	-	0.0%	-	-	0.0%	-	N/A
Special Service Area No. 11 Fund	-	161	0.0%	-	545	0.0%	-	N/A
Special Service Area No. 13 Fund	-	-	0.0%	-	-	0.0%	-	N/A
Special Service Area No. 15 Fund	-	-	0.0%	-	-	0.0%	-	N/A
Special Service Area No. 16 Fund	-	-	0.0%	-	-	0.0%	-	N/A
Total Trust and Agency Fund	-	161	0.0%	-	545	0.0%	-	N/A
							Benchmark:	41.7%

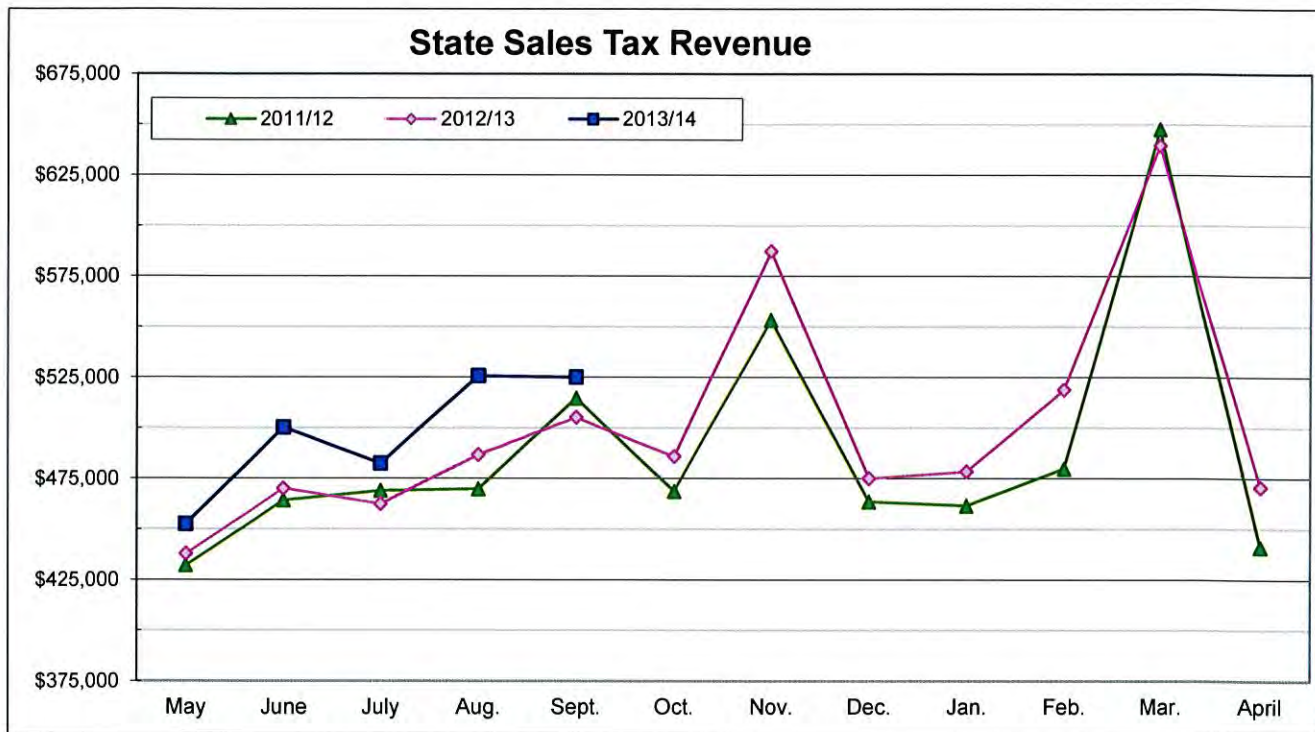
DEPARTMENT OF FINANCE
MONTHLY REPORT
SEPTEMBER 2013



Telecommunications Tax Collection History (Cash Basis)

Voucher Month	FY 2011/12	FY 2012/13	% Change	FY 2013/14	% Change	2013/14 Budget	2013/14 Budget Variance	2013/14 Budget Variance %
May	80,089	68,957	-13.90%	86,963	26.11%	65,509	21,454	32.75%
June	82,168	95,543	16.28%	86,615	-9.34%	90,766	(4,152)	-4.57%
July	89,450	82,755	-7.48%	83,420	0.80%	78,617	4,804	6.11%
Aug.	95,301	91,626	-3.86%	86,290	-5.82%	87,045	(755)	-0.87%
Sept.	90,925	94,091	3.48%	83,173	-11.60%	89,386	(6,213)	-6.95%
Oct.	91,746	83,321	-9.18%			79,155		
Nov.	94,072	85,490	-9.12%			81,216		
Dec.	91,090	82,776	-9.13%			78,638		
Jan.	92,108	86,889	-5.67%			82,545		
Feb.	91,755	79,808	-13.02%			75,818		
March	84,869	84,297	-0.67%			75,788		
April	70,587	84,182	19.26%			63,034		
CASH BASIS TOTAL	1,054,158	1,019,735	-3.27%	426,461		947,516	15,138	1.60%
Y-T-D	437,933	432,972	-1.13%	426,461	-1.50%	411,323	15,138	3.68%

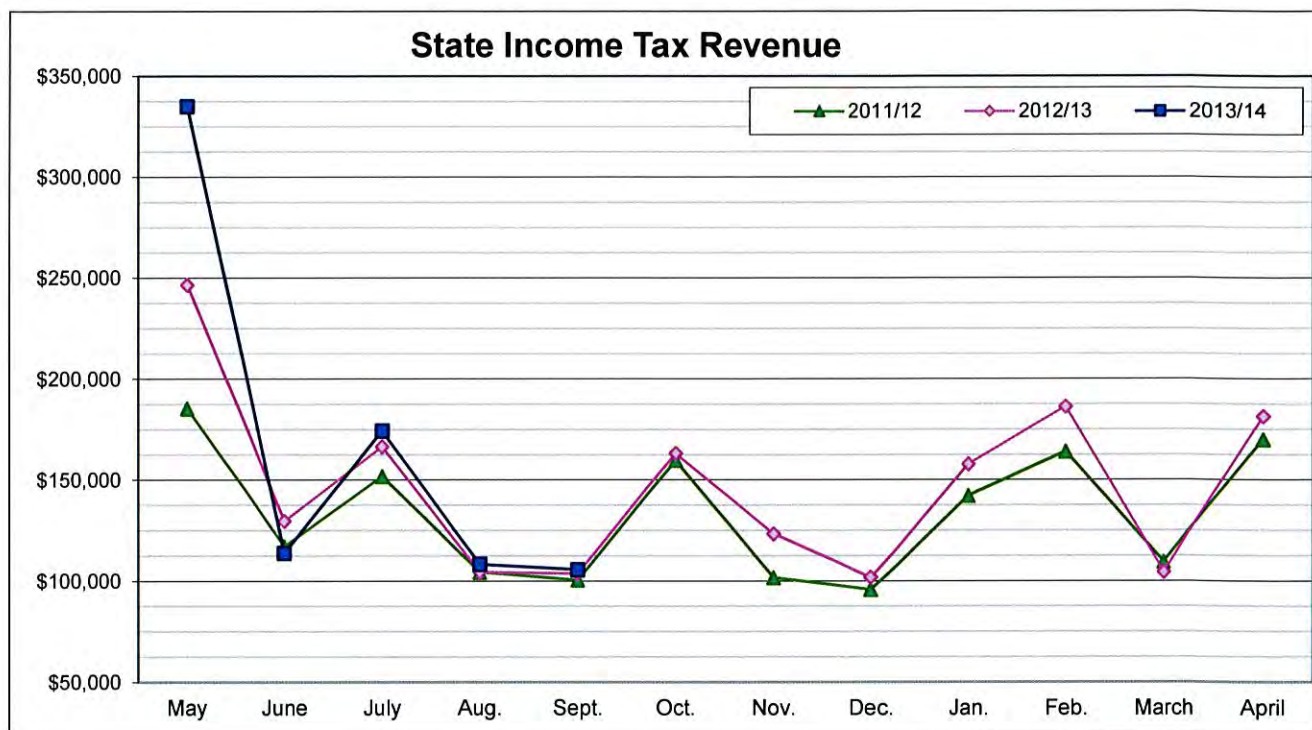
DEPARTMENT OF FINANCE
MONTHLY REPORT
SEPTEMBER 2013



State Sales Tax Collection History (Cash Basis)

Sales Month	Month Received	FY 2011/12	FY 2012/13	% Change	FY 2013/14	% Change	2013/14 Budget	2013/14 Budget Variance	2013/14 Budget Variance %
Feb.	May	432,121	437,868	1.33%	452,619	3.37%	447,501	5,118	1.14%
Mar.	June	464,280	470,153	1.26%	500,249	6.40%	480,496	19,753	4.11%
April	July	468,983	462,414	-1.40%	482,361	4.31%	472,587	9,774	2.07%
May	Aug.	469,801	486,669	3.59%	525,676	8.02%	497,375	28,301	5.69%
June	Sept.	514,757	505,261	-1.84%	525,059	3.92%	516,882	8,177	1.58%
July	Oct.	468,714	485,876	3.66%			497,051		
Aug.	Nov.	553,374	587,280	6.13%			597,719		
Sept.	Dec.	463,576	475,088	2.48%			486,490		
Oct.	Jan.	461,609	478,416	3.64%			489,898		
Nov.	Feb.	479,880	518,846	8.12%			531,299		
Dec.	Mar.	647,604	639,668	-1.23%			655,660		
Jan.	April	441,146	470,763	6.71%			501,451		
TOTAL		5,865,845	6,018,303	2.60%			6,174,409	71,123	1.15%
Y-T-D		2,349,942	2,362,365	0.53%	2,485,964	5.23%	2,414,841	71,123	2.95%

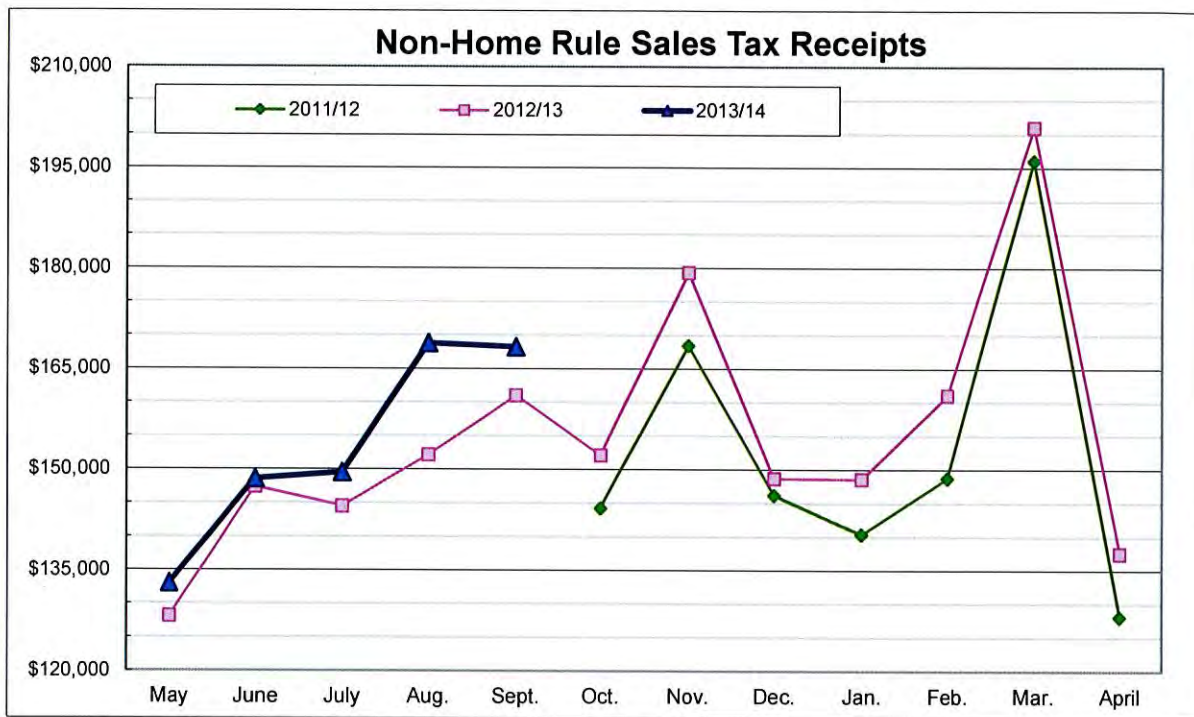
DEPARTMENT OF FINANCE
MONTHLY REPORT
SEPTEMBER 2013



State Income Tax Collection History

Voucher Month	FY 2011/12	FY 2012/13	% Change	FY 2013/14	% Change	2013/14 Budget	2013/14 Budget Variance	Budget Variance %
May	185,326	246,668	33.10%	334,947	35.79%	251,601	83,346	33.13%
June	117,185	129,687	10.67%	113,795	-12.25%	132,281	(18,486)	-13.97%
July	151,807	166,537	9.70%	174,284	4.65%	169,868	4,416	2.60%
Aug.	104,439	104,504	0.06%	108,283	3.62%	106,594	1,689	1.58%
Sept.	100,526	103,659	3.12%	105,638	1.91%	105,732	(94)	-0.09%
Oct.	159,665	163,219	2.23%			166,483		
Nov.	101,684	123,329	21.29%			125,796		
Dec.	95,740	101,779	6.31%			103,815		
Jan.	142,244	157,769	10.91%			160,924		
Feb.	164,000	186,239	13.56%			189,963		
March	109,414	104,430	-4.56%			116,066		
April	169,885	181,336	6.74%			180,215		
TOTAL	1,601,915	1,769,156	10.44%	836,948		1,809,338	70,872	
Y-T-D	659,283	751,055	13.92%	836,948	11.44%	766,076	70,872	9.25%

DEPARTMENT OF FINANCE
MONTHLY REPORT
SEPTEMBER 2013



Non-Home Rule Sales Tax Collection History (Accrual Basis)

Sales Month	Month Received	FY 2011/12	FY 2012/13	% Change	FY 2013/14	% Change	2013/14 Budget	2013/14 Budget Variance	Budget Variance %
Feb.	May		128,146	N/A	133,042	3.8%	130,709	2,333	1.8%
March	June		147,404	N/A	148,568	0.8%	150,352	(1,784)	-1.2%
April	July		144,514	N/A	149,534	3.5%	147,404	2,130	1.4%
May	Aug.		152,154	N/A	168,804	10.9%	155,197	13,607	8.8%
June	Sept.		161,028	N/A	168,234	4.5%	164,249	3,985	2.4%
July	Oct.	144,230	152,110	5.5%			155,152		
Aug.	Nov.	168,438	179,311	6.5%			182,897		
Sept.	Dec.	146,212	148,685	1.7%			151,659		
Oct.	Jan.	140,374	148,581	5.8%			151,553		
Nov.	Feb.	148,742	161,063	8.3%			164,284		
Dec.	Mar.	195,983	201,005	2.6%			205,025		
Jan.	April	128,087	137,515	7.4%			147,632		
TOTAL		1,072,065	1,861,515	73.6%	768,183		1,906,113		
Y-T-D		-	733,246	N/A	768,183	4.8%	747,911	20,272	2.7%

*The Non-Home Rule Sales Tax was enacted with a July 1, 2011 effective date.

Description	Purchase Date	Maturity Date	Coupon Rate	CUSIP / Account	Days to Maturity	Par Value	Purchase Price	(Premium) / Discount	Market Value	Accrued Interest	Total Value	Unrealized Gain (Loss)
MONEY MARKET & CASH												
CASH - INLAND	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	3,855,042.39	-	3,855,042.39	N/A
MONEY MARKET - IL FUNDS	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	12,950,477.00	-	12,950,477.00	N/A
IMET CONVENIENCE FUND	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	955,851.12	-	955,851.12	N/A
1 CASH - TD AMERITRADE	N/A	N/A	0.000%	920028468	N/A	N/A	N/A	N/A	-	-	-	N/A
1 IDA12	N/A	N/A	0.000%	920028468	N/A	N/A	N/A	N/A	7,707.43	-	7,707.43	N/A
CERTIFICATE OF DEPOSIT												
2 Ally Bank Midvale	05/01/13	05/01/15	0.600%	02005QW82	578	200,000.00	200,000.00	-	199,302.00	499.73	199,801.73	(698.00)
2 American Express Bank	04/09/13	12/10/13	4.800%	02586TBY9	71	41,000.00	42,250.33	(1,250.33)	41,327.59	603.88	41,931.47	(922.74)
2 American Express Bank	04/16/13	12/09/13	1.000%	02587DAW8	70	148,000.00	148,623.28	(623.28)	148,195.36	458.19	148,653.55	(427.92)
2 Bank Baroda New York	08/20/13	02/24/14	0.300%	0606242X0	147	235,000.00	235,000.00	-	234,800.25	73.35	234,873.60	(199.75)
2 BMW Bank	05/20/13	12/10/15	2.000%	05568PA98	801	65,000.00	67,141.75	(2,141.75)	66,590.55	398.90	66,989.45	(551.20)
2 Capital One Bank	04/10/13	11/26/13	5.000%	140420LF5	57	39,000.00	40,147.56	(1,147.56)	39,262.86	678.49	39,941.35	(884.70)
2 Discover Bank	10/01/12	10/10/14	0.800%	254671GV6	375	75,000.00	75,000.00	-	75,193.50	284.38	75,477.88	193.50
2 GE Capital	07/18/12	07/21/14	1.000%	36157QHT7	294	125,000.00	125,000.00	-	125,377.50	246.58	125,624.08	377.50
2 GE Money Bank	05/21/13	03/16/16	1.200%	36161NWG9	898	7,000.00	7,071.89	(71.89)	7,011.20	3.22	7,014.42	(60.69)
2 Goldman Sachs	05/01/13	07/25/14	1.000%	38147JEA6	298	75,000.00	75,000.00	-	74,687.25	171.78	74,859.03	(312.75)
2 Goldman Sachs	07/20/12	07/25/14	1.000%	38143AXD2	298	120,000.00	120,000.00	-	120,364.80	220.27	120,585.07	364.80
2 Sallie Mae Bank Murray Utah	10/01/12	10/10/14	0.850%	795450PS8	375	150,000.00	150,000.00	-	150,387.00	604.32	150,991.32	387.00
2 Sallie Mae Bank Murray Utah	08/21/12	08/29/14	0.900%	795450PB5	333	100,000.00	100,000.00	-	100,210.00	81.37	100,291.37	210.00
2 The Edgar County B&T	01/01/13	04/01/15	0.900%	80101	548	237,500.00	237,500.00	-	237,500.00	2,143.25	239,643.25	-
2 Wex Bank Midvale Utah	04/10/13	10/17/13	0.200%	92937CAR9	17	217,000.00	217,000.00	-	216,973.96	197.37	217,171.33	(26.04)
AGENCY												
3 FNMA	12/03/12	11/27/17	0.900%	3136G07M7	1,519	145,000.00	145,000.35	(0.35)	142,245.00	445.88	142,690.88	(2,755.35)
3 FNMA	07/17/12	07/30/18	1.300%	3136G0TD3	1,764	250,000.00	249,850.00	150.00	245,037.50	552.05	245,589.55	(4,812.50)
3 FNMA	04/25/12	04/25/14	1.300%	3136G0CE9	207	290,000.00	290,000.00	-	289,605.60	1,631.95	291,237.55	(394.40)
3 Fed Farm Credit Bank	11/30/12	08/21/17	0.800%	3133EC3G7	1,421	150,000.00	150,000.00	-	147,180.00	130.00	147,310.00	(2,820.00)
3 Fed Farm Credit Bank	11/20/12	11/20/17	0.850%	3133EC2Y9	1,512	155,000.00	155,155.00	(155.00)	152,344.85	475.76	152,820.61	(2,810.15)
3 FHLB Note	04/04/11	04/29/14	1.350%	313373AS1	211	460,000.00	458,776.40	1,223.60	463,298.20	2,620.11	465,918.31	4,521.80
3 FHLB Bond	11/20/12	11/13/17	0.875%	3133813C7	1,505	275,000.00	274,818.75	181.25	270,011.50	915.71	270,927.21	(4,807.25)
3 FHLB Bond	10/31/12	11/15/17	0.980%	3133817D1	1,507	440,000.00	439,915.00	85.00	433,950.00	1,617.00	435,567.00	(5,965.00)
3 FHLB Bond	10/03/11	09/09/16	2.000%	313370TW8	1,075	305,000.00	315,464.55	(10,464.55)	316,306.35	355.83	316,662.18	841.80
3 FNMA	10/24/12	10/25/17	0.900%	3133G0QQ9	1,486	310,000.00	309,792.50	207.50	304,745.50	1,201.25	305,946.75	(5,047.00)
3 FNMA	10/31/12	09/27/17	1.070%	3135G0PD9	1,458	315,000.00	315,812.50	(812.50)	311,594.85	28.09	311,622.94	(4,217.65)
FIXED INCOME - OTHER												
4 St. Chaires Park Dist Bonds	04/22/13	12/15/16	3.750%	787760HZ3	1,172	100,000.00	110,565.00	(10,565.00)	106,485.00	1,093.75	107,578.75	(4,080.00)
TOTAL						5,029,500.00	5,054,884.86	(25,384.86)	22,789,066.11	17,732.46	22,806,798.57	(34,896.69)



PARKS & RECREATION DEPARTMENT

MONTHLY INFORMATION REPORT

OCTOBER 2013

HIGHLIGHTING DATA METRICS
TO IDENTIFY OPERATIONAL TRENDS
AND
FACILITATE INFORMED DECISION MAKING

70 E. MAIN STREET
LAKE ZURICH, IL 60047

Departmental Narrative

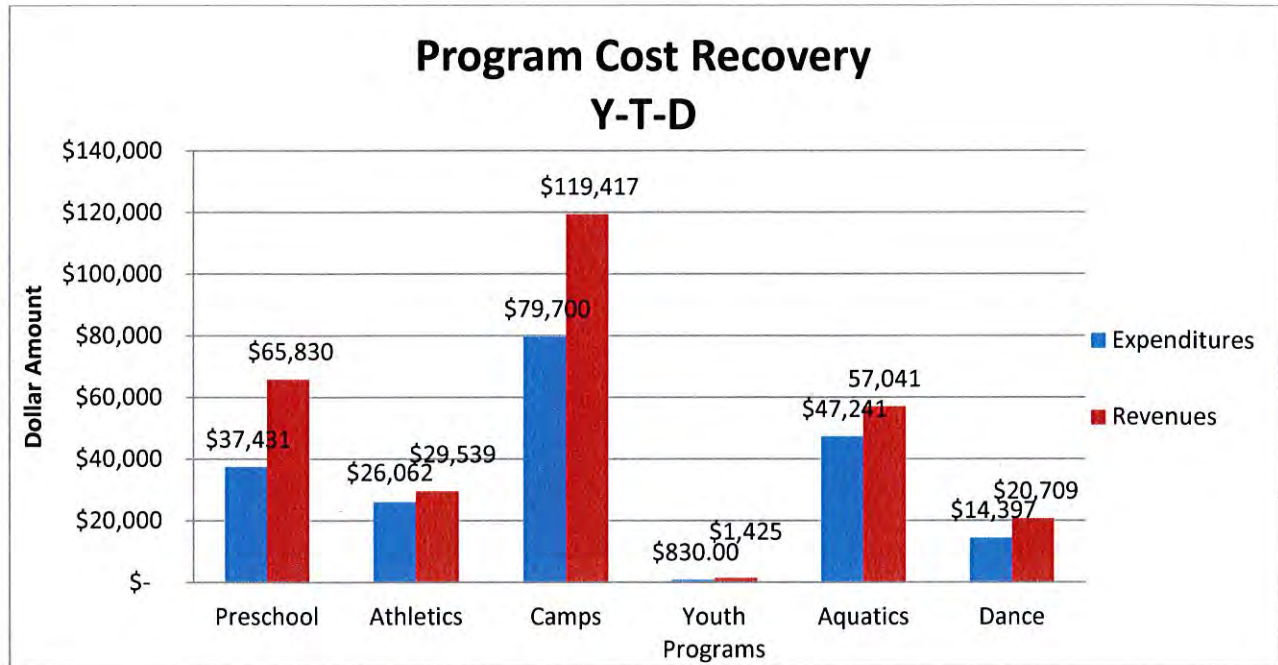
The Parks and Recreation Department addressed an important need in the Village of providing more community events. According to the 2011 Community Wide Survey, 47% of households have a need for special events. This was the leading category of all programming desires. The Farmers Market and Rock the Block were events with great successes in their first year.

The Lake Zurich Area Farmers Market kicked off at Paulus Park on Friday, June 13 with a ribbon cutting ceremony and hundreds of visitors. Every Friday through September 27 found hundreds of Market goers stopping by to find their favorite vegetables, foods and desserts. There were 26 vendors, a community organization and community garden representatives attending on a weekly basis. All of the vendors were very pleased with the traffic and have made it known that they would like to attend again next year. At the end of the season, staff met with key organizers to discuss ways to expand next year's Market. There will be more vendors, entertainment and a booth that will provide home improvement education.

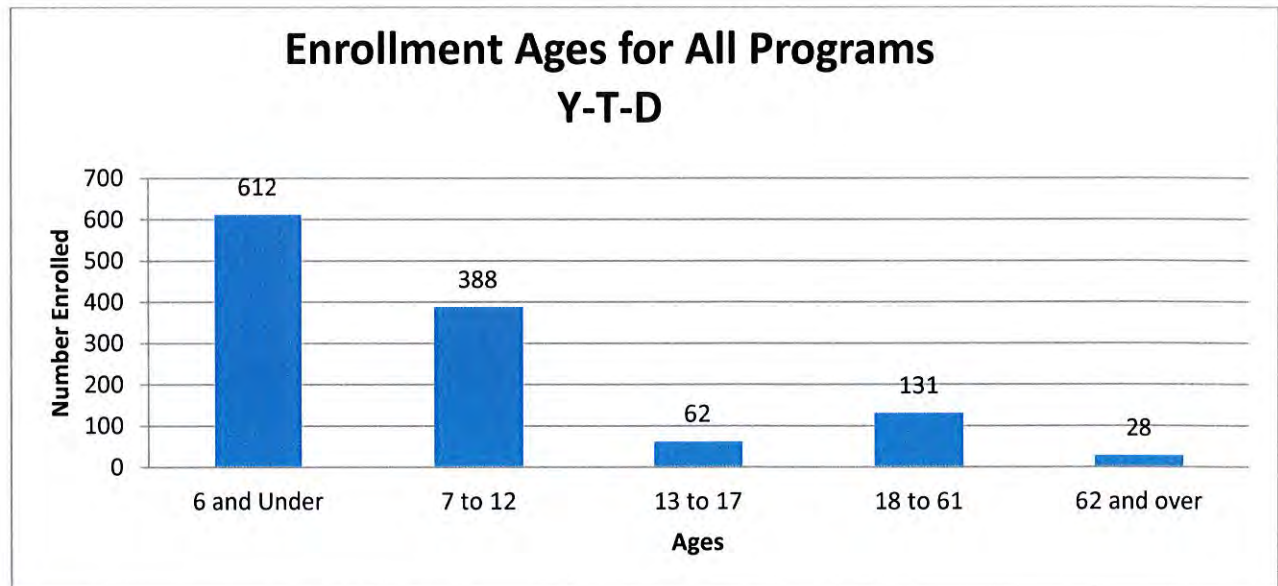
The Rock the Block event greatly exceeded all organizers' expectations. A recap meeting was held with discussions centered on how to improve upon the success of the inaugural event. More food and drink options, better logistics, enhanced safety measures were just a few of the topics covered. The planning for next year will begin with a November 13 meeting to define volunteer roles and band entertainment selection.

The community garden project endorsed by the Park Advisory Board in June has gained momentum with the Rotary Club's willingness to serve as a non-profit sponsor. Staff was experiencing some pushback from potential sponsors as the Village does not have a 501 (C) (3) status. There is an estimated \$6,000 in startup costs to be obtained before the planned Spring 2014 opening takes place in Lions Park.

Staff has been working on the winter/spring brochure for programs to begin in early January. New programs will be unveiled in 2014 based on the community wide survey results. Some of these include swim lessons, basketball leagues/instruction, sprayground parties and rentals, adult programming (cake decorating, plastic needlepoint and crocheting) and computer game design.

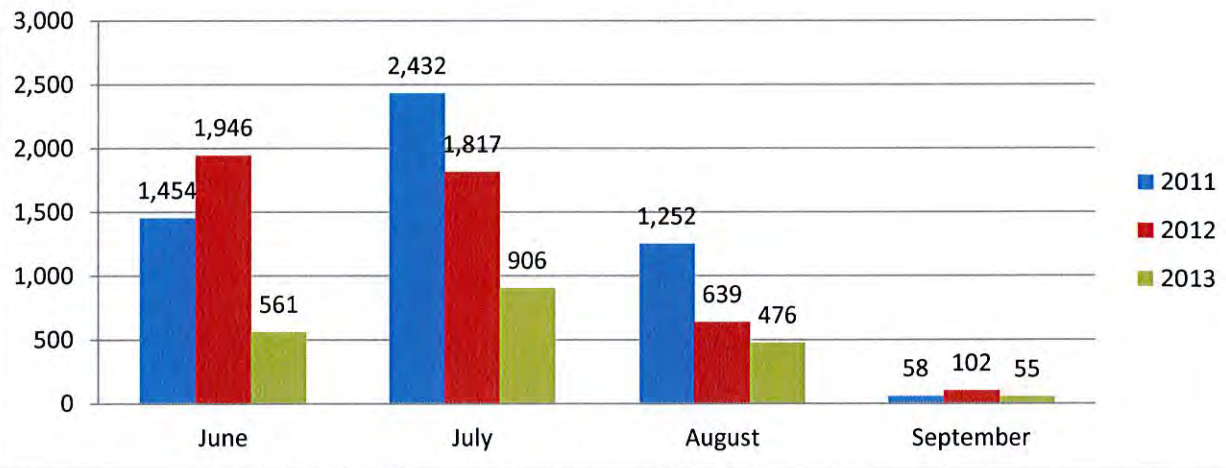


As a service provider, the balance of managing guest service with cost recovery is essential. Staff's approach determines which programs have the best impact and which may need to be reduced or eliminated to ensure investments are made in the services that provide the greatest value to the overall community. One tool used to evaluate the success of recreation programs operations is cost recovery. As this is directly tied into the budget process and decisions on increasing, decreasing or eliminating operations are associated with this measurement, staff is diligent in assessing trends and adjusting offerings.



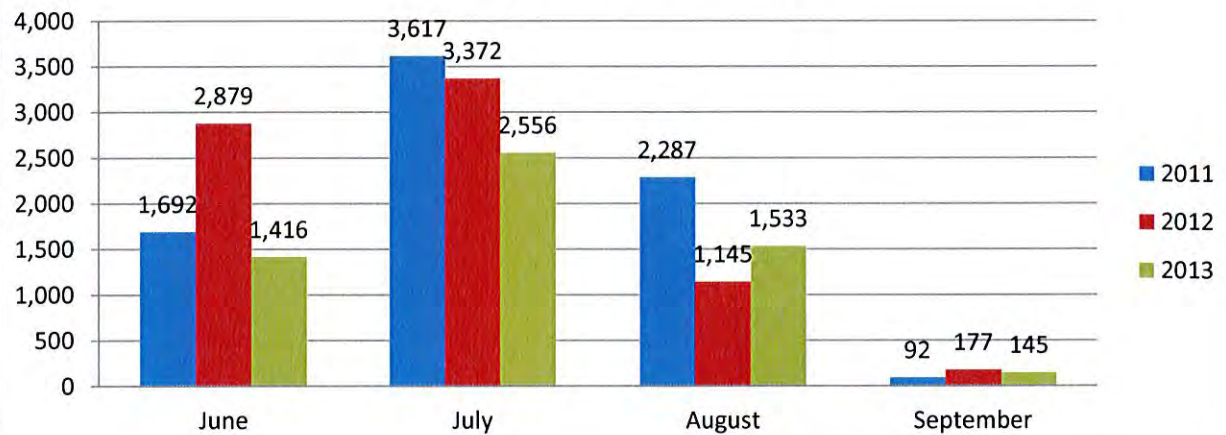
The program enrollment trends are indicative above as the majority of the Village's programs are for individuals 12 years and under. Staff is evaluating programs and looking to add more adult programming.

Paulus Park Beach/Sprayground Membership Attendance

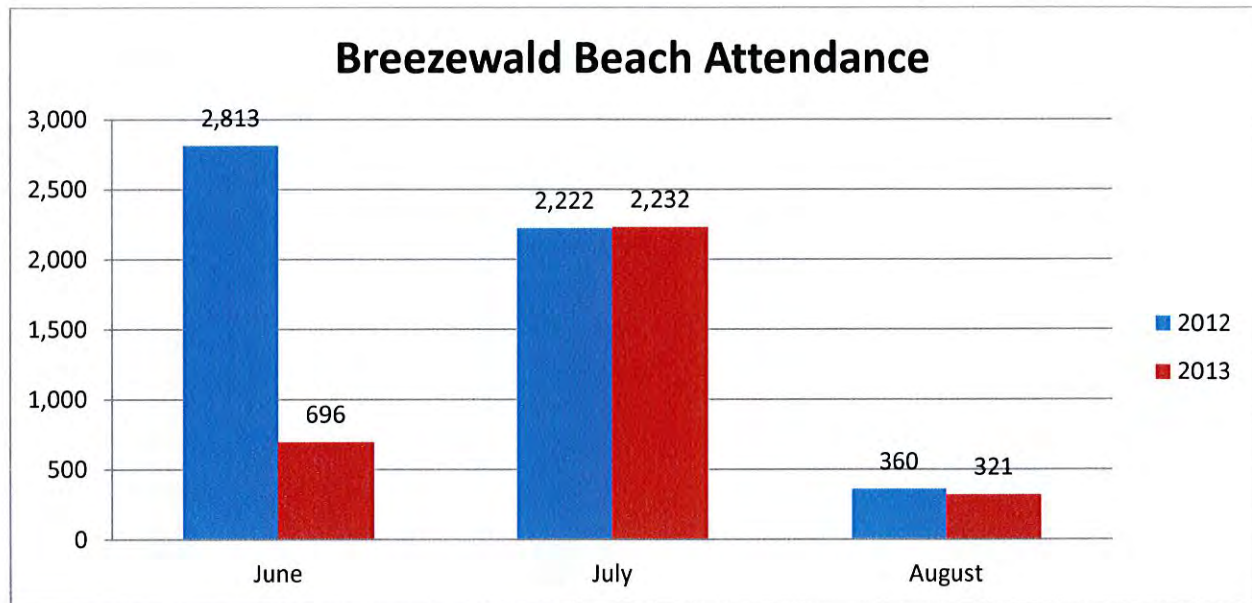


Weather is a driving factor with aquatic facility attendance. The start of the 2013 season was one of the coldest and wettest in history and as a result, attendance was substantially lower than the prior two years. Staff was able to keep personnel expenditures lower than in the past due to the many closed days the beach/sprayground experienced early in the summer.

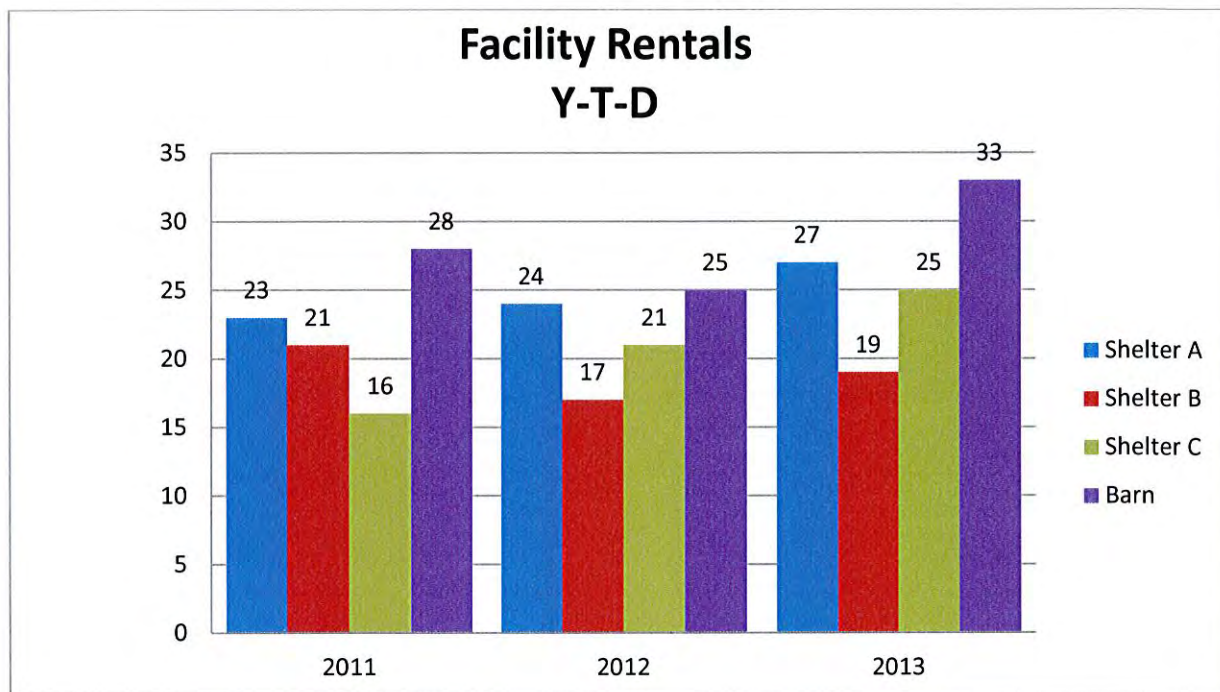
Paulus Park Beach/Sprayground Daily Fee Attendance



Weather is a driving factor with aquatic facility attendance. The start of the 2013 season was one of the coldest and wettest in history and as a result, attendance was substantially lower than the prior two years. Staff was able to keep personnel expenditures lower than in the past due to the many closed days the beach/sprayground experienced early in the summer.

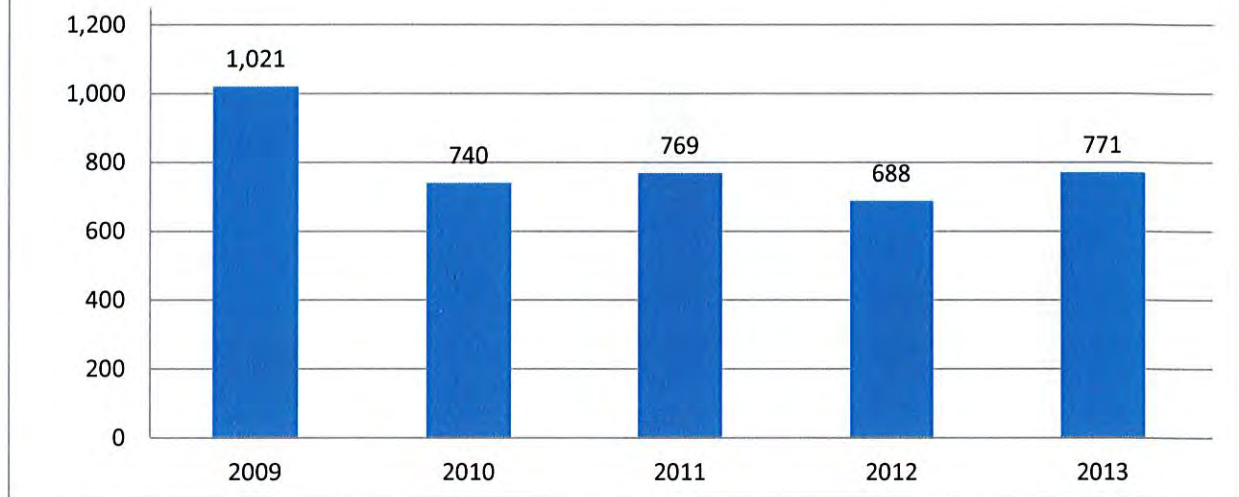


Monitoring attendance at Breezewald beach began in 2012.



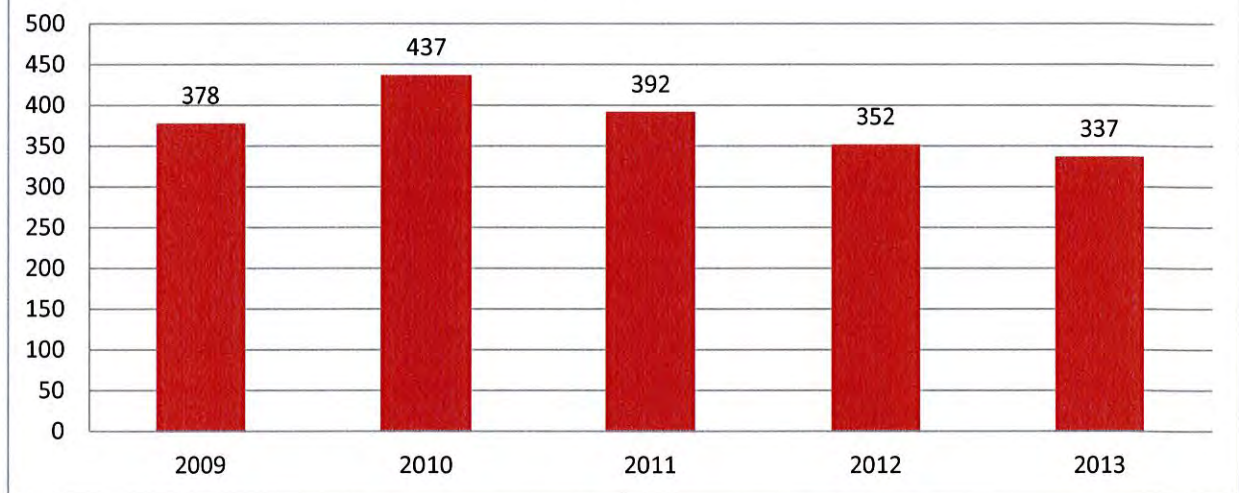
The Barn is seldom used for rentals during the summer months and increases during the fall and winter months. However, the shelters are utilized heavily during the spring and summer and have no usage during the fall and winter.

Athletics Enrollment Y-T-D

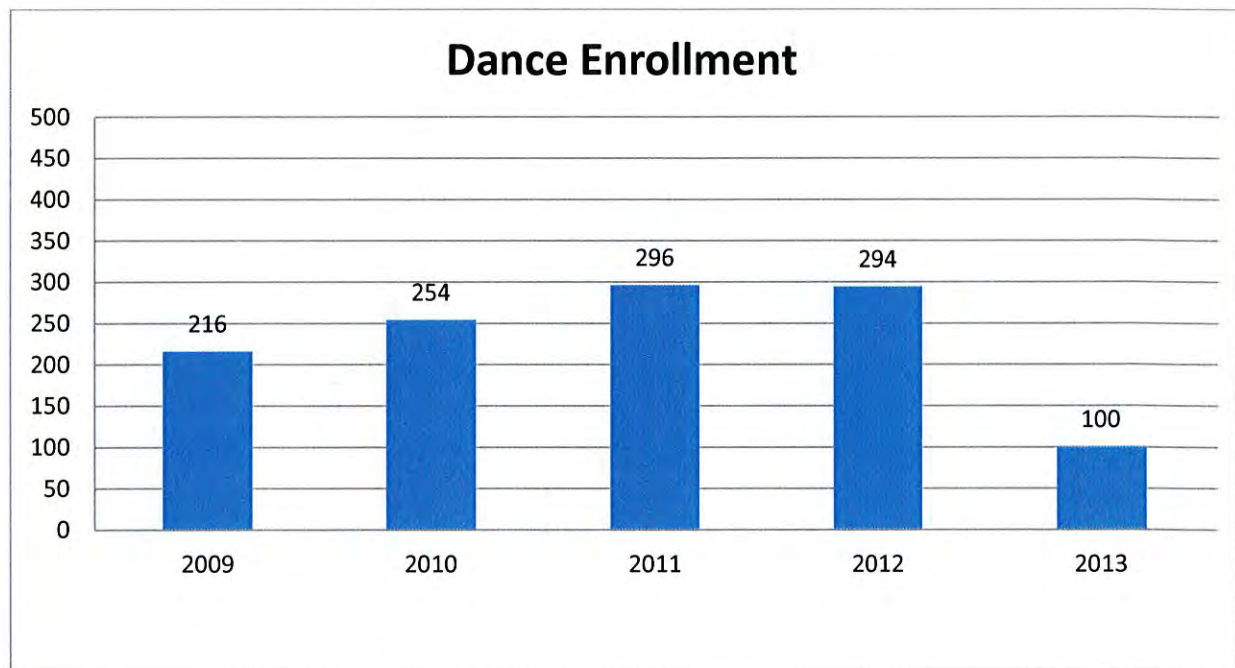


Athletic programs include tennis, karate, tae kwon do, golf, ice skating, soccer and tee ball. Indoor tennis has been added in 2013 and held at Sarah Adams Elementary School.

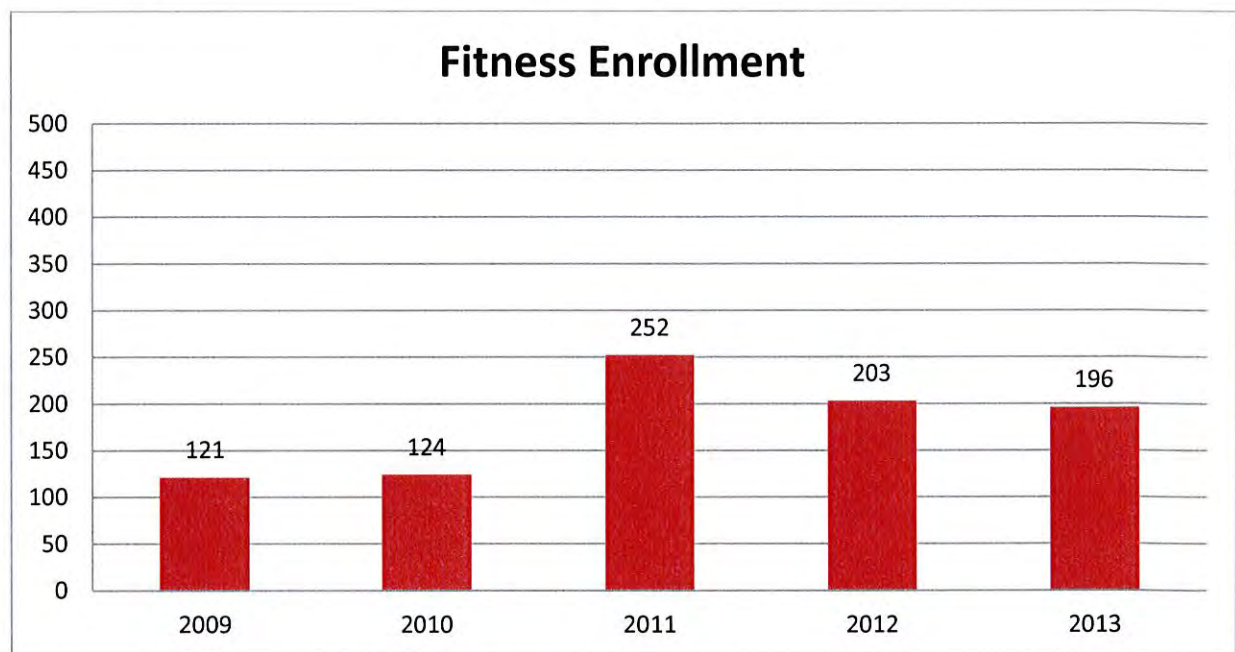
Camp Enrollment Y-T-D



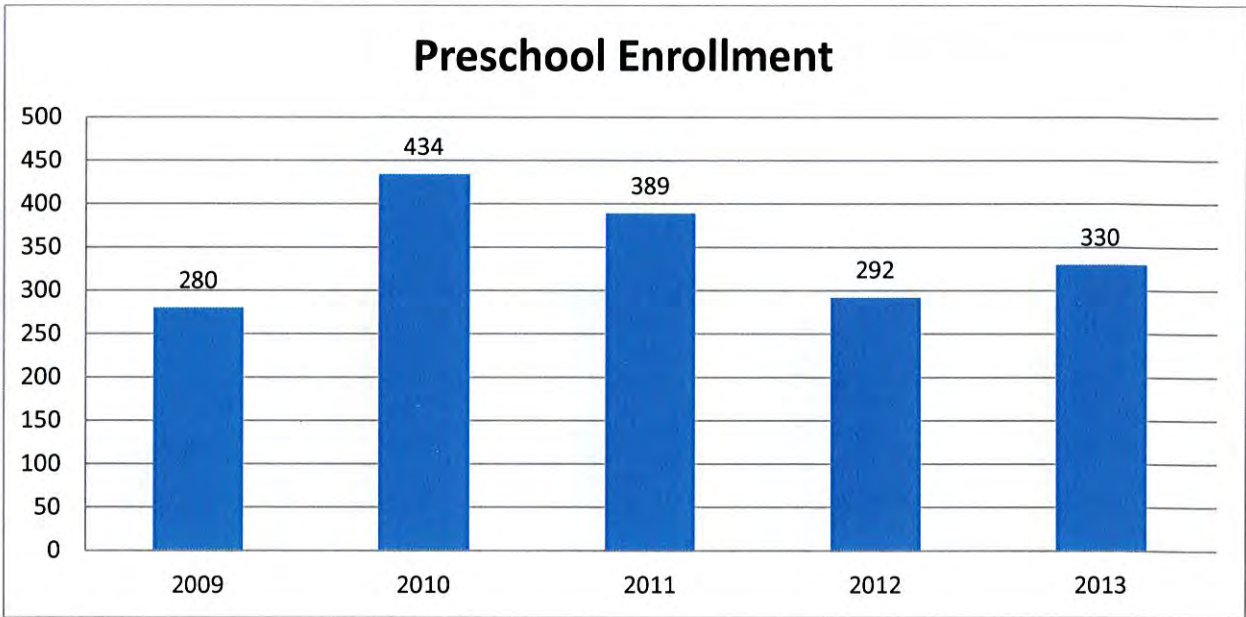
Camp programs include Kiddie camp, Pee Wee camp, Camp Alpine, Teen camp, Extreme All Sports Camp, Soccer Camp and Basketball Camp. Although Camp Alpine continued its trend of filling up, the Teen camp dropped in enrollment from the past several years. Staff is evaluating and looking to change the format.



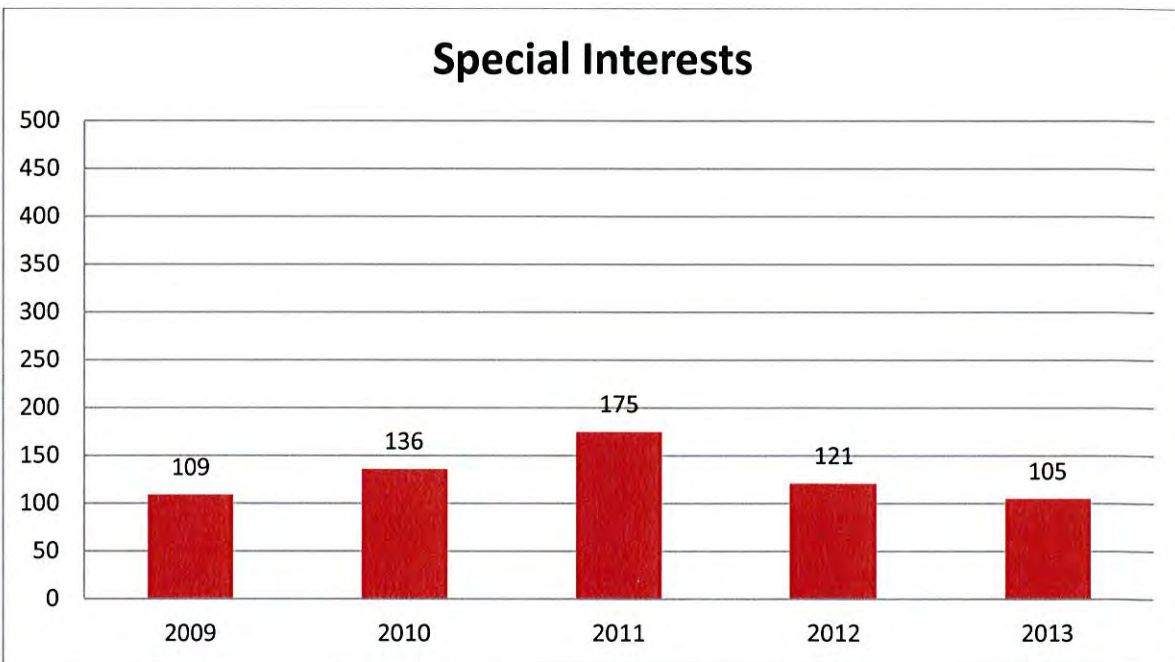
Dance programs include Ballet/Tap, Pointe, Jazz, Company, Poms and Hip Hop. The dance program lost its two instructors at the end of the 2012/2013 season and is rebuilding with four new instructors that began in September.



Fitness programs include Yoga, Cardio Fitness Karate, Cardio Circuit, Cardio Blast, Strength & Tone, Power Hour and Strength Training. Facility space limits present challenges to growing the Group Fitness program.

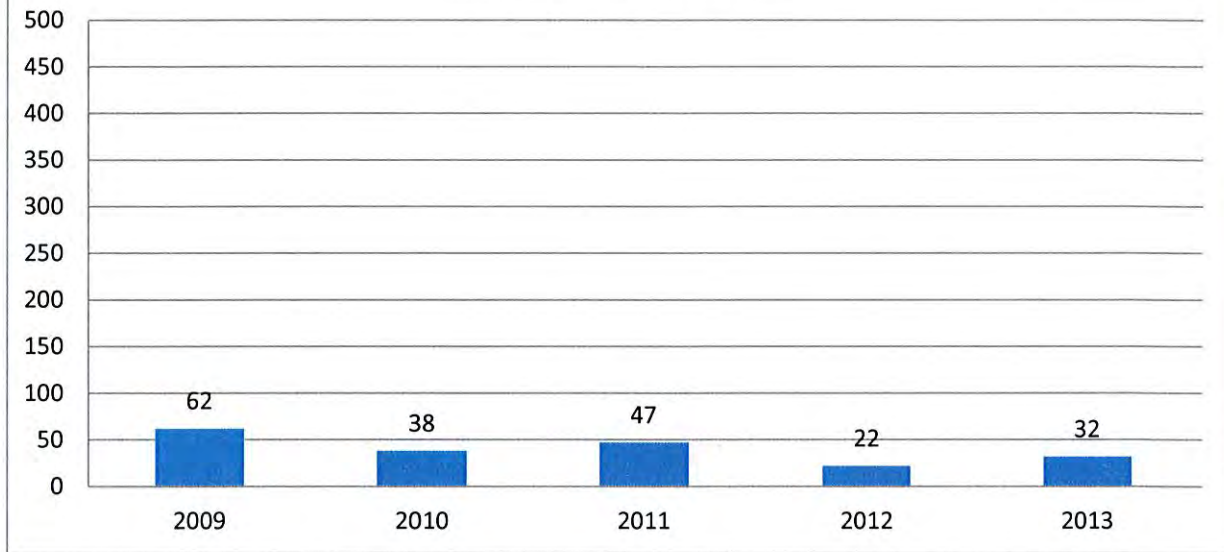


Preschool includes Terrific Twos, Lunch Bunch, Preschool (3yrs-5yrs) and Music Masters. Classes take place in four locations: The Barn, Chalet, St. Peter's Church and Buffalo Creek.



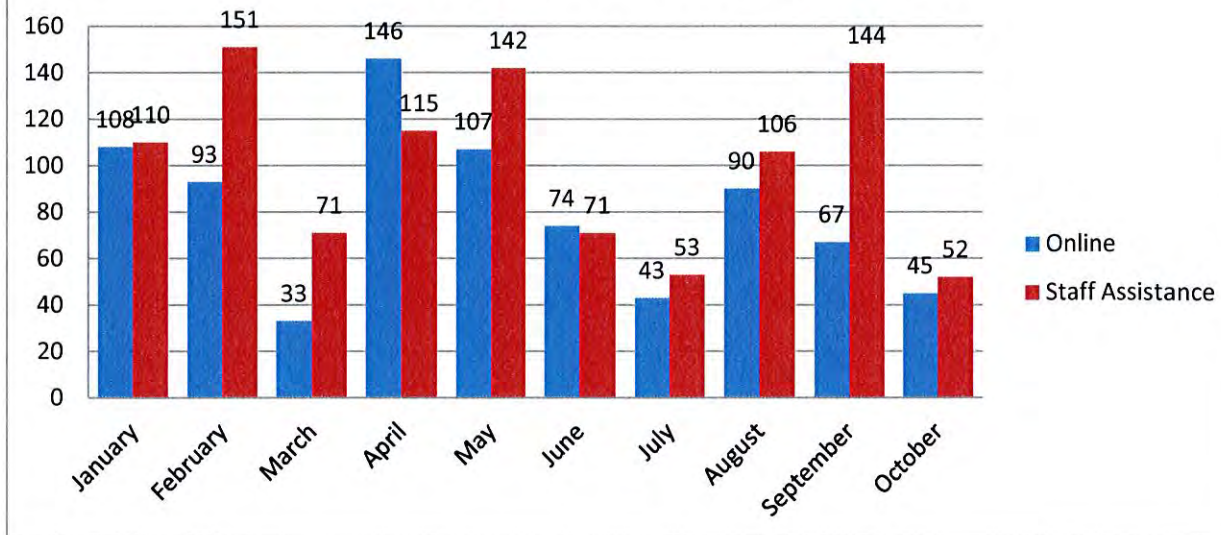
Special Interest programs include birthday parties, weight watchers, chess academy, animals in winter, starts of the circus, winter chillin', three hours to shop, welcome spring, learn to bowl, mother/daughter best friends jewelry, Christmas door wreath, holiday centerpiece and Village Singers.

Youth Enrollment



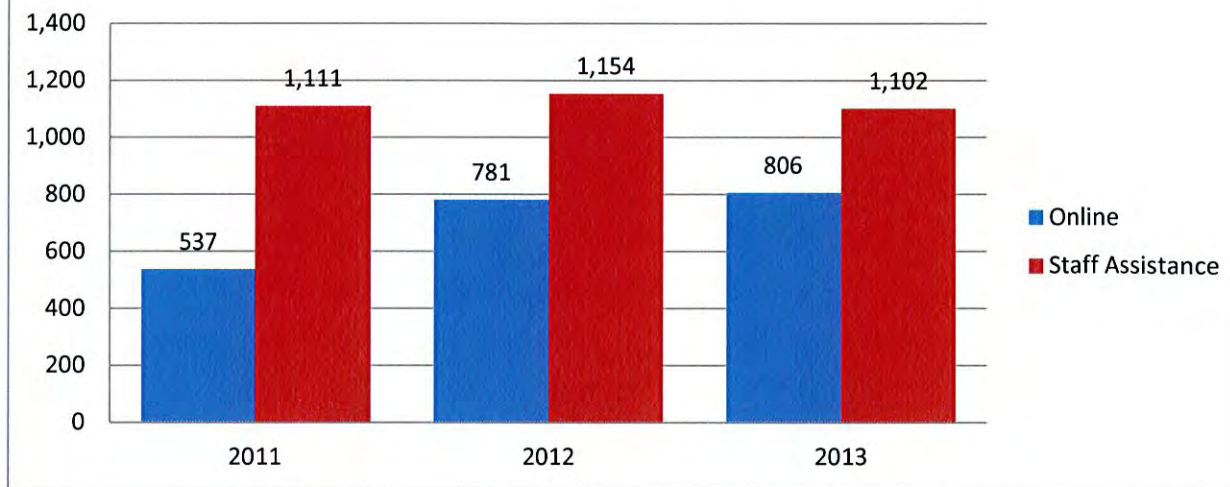
Youth Activity programs include Glitzy Girls Night Out, Bling Boutique, Crafty Halloween Bonanza, Holiday Jewelry Boutique, Guitar Lessons, Ukele Lessons, Magic Classes, Picasso's Workshop, Babysitting Clinic and Sculpture Workshops.

Online Registrations Statistics-2013



Online registration is available to all residents and non-residents enrolling in programs. Beach membership and facility rentals must be made in person at the Barn.

Online Registrations Statistics Y-T-D



Three years of recordkeeping have been kept of online registration with trends indicating more participants register online rather than stopping in the Park & Recreation office.