

**VILLAGE OF LAKE ZURICH**  
**Board of Trustees**  
**70 East Main Street**

Monday, August 19, 2013, 7:00 p.m.

**A G E N D A**

- 1. CALL TO ORDER**
- 2. ROLL CALL:** Mayor Thomas Poynton, Trustee Jim Beaudoin, Trustee Jeff Halen, Trustee Mark Loewes, Trustee Dana Rzeznik, Trustee Jonathan Sprawka, and Trustee Dan Stanovich.
- 3. PLEDGE OF ALLEGIANCE**
- 4. PUBLIC COMMENT**  
(This is an opportunity for residents to comment briefly on matters included on the agenda and otherwise of interest to the Board of Trustees.)
- 5. PRESIDENT'S REPORT**  
(This is an opportunity for the Mayor to report on matters of interest to the Village.)
  - A. Community Update**
  - B. Report on June 26<sup>th</sup> Heavy Rain Event**
- 6. CONSENT AGENDA**  
(These titles will be read by the Village Clerk and approved by a single Roll Call Vote. Any item may be pulled from the Consent Agenda for discussion by any member of the Board)
  - A. Approval of Minutes of the Village Board Meeting, August 5, 2013**
  - B. Release of the Remaining 10% Letter of Credit Guaranty for 555 Oakwood Road**

**Summary:** Staff has reviewed the submitted Letter of Credit release request from Smalley Steel Ring at 555 Oakwood Road and has inspected and approved the complete site improvements. All site work has been completed and is acceptable.

- C. Motor Fuel Tax Maintenance Resolution (Assign RESO. # 2013-08-6C)**

**Summary:** In the current budget for fiscal year 2014, the Village Board has approved \$130,000 for Motor Fuel Tax (MFT) maintenance expenditures related to tree trimming and salt and de-icing supplies. The Illinois Department of Transportation requires the attached resolution to be approved by the Village to appropriate the MFT funds for new contracts in fiscal year 2014.

**D. Adoption of Lake County Watershed Development Ordinance  
(Assign ORD. # 2013-08-904)**

**Summary:** The Lake County Stormwater Management Commission has approved revisions to the countywide Flood Insurance Study. Per the Village's participation in the National Flood Insurance Program, the Federal Emergency Management Agency requires formal adoption of these revisions at the Village level. To remain compliant with the National Flood Insurance Program, the Village is required to adopt the new Lake County Watershed Development Ordinance.

**E. Approval of Destruction of Verbatim Records of Executive Session Meetings**

**Summary:** As required by the Illinois Open Meetings Act and the Closed Session Minutes and Verbatim Records Policy of the Village, the confidential verbatim records listed in the attached memo are eligible for destruction.

**Recommended Action:** Motion to approve the Consent Agenda as presented. (Roll Call Vote)

**7. OLD BUSINESS**

(This agenda item includes matters for action by the Board of Trustees.)

None at this time.

**8. NEW BUSINESS**

(This agenda item includes matters coming to the Board of Trustees for discussion and possible action.)

**A. Semi-Monthly Warrant Register Dated August 19, 2013, Totaling \$732,939.19  
(Trustee Halen)**

**Recommended Action:** Motion to approve the semi-monthly warrant register dated August 19, 2013 totaling \$732,939.19. (Roll Call Vote).

**B. An Ordinance Authorizing General Obligation Bonds for Waterworks and Sewerage System Improvements (Trustee Halen) (Assign ORD. # 2013-08-905)**

**Summary:** On July 1, 2013, the Village Board authorized the issuance of alternate revenue bonds for the water and sewer fund, not to exceed \$4,075,000. This issuance is necessary to fund necessary repairs and improvements to the aging water and sewer infrastructure. All necessary public notices were published in the Daily Herald on July 9, 2013, per legal requirements. The Village Board held a Bond Issuance Notification Hearing on August 5, 2013. No petitions have been received by the Village contesting this issuance. The final step in the issuance process is the approval of the ordinance authorizing and providing for the issuance, following the formal sale the morning of August 19, 2013. Proceeds are expected to be received by the village early September.

**C. Approval of Bid Award for Water Tower Repairs and Repainting  
(Trustee Stanovich)**

**Summary:** The current fiscal year budget has an approved amount of \$500,000 to complete repairs and repaint the 1.5 million gallon Church Street water tower. This project includes cleaning, prep work, repairs, and repainting. Eight proposals were received through a competitive bidding process and the lowest responsible bidder was Horizon Brothers Painting Corp. in the amount of \$326,250.

**Recommended Action:** Motion to approve an agreement to repair and repaint the Church Street water tower in the amount of \$326,250 to Horizon Brothers Painting Corp.

**D. Approval of Bid Award for Roof Replacement at 351 Lions Drive  
(Trustee Stanovich)**

**Summary:** The facility at 351 Lions Drive is used for storage of Village equipment and vehicles. The current fiscal year budget has an approved capital improvement expenditure of \$85,000 for roof replacement at this facility. Staff conducted a competitive bidding process and the lowest responsible bidder was All American Exterior Solutions in the amount of \$71,734.

**Recommended Action:** Motion to approve an agreement for roof replacement at 351 Lions Drive in the amount of \$71,734, not to exceed \$80,000, to All American Exterior Solutions.

**E. Approval of Bid Award for Roof Replacement at 2 East Main Street  
(Trustee Stanovich)**

**Summary:** The building at 2 East Main Street is a Village owned property that is currently rented to Delicious Redds. There are currently several leaks in the roof that have caused some interior damage to the property. The current fiscal year budget has an approved capital improvement expenditure of \$70,000 for roof replacement at this facility. Staff conducted a competitive bidding process and the lowest responsible bidder was Metal Master Roofing in the amount of \$35,483.

**Recommended Action:** Motion to approve an agreement for roof replacement at 2 East Main Street in the amount of \$35,483 to Metal Master Roofing.

**F. Approval of Bid Award for Countryside West Soccer Field Parking Lot  
(Trustee Stanovich)**

**Summary:** The Countryside West soccer field parking lot is in a state of disrepair. The current fiscal year budget has an approved capital improvement expenditure of \$83,000 for a repaving project that will include pavement pulverizing, re-grading, base repair, pavement resurfacing, and sidewalk replacement. Staff conducted a competitive bidding process and the lowest responsible bidder was Chicagoland Paving in the amount of \$44,942.10.

**Recommended Action:** Motion to approve an agreement for replacing the Countryside West soccer field parking lot in the amount of \$44,942.10 to Chicagoland Paving.

**9. TRUSTEE REPORTS**

(This is an opportunity for Trustees to report on matters of interest to the Board of Trustees.)

**10. VILLAGE MANAGER'S REPORT**

(This is an opportunity for the Village Manager to report on matters of interest to the Board of Trustees.)

**A. Bi-weekly Departmental Reports**

**B. Financial Report for June 2013**

**11. ATTORNEY'S REPORT**

(This is an opportunity for the Village Attorney to report on legal matters of interest to the Board of Trustees.)

**12. DEPARTMENT HEAD REPORTS**

(This is an opportunity for department heads to report on matters of interest to the Board of Trustees.)

**13. ADJOURNMENT**

Attachments:

1. Calendar for September, 2013
2. Agenda for Board of Fire & Police Commissioners, August 20, 2013
3. Approved Minutes of Tree Commission, June 4, 2013
4. Approved Minutes of Tree Commission, July 9, 2013

The Village of Lake Zurich is subject to the requirements of the Americans with Disabilities Act of 1990. Individuals with disabilities who plan to attend this meeting and who require certain accommodations so that they can observe and participate in this meeting, or who have questions regarding the accessibility of the meeting or the Village's facilities, should contact the Village's ADA Coordinator at 847.438.5141 (TDD 847.438.2349) promptly to allow the Village to make reasonable accommodations for those individuals.

UNAPPROVED  
VILLAGE OF LAKE ZURICH BOARD OF TRUSTEES  
REGULAR MEETING  
70 East Main Street  
Monday, August 5, 2013, 7:00 p.m.

1. **CALL TO ORDER** by Mayor Thomas Poynton at 7.00pm.
2. **ROLL CALL:** Mayor Thomas Poynton, Trustee Jim Beaudoin, Trustee Jeff Halen, Trustee Mark Loewes, Trustee Dana Rzeznik, Trustee Jonathan Sprawka, and Trustee Dan Stanovich. Also present: Village Manager Jason Slowinski, Asst. Village Manager Roy Witherow, Village Attorney Scott Uhler, Building/Zoning Manager Dan Peterson, Finance Dir. Jodie Hartman, I/T Dir. Michael Duebner, Police Chief Pat Finlon, Deputy Fire Chief Don Golubski, Public Works Gen. Services Supt. Mike Brown, Management Analyst Kyle Kordell.

3. **PLEDGE OF ALLEGIANCE**

**MOMENT OF SILENCE** in remembrance of FF/PM Danny Gonzalez lead by Deputy Fire Chief Don Golubski.

4. **PUBLIC COMMENT**

Sue Ahrens, 364 Linden, addressed the Board on the resurfacing of roads in the Heights subdivision.

5. **PUBLIC HEARING for Bond Issuance Notification Act.**

Mayor Poynton entertained a motion to open the Public Hearing.

Motion to open the Public Hearing, made by Trustee Sprawka, seconded by Trustee Halen.

AYES: 6 Trustees Beaudoin, Halen, Loewes, Rzeznik, Sprawka, Stanovich.

NAYS: 0

ABSENT: 0

MOTION CARRIED.

Meeting opened at 7.06pm.

**Summary:** Conduct a public hearing concerning the intent of the President and Board of Trustees of the Village of Lake Zurich, Lake County, Illinois, to sell \$4,075,000 General Obligation Bonds (Waterworks and Sewerage System Alternate Revenue Source).

Mayor Poynton asked if anyone wanted to testify and there were none.

Motion to close the Public Hearing made by Trustee Sprawka, seconded by Trustee Halen.

AYES: 6 Trustees Beaudoin, Halen, Loewes, Rzeznik, Sprawka, Stanovich.

NAYS: 0

ABSENT: 0

MOTION CARRIED.

Meeting adjourned at 7.07pm.

6. **PRESIDENT'S REPORT**

- A. **Appointments to Board and Commissions**

**Plan Commission**

Mike Gilbert – Commission member 8/21/13 – 4/30/17

Motion made by Trustee Stanovich, seconded by Trustee Sprawka, to accept the appointment.

AYES: 6 Trustees Beaudoin, Halen, Loewes, Rzeznik, Sprawka, Stanovich.

NAYS: 0

ABSENT: 0

MOTION CARRIED.

**CPAC**

William Benson – reappointment and Chairperson	8/5/13 – 4/30/14
Dan Luby – appointment	8/5/13 - 4/30/14
John Miller – appointment	8/5/13 - 4/30/14
Motion made by Trustee Loewes, seconded by Trustee Rzeznik, to accept the appointments.	

AYES: 6 Trustees Beaudoin, Halen, Loewes, Rzeznik, Sprawka, Stanovich.

NAYS: 0

ABSENT: 0

MOTION CARRIED.

**B. Community Update**

Rotary Club's August 30<sup>th</sup> Golf fundraiser; and Farmer's Market. Trustee Beaudoin shared information from LZBSA; he listed the season's accomplishments of the 1100 participants. Mayor Poynton gave a brief update on the flooding issue which will be reported on at the 8/19/13 meeting.

**7. CONSENT AGENDA****A. Approval of Minutes of the Village Board Meeting, July 15, 2013****B. Approval of Purchase for Ambulance Cots in the Amount of \$28,100**

**Summary:** The FY14 Capital Equipment Replacement Plan includes routine replacement of two ambulance cots. Bids were received from three companies, with Stryker Sales Corporation being the lowest responsible bidder in the amount of \$28,100.

**C. An Ordinance Authorizing Disposal of Personal Property Owned by the Village of Lake Zurich (Assign ORD. #2013-08-900)**

**Summary:** The Technology Department has identified various pieces of electronic equipment that are not in working order, would require repairs in excess of present market value, or are obsolete. This equipment is therefore no longer useful to the Village and should be disposed of in accordance with Illinois Municipal Code 65 ILCS 5/11-76.4.

**D. Deerpath Commons Final Plat of First Re-Subdivision**

**Summary:** In March of 2009, the Fidelity Group submitted the Final Plat of First Re-Subdivision to the Village engineering department for final review and recording. The property owner discovered in June that the recording did not occur and is requesting that the Village Board approve the amended Plat as submitted. The documents are correct and in conformance with the submittal and previous approvals.

**Recommended Action:** Motion made by Trustee Sprawka, seconded by Trustee Halen, to approve the Consent Agenda as presented.

AYES: 6 Trustees Beaudoin, Halen, Loewes, Rzeznik, Sprawka, Stanovich.

NAYS: 0

ABSENT: 0

MOTION CARRIED.

**8. OLD BUSINESS****A. Semi-Monthly Warrant Register Dated August 5, 2013, Totaling \$785,910.03**

**Recommended Action:** Motion to approve the semi-monthly warrant register dated August 5, 2013 total \$785,910.03.

*Moved to New Business item #9E.*

B. A Resolution Regarding Consent to Amendment of Declaration of Covenants, Conditions, Restrictions and Easements for Clair View Estates Homeowners Association (Assign RESO. # 2013-08-8B)

**Summary:** This item was tabled at the July 1, 2013 meeting for additional review by staff. Village Counsel has addressed the concern previously identified regarding how issues in the Clair View Estates Wetland Management Plan would be managed after its conclusion in fall of 2015.

Asst. Village Manager Roy Witherow and Atty. Uhler reported on the changes to the document after it was tabled at the 7/1/13 meeting.

**Recommended Action:** Motion, made by Trustee Beaudoin, seconded by Trustee Stanovich, to approve the attached resolution consenting to the amendment of the Declaration of Covenants, Conditions, Restrictions and Easements for Clair View Estates Homeowners Association.

AYES: 6 Trustees Beaudoin, Halen, Loewes, Rzeznik, Sprawka, Stanovich.

NAYS: 0

ABSENT: 0

MOTION CARRIED.

9. NEW BUSINESS

A. An Ordinance Granting a Variation for 180 Red Bridge Road  
(Assign ORD. # 2013-08-901)

**Summary:** The residential driveway at 180 Red Bridge Road has existed with a zero-foot side-yard setback since the house was constructed in the 1970's. The driveway condition has deteriorated and the owner of the property, Mary Parker, applied for and received a permit to replace her driveway and the work was completed. The inspection of the driveway work failed since the required setbacks were not maintained.

Building/Zoning Manager Dan Peterson introduced Ms. Parker and stated that staff concurred with the recommendation.

**Recommended Action:** The Zoning Board of Appeals conducted a public hearing on July 18, 2013 and unanimously recommended that the Village approve the zoning variation for 180 Red Bridge Road.

Motion made by Trustee Stanovich, seconded by Trustee Loewes, to approve the zoning variance for 180 Red Bridge Road. Ord. # 2013-08-901.

AYES: 6 Trustees Beaudoin, Halen, Loewes, Rzeznik, Sprawka, Stanovich.

NAYS: 0

ABSENT: 0

MOTION CARRIED.

B. An Ordinance Approving A Site Plan and Exterior Appearance for Schneider Graphics at 885 Telser Road (Assign ORD. # 2013-08-902)

**Summary:** The President of Schneider Graphics is proposing a new industrial building for his business to be located on the vacant land at 885 Telser Road. Site plans and exterior appearance plans were addressed at the July 17, 2013 Plan Commission meeting.

Building/Zoning Manager Dan Peterson introduced Ms. Kelly Sheehan and Greg Schneider of Schneider Graphics. Ms. Sheehan addressed the Board on the proposed building.

**Recommended Action:** Staff recommends a motion to approve the Site Plans and Exterior Appearance plans for 885 Telser Road subject to the conditions of approval as outlined within the attached Ordinance.

Motion made by Trustee Rzeznik, seconded by Trustee Sprawka, to approve the Site Plans and Exterior Appearance plans for 885 Telser Road subject to the conditions of approval as outlined within the attached Ordinance. ORD. 2013-08-902.

AYES: 6 Trustees Beaudoin, Halen, Loewes, Rzeznik, Sprawka, Stanovich.

NAYS: 0

ABSENT: 0

MOTION CARRIED.

**C. An Ordinance Approving a Planned Unified Development, Plat of Subdivision, Site Plan, Exterior Appearance and Special Use Permit for 440 Rand Road, Shops of Lake Zurich Corner Subdivision (Assign ORD. # 2013-08-903)**

**Summary:** Applicant is seeking approval for subdivision plans, planned unit development, site plans, exterior appearance plans, and a Special Use Permit for a drive-through use related to a permitted eating place. After the June 19, 2013 and July 17, 2013 Plan Commission meetings, the Plan Commission adopted staff's findings as their own and recommended that the Village Board approve the amended Application.

Building/Zoning Manager Dan Peterson introduced Adam Firsel who addressed the Board on the development of Building 1 and answered the Board's questions.

**Recommended Action:** Staff recommends a motion to approve the attached Ordinance for the proposed commercial development located at 440 S. Rand Road.

Motion made by Trustee Beaudoin, seconded by Trustee Loewes, to approve the attached Ordinance for the proposed commercial development located at 440 S. Rand Road. ORD. 2013-08-903.

AYES: 6 Trustees Beaudoin, Halen, Loewes, Rzeznik, Sprawka, Stanovich.

NAYS: 0

ABSENT: 0

MOTION CARRIED.

**D. Courtesy Review for Zoning Application at 765 Ela Road, Ever Well Massage**

**Summary:** The lessee of Suite 303 at 765 Ela Road has filed an application with the Village seeking approval of a text amendment to allow massage therapy services and a Special Use Permit. Applicant is relocating from the Foglia YMCA.

Building/Zoning Manager Dan Peterson introduced Linda Clifford who addressed the Board.

**Recommended Action:** Staff recommends the zoning application be forwarded to the Plan Commission for hearing and consideration.

The Board concurred with the staff's recommendation.

**E. Semi-Monthly Warrant Register Dated August 5, 2013, Totaling \$785,910.03**

**Recommended Action:** Motion made Trustee Halen, seconded by Trustee Rzeznik, to approve the semi-monthly warrant register dated August 5, 2013 total \$785,910.03.

AYES: 6 Trustees Beaudoin, Halen, Loewes, Rzeznik, Sprawka, Stanovich.

NAYS: 0

ABSENT: 0

MOTION CARRIED.

**10. TRUSTEE REPORTS**

There were none.

11. **VILLAGE MANAGER'S REPORT**
  - A. **Bi-weekly Departmental Reports**  
Village Manager Jason Slowinski reported that a grant has been applied for from the Hazard Mitigation program which could help the flooding victims.
12. **ATTORNEY'S REPORT**  
Atty. Uhler reported that his office has prepared information on the recent concealed carry law which is available for staff and Board.
13. **DEPARTMENT HEAD REPORTS**
  - A. **Public Works – Motor Fuel Tax Fund Expenditures**  
Public Works Gen. Services Supt. Mike Brown explained the analysis and recommendation of the road resurfacing in the Heights subdivision and answered the Board's questions. Engineer Peter Stoehr answered the Board's questions. After discussion, the Board recommended that staff proceed with their proposed project.
  - B. **Building and Zoning – 2013 Second Quarter Retail Vacancy Report**  
Building/Zoning Manager Dan Peterson reported that the McDonald's and PNC Bank at Rt. 22 and Quentin Road will have their reviews done by 8/116/13 and Rt. 22's left turn lane into the Mariano's development will start soon.
14. **ADJOURNMENT**  
Motion to adjourn made by Trustee Sprawka, seconded by Trustee Halen.  
AYES: 6 Trustees Beaudoin, Halen, Loewes, Rzeznik, Sprawka, Stanovich.  
NAYS: 0  
ABSENT: 0  
MOTION CARRIED.  
Meeting adjourned at 8.05pm.

Respectfully submitted: Kathleen Johnson, Village Clerk.

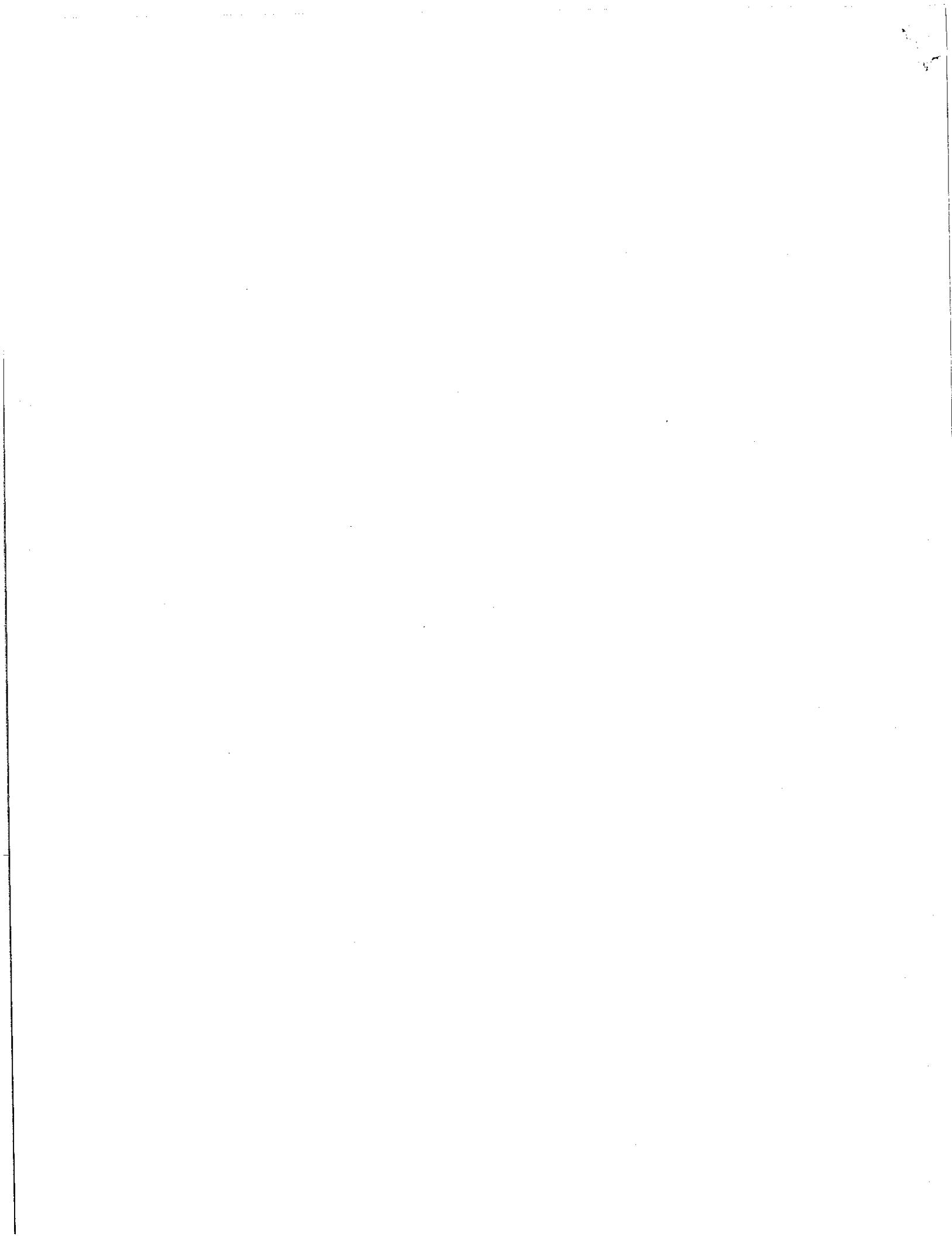
Approved by:

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Thomas M. Poynton. Village Mayor

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Date



70 E. Main Street  
Lake Zurich, IL 60047



AGENDA ITEM 6B

Phone: (847) 438-5141  
Fax: (847) 540-1768  
Web: [www.LakeZurich.org](http://www.LakeZurich.org)

## MEMORANDUM

Date: August 8, 2013  
To: Jason T. Slowinski, Village Manager  
From: Mike Brown, Public Works Manager  
Subject: Agenda item for Village Board Meeting on August 19, 2013

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**Issue:**

Release of the remaining 10% Letter of Credit guaranty for the Smalley Steel Rings Parking Lot Addition at 555 Oakwood Road.

**Analysis:**

Staff has reviewed the submitted Letter of Credit release request and has inspected and approved the complete site improvements.

**Recommendation:**

Based on the aforementioned analysis, the Public Works Department concurs with the request and recommends that the remaining 10% guaranty, Letter of Credit #107987-901 in the amount of \$ 18,251.17 be returned. All site work has been complete and found to be acceptable.

w/ Attachments: Developer's release request dated July 25, 2013. (1 page)



**Spiral Retaining Rings  
Wave Springs**

**555 Oakwood Rd • Lake Zurich, IL 60047 • Fax: (847) 719-5999 • (847) 719-5900 • info@smalley.com • www.smalley.com**

July 25, 2013

Mr. Jason Slowinski, Village Administrator  
**VILLAGE OF LAKE ZURICH**  
70 E. Main Street  
Lake Zurich, IL 60047

**RE: Letter of Credit #107987-901**  
Smalley Steel Ring -555 Oakwood Road, Lake Zurich, IL

Dear Mr. Slowinski,

Please accept this as our formal request to release the letter of credit #107987-901 issued by Cole Taylor Bank for Smalley Steel Ring. The original letter of credit was issued in the amount of \$164,260.57 by Cole Taylor Bank dated October 10, 2012. In January of 2012 this LOC was reduced to 10% of the original or \$18,251.17

At this time we request you release letter of credit #107987-901.

We will look forward to Board approval of this release. Once we have your confirmation we will notify Cole Taylor Bank that this LOC has been released.

Thank you for your cooperation. If you should have any questions or comments, please do not hesitate to call.

Sincerely,

A handwritten signature in black ink, appearing to read 'Michael Greenhill'.

Michael Greenhill  
**SMALLEY STEEL RING**  
kas/SSR-LETTER OF CREDIT RELEASE.LTR2

**Cc:** Dan Peterson, Village of Lake Zurich  
Mike Brown, Village of Lake Zurich  
Cole Taylor Bank

70 E. Main Street  
Lake Zurich, IL 60047



AGENDA ITEM

Phone: (847) 438-5141  
Fax: (847) 540-1768  
Web: [www.LakeZurich.org](http://www.LakeZurich.org)

6 C

## MEMORANDUM

Date: August 13, 2013  
To: Jason Slowinski, Village Manager  
From: Michael Brown, Public Works Manager  
Subject: Motor Fuel Tax Maintenance Resolution

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**Issue:** The Village of Lake Zurich contracts maintenance services and materials purchases each year using its Motor Fuel Tax (MFT) allocation from the State of Illinois. The enclosed Resolution must be executed by the Village Board and approved by IDOT to appropriate the funds needed for new contracts in FY2014.

**Analysis:** The Village has allocated \$30,000 for right-of-way tree trimming, \$70,000 for salt and deicing supplies, and \$30,000 for anti-icing solution in the approved FY2014 Annual Budget. The combined total budget for MFT maintenance services and materials purchases is \$130,000.00.

**Recommendation:** Our recommendation is to adopt the enclosed MFT Maintenance Resolution in the amount of \$130,000.00.

w/ Attachments:

- 1) Resolution for Maintenance of Streets and Highways by Municipality (BLR14230)



## Illinois Department of Transportation

AGENDA ITEM 2013-08-26

**Resolution for Maintenance of  
Streets and Highways by Municipality  
Under the Illinois Highway Code**

BE IT RESOLVED, by the President and Board of Trustees of the  
(Council or President and Board of Trustees)  
Village of Lake Zurich, Illinois, that there is hereby  
(City, Town or Village) (Name)  
appropriated the sum of \$130,000.00 of Motor Fuel Tax funds for the purpose of maintaining  
streets and highways under the applicable provisions of the Illinois Highway Code from May 1, 2013  
to April 30, 2014 (Date)  
(Date)

BE IT FURTHER RESOLVED, that only those streets, highways, and operations as listed and described on the approved Municipal Estimate of Maintenance Costs, including supplemental or revised estimates approved in connection with this resolution, are eligible for maintenance with Motor Fuel Tax funds during the period as specified above.

BE IT FURTHER RESOLVED, that the Clerk shall, as soon as practicable after the close of the period as given above, submit to the Department of Transportation, on forms furnished by said Department, a certified statement showing expenditures from and balances remaining in the account(s) for this period: and

BE IT FURTHER RESOLVED, that the Clerk shall immediately transmit two certified copies of this resolution to the district office of the Department of Transportation, at Schaumburg, Illinois.

I, Kathleen Johnson Clerk in and for the Village  
of Lake Zurich, County of Lake (City, Town or Village)

hereby certify the foregoing to be a true, perfect and complete copy of a resolution adopted by

the President and Board of Trustees at a meeting on \_\_\_\_\_  
(Council or President and Board of Trustees) \_\_\_\_\_ Date \_\_\_\_\_

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this \_\_\_\_\_ day of \_\_\_\_\_

(SEAL) \_\_\_\_\_ Village \_\_\_\_\_ Clerk \_\_\_\_\_  
(City, Town or Village)

**Approved**

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**Regional Engineer**  
**Department of Transportation**

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**Date**

70 E. Main Street  
Lake Zurich, IL 60047



Phone: (847) 438-5141  
Fax: (847) 540-1768  
Web: [www.LakeZurich.org](http://www.LakeZurich.org)

## MEMORANDUM

Date: August 13, 2013

To: Jason Slowinski, Village Manager

From: Michael Brown, Public Works Manager

Subject: Approved WDO FEMA Amendments  
SMC Adoption – Effective June 11, 2013

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**Issue:** Per letter dated March 18, 2013 FEMA contacted the Village regarding a re-evaluation of flood hazards within the community. The re-evaluation resulted in revisions to the Countywide Flood Insurance Study (FIS) including areas specific to the Village of Lake Zurich. The revisions address inconsistent datum issues throughout the county by standardizing the countywide datum for all flood maps to North American Vertical Datum of 1988 (NAVD 88). Per the Village's participation in the National Flood Insurance Program (NFIP), FEMA requests formal adoption of these revisions at the community level.

**Analysis:** Lake County Stormwater Management Commission (SMC) performed a countywide acceptance of these revisions and as such has adopted them within the Watershed Development Ordinance (WDO). As a community that enforces the Lake County WDO at the community level, SMC has provided a template for adoption of the FEMA revisions by acknowledging the WDO revisions. These revisions should be recognized at the Village level by the Village President and the Board of Trustees. Once this has been performed, the appropriate documents from SMC should be completed and mailed by September 18, 2013 to be compliant.

**Recommendation:** Our recommendation is to adopt the WDO effective June 11, 2013 in order to remain in compliance with SMC as a certified community and FEMA as a participant in the NFIP.

w/ Attachments:

- 1) Letter from SMC "Start of the Adoption Period for Community Ordinances" dated June 14, 2013
- 2) WDO Adoption Ordinance



June 13, 2013

**To:** Community Chief Elected Officials  
Community Administrators/Managers  
Community Clerks  
Enforcement Officers  
Certified Wetland Specialists

**Subject:** Watershed Development Ordinance  
**Start of the Adoption Period for Community Ordinances**

Dear Sir or Madam:

The Lake County Board adopted four amendments to the county-wide Watershed Development Ordinance (Ordinance) on June 11, 2013.

**Please consider this notification as the official start of the adoption period for Communities to adopt the revised Ordinance.**

The amended WDO is now effective and includes the latest floodplain maps prepared by the Federal Emergency Management Agency along with new vertical datum references.

Please find attached the following documents for your use:

1. List of amendments in numerical order (Exhibit A);
2. Ordinance adoption template (by reference);
3. Electronic version of the updated Lake County Watershed Development Ordinance. An electronic version is also available for download at [www.lakecountyil.gov/stormwater](http://www.lakecountyil.gov/stormwater).

Documents that will be sent soon once finalized:

4. Hard copies of the updated Lake County Watershed Development Ordinance will be printed and distributed shortly.

### **Instructions for All Communities Regarding Adoption of the Ordinance**

All Communities in Lake County are required to adopt the revised Ordinance within the adoption period which is from June 11 - September 18, 2013. It is required to:

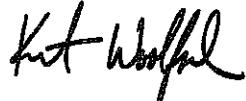
- Remain in good standing in the FEMA National Flood Insurance Program Minimum (NFIP)
- Maintain Community Certification Status

The Ordinance adoption template is being sent to you in Microsoft Word format and changes can be made to the form as needed by the Community. After the Ordinance has been executed by the Community Corporate Authority and signed by the Community President/Mayor, please mail an original to SMC in order to stay in compliance with the above requirements. All original executed and signed forms must be returned to SMC by September 18, 2013.

SMC is available to meet with communities regarding the adoption procedures or to provide technical assistance on this and all Ordinance related issues at any time.

Please feel free to contact Joanna Colletti at 847-377-7701 or myself at 847-377-7720.

Sincerely,



Kurt Woolford, P.E., CFM, LEED AP  
Chief Engineer

C: Paul Osman, IDNR/OWR  
Brian Eber, IDNR/OWR  
Eric Kuklewski, FEMA  
Frank Shockey, FEMA

Encl

## Exhibit A

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### FEMA/NFIP Map Updates

#### WDO Amendment #1

#### Page 38 – Article IV.B.2.b.(2)

(2) A topographic map of the existing conditions of the development site showing the location of all roads, all drainageways, the boundaries of predominate soil types, the boundaries of predominate vegetation, and the location of any drainage easements, detention or retention basins, including their inflow and outflow structures, if any. The map shall also include the location, size, and flowline elevations of all existing storm or combined sewers and other utility lines within the site. The map shall be prepared using a 2-foot or less contour interval and shall be prepared at an appropriate scale for the type of project and shall include specifications and dimensions of any proposed channel modifications, location and orientation of cross-sections, if any, north arrow, and a graphic or numerical scale. All elevations shall be referenced to ***North American Vertical Datum of 1988 (NAVD 88), which supersedes the NGVD29 datum used prior to September 18, 2013.***

**Purpose:** Change to effective FEMA map vertical datum.

**TAC Vote:** 4/18/13: 7-0-0

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### FEMA/NFIP Map Updates

#### WDO Amendment #2

#### Page 102 – Appendix A: Definitions

**NAVD 88: North American Vertical Datum of 1988. Supersedes NGVD29, effective September 18, 2013.**

**NGVD: National Geodetic Vertical Datum of 1929. Superseded by NAVD 88, effective September 18, 2013.**

**Purpose:** Definition of new FEMA map vertical datum. Keep old datum definition since all pre-2013 data and files are on this datum.

**TAC Vote:** 4/18/13: 7-0-0

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### FEMA/NFIP Map Updates

#### WDO Amendment #3

#### Pages 108-116 – Appendix C: FEMA Flood Insurance Study Maps and Profiles

**(SEE ATTACHED APPENDIX C)**

**Purpose:** Modify FEMA map effective dates and panel numbers.

**TAC Vote:** 4/18/13: 7-0-0

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### FEMA/NFIP Map Updates

#### WDO Amendment #4

#### Page 155 – Appendix N, Section I.1.c.(1)

(1) Depth of inundation (in. or cm) based on NAVD 88 datum, which supersedes the NGVD29 datum used prior to September 18, 2013, and

**Purpose:** Change to effective FEMA map vertical datum.

**TAC Vote:** 4/18/13: 7-0-0

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**VILLAGE OF LAKE ZURICH**  
**ORDINANCE NO. 2013 - 08 - 904**

**AN ORDINANCE ADOPTING BY REFERENCE OF THE LAKE COUNTY  
WATERSHED DEVELOPMENT ORDINANCE**

**ADOPTED BY THE  
PRESIDENT AND BOARD OF TRUSTEES  
OF THE  
VILLAGE OF LAKE ZURICH  
THIS 19<sup>th</sup> DAY OF August, 2013.**

**WHEREAS**, the County of Lake, pursuant to the powers granted to it by 55 ILCS 5/5-1062, adopted the Lake County Watershed Development Ordinance on or about June 11, 2013, effective June 11, 2013, establishing rules and regulations for floodplain and storm water management throughout the County of Lake and superseding any less restrictive municipal rules and regulations therein; and,

**WHEREAS**, the President and Board of Trustees of the Village of Lake Zurich have previously adopted Ordinances No. 2012-10-862, and 2010-03-703, which adopted by reference the Lake County Watershed Development Ordinances; and,

**WHEREAS**, the County of Lake, pursuant to the powers granted to it by 55 ILCS 5/5-1062, adopted a resolution approving amendments to the Lake County Watershed Development Ordinance on or about June 11, 2013, effective immediately; and,

**WHEREAS**, the President and Board of Trustees of the Village of Lake Zurich find it to be in the best interests of the Village of Lake Zurich to require all development to meet, at least, the minimum standards prescribed by the WDO to the fullest extent practicable; and,

**WHEREAS**, three copies of the Lake County Watershed Development Ordinance have been on file in the Office of the Village Clerk of the Village of Lake Zurich and available for inspection, for not less than three (3) days.

**NOW, THEREFORE, BE IT ORDAINED** by the President and Board of Trustees of the Village of Lake Zurich, Lake County, Illinois, as follows:

**Section 1:** That there is hereby adopted by reference, as if fully set out herein, that certain ordinance known as the Lake County Watershed Development Ordinance, approved as amended by the County of Lake, State of Illinois, three copies of which have been, for a period of not less than three (3) days prior to the effective date hereof, and now are on file in the office of the Village Clerk.

**Section 2:** That in the event that the rules, regulations, terms or conditions imposed pursuant to the Lake County Watershed Development Ordinance are either more restrictive or less restrictive than comparable rules, regulations, terms or conditions

imposed by any other applicable rule, regulation, resolution, ordinance, statute or law, then the most restrictive rules, regulations, terms or conditions shall govern.

Section 3: That Section 10-6-5 of the Village Code of the Village of Lake Zurich is amended by deleting existing Section 10-6-5, and replacing it with the following:

**10-6-5: STORMWATER MANAGEMENT REGULATIONS:**

The Lake County Watershed Development Ordinance, as most recently amended by the County of Lake on June 11, 2013, is hereby adopted by reference and is in full force and effect within the Village of Lake Zurich and is found in its own compilation.

Section 4: That all ordinances and resolutions, or parts thereof, in conflict with the provisions of this Ordinance are, to the extent of such conflict, expressly repealed.

Section 5: That this Ordinance shall be in full force and effect ten (10) days after its passage, approval and publication in pamphlet form, as provided by law.

PASSED and approved by the President and Board of Trustees of the Village of Lake Zurich, Lake County, Illinois, this 19th day of August, 2013.

AYES:

NAYS:

ABSENT:

APPROVED this 19th day of August, 2013.

By: \_\_\_\_\_  
Thomas Poynton, Village President

SEAL

ATTEST:

\_\_\_\_\_  
Kathleen Johnson, Village Clerk

Published: \_\_\_\_\_

## NOTICE OF PENDING DESTRUCTION OF VERBATIM RECORDS

August 8, 2013

To: Mayor Thomas M. Poynton, Trustee Jim Beaujodoin, Trustee Jeff Halen, Trustee Mark Loewes, Trustee Dana Rzeznik, Trustee Jonathan Sprawka, Trustee Dan Stanovich, Village Manager Jason Slowinski, Attorney Scott Uhler.

Subject: Notice of pending destruction of verbatim records

## CONFIDENTIAL / PRIVILEGED

As required by the Illinois Open Meetings Act, the Office of the Clerk has been charged with the safekeeping of all verbatim records of all closed meetings of the Board of Trustees and other bodies of the Village subject to the Open Meetings Act.

Pursuant to the Open Meetings Act and to the "Closed Session Minutes and Verbatim Records Policy" of the village (the "Policy"), the confidential verbatim records listed below are eligible for destruction.

Public Body	Date of meeting	Proposed Destruction Date
Board of Trustees	4/4/11 personnel	8/23/13
Board of Trustees	5/2/11 labor negotiations, legal services, personnel	8/23/13
Board of Trustees	5/16/11 labor negotiations, legal services, personnel	8/23/13
Board of Trustees	6/6/11 litigation, personnel.	8/23/13
Board of Trustees	6/20/11 litigation, labor negotiations, personnel	8/23/13
Board of Trustees	7/5/11 litigation, personnel	8/23/13
Board of Trustees	7/18/11 labor, personnel	8/23/13
Board of Trustees	7/28/11 litigation, personnel	8/23/13
Board of Trustees	8/1/11 litigation, personnel	8/23/13
Board of Trustees	8/22/11 litigation, labor negotiations, personnel	8/23/13
Board of Trustees	8/29/11 litigation, personnel, trustee position	8/23/13
Board of Trustees	9/6/11 litigation, personnel	8/23/13
Board of Trustees	9/19/11 labor, personnel	8/23/13
Board of Trustees	9/26/11 personnel	8/23/13
Board of Trustees	10/3/11 litigation, labor negotiations, personnel	8/23/13
Board of Trustees	10/17/11 litigation, labor negotiations, personnel, real estate	8/23/13
Board of Trustees	10/24/11 litigation, labor negotiations, personnel	8/23/13
Board of Trustees	11/7/11 litigation, labor negotiations, personnel	8/23/13
Board of Trustees	11/14/11 litigation, personnel	8/23/13
Board of Trustees	11/21/11 litigation, personnel	8/23/13
Board of Trustees	12/5/11 litigation, labor negotiations, personnel	8/23/13
Board of Trustees	12/7/11 labor negotiations, personnel	8/23/13
Board of Trustees	12/19/11 litigation, labor negotiations, personnel	8/23/13
Board of Trustees	01/3/12 personnel	8/23/13
Board of Trustees	01/16/12 personnel	8/23/13
Board of Trustees	01/23/12 personnel	8/23/13
Board of Trustees	2/6/12 litigation, labor negotiations, personnel	8/23/13
Board of Trustees	2/21/12 litigation, personnel, real state	8/23/13

I hereby certify that (1) the relevant public bodies have previously approved written minutes of the closed meetings to which the verbatim records listed in this Notice were prepared and (2) that a copy of those minutes will be available for review upon written request and (3) the closed meetings to which the verbatim records listed in this Notice relate were concluded at least 18 months prior to the Proposed Destruction Date for those verbatim records.

Upon the vote of approval of the Village Board, the Office of the Clerk shall destroy the verbatim records listed in this Notice in accordance with the State Statute, unless a written objection is filed with the Office of the Clerk within seven days after the date of this notice or not less than three days prior to the Proposed Destruction Date set forth above, whichever is later.

Kathleen Johnson  
Village Clerk  
Village of Lake Zurich

70 E. Main Street  
Lake Zurich, IL 60047



AGENDA ITEM 8A

Phone: (847) 438-5141  
Fax: (847) 540-1768  
Web: [www.LakeZurich.org](http://www.LakeZurich.org)

## MEMORANDUM

Date: August 13, 2013  
To: Jason T. Slowinski, Village Manager  
From: Kyle D. Kordell, Management Analyst  
Subject: Semi-Monthly Warrant Dated August 19, 2013

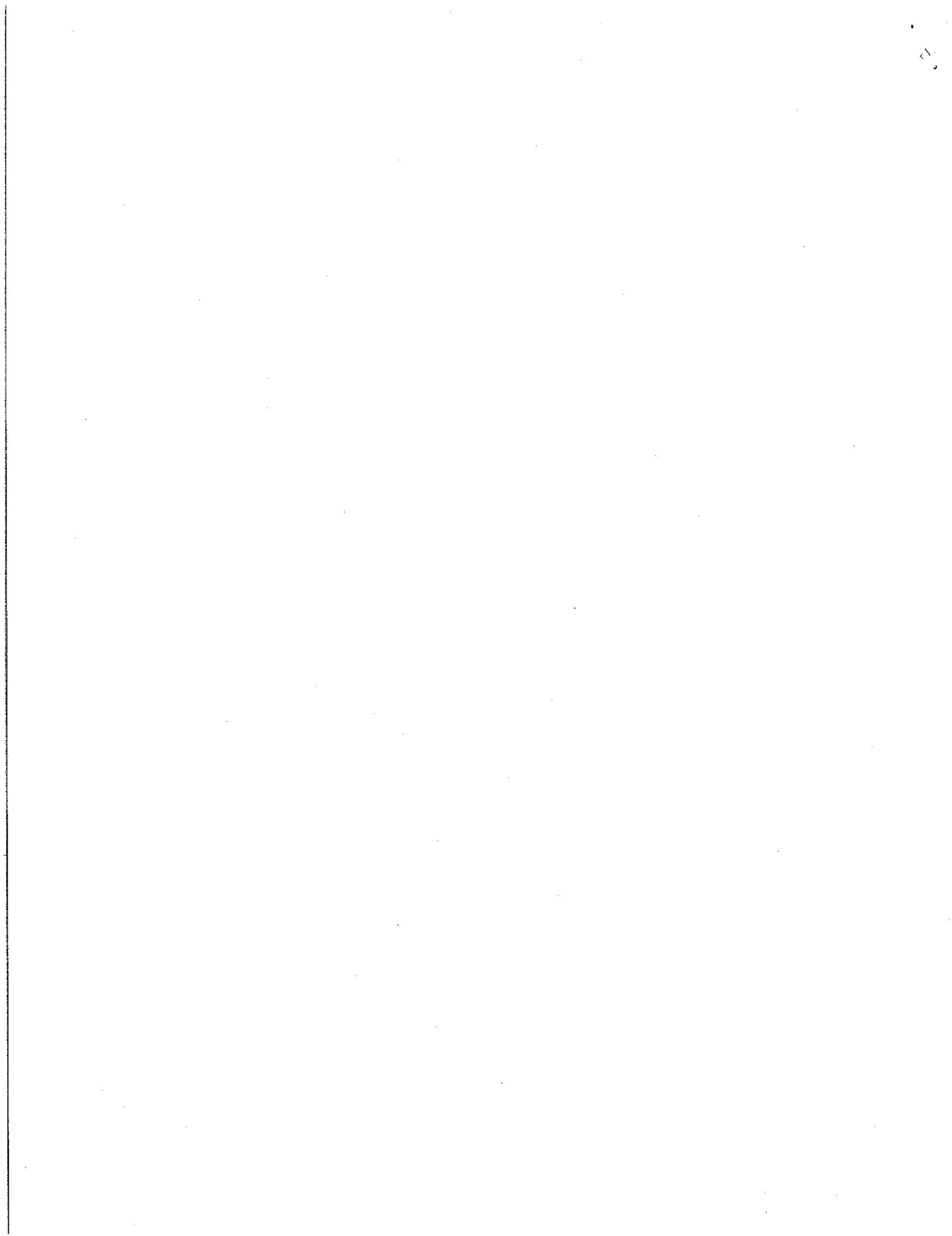
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**Issue:** Attached is the semi-monthly warrant register dated August 19, 2013 and totaling \$732,939.19.

**Analysis:** The only payment in the warrant that exceeds 5% of the total warrant is a payment to the Lake County Public Works Department in the amount of \$541,053.54 for connection fees.

**Recommendation:** Approve the semi-monthly warrant register dated August 19, 2013 totaling \$732,939.19.

w/ Attachments: Semi-monthly warrant.



**VILLAGE OF LAKE ZURICH**  
**Semi-Monthly Warrant Report**  
**August 19, 2013**  
**Warrant Total \$732,939.19**

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**Payment Request(s) Exceeding 5% of Total Warrant**

• **Payment to:**

Vendor: Lake County Public Works Dept.  
Fund: Water / Sewer  
Reference: Page 13  
Amount: \$541,053.54  
%Warrant: 73.82%

**2<sup>nd</sup> Quarter Collections - LCTC**

**Scheduled Payments \$541,053.54 or 73.82% of Total Warrant Presented for Payment.**

**Village of Lake Zurich**  
**Semi-Monthly Warrant Report**  
**Total by Fund - Warrant Dated August 19, 2013**

Fund	Fund Title	Total
101	GENERAL FUND	95,400.17
202	MOTOR FUEL TAX	9,805.81
210	TIF TAX ALLOCATION FUND	932.93
301	DEBT SERVICE	440.00
401	CAPITAL PROJECT	5,443.22
402	PARK IMPROVEMENT	3,843.80
405	NHRST CAPITAL PROJECTS	5,023.79
501	WATER/SEWER	587,616.77
601	MEDICAL SELF INSURANCE	121.52
603	RISK MANAGEMENT INS	15,937.42
710	PERFORMANCE ESCROW	8,073.76
720	PAYROLL CLEARING	300.00

Warrant Total - **\$732,939.19**

**Village of Lake Zurich**  
**Semi-Monthly Warrant Report**  
**August 19, 2013**

Report Run Date: 8/13/2013

Fund: 101 - GENERAL FUND

Account Code	Account Title	Vendor Name	Payable Description	Payment Amount
<b>Program: 101 -GENERAL FUND</b>				
2012	RECREATION CREDIT PAYABLE	KILGMAN, NATASHA/IGOR	REFUND-BEACH PASS	30.00
			<b>Program Total</b>	<b>30.00</b>
<b>Program: 10111006 -MAYOR &amp; BOARD</b>				
5414	RENTALS	FAIRWAY GOLF CARS INC.	GOLF CARTS - ALPINE PARAD	370.00
			<b>Program Total</b>	<b>370.00</b>
<b>Program: 10112001 -ADMIN</b>				
5211	VILLAGE ATTORNEY RETAINER	ZUKOWSKI ROGERS FLOOD MC ARDLE	LEGAL FEES - JUNE	80.00
			<b>Program Total</b>	<b>80.00</b>
<b>Program: 10113001 -FINANCIAL ADMIN</b>				
5353	OFFICE SUPPLIES	RUNCO OFFICE SUPPLY & EQUIPMENT CO.	MISC ITEMS	134.35
5412	BANK & CREDIT CARD FEES	EHLLERS INVESTMENT PARTNERS LLC	INVESTMENT MANAGER FEES -	626.43
			<b>Program Total</b>	<b>760.78</b>
<b>Program: 10113016 -ACCOUNTING SERVICES</b>				
5351	POSTAGE & SHIPPING	FEDERAL EXPRESS CORPORATION	SHIPPING FEES - '13 BONDS	18.36
			<b>Program Total</b>	<b>18.36</b>
<b>Program: 10117017 -TECHNOLOGY</b>				
5313	TELEPHONE	PAETEC	DIGITAL LINES - JULY	1,851.46
5313	TELEPHONE	COMCAST CABLE	VH INTERNET SERVICE	22.38
5551	COMPUTER/SOFTWARE	INSIGHT PUBLIC SECTOR, INC	SOFTWARE - ADOBE	578.14
5321	COMPUTER SUPPLIES	CDW GOVERNMENT INC.	KINGSTON 16GB	30.54
5219	OTHER PROFESSIONAL SVCS	VILLAGE OF GLENVIEW	IT SHARED SERV ASSESMT	578.57
5313	TELEPHONE	PAETEC	ANALOG LINES	330.69
5313	TELEPHONE	AT & T	VH ELEVATOR	67.45
			<b>Program Total</b>	<b>3,459.23</b>
<b>Program: 10124001 -POLICE ADMIN</b>				
5219	OTHER PROFESSIONAL SVCS	GATSO USA	RED LIGHT CAMERA PROGRAM	12,870.00
5355	UNIFORMS	JG UNIFORMS INC.	DRESS BLOUSE - VORMITTAG	194.95
5271	MAINT-BLDGS & GROUNDS	SHERMAN MECHANICAL INC	RTU 2 REPAIR	126.15
5355	UNIFORMS	GALL'S INC.	INVOICE #000807887, UNIFO	241.70
5355	UNIFORMS	JG UNIFORMS INC.	DRESS BLOUSE - K FINLON	189.95
5355	UNIFORMS	GALL'S INC.	INVOICE #000792389, UNIFO	37.14
5271	MAINT-BLDGS & GROUNDS	METRO DOOR & DOCK, INC	GARAGE DOOR REPAIRS	365.27
5327	EQUIP MAINT PART&SUPPLIES	BCB GROUP, INC	BATTERIES, CAMCORDER	55.98
5312	NATURAL GAS	NICOR GAS	HEATING OIL	142.74
5355	UNIFORMS	JG UNIFORMS INC.	DRESS BLOUSE - P FINLON	179.95
5271	MAINT-BLDGS & GROUNDS	SMITHREEN PEST MANAGEMENT	MONTHLY PEST CONTROL SERV	101.00
5314	CELL PHONES & PAGERS	AMERICAN MESSAGING	PAGER SERVICE	17.00
5314	CELL PHONES & PAGERS	VERIZON WIRELESS	CELL PHONES	496.13
			<b>Program Total</b>	<b>15,017.96</b>
<b>Program: 10124021 -OPERATIONS</b>				
5355	UNIFORMS	GALL'S INC.	INVOICE #000841473, UNIFO	132.06

**Village of Lake Zurich**  
**Semi-Monthly Warrant Report**  
**August 19, 2013**

Report Run Date: 8/13/2013

Fund: 101 - GENERAL FUND

Account Code	Account Title	Vendor Name	Payable Description	Payment Amount
5355	UNIFORMS	JG UNIFORMS INC.	DRESS BLOUSE - YUNDT	162.95
5355	UNIFORMS	GALL'S INC.	INVOICE #000806539, UNIFO	150.15
5157	MILEAGE REIMBURSEMENT	GOODYEAR, TRACEY	FUEL REIMBURSEMENT, JULY	63.05
5157	MILEAGE REIMBURSEMENT	GOODYEAR, TRACEY	FUEL REIMBURSEMENT, JULY	65.50
5355	UNIFORMS	JG UNIFORMS INC.	DRESS BLOUSE - BALFANZ, F	1,084.65
5355	UNIFORMS	SHORE POWER INC	INV#460034-SUPPLIES	135.40
5355	UNIFORMS	JG UNIFORMS INC.	DRESS BLOUSE - BEREZA, FR	1,359.60
5355	UNIFORMS	SHORE POWER INC	INV#463593-SUPPLIES	117.90
5355	UNIFORMS	SHORE POWER INC	INV#457359SUPPLIES	133.50
5355	UNIFORMS	JG UNIFORMS INC.	DRESS BLOUSE - JOHNSON	177.95
5355	UNIFORMS	STREICHER'S, INC	INVOICE #I-1037081, UNFIR	23.25
5355	UNIFORMS	GALL'S INC.	INVOICE #000820317, UNIFO	132.30
5355	UNIFORMS	JG UNIFORMS INC.	DRESS BLOUSE - STONE	179.95
5214	OTHER LEGAL	ALBARRAN, LUIS	VILLAGE PROSECUTOR FEE	6,666.67
5214	OTHER LEGAL	ETERNO, DAVID G	ADJUDICATION HEARING OFF	236.25
5274	MAINT-EQUIPMENT	RADICOM INC.	PORTABLE RADIO REPAIRS	124.25
5355	UNIFORMS	UNIFORMITY INC.	INV #IN223474 DION	26.95
5355	UNIFORMS	UNIFORMITY INC.	THIERGOOD UNIFORM	69.90
<b>Program Total</b>				<b>11,042.23</b>

**Program: 10124022 -COMMUNICATIONS**

5313	TELEPHONE	AT & T	Z LINE 708 Z48001907	3,456.14
5355	UNIFORMS	JG UNIFORMS INC.	DRESS BLOUSE - KELLY, PAU	339.90
5313	TELEPHONE	AT & T	Z LINE 708 Z82001307	342.34
5313	TELEPHONE	AT & T	Z LINE 847 Z99568307	831.57
5313	TELEPHONE	AT & T	Z LINE 847 R26063107	1,271.28
5313	TELEPHONE	AT & T	Z LINE 708 Z48300107	152.99
5313	TELEPHONE	AT & T	Z LINE 708 Z48000107	299.22
5275	MAINT - SOFTWARE	COMLABS	EMNET LICENSE & SUPPORT 1	588.00
<b>Program Total</b>				<b>7,281.44</b>

**Program: 10124023 -CRIME PREVENTION**

5359	OTHER SUPPLIES	CORPORATE IDENTITY, INC	FREIGHT	80.00
5355	UNIFORMS	JG UNIFORMS INC.	DRESS BLOUSE - DE BRADSTR	169.95
5355	UNIFORMS	SIEBER, ANDREW	MISC. CLOTHING ITEMS FOR	100.37
5219	OTHER PROFESSIONAL SVCS	TRANS UNION CORPORATION	BACKGROUND INVESTIGATION	45.00
5219	OTHER PROFESSIONAL SVCS	WEST PUBLISHING GROUP	BACKGROUND INVESTIGATION	137.45
5359	OTHER SUPPLIES	CORPORATE IDENTITY, INC	SET UP CHARGE	24.00
5359	OTHER SUPPLIES	CORPORATE IDENTITY, INC	POLICE OFFICERS CARE ACTI	200.00
5359	OTHER SUPPLIES	CORPORATE IDENTITY, INC	IN CASE OF EMERGENCY DIAL	365.00
5359	OTHER SUPPLIES	CORPORATE IDENTITY, INC	BIKE SAFETY ACTIVITY BOOK	200.00
5355	UNIFORMS	JG UNIFORMS INC.	DRESS BLOUSE - DANIELSON	154.95
5219	OTHER PROFESSIONAL SVCS	TLO LLC	TLO MONTHLY SUBSCRIPTION	113.25
5359	OTHER SUPPLIES	CORPORATE IDENTITY, INC	POLICE OFFICERS ARE YOUR	365.00
5355	UNIFORMS	DANIELSON, KURT	MISCELLANEOUS CLOTHING IT	152.97
<b>Program Total</b>				<b>2,107.94</b>

**Program: 10124024 -INTERGOVERNMENTAL**

5355	UNIFORMS	JG UNIFORMS INC.	DRESS BLOUSE - PARLBERG,	339.90
<b>Program Total</b>				<b>339.90</b>

**Village of Lake Zurich**  
**Semi-Monthly Warrant Report**  
**August 19, 2013**

Report Run Date: 8/13/2013

Fund: 101 - GENERAL FUND

Account Code	Account Title	Vendor Name	Payable Description	Payment Amount
<b>Program: 10125001 -FIRE/RESCUE-ADMIN</b>				
5355	UNIFORMS	UNIFORMITY INC.	KELLY UNIFORMS	119.70
5359	OTHER SUPPLIES	CAPITAL ONE NATIONAL ASSOCIATION	DETERGENT, TRASH CAN LINE	36.78
5313	TELEPHONE	PAETEC	ANALOG LINES	330.69
5314	CELL PHONES & PAGERS	VERIZON WIRELESS	CELL PHONES	257.46
5313	TELEPHONE	PAETEC	DIGITAL LINES - JULY	1,851.46
<b>Program Total</b>				<b>2,596.09</b>
<b>Program: 10125032 -FIRE SUPPRESSION</b>				
5277	MAINT-OTHER	INTERNATIONAL FIRE EQUIPMENT	EXTINGUISHER RECHARGE	26.42
5355	UNIFORMS	W S DARLEY & COMPANY	GARCIA / REPLACEMENT	7,535.70
5560	VEHICLES	LUND INDUSTRIES	SW8RRBB WHELEN LIBERTY LI	1,950.00
5560	VEHICLES	RAY O'HERRON COMPANY INC.	HAVIS C-3010 CONSOLE INCL	295.00
5355	UNIFORMS	W S DARLEY & COMPANY	SHIPPING	22.97
5560	VEHICLES	RAY O'HERRON COMPANY INC.	FEDERAL SS2000SM-SD SMART	710.00
5314	CELL PHONES & PAGERS	VERIZON WIRELESS	CELL PHONES	203.15
5560	VEHICLES	RAY O'HERRON COMPANY INC.	FEDERAL SMLED-PLS-6 SIGNA	279.00
5355	UNIFORMS	AIR ONE EQUIPMENT	BOOTS -	334.00
5560	VEHICLES	RAY O'HERRON COMPANY INC.	HAVIS PKG-PSM-209 COMPUTE	429.00
5560	VEHICLES	RAY O'HERRON COMPANY INC.	SHIPPING	60.75
<b>Program Total</b>				<b>11,845.99</b>
<b>Program: 10125033 -EMS</b>				
5219	OTHER PROFESSIONAL SVCS	ANDRES MEDICAL BILLING LTD.	AMB FEES -JULY	1,396.36
5355	UNIFORMS	UNIFORMITY INC.	UNIFORM WASCOW	41.95
5314	CELL PHONES & PAGERS	VERIZON WIRELESS	CELL PHONES	119.45
5355	UNIFORMS	UNIFORMITY INC.	UNIFORM WASCOW	83.90
<b>Program Total</b>				<b>1,641.66</b>
<b>Program: 10125035 -FIRE PREVENTION BUREAU</b>				
5355	UNIFORMS	UNIFORMITY INC.	KLEINHEINZ UNIFORMS	341.10
5314	CELL PHONES & PAGERS	VERIZON WIRELESS	CELL PHONES	103.47
<b>Program Total</b>				<b>444.57</b>
<b>Program: 10128001 -B &amp; Z ADMIN</b>				
5276	MAINT-SERVICE CONTRACTS	CLIFFORD-WALD	WIDE FORMAT COPIER	25.00
5314	CELL PHONES & PAGERS	VERIZON WIRELESS	CELL PHONES	203.60
5219	OTHER PROFESSIONAL SVCS	SUSAN R. PILAR CSR	ZBA COURT REPORTER	150.00
<b>Program Total</b>				<b>378.60</b>
<b>Program: 10128080 -B &amp; Z</b>				
4322	ELECTRIC PERMITS	D.A.C. ELECTRICAL SERVICES, INC	ELECTRICAL FLOOD PERMIT	72.00
<b>Program Total</b>				<b>72.00</b>
<b>Program: 10128081 -INSPECTIONS</b>				
5219	OTHER PROFESSIONAL SVCS	THOMPSON ELEVATOR INSP SERVICE	19 SEMI ANNUAL, 1 INFO RE	817.00
<b>Program Total</b>				<b>817.00</b>
<b>Program: 10136001 -PW ADMIN</b>				
5155	MEMBERSHIPS & SUBSCRIP	PADDOCK PUBLICATIONS INC.	DAILY HERALD	30.00
5411	LEGAL NOTICE/PUBLISHING	PADDOCK PUBLICATIONS INC.	BID - 2-6 E MAIN ROOF	81.65

**Village of Lake Zurich**  
**Semi-Monthly Warrant Report**  
**August 19, 2013**

Report Run Date: 8/13/2013

Fund: 101 - GENERAL FUND

Account Code	Account Title	Vendor Name	Payable Description	Payment Amount
5265	MOSQUITO ABATEMENT	CLARKE ENVIRON MOSQUITO MGMT	MOSQUITO MANAGEMENT 2013	9,570.00
5411	LEGAL NOTICE/PUBLISHING	PADDOCK PUBLICATIONS INC.	BID - 351 ROOF REPLC	80.50
5355	UNIFORMS	CINTAS CORPORATION LOC. 355	UNIFORMS	37.18
5411	LEGAL NOTICE/PUBLISHING	PADDOCK PUBLICATIONS INC.	BID - DEMOLITION	80.50
5355	UNIFORMS	CINTAS CORPORATION LOC. 355	UNIFORMS	37.18
5276	MAINT-SERVICE CONTRACTS	CLIFFORD-WALD	WIDE FORMAT COPIER	25.00
5314	CELL PHONES & PAGERS	VERIZON WIRELESS	CELL PHONES	127.95
5151	LICENSING/CERTIFICATIONS	KALAL, JAMES	CDL RENEWAL	50.00
5355	UNIFORMS	CUTLER HARDWARE/WORKWEAR	BOOTS LOHMANN	150.70
			<u>Program Total</u>	<u>10,270.66</u>

**Program: 10136041 -FORESTRY**

5354	SMALL TOOLS & EQUIP	ARLINGTON POWER EQUIPMENT INC.	BACKPACK BLOWER	125.99
5354	SMALL TOOLS & EQUIP	ARLINGTON POWER EQUIPMENT INC.	HAND TOOLS	37.00
			<u>Program Total</u>	<u>162.99</u>

**Program: 10136042 -PARK MAINTENANCE**

5271	MAINT-BLDGS & GROUNDS	ANDERSON PEST SOLUTIONS	PEST CONTROL - 675 OMG	55.05
5312	NATURAL GAS	NICOR GAS	351	91.38
5311	ELECTRICITY	COMMONWEALTH EDISON	WICKLOW SOCCER	17.06
5414	RENTALS	COMMUNITY SEWER & SEPTIC	RENTALS - PAULUS PK	67.00
5271	MAINT-BLDGS & GROUNDS	SMITHEREEN PEST MANAGEMENT	PEST CONTROL-505 TELSER	47.00
5327	EQUIP MAINT PART&SUPPLIES	BUSHNELL INC.	SPRAYGROUND PARTS	75.45
5354	SMALL TOOLS & EQUIP	ARLINGTON POWER EQUIPMENT INC.	BLOWER	125.99
5327	EQUIP MAINT PART&SUPPLIES	WATER ODYSSEY BY FOUNTAIN PEOPLE IN	SPRAYGROUND PARTS	193.71
5271	MAINT-BLDGS & GROUNDS	CHANGING SEASONS	REPAIRS BUFFALO CREEK	992.00
5271	MAINT-BLDGS & GROUNDS	ANDERSON PEST SOLUTIONS	PEST CONTROL - BARN	56.10
5312	NATURAL GAS	NICOR GAS	GAS PARKS	43.25
5327	EQUIP MAINT PART&SUPPLIES	HOME DEPOT	MAINT PARKS	9.38
5323	LANDSCAPING SUPPLIES	HOME DEPOT	LANDSCAPING BRICKS	107.85
5414	RENTALS	COMMUNITY SEWER & SEPTIC	RENTAL - CHESTNUT CRNRS	67.00
5271	MAINT-BLDGS & GROUNDS	DUSTCATCHERS INC.	RUGS - BF CRK	54.10
5271	MAINT-BLDGS & GROUNDS	DUSTCATCHERS INC.	RUGS - BARN	37.76
5322	CUSTODIAL SUPPLIES	BADE PAPER PRODUCTS	SUPPLIES PARKS	689.98
5271	MAINT-BLDGS & GROUNDS	CHANGING SEASONS	REPAIRS BUFFALO CREEK	601.00
			<u>Program Total</u>	<u>3,331.06</u>

**Program: 10136043 -MUNICIPAL PROPERTY MAINT**

5322	CUSTODIAL SUPPLIES	BADE PAPER PRODUCTS	SUPPLIES GS	548.07
5271	MAINT-BLDGS & GROUNDS	PAULUS & SON CARPET CARE	CARPET CLEANING 505	265.00
5325	BLDG & GROUND MAINT SUPPL	MENARDS - LONG GROVE	MAINT SUPPLIES VH	68.95
5271	MAINT-BLDGS & GROUNDS	SUBURBAN ELEVATOR COMPANY	ELEVATOR - 505 TELSER	189.00
5271	MAINT-BLDGS & GROUNDS	SUBURBAN ELEVATOR COMPANY	ELEVATOR - 70 E MAIN	189.00
5271	MAINT-BLDGS & GROUNDS	DUSTCATCHERS INC.	RUGS - VH	78.08
5325	BLDG & GROUND MAINT SUPPL	HOME DEPOT	BULBS VH	15.94
5325	BLDG & GROUND MAINT SUPPL	HOME DEPOT	REPAIRS 15 SOR	11.97
5325	BLDG & GROUND MAINT SUPPL	HOME DEPOT	MAINT VH	27.75
5325	BLDG & GROUND MAINT SUPPL	WHOLESALE DIRECT	SHIPPING	7.77
5325	BLDG & GROUND MAINT SUPPL	HOME DEPOT	MAINT 15 SOR	18.36
5354	SMALL TOOLS & EQUIP	ARLINGTON POWER EQUIPMENT INC.	BLOWER	126.01

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Fund: 101 - GENERAL FUND

Account Code	Account Title	Vendor Name	Payable Description	Payment Amount
5325	BLDG & GROUND MAINT SUPPL WHOLESALE DIRECT		PAINT	23.89
5271	MAINT-BLDGS & GROUNDS	MARTIN ENTERPRISES	REPAIRS VH	140.00
5325	BLDG & GROUND MAINT SUPPL HOME DEPOT		MAINT VH	39.81
5253	WASTE REMOVAL	WASTE MANAGEMENT	WASTE SERVICE	156.28
5312	NATURAL GAS	NICOR GAS	VH	28.63
			<b>Program Total</b>	<b>1,934.51</b>

**Program: 10136044 -RIGHT OF WAY MAINT**

5323	LANDSCAPING SUPPLIES	POTSIE'S INC.	TOPSOIL	55.00
5323	LANDSCAPING SUPPLIES	HOME DEPOT	LANDSCAPING SUPPLIES	12.87
5550	MACHINERY & EQUIPMENT	BURRIS EQUIPMENT COMPANY	CONCRETE GRINDER	4,509.00
5358	SAFETY SUPPLIES	SHERWIN INDUSTRIES INC.	BARRICADES	169.22
5358	SAFETY SUPPLIES	SHERWIN INDUSTRIES INC.	BARRICADES	169.22
5358	SAFETY SUPPLIES	SHERWIN INDUSTRIES INC.	BARRICADES	169.22
			<b>Program Total</b>	<b>5,084.53</b>

**Program: 10136048 -ENGINEERING**

5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	35 LAKEVIEW PL	375.00
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	ENGINEERING SERVICES	2,210.00
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	ENGINEERING SERVICES	1,280.00
5216	ENGR/ARCHITECTURAL	MANHARD CONSULTING LTD	M/I HOMES SOMERSET	762.50
			<b>Program Total</b>	<b>4,627.50</b>

**Program: 10136071 -VEHICLE MAINTENANCE**

5326	AUTO PARTS & SUPPLIES	NAPA AUTO PARTS	STRUTS 3293	659.28
5326	AUTO PARTS & SUPPLIES	R. A. ADAMS ENTERPRISES INC.	PLOW RECEIVER 434	119.83
5327	EQUIP MAINT PART&SUPPLIES	POMP'S TIRE SERVICE	KUBOTA TIRES	596.04
5327	EQUIP MAINT PART&SUPPLIES	ARLINGTON POWER EQUIPMENT INC.	POLE SAW PARTS	177.72
5327	EQUIP MAINT PART&SUPPLIES	INTERSTATE BATTERY	HAND LIGHT BATTERIES	39.98
5326	AUTO PARTS & SUPPLIES	WICKSTROM FORD	SEAL 435	74.90
5327	EQUIP MAINT PART&SUPPLIES	ADAMS STEEL SERVICE INC.	WELDER PARTS	94.00
5326	AUTO PARTS & SUPPLIES	NAPA AUTO PARTS	BRAKE PADS 290	58.52
5326	AUTO PARTS & SUPPLIES	NAPA AUTO PARTS	HEADLIGHT SWITCH 332	50.07
5326	AUTO PARTS & SUPPLIES	NAPA AUTO PARTS	OIL FILTERS	44.88
5326	AUTO PARTS & SUPPLIES	NAPA AUTO PARTS	STRUT 3293	169.51
5326	AUTO PARTS & SUPPLIES	NAPA AUTO PARTS	FILTERS	134.56
5326	AUTO PARTS & SUPPLIES	NAPA AUTO PARTS	FILTERS	13.80
5326	AUTO PARTS & SUPPLIES	HUTTON COMMUNICATIONS	ANTENNAS	30.12
5219	OTHER PROFESSIONAL SVCS	SECRETARY OF STATE VEHICLE SVC DEPT	TITLES 2 SEIZED VEHICLES	95.00
5219	OTHER PROFESSIONAL SVCS	SECRETARY OF STATE VEHICLE SVC DEPT	TITLES 2 SEIZED VEHICLES	95.00
5326	AUTO PARTS & SUPPLIES	NAPA AUTO PARTS	FILTERS 7492	27.72
5326	AUTO PARTS & SUPPLIES	NAPA AUTO PARTS	FLASHER 334	17.47
5219	OTHER PROFESSIONAL SVCS	SECRETARY OF STATE VEHICLE SVC DEPT	TITLES 2 SEIZED VEHICLES	95.00
5326	AUTO PARTS & SUPPLIES	WICKSTROM FORD	TUBE/BELT 370	104.61
5355	UNIFORMS	CINTAS CORPORATION LOC. 355	UNIFORMS	35.63
5326	AUTO PARTS & SUPPLIES	WICKSTROM FORD	OIL SENSOR 7492	45.12
5326	AUTO PARTS & SUPPLIES	WICKSTROM FORD	T/S SWITCH 334	112.55
5219	OTHER PROFESSIONAL SVCS	THE UPS STORE	PARTS RETURN	9.87
5326	AUTO PARTS & SUPPLIES	ADVANCE AUTO PARTS	BALL JOINT 7492	53.99
5326	AUTO PARTS & SUPPLIES	NAPA AUTO PARTS	HEADLIGHT 107	53.64

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5328	OTHER MAINT PARTS&SUPPLY	LAWSON PRODUCTS INC.	HARDWARE	1,144.42
5326	AUTO PARTS & SUPPLIES	PRECISION SERVICE & PARTS, INC	T/S SWITCH 334	96.29
5326	AUTO PARTS & SUPPLIES	O'REILLY AUTOMOTIVE STORES, INC	HEADLAMPS	59.20
5342	FUELS	SHELL CREDIT CARD CENTER	MOTORCYCLE FUEL	18.30
5326	AUTO PARTS & SUPPLIES	P & G KEENE ELECTRICAL	PRIMER MOTOR 3211	287.41
5326	AUTO PARTS & SUPPLIES	GLOBAL EMERGENCY PRODUCTS INC.	HINGE 3210	110.57
5326	AUTO PARTS & SUPPLIES	PRECISION SERVICE & PARTS, INC	BARKE PADS 341	41.98
5326	AUTO PARTS & SUPPLIES	PRECISION SERVICE & PARTS, INC	BRAKE PADS 3290	83.96
5326	AUTO PARTS & SUPPLIES	NAPA AUTO PARTS	FLASHER 111	17.47
5326	AUTO PARTS & SUPPLIES	NAPA AUTO PARTS	SOLENOIDS 3214	86.64
5326	AUTO PARTS & SUPPLIES	GROSSINGER CHEVROLET	SPRING INSERT 434	7.43
5326	AUTO PARTS & SUPPLIES	BRAKE ALIGN PARTS & SERVICES, INC	AIR LINE	10.78
5326	AUTO PARTS & SUPPLIES	NAPA AUTO PARTS	BREAKER 3214	5.49
5355	UNIFORMS	CINTAS CORPORATION LOC. 355	UNIFORMS	30.63
5326	AUTO PARTS & SUPPLIES	PRECISION SERVICE & PARTS, INC	A/C LINES 370	184.32
5326	AUTO PARTS & SUPPLIES	NAPA AUTO PARTS	FILTERS	237.57
5326	AUTO PARTS & SUPPLIES	NAPA AUTO PARTS	ROTORS 3290	321.62
5326	AUTO PARTS & SUPPLIES	NAPA AUTO PARTS	HARDWARE KIT 290	29.90
5326	AUTO PARTS & SUPPLIES	NAPA AUTO PARTS	SWAY BAR KIT 3293	14.71
			<b>Program Total</b>	<b>5,797.50</b>

**Program: 10167001 -PARK & REC ADMIN**

5352	PRINTING-STATIONERY/FORMS	HAGG PRESS INC.	FALL BROCHURE PRINTING	2,256.50
5157	MILEAGE REIMBURSEMENT	HERTEL, ANJA	MILEAGE REIMBURSEMENT-ANJ	18.65
5314	CELL PHONES & PAGERS	VERIZON WIRELESS	CELL PHONES	33.85
			<b>Program Total</b>	<b>2,309.00</b>

**Program: 10167920 -SPECIAL RECREATION**

5242	SRA PROGRAMS	HOMER INDUSTRIES INC.	ADA PLAYGROUND MULCH	1,280.00
5242	SRA PROGRAMS	HOMER INDUSTRIES INC.	ADA PLAYGROUND SURFACE	1,280.00
			<b>Program Total</b>	<b>2,560.00</b>

**Program: 10167940 -PRESCHOOL**

5241	PROGRAM SVCS	MUSIC IN THE BOX	MUSIC CLASSES	666.75
			<b>Program Total</b>	<b>666.75</b>

**Program: 10167970 -AQUATICS**

5341	CHEMICALS	HOME DEPOT	ACID SPRAYGROUND	51.92
			<b>Program Total</b>	<b>51.92</b>

Fund Total	95,100.17
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Fund: 202 - MOTOR FUEL TAX

Account Code	Account Title	Vendor Name	Payable Description	Payment Amount
<b>Program: 20236046 -STREET/TRAFFIC LIGHTS</b>				
5261	MAINT-STREETS	IL DEPARTMENT OF TRANSPORTATION	TRAFFIC SIGNAL MAINT	<u>9,805.81</u>
			<u>Program Total</u>	<u>9,805.81</u>
<b>Fund Total</b>				<b>9,805.81</b>

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Fund: 210 - TIF TAX ALLOCATION FUND

Account Code	Account Title	Vendor Name	Payable Description	Payment Amount
<b>Program: 21012001 -TIF - ADMINISTRATION</b>				
5219	OTHER PROFESSIONAL SVCS	TESKA ASSOCIATES	TIF DOWNTOWN REDEVELOPMEN	932.93
			<u>Program Total</u>	<u>932.93</u>
<b>Fund Total</b>				<b>932.93</b>

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Fund: 301 - DEBT SERVICE

Account Code	Account Title	Vendor Name	Payable Description	Payment Amount
<b>Program: 30170005 -DEBT ACTIVITY</b>				
5640	PAYING AGENT FEES	AMALGAMATED BANK OF CHICAGO	2008A PAYING AGENT FEES:	440.00
			<u>Program Total</u>	<u>440.00</u>
				<b>Fund Total</b>
				<b>440.00</b>

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Fund: 401 - CAPITAL PROJECT

Account Code	Account Title	Vendor Name	Payable Description	Payment Amount
<b>Program: 40136043 -CIP - MUNICIPAL PROPERTY</b>				
5530	BLDG & BLDG IMPROVEMENTS HOME DEPOT		CREDIT	(24.96)
5530	BLDG & BLDG IMPROVEMENTS HOME DEPOT		VH IMPROVEMENTS	58.17
5530	BLDG & BLDG IMPROVEMENTS HOME DEPOT		REPAIRS VH	76.04
5530	BLDG & BLDG IMPROVEMENTS HOME DEPOT		CREDIT	(28.26)
5530	BLDG & BLDG IMPROVEMENTS HOME DEPOT		VILLAGE HALL IMPROVEMENTS	174.12
5530	BLDG & BLDG IMPROVEMENTS HOME DEPOT		VH IMPROVEMENTS	158.54
5530	BLDG & BLDG IMPROVEMENTS JOHN F. MATE CO		COUNTERTOPS VH	2,990.00
5530	BLDG & BLDG IMPROVEMENTS HOME DEPOT		VILLAGE HALL IMPROVEMENTS	126.81
<u>Program Total</u>				<u>3,530.46</u>
<b>Program: 40136044 -RIGHT OF WAY MAINTENANCE</b>				
5520	LAND IMPROVEMENTS	CIORBA GROUP INC.	ENGINEERING SERVICES CN N	1,185.26
5540	INFRASTRUCTURE IMPROVEMT	MANHARD CONSULTING LTD	ENG MIDLOTHIAN TRAFFIC SI	727.50
<u>Program Total</u>				<u>1,912.76</u>
<b>Fund Total</b>				<b>5,443.22</b>

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**Fund: 402 - PARK IMPROVEMENT**

Account Code	Account Title	Vendor Name	Payable Description	Payment Amount
<b>Program: 40236042 -PARK IMPROVEMENT - PW</b>				
5520	LAND IMPROVEMENTS	MANHARD CONSULTING LTD	BID PREP CWEST SOCCER	3,060.50
5327	EQUIP MAINT PART&SUPPLIES	BSN SPORTS	TENNIS NETS	539.30
<b>Program Total</b>				<b>3,599.80</b>
<b>Program: 40267900 -PARK IMP</b>				
5420	FARMERS MARKET COSTS	KOCH, KEVIN	FARMERS MARKET ADVERTISING	244.00
<b>Program Total</b>				<b>244.00</b>
				<b>Fund Total</b> <b>3,843.80</b>

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Fund: 405 - NHRST CAPITAL PROJECTS

Account Code	Account Title	Vendor Name	Payable Description	Payment Amount
<b>Program: 40536044 -NHR PW ROW</b>				
5343	CONCRETE & ASPHALT	HOME DÉPOT	CONCRETE	19.92
5343	CONCRETE & ASPHALT	FISCHER BROS. FRESH CONCRETE INC.	CONCRETE	571.85
5343	CONCRETE & ASPHALT	HOME DEPOT	CONCRETE FORMS	26.25
5343	CONCRETE & ASPHALT	GESKE & SONS INC	BT	396.47
5343	CONCRETE & ASPHALT	SPRUCE LAKE SAND & GRAVEL	CONCRETE REMOVAL	60.00
5343	CONCRETE & ASPHALT	FISCHER BROS. FRESH CONCRETE INC.	CONCRETE	521.50
5343	CONCRETE & ASPHALT	GESKE & SONS INC	BT	341.39
5344	SAND & GRAVEL	DRR CONSTRUCTION COMPANY	GRAVEL	1,207.82
5343	CONCRETE & ASPHALT	MULTIPLE CONCRETE ACCESS CORP	ADA MIX CONCRETE	102.30
5343	CONCRETE & ASPHALT	FISCHER BROS. FRESH CONCRETE INC.	CONCRETE	571.85
5343	CONCRETE & ASPHALT	HOME DEPOT	CONCRETE	2.68
5343	CONCRETE & ASPHALT	GESKE & SONS INC	BT	399.49
5343	CONCRETE & ASPHALT	GESKE & SONS INC	BT	793.45
<u>Program Total</u>				<u>5,014.97</u>
<b>Program: 40536047 -NHR PW STORM WATER CTRL</b>				
5335	MANHOLE SUPPLIES	HOME DEPOT	MANHOLE BRICKS	8.82
<u>Program Total</u>				<u>8.82</u>
<b>Fund Total</b>				<b>5,023.79</b>

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Fund: 501 - WATER/SEWER

Account Code	Account Title	Vendor Name	Payable Description	Payment Amount
<b>Program: 501 -WATER/SEWER</b>				
2016	LC CONNECTION FEES PAY	LAKE COUNTY PUBLIC WORKS DEPT.	1324 CONRAD	3,300.00
2016	LC CONNECTION FEES PAY	LAKE COUNTY PUBLIC WORKS DEPT.	763 S. RAND	6,270.00
2016	LC CONNECTION FEES PAY	LAKE COUNTY PUBLIC WORKS DEPT.	799 SPENCER	3,300.00
2016	LC CONNECTION FEES PAY	LAKE COUNTY PUBLIC WORKS DEPT.	1396 CONRAD	3,300.00
2017	LCTC PAYABLE	LAKE COUNTY PUBLIC WORKS DEPT.	3RD QTR COLLECTIONS	521,583.54
2016	LC CONNECTION FEES PAY	LAKE COUNTY PUBLIC WORKS DEPT.	83 NORTH LN	3,300.00
<b>Program Total</b>				<b>541,053.54</b>
<b>Program: 50156001 -UTILITIES-ADMIN</b>				
5219	OTHER PROFESSIONAL SVCS	INFOSEND, INC	WATER BILL PROCESSING - J	670.96
5313	TELEPHONE	AT & T	Z-LINE 847Z97190207	152.99
5314	CELL PHONES & PAGERS	VERIZON WIRELESS	CELL PHONES	174.03
5630	BOND ISSUE FEES	IMAGE MASTER, LLC	2013A GO BOND	1,765.52
5351	POSTAGE & SHIPPING	INFOSEND, INC	WATER BILL POSTAGE - JULY	2,301.57
5219	OTHER PROFESSIONAL SVCS	INFOSEND, INC	SHUT OFF NOTICE PROCESSIN	5.83
5351	POSTAGE & SHIPPING	INFOSEND, INC	SHUT OFF POSTAGE - JULY 2	25.11
5355	UNIFORMS	CINTAS CORPORATION LOC. 355	UNIFORMS	38.41
5355	UNIFORMS	CINTAS CORPORATION LOC. 355	UNIFORMS	33.41
5313	TELEPHONE	AT & T	CONCORD LIFT	59.20
<b>Program Total</b>				<b>5,227.03</b>
<b>Program: 50156054 -WATER PROD/STORAGE</b>				
5311	ELECTRICITY	CONSTELLATION NEW ENERGY, INC.	ELECTRICITY/WELLS	4,149.77
5311	ELECTRICITY	CONSTELLATION NEW ENERGY, INC.	ELECTRICITY/WELLS	3,170.63
5311	ELECTRICITY	CONSTELLATION NEW ENERGY, INC.	ELECTRICITY/WELLS	3,594.31
5341	CHEMICALS	MORTON SALT, INC	BULK WATER CONDITIONING R	1,895.85
5341	CHEMICALS	MORTON SALT, INC	BULK WATER CONDITIONING R	1,916.20
5341	CHEMICALS	MORTON SALT, INC	BULK WATER CONDITIONING R	1,996.95
5216	ENGR/ARCHITECTURAL	DIXON ENGINEERING	PREP OF DOC - WTR TWR	2,950.00
5289	WATER SAMPLE ANALYSIS	SUBURBAN LABORATORIES INC.	LAB ANAYSIS/WATER	60.00
5271	MAINT-BLDGS & GROUNDS	HOME DEPOT	GRAFFITI REMOVER	29.88
5341	CHEMICALS	MORTON SALT, INC	BULK WATER CONDITIONING R	2,017.14
5311	ELECTRICITY	CONSTELLATION NEW ENERGY, INC.	ELECTRICITY/WELLS	53.24
5311	ELECTRICITY	CONSTELLATION NEW ENERGY, INC.	ELECTRICITY/WELLS	4,001.43
5289	WATER SAMPLE ANALYSIS	SUBURBAN LABORATORIES INC.	LAB ANAYSIS/WATER	189.50
5311	ELECTRICITY	CONSTELLATION NEW ENERGY, INC.	ELECTRICITY/WELLS	4,064.52
5411	LEGAL NOTICE/PUBLISHING	PADDOCK PUBLICATIONS INC.	BID - WTR TOWER	98.90
<b>Program Total</b>				<b>30,188.32</b>
<b>Program: 50156055 -WATER DISTRIBUTION</b>				
5323	LANDSCAPING SUPPLIES	CONSERV FARM SUPPLY	SUNNY DELUX MIX GRASS SEE	67.50
5344	SAND & GRAVEL	DRR CONSTRUCTION COMPANY	GRAVEL	1,207.82
5328	OTHER MAINT PARTS&SUPPLY	HOME DEPOT	THREAD SEALANT	25.41
5323	LANDSCAPING SUPPLIES	CONSERV FARM SUPPLY	STRAW BLANKET	50.64
5323	LANDSCAPING SUPPLIES	CONSERV FARM SUPPLY	4" STAPLES/1000	21.78
5323	LANDSCAPING SUPPLIES	POTSIE'S INC.	TRUCKLOAD PULVERIZED TOPS	110.00
5354	SMALL TOOLS & EQUIP	HOME DEPOT	TAPE MEASURE	9.97
5323	LANDSCAPING SUPPLIES	CONSERV FARM SUPPLY	SEED STARTER FERTILIZER	53.32

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				<u>Program Total</u>
<b>Program: 50156056 -METER READING</b>				
5359	OTHER SUPPLIES	HOME DEPOT	TEFLON TAPE	1.97
				<u>Program Total</u>
				<u>1,546.44</u>
<b>Program: 50156065 -INTERCEPTOR SEWER</b>				
5253	WASTE REMOVAL	GROOT INDUSTRIES INC.	ROLLOFF SERVICE TON CHARG	36.52
5271	MAINT-BLDGS & GROUNDS	JOSEPH D FOREMAN COMPANY	18" CULVERT AND COUPLINGS	393.00
5253	WASTE REMOVAL	GROOT INDUSTRIES INC.	ROLLOFF SERVICE ENERGY/EN	33.83
5253	WASTE REMOVAL	GROOT INDUSTRIES INC.	ROLLOFF SERVICE 7/25/13	156.75
5253	WASTE REMOVAL	GROOT INDUSTRIES INC.	JULY ROLLOFF RENTAL	75.00
5271	MAINT-BLDGS & GROUNDS	JOSEPH D FOREMAN COMPANY	18" CULVERT	327.00
				<u>Program Total</u>
				<u>1,022.10</u>
<b>Program: 50156066 -LIFT STATIONS</b>				
5311	ELECTRICITY	CONSTELLATION NEW ENERGY, INC.	ELECTRICITY/LIFT & PUMP S	117.42
5253	WASTE REMOVAL	GROOT INDUSTRIES INC.	ROLLOFF SERVICE 7/25/13	156.75
5253	WASTE REMOVAL	GROOT INDUSTRIES INC.	ROLLOFF SERVICE TON CHARG	36.52
5311	ELECTRICITY	CONSTELLATION NEW ENERGY, INC.	ELECTRICITY/LIFT & PUMP S	59.61
5311	ELECTRICITY	CONSTELLATION NEW ENERGY, INC.	ELECTRICITY/LIFT & PUMP S	1,344.12
5253	WASTE REMOVAL	GROOT INDUSTRIES INC.	ROLLOFF SERVICE ENERGY/EN	33.83
5311	ELECTRICITY	CONSTELLATION NEW ENERGY, INC.	ELECTRICITY/LIFT & PUMP S	572.46
5311	ELECTRICITY	CONSTELLATION NEW ENERGY, INC.	ELECTRICITY/LIFT & PUMP S	2,395.77
5311	ELECTRICITY	COMMONWEALTH EDISON	MIDLOTHIAN SEWER FLOW MET	25.23
5282	MAINT-PUMPS	HYDRO AIRE SERVICE INC.	FLYGT 2.2 HP PUMP REPAIR	2,358.00
5311	ELECTRICITY	CONSTELLATION NEW ENERGY, INC.	ELECTRICITY/LIFT & PUMP S	145.13
5311	ELECTRICITY	CONSTELLATION NEW ENERGY, INC.	ELECTRICITY/LIFT & PUMP S	190.15
5311	ELECTRICITY	CONSTELLATION NEW ENERGY, INC.	ELECTRICITY/LIFT & PUMP S	233.16
5311	ELECTRICITY	CONSTELLATION NEW ENERGY, INC.	ELECTRICITY/LIFT & PUMP S	34.79
5311	ELECTRICITY	CONSTELLATION NEW ENERGY, INC.	ELECTRICITY/LIFT & PUMP S	91.40
5311	ELECTRICITY	CONSTELLATION NEW ENERGY, INC.	ELECTRICITY/LIFT & PUMP S	198.40
5311	ELECTRICITY	CONSTELLATION NEW ENERGY, INC.	ELECTRICITY/LIFT & PUMP S	203.77
5311	ELECTRICITY	CONSTELLATION NEW ENERGY, INC.	ELECTRICITY/LIFT & PUMP S	46.52
5311	ELECTRICITY	CONSTELLATION NEW ENERGY, INC.	ELECTRICITY/LIFT & PUMP S	55.39
5311	ELECTRICITY	CONSTELLATION NEW ENERGY, INC.	ELECTRICITY/LIFT & PUMP S	44.98
				<u>Program Total</u>
				<u>8,343.40</u>
<b>Program: 50156067 -COLLECTION SYSTEM</b>				
5253	WASTE REMOVAL	GROOT INDUSTRIES INC.	ROLLOFF SERVICE ENERGY/EN	34.84
5253	WASTE REMOVAL	GROOT INDUSTRIES INC.	ROLLOFF SERVICE TON CHARG	37.63
5253	WASTE REMOVAL	GROOT INDUSTRIES INC.	ROLLOFF SERVICE 7/25/13	161.50
				<u>Program Total</u>
				<u>233.97</u>
				<b>Fund Total</b>
				<b>587,616.77</b>

**Village of Lake Zurich**  
**Semi-Monthly Warrant Report**  
**August 19, 2013**

Report Run Date: 8/13/2013

Fund: 601 - MEDICAL SELF INSURANCE

Account Code	Account Title	Vendor Name	Payable Description	Payment Amount
<b>Program: 60112010 -MEDICAL SELF INS FUND</b>				
5233	MEDICAL CLAIM PAYMENTS	PETERSON, DANIEL A	REIMB - VISION EXAM	61.00
			<b>Program Total</b>	<b>61.00</b>
				<b>Fund Total</b>
				<b>61.00</b>

**Village of Lake Zurich**  
**Semi-Monthly Warrant Report**  
**August 19, 2013**

Report Run Date: 8/13/2013

Fund: 603 - RISK MANAGEMENT INS

Account Code	Account Title	Vendor Name	Payable Description	Payment Amount
<b>Program: 60312010 -RISK MANAGEMENT INS FUND</b>				
5222	INSURANCE CLAIMS	HOME DEPOT	FLOOD CLEANUP SUPPLIES	13.96
5222	INSURANCE CLAIMS	HOME DEPOT	FLOOD CLEANUP SUPPLIES	12.97
5222	INSURANCE CLAIMS	HOME DEPOT	FLOOD CLEANUP SUPPLIES	23.75
5222	INSURANCE CLAIMS	NEI BROTHERS	CARPET - FLOOD	7,000.00
5222	INSURANCE CLAIMS	WASTE MANAGEMENT	DUMPSTERS - FLOOD	8,758.82
5222	INSURANCE CLAIMS	HOME DEPOT	FLOOD CLEANUP SUPPLIES	127.92
<b>Program Total</b>				<b>15,937.42</b>
<b>Fund Total</b>				<b>15,937.42</b>

**Village of Lake Zurich**  
**Semi-Monthly Warrant Report**  
**August 19, 2013**

Report Run Date: 8/13/2013

Fund: 710 - PERFORMANCE ESCROW

Account Code	Account Title	Vendor Name	Payable Description	Payment Amount
<b>Program: 710 -PERFORMANCE ESCROW</b>				
2501	BUILDING DEPOSITS	OSMAN CONSTRUCTION CORP	BLD REF-1285 S RAND R	50.00
2501	BUILDING DEPOSITS	WINDOWS & EXTERIORS BY OLSON, INC	BLD REF-1128 STANTON	100.00
2501	BUILDING DEPOSITS	FOUR SEASONS HEATING & A/C	BLD REF-583 CRYSTAL S	50.00
2501	BUILDING DEPOSITS	MILLER, CRAIG/MARLENE	BLD REF-713 WARWICK	100.00
2501	BUILDING DEPOSITS	NICOL, DAWN	BLD REF-315 HOBBLE BU	100.00
2501	BUILDING DEPOSITS	MC CARTHY, WILLIAM/MARY JO	BLD REF-1280 BERKLEY	100.00
2501	BUILDING DEPOSITS	ALL TEMP HEATING & AIR CONDITIONING	BLD REF-240 WHITNEY	100.00
2501	BUILDING DEPOSITS	HAWTHORN HEATING	BLD REF-1109 QUEEN AN	100.00
2501	BUILDING DEPOSITS	RSD COVENTRY LLC	BLD REF-1324 CONRAD L	100.00
2501	BUILDING DEPOSITS	CAM ELECTRIC CO, INC	BLD REF-532 W RT 22	500.00
2501	BUILDING DEPOSITS	CAM ELECTRIC CO, INC	BLD REF-13 JOHNATHAN	50.00
2501	BUILDING DEPOSITS	L & S CONTRACTORS CORP.	BLD REF-1099 MIDLOTHI	50.00
2501	BUILDING DEPOSITS	GRANDVIEW CAPITAL LLC	BLD REF-229 N PLEASAN	100.00
2501	BUILDING DEPOSITS	SZABO, SIOBHAN	BLD REF-676 RED BRIDG	100.00
2501	BUILDING DEPOSITS	CHAMPION WINDOW COMPANY	BLD REF-680 WINDEMERE	50.00
2528	VH CABLE TV EQUIP REPL	INSIGHT PUBLIC SECTOR, INC	TRIPP LITE SMARTONLINE	1,120.76
2501	BUILDING DEPOSITS	GILKEY WINDOW COMPANY	BLD REF-748 EDELWEISS	50.00
2501	BUILDING DEPOSITS	SCHORY, PATRICIA	BLD REF-904 WARWICK L	100.00
2501	BUILDING DEPOSITS	LUXOR HOME BUILDERS LLC	BLD REF-795 SPENCER L	100.00
2501	BUILDING DEPOSITS	LUXOR HOME BUILDERS LLC	BLD REF-791 SPENCER L	100.00
2501	BUILDING DEPOSITS	LUXOR HOME BUILDERS LLC	BLD REF-799 SPENCER L	100.00
2501	BUILDING DEPOSITS	GERE MARIE CORPORATION	BLD REF-1275 ENSELL R	220.00
2501	BUILDING DEPOSITS	CORBETT, MARY	BLD REF-1215 LISMORE	50.00
2501	BUILDING DEPOSITS	FELDCO FACTORY DIRECT LLC	BLD REF-1049 WILMETTE	100.00
2510	STREET OPENING DEPOSITS	TIMBERBUILT, INC	ST OPNG REFUND	1,000.00
2501	BUILDING DEPOSITS	TIMBERBUILT, INC	BLD REF-4 WILLOW TER	100.00
2501	BUILDING DEPOSITS	PANEK, GLENN	BLD REF-1109 KINGWOOD	50.00
2501	BUILDING DEPOSITS	AMATORE, ROY	BLD REF-2 BUCKINGHM	100.00
2501	BUILDING DEPOSITS	PADGETT, TIMOTHY	BLD REF-59 BEECH	100.00
2501	BUILDING DEPOSITS	FELDCO FACTORY DIRECT LLC	BLD REF-593 CORTLAND	50.00
2501	BUILDING DEPOSITS	FELDCO FACTORY DIRECT LLC	BLD REF-576 CRYSTL SP	50.00
2528	VH CABLE TV EQUIP REPL	CHRISTOPHER W. WAGNER	VH VIDEO	385.85
2501	BUILDING DEPOSITS	FELDCO FACTORY DIRECT LLC	BLD REF-703 EDELWEISS	50.00
2501	BUILDING DEPOSITS	ALL TEMP HEATING & AIR CONDITIONING	BLD REF-86 LINDEN RD	50.00
2501	BUILDING DEPOSITS	FELDCO FACTORY DIRECT LLC	BLD REF-130 MEADOWBRK	50.00
2501	BUILDING DEPOSITS	VINGAN, GREG	BLD REF-123 MOHAWK TR	50.00
2501	BUILDING DEPOSITS	SARNOFF, MARK	BLD REF-153 PARKWAY	50.00
<b>Program Total</b>				<b>5,676.61</b>
<b>Fund Total</b>				<b>5,676.61</b>

**Village of Lake Zurich**  
**Semi-Monthly Warrant Report**  
**August 19, 2013**

Report Run Date: 8/13/2013

Fund: 720 - PAYROLL CLEARING

Account Code	Account Title	Vendor Name	Payable Description	Payment Amount
<b>Program: 720 -PAYROLL CLEARING</b>				
2043	LIFE INS DED	NCPERS-IL IMRF - 0157	GROUP LIFE INS - JULY	300.00
			<u>Program Total</u>	<u>300.00</u>
<b>Fund Total</b>				<b>300.00</b>

## YTD Vendor Payments

Date: 8/13/2013

Vendor Number	Vendor Name	Current Payment	YTD Amount Paid
995	ADAMS STEEL SERVICE INC.	94.00	3,937.66
1183	ADVANCE AUTO PARTS	53.99	53.99
2451	AIR ONE EQUIPMENT	334.00	3,123.00
3063	ALBARRAN, LUIS	6,666.67	33,333.35
3255	ALL TEMP HEATING & AIR CONDITIONING	150.00	200.00
3638	AMALGAMATED BANK OF CHICAGO	440.00	68,715.00
99747	AMATORE, ROY	100.00	100.00
2455	AMERICAN MESSAGING	17.00	80.03
4055	ANDERSON PEST SOLUTIONS	111.15	503.87
4180	ANDRES MEDICAL BILLING LTD.	1,396.36	6,287.75
5104	ARLINGTON POWER EQUIPMENT INC.	592.71	625.07
3683	AT & T	6,633.18	25,760.83
7510	BADE PAPER PRODUCTS	1,238.05	5,143.92
8390	BCB GROUP, INC	55.98	249.86
10634	BRAKE ALIGN PARTS & SERVICES, INC	10.78	29.24
11334	BSN SPORTS	539.30	1,388.54
11750	BURRIS EQUIPMENT COMPANY	4,509.00	5,065.78
11810	BUSHNELL INC.	75.45	129.60
99763	CAM ELECTRIC CO, INC	550.00	550.00
12797	CAPITAL ONE NATIONAL ASSOCIATION	36.78	5,018.59
15280	CDW GOVERNMENT INC.	30.54	10,038.00
13593	CHAMPION WINDOW COMPANY	50.00	150.00
13598	CHANGING SEASONS	1,593.00	1,593.00
91948	CHRISTOPHER W. WAGNER	385.85	3,984.36
14252	CINTAS CORPORATION LOC. 355	212.44	1,934.94
14265	CIORBA GROUP INC.	1,185.26	14,202.47
14650	CLARKE ENVIRON MOSQUITO MGMT	9,570.00	41,833.64
14760	CLIFFORD-WALD	50.00	200.00
15258	COMCAST CABLE	22.38	896.24
15266	COMLABS	588.00	588.00
15271	COMMONWEALTH EDISON	42.29	6,542.83
15278	COMMUNITY SEWER & SEPTIC	134.00	1,586.00
15390	CONSERV FARM SUPPLY	193.24	1,696.69
26590	CONSTELLATION NEW ENERGY, INC.	24,766.97	136,126.72
99759	CORBETT, MARY	50.00	50.00
15674	CORPORATE IDENTITY, INC	1,234.00	1,234.00
16570	CUTLER HARDWARE/WORKWEAR	150.70	722.04
99758	D.A.C. ELECTRICAL SERVICES, INC	72.00	72.00
17260	DANIELSON, KURT	152.97	202.97
18690	DIXON ENGINEERING	2,950.00	2,950.00
19330	DRR CONSTRUCTION COMPANY	2,415.64	7,819.67
19700	DUSTCATCHERS INC.	169.94	795.60
15283	EHLERS INVESTMENT PARTNERS LLC	626.43	4,337.97
25680	ETERNO, DAVID G	236.25	1,170.00
27342	FAIRWAY GOLF CARS INC.	370.00	985.00
27670	FEDERAL EXPRESS CORPORATION	18.36	125.38
27750	FELDCO FACTORY DIRECT LLC	300.00	450.00
28349	FISCHER BROS. FRESH CONCRETE INC.	1,665.20	9,069.00

## YTD Vendor Payments

Date: 8/13/2013

Vendor Number	Vendor Name	Current Payment	YTD Amount Paid
29063	FOUR SEASONS HEATING & A/C	50.00	100.00
30240	GALL'S INC.	693.35	4,426.59
30492	GATSO USA	12,870.00	41,190.00
30790	GERE MARIE CORPORATION	220.00	720.00
80863	GESKE & SONS INC	1,930.80	2,780.34
31332	GILKEY WINDOW COMPANY	50.00	200.00
30953	GLOBAL EMERGENCY PRODUCTS INC.	110.57	7,970.48
32043	GOODYEAR, TRACEY	128.55	376.05
UB000062	GRANDVIEW CAPITAL LLC	100.00	100.00
32955	GROOT INDUSTRIES INC.	763.17	988.17
27540	GROSSINGER CHEVROLET	7.43	18.96
33775	HAGG PRESS INC.	2,256.50	4,641.37
99742	HAWTHORN HEATING	100.00	100.00
35825	HERTEL, ANJA	18.65	97.75
37025	HOME DEPOT	1,139.81	5,755.79
37028	HOMER INDUSTRIES INC.	2,560.00	3,840.00
38435	HUTTON COMMUNICATIONS	30.12	318.44
38570	HYDRO AIRE SERVICE INC.	2,358.00	2,358.00
39720	IL DEPARTMENT OF TRANSPORTATION	9,805.81	18,933.95
42100	IMAGE MASTER, LLC	1,765.52	1,765.52
42230	INFOSEND, INC	3,003.47	12,038.79
42369	INSIGHT PUBLIC SECTOR, INC	1,698.90	6,530.34
42392	INTERNATIONAL FIRE EQUIPMENT	26.42	2,393.06
42395	INTERSTATE BATTERY	39.98	229.69
46350	JG UNIFORMS INC.	4,534.65	5,536.36
55029	JOHN F. MATE CO	2,990.00	2,990.00
47400	JOSEPH D FOREMAN COMPANY	720.00	4,486.65
48229	KALAL, JAMES	50.00	50.00
99749	KILSMAN, NATASHA/IGOR	30.00	30.00
99741	KOCH, KEVIN	244.00	244.00
99371	L & S CONTRACTORS CORP.	50.00	50.00
51258	LAKE COUNTY PUBLIC WORKS DEPT.	541,053.54	1,104,381.93
51730	LAWSON PRODUCTS INC.	1,144.42	2,249.60
53645	LUND INDUSTRIES	1,950.00	1,950.00
99386	LUXOR HOME BUILDERS LLC	300.00	300.00
54490	MANHARD CONSULTING LTD	8,415.50	19,750.50
54750	MARTIN ENTERPRISES	140.00	5,605.50
99429	MC CARTHY, WILLIAM/MARY JO	100.00	100.00
56660	MENARDS - LONG GROVE	68.95	203.86
66731	METRO DOOR & DOCK, INC	365.27	6,678.02
57312	MILLER, CRAIG/MARLENE	100.00	100.00
58269	MORTON SALT, INC	7,826.14	59,419.93
58930	MULTIPLE CONCRETE ACCESS CORP	102.30	1,086.15
59175	MUSIC IN THE BOX	666.75	1,017.75
59770	NAPA AUTO PARTS	1,942.85	6,757.55
41772	NCPERS-IL IMRF - 0157	300.00	1,216.00
60244	NEI BROTHERS	7,000.00	7,000.00
99743	NICOL, DAWN	100.00	100.00

## YTD Vendor Payments

Date: 8/13/2013

Vendor Number	Vendor Name	Current Payment	YTD Amount Paid
61214	NICOR GAS	306.00	11,213.63
66520	O'REILLY AUTOMOTIVE STORES, INC	59.20	5,068.89
99744	OSMAN CONSTRUCTION CORP	50.00	50.00
70395	P & G KEENE ELECTRICAL	287.41	574.82
68771	PADDOCK PUBLICATIONS INC.	371.55	371.55
99746	PADGETT, TIMOTHY	100.00	100.00
56215	PAETEC	4,364.30	7,000.95
99748	PANEK, GLENN	50.00	50.00
69503	PAULUS & SON CARPET CARE	265.00	570.00
70067	PETERSON, DANIEL A	61.00	61.00
71345	POMP'S TIRE SERVICE	596.04	4,854.44
71470	POTSIE'S INC.	165.00	660.00
71753	PRECISION SERVICE & PARTS, INC	406.55	1,882.91
73175	R. A. ADAMS ENTERPRISES INC.	119.83	1,198.33
73210	RADICOM INC.	124.25	20,311.56
73540	RAY O'HERRON COMPANY INC.	1,773.75	1,933.83
99542	RSD COVENTRY LLC	100.00	400.00
76143	RUNCO OFFICE SUPPLY & EQUIPMENT CO.	134.35	6,060.46
77073	SARNOFF, MARK	50.00	50.00
99761	SCHORY, PATRICIA	100.00	100.00
77942	SECRETARY OF STATE VEHICLE SVC DEPT	285.00	495.00
78470	SHELL CREDIT CARD CENTER	18.30	18.30
78520	SHERMAN MECHANICAL INC	126.15	22,367.65
78543	SHERWIN INDUSTRIES INC.	507.66	1,257.15
8387	SHORE POWER INC	386.80	2,512.31
78995	SIEBER, ANDREW	100.37	454.29
80040	SMITHREEEN PEST MANAGEMENT	148.00	592.00
80799	SPRUCE LAKE SAND & GRAVEL	60.00	60.00
81921	STREICHER'S, INC	23.25	791.41
82072	SUBURBAN ELEVATOR COMPANY	378.00	5,964.00
82073	SUBURBAN LABORATORIES INC.	249.50	1,898.00
70839	SUSAN R. PILAR CSR	150.00	450.00
99762	SZABO, SIOBHAN	100.00	100.00
83788	TESKA ASSOCIATES	932.93	4,791.42
54419	THE UPS STORE	9.87	153.99
84200	THOMPSON ELEVATOR INSP SERVICE	817.00	2,164.00
84427	TIMBERBUILT, INC	1,100.00	2,200.00
84490	TLO LLC	113.25	557.75
85220	TRANS UNION CORPORATION	45.00	315.35
88115	UNIFORMITY INC.	683.50	5,909.40
90050	VERIZON WIRELESS	1,719.09	8,169.54
31619	VILLAGE OF GLENVIEW	578.57	578.57
99745	VINGAN, GREG	50.00	50.00
95830	W S DARLEY & COMPANY	7,558.67	7,708.26
92413	WASTE MANAGEMENT	8,915.10	9,347.52
92420	WATER ODYSSEY BY FOUNTAIN PEOPLE IN	193.71	295.90
93160	WEST PUBLISHING GROUP	137.45	1,823.00
93823	WHOLESALE DIRECT	31.66	1,520.09

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## YTD Vendor Payments

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Date: 8/13/2013

Vendor Number	Vendor Name	Current Payment	YTD Amount Paid
93900	WICKSTROM FORD	337.18	2,653.62
99887	WINDOWS & EXTERIORS BY OLSON, INC	100.00	250.00
98905	ZUKOWSKI ROGERS FLOOD MC ARDLE	80.00	2,360.00

Report Total: 730,181.52

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**Village of Lake Zurich**  
**Semi-Monthly Warrant Report**  
**Manual Checks 07-24-13 thru 08-9-13**

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94633	MORRISON ASSOCIATES LTD	08/09/2013	300.00
94187	RATHFELDER, TERESA	08/02/2013	60.52
94188	HUMMEL, ROBERT L	08/02/2013	2,397.15

**Report Total:** **\$ 2,757.67**



70 E. Main Street  
Lake Zurich, IL 60047



AGENDA ITEM

8B

Phone: (847) 438-5141  
Fax: (847) 540-1768  
Web: [www.LakeZurich.org](http://www.LakeZurich.org)

## MEMORANDUM

Date: August 13, 2013  
To: Jason T. Slowinski, Village Manager  
From: Jodie K. Hartman, Director of Finance  
Subject: 2013A Bond Issuance Ordinance

**Issue:** A critical service of the Village is providing water and sewer service to the community. As comes with any aging system, the infrastructure is in need of repairs and improvements. The most critical projects at this time are scheduled for completion over the next few years, using a combination of bond funds and water and sewer user fees. The sale of the 2013A Alternate Revenue Bonds will take place on the morning of August 19, 2013, as previously authorized by the Village Board. The ordinance necessary to finalize the bond issuance post-sale has been provided for board approval.

**Analysis:** The process to issue the bonds has consisted of several stages.

- July 1, 2013: Village Board approved authorizing ordinances for up to \$4,075 000 bond issuance
- July 9, 2013: Public bid notice published regarding authorizing ordinances and notice of Bond Issuance Notification Act (BINA) hearing per legal requirements
- August 5, 2013: BINA hearing is held along with regularly scheduled board meeting
- August 19, 2013: Bonds are to be sold in the morning per village board authorization

The remaining step at this time is to approve the attached ordinance authorizing and providing for the issuance of the bonds. The ordinance provided with the packet contains blank spaces regarding dollar amounts, as the final figures will not be determined until after the sale. The final figures will be provided to the Village Board prior to the board meeting on August 19, 2013 as soon as the figures are available. The ordinance has been approved by Chapman and Cutler (bond counsel for the village) and has been reviewed by village attorneys at Klein, Thorpe and Jenkins.

**Recommendation:** Staff requests the Village Board approve the ordinance authorizing and providing for the issuance of the 2013A bonds as provided.

w/ Attachments: Ordinance Authorizing and Providing for the issuance of not to exceed \$4,075,000 General Obligation Bonds (Waterworks and Sewerage System Alternate Revenue Source), Series 2013A, of the Village of Lake Zurich, Lake County, Illinois, for the purpose of providing for improvements to said system, prescribing the details of said bonds and providing for collection, segregation and distribution of the waterworks and sewerage system revenues.



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**ORDINANCE NUMBER 2013-08-905**

AN ORDINANCE authorizing and providing for the issuance of \$ \_\_\_\_\_ General Obligation Bonds (Waterworks and Sewerage System Alternate Revenue Source), Series 2013A, of the Village of Lake Zurich, Lake County, Illinois, for the purpose of providing for improvements to said system, prescribing the details of said bonds and providing for collection, segregation and distribution of the waterworks and sewerage system revenues.

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Adopted by the President and Board of Trustees on the 19th day of August 2013

Published in Pamphlet Form by Authority of the President and Board of Trustees on the \_\_\_\_\_ day of August 2013

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This Table of Contents is for convenience only and is not a part of the ordinance.

ORDINANCE NUMBER 2013-08-905

AN ORDINANCE authorizing and providing for the issuance of \$\_\_\_\_\_ General Obligation Bonds (Waterworks and Sewerage System Alternate Revenue Source), Series 2013A, of the Village of Lake Zurich, Lake County, Illinois, for the purpose of providing for improvements to said system, prescribing the details of said bonds and providing for collection, segregation and distribution of the waterworks and sewerage system revenues.

**PREAMBLES**

WHEREAS

A. The Village of Lake Zurich, Lake County, Illinois (the "*Village*"), is a duly organized and existing municipality incorporated and existing under the provisions of the laws of the State of Illinois, and is operating under and pursuant to the provisions of the Illinois Municipal Code, and all laws amendatory thereof and supplementary thereto (the "*Municipal Code*").

B. The Village currently owns and operates a combined waterworks and sewerage system (the "*Waterworks and Sewerage System*" or the "*System*" as more particularly defined below in the text of this ordinance) under and pursuant to the provisions of Division 139 of Article 11 of the Municipal Code (the "*Waterworks and Sewerage Revenue Bond Act*").

C. The President and Board of Trustees of the Village (the "*Corporate Authorities*") have heretofore determined and do hereby determine that it is advisable, necessary, and in the best interests of the Village, its residents, and the users of the System, in order to promote the public health, safety and welfare, to repair and equip the System, including, but not limited to, the following works:

(a) a wastewater treatment facility plant and related improvements thereto; and

(b) the specific projects as previously presented to and approved by the Corporate Authorities and currently on file with the Village.

including, in connection with said works, acquisition of all land or rights in land, mechanical, electrical and other services necessary, useful, or advisable thereto and, incidental to said works, to pay bond discount, bond interest, bond reserve account funding, legal, financing, and administrative expense (all of which said repairs, services, and incidental expenses may be referred to as the "*Project*"), all in accordance with the preliminary plans and specifications and estimates of costs, which have been prepared for the Village by the Village engineers, Village staff and other Village consultants, and have been approved by the Corporate Authorities and are now on file in the office of the Village Clerk for public inspection.

D. The total estimated costs of the Project, as defined, are \$4,238,500, plus estimated investment earnings on the borrowing provided for in this ordinance during the time prior to expenditure of such sum.

E. There are insufficient funds of the Village on hand and lawfully available to pay costs of the Project, and a portion of such costs must be defrayed by the proceeds of \$\_\_\_\_\_ bonds payable from the revenues of the System and which may be issued pursuant to the Waterworks and Sewerage Revenue Bond Act and the Local Government Debt Reform Act of the State of Illinois, as amended (the "*Reform Act*"), and other applicable law.

F. As stated above in paragraph (E), the Village is authorized to issue revenue bonds pursuant to the provisions of the Waterworks and Sewerage Revenue Bond Act and, accordingly, pursuant to the provisions of the Reform Act, "alternate bonds" (as defined in such Reform Act) may be issued for the Project.

G. It is necessary and for the best interests of the Village that the Project be undertaken, and in order to raise a portion of the funds required for such purpose, it will be necessary for the Village to borrow \$\_\_\_\_\_ and in evidence thereof to issue alternate bonds under the

Reform Act, being general obligation bonds issued in lieu of such revenue bonds as authorized, in an aggregate principal amount of \$\_\_\_\_\_.

H. The Corporate Authorities, on the 1st day of July 2013, adopted an Ordinance Number 2013-07-897 (the "*Revenue Bond Authorizing Ordinance*") authorizing the issuance of waterworks and sewerage revenue bonds (the "*Waterworks and Sewerage Revenue Bonds*") to pay the costs of a portion of the Project, as provided in the Waterworks and Sewerage Revenue Bond Act, in an amount not to exceed \$4,075,000.

I. On the 9th day of July 2013, the Revenue Bond Authorizing Ordinance, together with a separate notice of intent to issue the Waterworks and Sewerage Revenue Bonds, was published in the *Daily Herald*, the same being a newspaper published and having general circulation in the Village, and an affidavit evidencing the publication of the Revenue Bond Authorizing Ordinance and related notice of intent have heretofore been presented to the Corporate Authorities and made a part of the permanent records of the Village.

J. More than thirty (30) days have expired since the date of publication of the Revenue Bond Authorizing Ordinance and related notice, and no petitions with the requisite number of valid signatures thereon have been filed with the Village Clerk requesting that the question of the issuance of the Waterworks and Sewerage Revenue Bonds be submitted to referendum.

K. On the 1st day of July 2013, the Corporate Authorities further adopted Ordinance Number 2013-07-898 (the "*Alternate Bond Authorizing Ordinance*") authorizing the issuance of General Obligation Bonds (Waterworks and Sewerage System Alternate Revenue Source) (the "*2013A Alternate Bonds*"), as provided in the Reform Act, to be issued in lieu of the Waterworks and Sewerage Revenue Bonds in an amount not to exceed \$4,075,000.

L. On the 9th day of July 2013, the Alternate Bond Authorizing Ordinance, together with a notice of intent to issue the 2013A Alternate Bonds, was published in the *Daily Herald*,

and an affidavit evidencing the publication of the Alternate Bond Authorizing Ordinance and related notice of intent have heretofore been presented to the Corporate Authorities and made a part of the permanent records of the Village.

M. More than thirty (30) days have expired since the date of publication of the Alternate Bond Authorizing Ordinance and related notice, and no petitions with the requisite number of valid signatures thereon have been filed with the Village Clerk requesting that the question of the issuance of the 2013A Alternate Bonds be submitted to referendum.

N. The Bond Issue Notification Act provides that before adopting an ordinance selling alternate bonds, the Corporate Authorities must also hold at least one public hearing (the "BINA Hearing") concerning the Village's intent to sell such alternate bonds and that the Village Clerk shall publish notice of the Hearing (the "BINA Notice") in a newspaper published and having general circulation in the Village not less than seven (7) nor more than thirty (30) days before the date of the BINA Hearing.

O. The Corporate Authorities have heretofore adopted a resolution fixing the date of the BINA Hearing as the 5th day of August 2013.

P. The Village Clerk has heretofore published the BINA Notice on the 9th day of July 2013 (said date being not less than seven (7) nor more than thirty (30) days before the date of the BINA Hearing), in the *Daily Herald*, and an affidavit evidencing the publication of the BINA Notice has heretofore been presented to the Corporate Authorities and made a part of the permanent records of the Village.

Q. The Corporate Authorities have held the BINA Hearing, and at the BINA Hearing the Corporate Authorities explained the reasons for the issuance of the proposed alternate bonds and permitted all persons desiring to be heard with an opportunity to present written or oral testimony with respect to the issuance of such alternate bonds.

R. The BINA Hearing was finally adjourned on August 5, 2013, and more than seven (7) days have expired since said adjournment.

S. The Corporate Authorities have heretofore, and it hereby is, determined that the Village has complied with the Waterworks and Sewerage Revenue Bond Act, the Reform Act and the Bond Issue Notification Act in all particulars.

T. The Corporate Authorities have been authorized to issue the 2013A Alternate Bonds, in lieu of the Waterworks and Sewerage Revenue Bonds, to the amount of \$4,075,000 in accordance with the provisions of the Municipal Code and the Reform Act and the Alternate Bonds Authorizing Ordinance; none of such bonds have heretofore been issued; and the Corporate Authorities hereby determine that it is necessary and advisable that there be issued at this time such 2013A Alternate Bonds in the aggregate principal amount of \$\_\_\_\_\_ for the purpose of paying a portion of the costs of the Project.

U. The 2013A Alternate Bonds to be issued will be payable from the Pledged Revenues and the 2013A Pledged Taxes, each as hereinafter defined.

V. There are no bonds or other obligations of the Village payable from System revenues, except as follows:

General Obligation Bonds, Series 2006 (the "*Prior Alternate Parity Bonds*"); and

Series 2006 and Series 2008 loans from the Illinois Environmental Protection Agency (the "*Junior IEPA Loans*").

W. Ordinance Number 2006-06-429, duly adopted by the Corporate Authorities on the 19th day of June 2006 (the "*Prior Alternate Parity Ordinance*"), (1) permits the issuance of additional alternate bonds secured ratably and equally as to the pledge of Pledged Revenues with the Prior Alternate Parity Bonds and (2) states that the prior pledge of the Pledged Revenues as security for the payment of the Junior IEPA Loans shall be made junior and subordinate to the

pledge of the Pledged Revenues made for the payment of the Prior Alternate Parity Bonds and any additional alternate bonds secured ratably and equally as to the pledge of the Pledged Revenues with the Prior Alternate Parity Bonds, *provided* that the requirements of the Reform Act for the issuance of alternate bonds payable from the hereinafter defined Revenues are met.

X. The Corporate Authorities have heretofore determined and do hereby determine that the Pledged Revenues will be sufficient to provide or pay in each year to final maturity of the 2013A Alternate Bonds to be issued hereunder all of the following (1) Operation and Maintenance Costs, as hereinafter defined, but not including depreciation; (2) debt service on all outstanding revenue bonds, if any, payable from the Revenues as hereinafter defined; (3) all amounts required to meet any fund or account requirements with respect to such outstanding revenue bonds; (4) other contractual or tort liability obligations, if any, payable from the Revenues; and (5) in each year, an amount not less than 1.25 times debt service of all Outstanding Alternate Bonds payable from Pledged Revenues, consisting of the Outstanding Prior Alternate Parity Bonds and the 2013A Alternate Bonds now proposed to be issued in the amount of \$\_\_\_\_\_.

Y. Such determination of the sufficiency of the Pledged Revenues (the "*Determination of Sufficiency*") is supported by reference to the report as to feasibility (the "*Report*") of Speer Financial, Inc., Chicago, Illinois (the "*Financial Advisor*"), a feasibility analyst having a national reputation for expertise in such matters, demonstrating the sufficiency of such revenues.

Z. The Report in substantially final form has been presented to and is hereby approved by the Corporate Authorities and is now on file with the Village Clerk; and the Determination of Sufficiency is hereby approved by the Corporate Authorities.

NOW THEREFORE Be It Ordained by the President and Board of Trustees of the Village of Lake Zurich, Lake County, Illinois, as follows:

*Section 1. Definitions.* Words and terms used in this Ordinance shall have the meanings given them, unless the context or use clearly indicates another or different meaning is intended. Words and terms defined in the singular may be used in the plural and vice-versa. Reference to any gender shall be deemed to include the other and also inanimate persons such as partnerships or corporations, where applicable.

A. The following words and terms are as defined in the preambles hereto.

**2013A Alternate Bonds**

**Alternate Bond Authorizing Ordinance**

**BINA Hearing**

**BINA Notice**

**Corporate Authorities**

**Determination of Sufficiency**

**Financial Advisor**

**Junior IEPA Loans**

**Municipal Code**

**Prior Alternate Parity Bonds**

**Project**

**Reform Act**

**Report**

**Revenue Bond Authorizing Ordinance**

**System**

**Village**

**Waterworks and Sewerage Revenue Bond Act**

**Waterworks and Sewerage Revenue Bonds**

**Waterworks and Sewerage System**

B. The following words and terms are defined as set forth.

*“2013A Pledged Taxes”* means the ad valorem taxes levied against all of the taxable property in the Village without limitation as to rate or amount, pledged hereunder by the Village as security for the Bonds.

*“Additional Bonds”* means any Alternate Bonds issued in the future on a parity with and sharing ratably and equally in the Pledged Revenues with the Bonds and the Outstanding Prior Alternate Parity Bonds.

*“Alternate Bonds”* means any Outstanding bonds issued as alternate bonds under and pursuant to the provisions of the Reform Act, and includes, expressly, the Bonds and the Prior Alternate Parity Bonds.

*“Alternate Bond and Interest Account”* means the Alternate Bond and Interest Account of the Waterworks and Sewerage Fund, which Account has heretofore been created and is expressly continued hereunder, and is further described in Section (13) of this Ordinance.

*“Applicable Law”* means the Municipal Code and the Reform Act, collectively.

*“Bonds”* means the \$\_\_\_\_\_ General Obligation Bonds (Waterworks and Sewerage System Alternate Revenue Source), Series 2013A, authorized to be issued by this Ordinance (and referred to as the 2013A Alternate Bonds in the preambles hereto).

*“Bond Register”* means the books of the Village kept by the Bond Registrar to evidence the registration and transfer of the Bonds.

*“Bond Registrar”* means The Bank of New York Mellon Trust Company, National Association, Chicago, Illinois, or successor thereto or designated as Bond Registrar hereunder, in its respective capacities as bond registrar and paying agent.

*“Book Entry Form”* means the form of the Bonds when fully registered and available in physical form only to the Depository.

*“Code”* means the Internal Revenue Code of 1986, as amended.

*“County Clerk”* means the County Clerk of The County of Lake, Illinois.

*“Depository”* means The Depository Trust Company, New York, New York, its successors, or a successor depository qualified to act as a securities depository as contemplated hereunder, or assigns.

*“Expense Fund”* means the fund established hereunder and further described by Section (22) of this Ordinance.

*“Fiscal Year”* means a twelve-month period beginning May 1 of the calendar year and ending on the next succeeding April 30, or any other twelve-month period designated as the Fiscal Year of the Village.

*“Future Revenue Bonds”* means any bonds or obligations issued in the future which are payable from the Revenues, to be issued under the Revenue Bond Ordinances, but not including any of the Alternate Bonds or any Additional Bonds as defined in this ordinance.

*“Operation and Maintenance Costs”* means costs of operation, maintenance, and repair of the System, as may be further defined in Revenue Bond Ordinances.

*“Ordinance”* means this ordinance, adopted on the 19th day of August 2013, by the Corporate Authorities, as from time to time may be supplemented and amended in accordance with terms hereof.

*“Outstanding”* when used with reference to the Prior Alternate Parity Bonds, the Bonds, Additional Bonds, or Future Revenue Bonds means any of those bonds which are outstanding and unpaid; *provided, however*, such term shall not include any one or more of the Prior Alternate Parity Bonds, the Bonds, Additional Bonds, or Future Revenue Bonds (i) which have matured and for which moneys are on deposit with proper paying agents or are otherwise sufficiently available to pay all principal thereof and interest thereon or (ii) the provision for

payment of which has been made by the Village by the deposit in an irrevocable trust or escrow of funds or direct, full faith and credit obligations of the United States of America, the principal of and interest on which will be sufficient to pay at maturity or as called for redemption all the principal of and interest on such Prior Alternate Parity Bonds, the Bonds, Additional Bonds, or Future Revenue Bonds; and *provided, however, further*, that the Prior Alternate Parity Bonds, the Bonds, Additional Bonds, and Future Revenue Bonds will also be deemed no longer "Outstanding" if such bonds are no longer outstanding pursuant to the terms and provisions of such bonds as set forth in the ordinance or other document by which the same are authorized to be issued.

*"Outstanding Revenue Bonds"* means any Future Revenue Bonds which are at any time Outstanding in accordance with the terms hereof.

*"Pledged Moneys"* means the Pledged Revenues and the 2013A Pledged Taxes, collectively.

*"Pledged Revenues"* means the moneys deposited to the credit of the Waterworks and Sewerage Fund after the required deposits and credits have been made under this Ordinance or the Revenue Bond Ordinances to all accounts having a prior lien on Revenues.

*"Purchase Contract"* means the contract for the purchase and sale of the Bonds with the Purchaser, consisting of an offer to purchase the Bonds and acceptance thereof pursuant to this Ordinance.

*"Purchase Price"* means the price to be paid for the Bonds, to-wit, \$\_\_\_\_\_, plus accrued interest, if any.

*"Purchaser"* means the purchaser of the Bonds, namely \_\_\_\_\_,

\_\_\_\_\_, \_\_\_\_\_.

*“Record Date”* means the first day of the month of any regular or other interest payment date on the Bonds occurring on the 15th day of any month [and 15 days preceding any interest payment date occasioned by the redemption of Bonds on other than the 15th day of a month].

*“Representation Letter”* means such letter or written agreement as shall be necessary to effectuate a book-entry system for the Bonds and as shall be entered into by and between the Village and the Depository.

*“Revenues”* means the revenues of the System, as may be further defined in Revenue Bond Ordinances.

*“Revenue Bond Ordinances”* means the ordinances or other documents of the Village authorizing the issuance and providing the terms with respect to all Future Revenue Bonds.

*“System”* means the waterworks and sewerage system of the Village operated under the Waterworks and Sewerage Revenue Bond Act, as may be further defined in Revenue Bond Ordinances, and subject to inclusion or deletion of system components at the reasonable discretion of the Corporate Authorities.

*“Tax-exempt”* means, with respect to the Bonds, the status of interest paid and received thereon as excludable from the gross income of the owners thereof under the Code for federal income tax purposes and as not included as an item of tax preference in computing the alternative minimum tax for individuals and corporations under the Code, but is taken into account in computing an adjustment used in determining the alternative minimum tax for certain corporations.

*“Term Bonds”* means Bonds subject to mandatory redemption by operation of the Bond Fund and designated as term bonds herein.

*“Waterworks and Sewerage Alternate Bond Fund”* or *“Bond Fund”* means the Waterworks and Sewerage Alternate Bond Fund created hereunder and further described in Section (15) of this Ordinance.

*“Waterworks and Sewerage Fund”* means the Waterworks and Sewerage Fund of the Village.

C. Certain further words and terms used in particular sections are defined below.

D. Definitions also appear in the above preambles or in specific sections, as appearing below. The table of contents, headings, and other paragraph or section designations are for the convenience of the reader and are not intended to alter the meaning of this Ordinance.

*Section 2. Incorporation of Preambles.* The Corporate Authorities hereby find that the recitals contained in the preambles to this Ordinance are full, true and correct and do hereby incorporate them into this Ordinance by this reference.

*Section 3. Determination to Issue Bonds; Useful Life.* It is necessary and in the best interests of the Village for the Village to undertake the Project for the public health, safety and welfare of its residents, all as described above, and to issue the Bonds to enable the Village to pay a portion of the costs thereof. The useful life of the System is hereby determined to be not less than 25 years from the date hereof.

*Section 4. Bond Details.*

A. For the purpose of providing for the payment of a portion of the costs of the Project, there shall be issued and sold the Bonds in the aggregate principal amount of \$\_\_\_\_\_.

B. The Bonds shall each be designated *“General Obligation Bond (Waterworks and Sewerage System Alternate Revenue Source), Series 2013A”*; and shall be dated the date of delivery thereof (the *“Dated Date”*) and shall also bear the date of authentication thereof. The Bonds shall be in fully registered form and be in Book Entry Form, shall be in denominations of

\$5,000 or authorized integral multiples thereof (but no single Bond shall represent principal maturing on more than one date), shall be numbered consecutively in such reasonable fashion as may be determined by the Bond Registrar, and shall become due [(without right of prior redemption)][(subject to right of redemption hereinafter stated)] and payable serially [or as Term Bonds] on December 15 of the years, in the amounts, and bearing interest at the rates percent per annum as follows:

YEAR	AMOUNT (\$)	RATE (%)
2014	,000	
2015	,000	
2016	,000	
2017	,000	
2018	,000	
2019	,000	
2020	,000	
2021	,000	
2022	,000	
2023	,000	

Each Bond shall bear interest from the later of its Dated Date or from the most recent interest payment date to which interest has been paid or duly provided for, until the principal amount of such Bond is paid or duly provided for, such interest (computed upon the basis of a 360-day year of twelve 30-day months) being payable on June 15 and December 15 of each year, commencing on June 15, 2014. Interest on each Bond shall be paid by check or draft of the Bond Registrar, payable upon presentation thereof in lawful money of the United States of America, to the person in whose name such Bond is registered at the close of business on the applicable Record Date and mailed to the registered owner of the Bond as shown in the Bond register or at such other address furnished in writing by such registered owner, or as otherwise may be agreed with the Depository so long as the Depository is the registered owner as of a given Record Date. The principal of [or redemption price of] the Bonds shall be payable in lawful money of the United

States of America upon presentation thereof at the office of the Bond Registrar maintained for such purpose in the Chicago, Illinois, or at successor Bond Registrar and locality.

*Section 5. Book Entry Provisions.* The Bonds shall be initially issued in the form of a separate single fully registered physical Bond for each of the maturities of the Bonds. Upon initial issuance, the ownership of each such Bond shall be registered in the Bond Register in the name of the Depository or a designee or nominee of the Depository (such depository or nominee being the "*Book Entry Owner*"). Except as otherwise expressly provided, all of the outstanding Bonds from time to time shall be registered in the Bond Register in the name of the Book Entry Owner (and accordingly in Book Entry Form as such term is used in this Ordinance). Any Village officer who is a signatory on the Bonds is hereby authorized, empowered, and directed to execute and deliver or utilize a previously executed and delivered Letter of Representations or Blanket Letter of Representations (either being the "*Letter of Representations*") substantially in the form common in the municipal securities industry, or with such changes therein as the officer executing the Letter of Representations on behalf of the Village shall approve, his or her execution thereof to constitute conclusive evidence of approval of such changes, as shall be necessary to effectuate Book Entry Form. Without limiting the generality of the authority given with respect to entering into such Letter of Representations, it may contain provisions relating to (a) payment procedures, (b) transfers of the Bonds or of beneficial interests therein, (c) redemption notices and procedures unique to the Depository, (d) additional notices or communications, and (e) amendment from time to time to conform with changing customs and practices with respect to securities industry transfer and payment practices. With respect to Bonds registered in the Bond Register in the name of the Book Entry Owner, none of the Village, its Treasurer, or any other Village officer, or the Bond Registrar, shall have any responsibility or obligation to any broker-dealer, bank, or other financial institution for which the Depository

holds Bonds from time to time as securities depository (each such broker-dealer, bank, or other financial institution being referred to herein as a "*Depository Participant*") or to any person on behalf of whom such a Depository Participant holds an interest in the Bonds. Without limiting the meaning of the immediately preceding sentence, the Village, its Treasurer or any other Village officer, and the Bond Registrar shall have no responsibility or obligation with respect to (a) the accuracy of the records of the Depository, the Book Entry Owner, or any Depository Participant with respect to any ownership interest in the Bonds, (b) the delivery to any Depository Participant or any other person, other than a registered owner of a Bond as shown in the Bond Register or as otherwise expressly provided in the Letter of Representations, of any notice with respect to the Bonds, including any notice of redemption, or (c) the payment to any Depository Participant or any other person, other than a registered owner of a Bond as shown in the Bond Register, of any amount with respect to principal of or interest on the Bonds. No person other than a registered owner of a Bond as shown in the Bond Register shall receive a Bond certificate with respect to any Bond. In the event that (a) the Village determines that the Depository is incapable of discharging its responsibilities described herein and in the Letter of Representations, (b) the agreement among the Village, the Bond Registrar, and the Depository evidenced by the Letter of Representations shall be terminated for any reason, or (c) the Village determines that it is in the best interests of the Village or of the beneficial owners of the Bonds either that they be able to obtain certificated Bonds or that another depository is preferable, the Village shall notify the Depository and the Depository shall notify the Depository Participants of the availability of Bond certificates, and the Bonds shall no longer be restricted to being registered in the Bond Register in the name of the Book Entry Owner. Alternatively, at such time, the Village may determine that the Bonds shall be registered in the name of and deposited with a successor depository operating a system accommodating Book Entry Form, as may be acceptable to the

Village, or such depository's agent or designee, but if the Village does not select such alternate book entry system, then the Bonds shall be registered in whatever name or names registered owners of Bonds transferring or exchanging Bonds shall designate, in accordance with the provisions of this Ordinance.

*Section 6. Execution; Authentication.* The Bonds shall be executed on behalf of the Village with the manual or duly authorized facsimile signature of the President and attested with the manual or duly authorized facsimile signature of the Village Clerk, as they may determine, and shall have impressed or imprinted thereon the corporate seal or facsimile thereof of the Village. In case any officer whose signature shall appear on any Bond shall cease to be such officer before the delivery of such Bond, such signature shall nevertheless be valid and sufficient for all purposes, the same as if such officer had remained in office until delivery. All Bonds shall have thereon a certificate of authentication substantially in the form hereinafter set forth duly executed by the Bond Registrar as authenticating agent of the Village and showing the date of authentication. No Bond shall be valid or obligatory for any purpose or be entitled to any security or benefit under this Ordinance unless and until such certificate of authentication shall have been duly executed by the Bond Registrar by manual signature, and such certificate of authentication upon any such Bond shall be conclusive evidence that such Bond has been authenticated and delivered under this Ordinance. The certificate of authentication on any Bond shall be deemed to have been executed by it if signed by an authorized officer of the Bond Registrar, but it shall not be necessary that the same officer sign the certificate of authentication on all of the Bonds issued hereunder.

*Section 7. Registration of Bonds; Persons Treated as Owners.* The Village shall cause books (being the Bond Register as defined in this Ordinance) for the registration and for the transfer of the Bonds as provided in this Ordinance to be kept at the office of the Bond Registrar

maintained for such purpose, which is hereby constituted and appointed the registrar of the Village for the Bonds. The Village is authorized to prepare, and the Bond Registrar or such other agent as the Village may designate shall keep custody of, multiple Bond blanks executed by the Village for use in the transfer and exchange of Bonds. Subject to the provisions of this Ordinance relating to the Bonds in Book Entry Form, any Bond may be transferred or exchanged, but only in the manner, subject to the limitations, and upon payment of the charges as set forth in this Ordinance. Upon surrender for transfer or exchange of any Bond at the principal office maintained for the purpose of the Bond Registrar, duly endorsed by or accompanied by a written instrument or instruments of transfer or exchange in form satisfactory to the Bond Registrar and duly executed by the registered owner or an attorney for such owner duly authorized in writing, the Village shall execute and the Bond Registrar shall authenticate, date and deliver in the name of the transferee or transferees or, in the case of an exchange, the registered owner, a new fully registered Bond or Bonds of like tenor, of the same maturity, bearing the same interest rate, of authorized denominations, for a like aggregate principal amount. The Bond Registrar shall not be required to transfer or exchange any Bond during the period from the close of business on the Record Date for an interest payment to the opening of business on such interest payment date [, or to transfer or exchange any Bond after notice calling such Bond for redemption has been mailed, or during the period of 15 days preceding the mailing of notice of redemption of Bonds]. The execution by the Village of any fully registered Bond shall constitute full and due authorization of such Bond, and the Bond Registrar shall thereby be authorized to authenticate, date and deliver such Bond; *provided, however,* the principal amount of Bonds of each maturity authenticated by the Bond Registrar shall not at any one time exceed the authorized principal amount of Bonds for such maturity less the amount of such Bonds which have been paid. The person in whose name any Bond shall be registered shall be deemed and regarded as the absolute

owner thereof for all purposes, and payment of the principal of or interest on any Bond shall be made only to or upon the order of the registered owner thereof or his legal representative. All such payments shall be valid and effectual to satisfy and discharge the liability upon such Bond to the extent of the sum or sums so paid. No service charge shall be made to any registered owner of Bonds for any transfer or exchange of Bonds, but the Village or the Bond Registrar may require payment of a sum sufficient to cover any tax or other governmental charge that may be imposed in connection with any transfer or exchange of Bonds.

*Section 8. Redemption.*

A. *Optional Redemption.* The Bonds are not subject to optional redemption prior to maturity.

B. *Mandatory Redemption.* [The Bonds are not Term Bonds and are not subject to mandatory redemption prior to maturity.] [The Bonds due on December 15 of the following years are Term Bonds and are subject to mandatory redemption by operation of the Bond Fund at a price of par and accrued interest, without premium, on December 15 of the years and in the amounts as follows:

**[Insert Term Bond provisions, as needed.]**

[C. *Term Bond Covenants and Provisions.* Those of the Bonds denominated Term Bonds, if any, shall be subject to mandatory redemption by operation of the Bond Fund at a price of par and accrued interest, without premium, on December 15 of the years and in the amounts set forth for same. The Village covenants that it will redeem Term Bonds pursuant to the mandatory redemption requirement for such Term Bonds. Proper provision for mandatory redemption having been made, the Village covenants that the Term Bonds so selected for redemption shall be payable as at maturity. If the Village purchases Term Bonds of any maturity and cancels the same from Bond Moneys as hereinafter described, then an amount equal to the

principal amount of Term Bonds so redeemed or purchased shall be deducted from the mandatory redemption requirements provided for Term Bonds of such maturity, first, in the current year of such requirement, until the requirement for the current year has been fully met, and then in any order of such Term Bonds as due at maturity or subject to mandatory redemption in any year, as the Village shall determine. If the Village purchases Term Bonds of any maturity and cancels the same from moneys other than Bond Moneys, then an amount equal to the principal amount of Term Bonds so redeemed or purchased shall be deducted from the amount of such Term Bonds as due at maturity or subject to mandatory redemption requirement in any year, as the Village shall determine.]

*Section 9. Redemption Procedure.* [Reserved.] [The Bonds subject to redemption shall be identified, notice given, and paid and redeemed pursuant to the procedures as follows:

*A. Notice to Registrar; Selection of Bonds.* For a mandatory redemption, unless otherwise notified by the Village, the Bond Registrar will proceed on behalf of the Village as its agent to provide for the mandatory redemption of such Term Bonds without further order or direction hereunder or otherwise.

*B. Selection of Bonds within a Maturity.* For purposes of any redemption of less than all of the Bonds of a single maturity, the particular Bonds or portions of Bonds to be redeemed shall be selected by lot by the Bond Registrar for the Bonds of such maturity by such method of lottery as the Bond Registrar shall deem fair and appropriate; *provided*, that such lottery shall provide for the selection for redemption of Bonds or portions thereof so that any \$5,000 Bond or \$5,000 portion of a Bond shall be as likely to be called for redemption as any other such \$5,000 Bond or \$5,000 portion. The Bond Registrar shall make such selection (1) upon or prior to the time of the giving of official notice of redemption, or (2) in the event of a refunding or defeasance, upon advice from

the Village that certain Bonds have been refunded or defeased and are no longer outstanding as defined.

C. *Official Notice of Redemption.* The Bond Registrar shall promptly notify the Village in writing of the Bonds or portions of Bonds selected for redemption and, in the case of any Bond selected for partial redemption, the principal amount thereof to be redeemed. Unless waived by the registered owner of Bonds to be redeemed, official notice of any such redemption shall be given by the Bond Registrar on behalf of the Village by mailing the redemption notice by first class U.S. mail not less than 30 days and not more than 60 days prior to the date fixed for redemption to each registered owner of the Bond or Bonds to be redeemed at the address shown on the Bond Register or at such other address as is furnished in writing by such registered owner to the Bond Registrar. All official notices of redemption shall include the name of the Bonds and at least the information as follows:

- (1) the redemption date;
- (2) the redemption price;
- (3) if less than all of the outstanding Bonds of a particular maturity are to be redeemed, the identification (and, in the case of partial redemption of Bonds within such maturity, the respective principal amounts) of the Bonds to be redeemed;
- (4) a statement that on the redemption date the redemption price will become due and payable upon each such Bond or portion thereof called for redemption and that interest thereon shall cease to accrue from and after said date; and

(5) the place where such Bonds are to be surrendered for payment of the redemption price, which place of payment shall be the office designated for that purpose of the Bond Registrar.

*D. Bonds Shall Become Due.* Official notice of redemption having been given as described, the Bonds or portions of Bonds so to be redeemed shall, on the redemption date, become due and payable at the redemption price therein specified, and from and after such date (unless the Village shall default in the payment of the redemption price) such Bonds or portions of Bonds shall cease to bear interest. Upon surrender of such Bonds for redemption in accordance with said notice, such Bonds shall be paid by the Bond Registrar at the redemption price. The procedure for the payment of interest due as part of the redemption price shall be as herein provided for payment of interest otherwise due.

*E. Insufficiency in Notice Not Affecting Other Bonds; Failure to Receive Notice; Waiver.* Neither the failure to mail such redemption notice, nor any defect in any notice so mailed, to any particular registered owner of a Bond, shall affect the sufficiency of such notice with respect to other registered owners. Notice having been properly given, failure of a registered owner of a Bond to receive such notice shall not be deemed to invalidate, limit or delay the effect of the notice or redemption action described in the notice. Such notice may be waived in writing by a registered owner of a Bond entitled to receive such notice, either before or after the event, and such waiver shall be the equivalent of such notice. Waivers of notice by registered owners shall be filed with the Bond Registrar, but such filing shall not be a condition precedent to the validity of any action taken in reliance upon such waiver. *In lieu of the foregoing official notice, so long as the Bonds are held in Book Entry Form, notice may be given as provided in the Letter*

*of Representations, and the giving of such notice shall constitute a waiver by the Depository and the Book Entry Owner, as registered owner, of the foregoing notice. After giving proper notification of redemption to the Bond Registrar, as applicable, the Village shall not be liable for any failure to give or defect in notice.*

*F. New Bond in Amount Not Redeemed.* Upon surrender for any partial redemption of any Bond, there shall be prepared for the registered owner a new Bond or Bonds of like tenor, of authorized denominations, of the same maturity, and bearing the same rate of interest in the amount of the unpaid principal.

*G. Effect of Nonpayment upon Redemption.* If any Bond or portion of Bond called for redemption shall not be so paid upon surrender thereof for redemption, the principal shall become due and payable on demand, as aforesaid, but, until paid or duly provided for, bear interest from the redemption date at the rate borne by the Bond or portion of Bond so called for redemption.

*H. Bonds to be Cancelled; Payment to Identify Bonds.* All Bonds which have been redeemed shall be cancelled and destroyed by the Bond Registrar and shall not be reissued. Upon the payment of the redemption price of Bonds being redeemed, each check or other transfer of funds issued for such purpose shall bear the CUSIP number identifying, by issue and maturity, the Bonds being redeemed with the proceeds of such check or other transfer.

*I. Additional Notice.* The Village agrees to provide such additional notice of redemption as it may deem advisable at such time as it determines to redeem Bonds, taking into account any requirements or guidance of the Securities and Exchange Commission, the Municipal Securities Rule Making Board, the Government Accounting Standards Board, or any other federal or state agency having jurisdiction or authority in

such matters; *provided, however,* that such additional notice shall be (1) advisory in nature, (2) solely in the discretion of the Village (unless a separate agreement shall be made), (3) not be a condition precedent of a valid redemption or a part of the Bond contract, and (4) any failure or defect in such notice shall not delay or invalidate the redemption of Bonds for which proper official notice shall have been given. Reference is also made to the provisions of the Continuing Disclosure Undertaking of the Village with respect to the Bonds, which may contain other provisions relating to notice of redemption of Bonds.

*J. Bond Registrar to Advise Village.* As part of its duties hereunder, the Bond Registrar shall prepare and forward to the Village a statement as to notices given with respect to each redemption together with copies of the notices as mailed.]

*Section 10. Form of Bond.* The Bonds shall be in substantially the form hereinafter set forth; *provided, however,* that if the text of the Bonds is to be printed in its entirety on the front side of the Bonds, then the second paragraph on the front side and the legend "See Reverse Side for Additional Provisions" shall be omitted and the text of paragraphs set forth for the reverse side shall be inserted immediately after the first paragraph.

[FORM OF BOND - FRONT SIDE]

REGISTERED  
NO. \_\_\_\_\_

REGISTERED  
\$ \_\_\_\_\_

**UNITED STATES OF AMERICA  
STATE OF ILLINOIS  
THE COUNTY OF LAKE  
VILLAGE OF LAKE ZURICH  
GENERAL OBLIGATION BOND  
(WATERWORKS AND SEWERAGE SYSTEM  
ALTERNATE REVENUE SOURCE), SERIES 2013A**

See Reverse Side for  
Additional Provisions.

Interest      Maturity      Dated  
Rate: \_\_\_\_ %      Date: December 15, \_\_\_\_      Date: September 4, 2013      CUSIP: 511444 \_\_\_\_

Registered Owner:      CEDE & CO.

Principal Amount:      Dollars

KNOW ALL PERSONS BY THESE PRESENTS that the Village of Lake Zurich, Lake County, Illinois, a municipality and political subdivision of the State of Illinois (the "Village"), hereby acknowledges itself to owe and for value received promises to pay to the Registered Owner identified above, or registered assigns as hereinafter provided, on the Maturity Date identified above, the Principal Amount identified above, and to pay interest (computed on the basis of a 360-day year of twelve 30-day months) on such Principal Amount from the later of the Dated Date of this Bond identified above or from the most recent interest payment date to which interest has been paid or duly provided for, at the Interest Rate per annum identified above, such interest to be payable on June 15 and December 15 of each year, commencing June 15, 2014, until said Principal Amount is paid or duly provided for. The Principal Amount of this Bond is payable in lawful money of the United States of America upon presentation hereof at The Bank of New York Mellon Trust Company, National Association, with trust offices maintained for that purpose located in Chicago, Illinois, as paying agent and bond registrar (the "Bond Registrar"). Payment of interest shall be made to the Registered Owner hereof as shown on the registration

books of the Village maintained by the Bond Registrar at the close of business on the applicable Record Date (the "*Record Date*"). The Record Date shall be the first day of the month of any regular or other interest payment date occurring on the 15th day of any month [and 15 days preceding any interest payment date occasioned by the redemption of Bonds on other than the 15th day of a month]. Interest shall be paid by check or draft of the Bond Registrar, payable upon presentation in lawful money of the United States of America, mailed to the address of such Registered Owner as it appears on such registration books or at such other address furnished in writing by such Registered Owner to the Bond Registrar, or as otherwise agreed by the Village and the Bond Registrar for so long as this Bond is held by a qualified securities clearing corporation as depository, or nominee, in Book Entry Form as provided for same.

Reference is hereby made to the further provisions of this Bond set forth on the reverse hereof, and such further provisions shall for all purposes have the same effect as if set forth at this place.

It is hereby certified and recited that all acts, conditions, and things required to be done precedent to and in the issuance of this Bond have been done and have happened and have been performed in regular and due form of law; that the indebtedness of the Village, including the issue of Bonds of which this is one, does not exceed any limitation imposed by law; that provision has been made for the collection of the Pledged Revenues, the levy and collection of the 2013A Pledged Taxes, and the segregation of all Pledged Moneys to pay the interest hereon as it falls due and also to pay and discharge the principal hereof at maturity; and that the Village hereby covenants and agrees that it will properly account for said Pledged Moneys and will comply with all the covenants of and maintain the funds and accounts as provided by the Ordinance.

FOR THE PROMPT PAYMENT OF THIS BOND, BOTH PRINCIPAL AND INTEREST AT MATURITY, THE FULL FAITH, CREDIT AND RESOURCES OF THE VILLAGE ARE HEREBY IRREVOCABLY PLEDGED.

THE VILLAGE HAS DESIGNATED THIS BOND AS A "QUALIFIED TAX-EXEMPT OBLIGATION" PURSUANT TO SECTION 265(b)(3) OF THE INTERNAL REVENUE CODE OF 1986.

This Bond shall not be valid or become obligatory for any purpose until the certificate of authentication hereon shall have been signed by the Bond Registrar.

IN WITNESS WHEREOF the Village of Lake Zurich, Lake County, Illinois, by its President and Board of Trustees, has caused this Bond to be executed with the manual or duly authorized facsimile signature of its President and attested by the manual or duly authorized facsimile signature of its Village Clerk and its corporate seal or a facsimile thereof to be impressed or reproduced hereon, all as appearing hereon and as of the Dated Date identified above.

---

President  
Village of Lake Zurich  
Lake County, Illinois

ATTEST:

---

Village Clerk  
Village of Lake Zurich  
Lake County, Illinois

[SEAL]

## **CERTIFICATE OF AUTHENTICATION**

This Bond is one of the Bonds described in the within-mentioned Ordinance and is one of the General Obligation Bonds (Waterworks and Sewerage System Alternate Revenue Source), Series 2013A, of the Village of Lake Zurich, Lake County, Illinois.

Date of Authentication  
\_\_\_\_\_, 20\_\_\_\_

**THE BANK OF NEW YORK MELLON TRUST  
COMPANY, NATIONAL ASSOCIATION,  
as Bond Registrar**

By \_\_\_\_\_  
Authorized Officer

[FORM OF BOND - REVERSE SIDE]

**VILLAGE OF LAKE ZURICH  
LAKE COUNTY, ILLINOIS  
GENERAL OBLIGATION BOND  
(WATERWORKS AND SEWERAGE SYSTEM  
ALTERNATE REVENUE SOURCE), SERIES 2013A**

This bond is one of a series of bonds (the "*Bonds*") in the aggregate principal amount of \$\_\_\_\_\_ issued by the Village pursuant to applicable provisions of the Illinois Municipal Code, as amended (the "*Municipal Code*"), and the Local Government Debt Reform Act of the State of Illinois, as amended. In particular, the Bonds are issued pursuant to Division 139 of Article 11 of the Municipal Code for the purpose of paying a portion of the costs of repairing and equipping the waterworks and sewerage system of the Village, the specific projects as previously presented to and approved by the Corporate Authorities and currently on file with the Village (the "*System*").

The Bonds are payable from the Pledged Revenues of the System and also are payable from the 2013A Pledged Taxes of the Village. The Bonds are secured, ratably and equally, with certain heretofore issued and now Outstanding Prior Alternate Parity Bonds of the Village. The prior pledge of the Pledged Revenues as security for the payment of the Village's Junior IEPA

Loans is junior and subordinate to the pledge of the Pledged Revenues made for the payment of the Prior Alternate Parity Bonds and the Bonds. The Village is authorized to issue from time to time additional obligations as permitted by law and the Ordinance, as defined herein.

The Bonds are issued pursuant to authorizing ordinances passed by the President and Board of Trustees of the Village (the "*Corporate Authorities*") on the 1st day of July 2013, and by a more complete bond ordinance passed by the Corporate Authorities on the 19th day of August 2013 (the "*Ordinance*"), to which reference is hereby expressly made for further definitions and terms and to all the provisions of which the Registered Owner by the acceptance of this Bond assents.

THIS BOND DOES NOT AND WILL NOT CONSTITUTE AN INDEBTEDNESS OF THE VILLAGE WITHIN THE MEANING OF ANY CONSTITUTIONAL OR STATUTORY PROVISION OR LIMITATION, UNLESS THE 2013A PLEDGED TAXES SHALL BE EXTENDED PURSUANT TO THE GENERAL OBLIGATION, FULL FAITH AND CREDIT PROMISE SUPPORTING THE BONDS, IN WHICH CASE THE AMOUNT OF THE BONDS THEN OUTSTANDING SHALL BE INCLUDED IN THE COMPUTATION OF INDEBTEDNESS OF THE VILLAGE FOR PURPOSES OF ALL STATUTORY PROVISIONS OR LIMITATIONS UNTIL SUCH TIME AS AN AUDIT OF THE VILLAGE SHALL SHOW THAT THE BONDS SHALL HAVE BEEN PAID FROM THE PLEDGED REVENUES FOR A COMPLETE FISCAL YEAR.

This Bond is subject to provisions relating to [redemption,] registration, transfer and exchange and such other terms and provisions relating to security and payment as are set forth in the Ordinance, to which reference is hereby expressly made, and to all terms of which the registered owner hereof is hereby notified and shall be subject.

The Village and the Bond Registrar may deem and treat the Registered Owner hereof as the absolute owner hereof for the purpose of receiving payment of or on account of principal

hereof, premium, if any, hereon and interest due hereon and for all other purposes; and neither the Village nor the Bond Registrar shall be affected by any notice to the contrary.

#### ASSIGNMENT

FOR VALUE RECEIVED the undersigned sells, assigns and transfers unto

[Here insert Social Security Number, Employer Identification Number or other Identifying Number]

(Name and Address of Assignee)

the within Bond and does hereby irrevocably constitute and appoint

as attorney to transfer the said Bond on the books kept for registration thereof with full power of substitution in the premises.

Dated: \_\_\_\_\_

Signature guaranteed: \_\_\_\_\_

NOTICE: The signature to this transfer and assignment must correspond with the name of the Registered Owner as it appears upon the face of the within Bond in every particular, without alteration or enlargement or any change whatever.

*Section 11. Treatment of Bonds As Debt.* The Bonds shall be payable from the Pledged Moneys and do not and shall not constitute an indebtedness of the Village within the meaning of any constitutional or statutory limitation, unless the 2013A Pledged Taxes shall be extended pursuant to the general obligation, full faith and credit promise supporting the Bonds, as set forth in Section (16) hereof, in which case the amount of the Bonds then Outstanding shall be included in the computation of indebtedness of the Village for purposes of all statutory provisions or limitations until such time as an audit of the Village shall show that the Bonds have been paid from the Pledged Revenues for a complete Fiscal Year, in accordance with the Reform Act.

*Section 12. Continuation of Waterworks and Sewerage Fund and Accounts.* Upon the issuance of any of the Bonds, the System shall be operated on a Fiscal Year basis. All of the Revenues shall be set aside as collected and be deposited into that certain separate fund and in an account in a bank designated by the Corporate Authorities, which fund has heretofore been created and designated by the Corporate Authorities as the "Waterworks and Sewerage Fund" of the Village and is expressly continued hereunder, and which fund shall constitute a trust fund for the sole purpose of carrying out the covenants, terms, and conditions of the Prior Alternate Parity Ordinance, the Revenue Bond Ordinances and this Ordinance, and shall be used only in paying Operation and Maintenance Costs, providing an adequate depreciation fund, paying the principal of and interest on all revenue bonds of the Village which by their terms are payable from the Revenues derived from the System, and providing for the establishment of and expenditure from the respective accounts as hereinafter described and as described in the Prior Alternate Parity Ordinance, the Revenue Bond Ordinances, or other ordinances of the Village.

*Section 13. Flow of Funds; Investments.* In the Waterworks and Sewerage Fund, there are hereby continued those certain separate accounts known as the "Operation and Maintenance Account," the "Alternate Bond and Interest Account," and the "General Account," to which there shall be credited on a given day of each month as selected by the Corporate Authorities, without any further official action or direction, in the order in which said accounts are hereinafter mentioned, all moneys held in the Waterworks and Sewerage Fund, in accordance with the following provisions:

A. *Operation and Maintenance Account.* There shall be credited to the Operation and Maintenance Account an amount sufficient, when added to the amount then on deposit in said Account, to establish a balance to an amount not less than the amount necessary to pay Operation and Maintenance Costs for the System for the then

current month and up to the time of the next monthly accounting for moneys and crediting to accounts.

Amounts in said Account shall be used to pay such Operation and Maintenance Costs.

B. *Alternate Bond and Interest Account.* There shall next be paid into the Alternate Bond and Interest Account, in each month, a fractional amount of the interest becoming due on the next succeeding interest payment date on all Outstanding Alternate Bonds and a fractional amount of the principal becoming due on the next succeeding principal maturity date of all Outstanding Alternate Bonds until there shall have been accumulated in the Alternate Bond and Interest Account on or before the month preceding such maturity date of interest or principal, an amount sufficient to pay such principal or interest, or both, of all Outstanding Alternate Bonds. In computing the fractional amount to be set aside each month in said Alternate Bond and Interest Account, the fraction shall be so computed that sufficient funds will be set aside in said Account and will be available for the prompt payment of such principal of and interest on all Outstanding Alternate Bonds as the same will become due and shall not be less than such amount as will enable the abatement of 2013A Pledged Taxes prior to the Abatement Deadline, as such term is defined in Section (18) of this Ordinance, until there is sufficient money in said Account to pay such principal or interest or both. Credits to the Alternate Bond and Interest Account may be suspended at such time as there shall be a sufficient sum, held in cash and investments, in said Account to meet principal and interest requirements in said Account to and including the next principal payment date, but such credits shall be resumed thereafter and, further, at any time said sum is insufficient for such purpose. All moneys in said Account shall be used only for the

purpose of paying interest on and principal of Outstanding Alternate Bonds and Additional Bonds (as hereinafter provided). The Bonds are secured, ratably and equally, with the heretofore issued and now Outstanding Prior Alternate Parity Bonds of the Village. The prior pledge of the Pledged Revenues as security for the payment of the Village's Junior IEPA Loans is junior and subordinate to the pledge of the Pledged Revenues made for the payment of the Prior Alternate Parity Bonds and the Bonds.

C. *General Account.* All moneys remaining in the Waterworks and Sewerage Fund, after crediting the required amounts to the respective accounts hereinabove provided for, after crediting funds to any accounts as may be provided in the Prior Alternate Parity Ordinance and the Revenue Bond Ordinances and after paying the interest and principal becoming due on all Junior IEPA Loans of the Village, will be credited each month to the General Account. Funds in the General Account will be used, first, to make up any deficiencies in any of the accounts hereinabove named and to pay the interest and principal becoming due on all Junior IEPA Loans of the Village; and then, for the remainder of all Revenues, at the discretion of the Board, for any lawful System or Village purpose.

Moneys to the credit of the Waterworks and Sewerage Fund may be invested pursuant to any authorization granted to municipal corporations by Illinois statute or court decision, subject to provisions which may be set forth in the Revenue Bond Ordinances.

*Section 14. Subordination of the Bonds.* Revenue Bond Ordinances or other ordinances of the Village may provide for the funding of accounts in the Waterworks and Sewerage Fund having a prior and superior lien on Revenues to the lien of the Alternate Bond and Interest Account. Without limiting the meaning of this provision, such accounts may include an account or a series of accounts for the payment of and reserves for other bonds or obligations of the

Village payable from Revenues; operating reserve accounts; renewal, replacement, depreciation, emergency reserve and the like accounts; rate stabilization and like accounts; or capital project accounts. Any such Revenue Bond Ordinances, or if not applicable, future ordinances of the Village, may provide for the Bonds (and Additional Bonds) to be payable from such other or renamed account of the fund or funds related to the System as may be therein described; *provided, however*, that, in all events, the covenant to provide sufficient Pledged Revenues accumulating as herein provided shall continue to be met.

*Section 15. Waterworks and Sewerage Alternate Bond Fund.* There is hereby created a special fund of the Village, which fund shall be held by the Bond Registrar separate and apart from all other funds and accounts of the Village and shall be known as the "*Waterworks and Sewerage Alternate Bond Fund (2013A)*" (the "*Bond Fund*"). The purpose of the Bond Fund is to provide a fund to receive and disburse the 2013A Pledged Taxes for any (or all) of the Bonds. All payments made with respect to the Bonds from the Pledged Revenues shall be made directly from the Alternate Bond and Interest Account (or successor account as hereinabove provided). The Bond Fund constitutes a trust fund established for the purpose of carrying out the covenants, terms and conditions imposed upon the Village by this Ordinance and relating to 2013A Pledged Taxes. Any 2013A Pledged Taxes received by the Village for the Bonds shall promptly be deposited into the Bond Fund. Such 2013A Pledged Taxes on deposit in the Bond Fund shall be fully spent to pay the principal of and interest on the Bonds for which such taxes were levied and collected prior to use of any moneys on deposit in the Alternate Bond and Interest Account of the Waterworks and Sewerage Fund.

*Section 16. 2013A Pledged Taxes; Tax Levy.* The Bonds are alternate bonds. For the purpose of providing necessary funds to pay the principal of and interest on the Bonds, and as provided in Section 15 of the Reform Act, there is hereby levied upon all of the taxable property

within the Village, in the years for which any of the Bonds are Outstanding, a direct annual tax in amounts sufficient for that purpose, and there be and there hereby is levied upon all of the taxable property in the Village, in addition to all other taxes, the following direct annual taxes (the 2013A Pledged Taxes):

FOR THE YEAR	A TAX SUFFICIENT TO PRODUCE THE DOLLAR (\$) SUM OF:
2013	\$ for principal and interest up to and including December 15, 2014
2014	for principal and interest
2015	for principal and interest
2016	for principal and interest
2017	for principal and interest
2018	for principal and interest
2019	for principal and interest
2020	for principal and interest
2021	for principal and interest
2022	for principal and interest
2023	for principal and interest

Interest or principal coming due at any time when there are insufficient funds on hand from the Pledged Moneys to pay the same shall be paid promptly when due from current funds on hand in advance of the collection of the Pledged Moneys herein pledged and levied; and when the Pledged Moneys shall have been collected, reimbursement shall be made to said funds in the amount so advanced.

*Section 17. Filing with County Clerk.* After this Ordinance becomes effective, a copy hereof, certified by the Village Clerk, shall be filed with the County Clerk. Subject to abatement as provided in the text below, the County Clerk shall in and for each of the years required ascertain the rate percent required to produce the aggregate 2013A Pledged Taxes hereinbefore provided to be levied in each of said years; and the County Clerk shall extend the same for collection on the tax books in connection with other taxes levied in said years in and by the Village for general corporate purposes of the Village; and the County Clerk, or other appropriate

officers or designees, shall remit the 2013A Pledged Taxes for deposit to the credit of the Bond Fund; and in said years the 2013A Pledged Taxes shall be levied and collected by and for and on behalf of the Village in like manner as taxes for corporate purposes of the Village for said years are levied and collected, and in addition to and in excess of all other taxes. The 2013A Pledged Taxes are hereby irrevocably pledged to and shall be used only for the purpose of paying principal of and interest on the Bonds.

*Section 18. Abatement of 2013A Pledged Taxes.* For the purpose of providing Pledged Revenues in each year sufficient to pay debt service of all Outstanding Bonds for such year, the Village agrees and covenants to make provision therefor in the Village's annual budget and appropriation ordinance to be duly adopted by the Corporate Authorities, all in the manner, form and time as provided by law. Prior to the deadline for the timely annual abatement of the 2013A Pledged Taxes for the same, but in no event *earlier* than December 16th of the year in which such 2013A Pledged Taxes are levied (*i.e.*, the year prior to extension and collection) (the "*Abatement Deadline*"), the Treasurer of the Village shall deposit Pledged Revenues into the Alternate Bond and Interest Account in an amount necessary to provide for the payment of interest and principal coming due on the Bonds otherwise payable from the proceeds of such tax levy. Upon (but in no event prior to) the deposit of such moneys, the Corporate Authorities or the officers of the Village acting with proper authority shall direct the abatement of such levy of 2013A Pledged Taxes for the Bonds to the extent of the moneys so allocated and deposited.

*Section 19. General Covenants.* The Village covenants and agrees with the registered owners of the Bonds, so long as any Bonds remain Outstanding:

A. The Village hereby pledges the Pledged Revenues to the payment of the Bonds, and the Corporate Authorities covenant and agree to provide for, collect and apply the Pledged Revenues to the payment of the Outstanding Alternate Bonds and the

provision of not less than an additional 0.25 times debt service on such Outstanding Alternate Bonds, all in accordance with Section 15 of the Reform Act.

B. The Village will punctually pay or cause to be paid from the Alternate Bond and Interest Account and from the Bond Fund the principal of, interest on and premium, if any, to become due with respect to the Bonds in strict conformity with the terms of the Bonds and this Ordinance, and it will faithfully observe and perform all of the conditions, covenants and requirements thereof and hereof.

C. The Village will pay and discharge, or cause to be paid and discharged, from the Alternate Bond and Interest Account and the Bond Fund any and all lawful claims which, if unpaid, might become a lien or charge upon the Pledged Revenues or 2013A Pledged Taxes, or any part thereof, or upon any funds in the hands of the Bond Registrar, or which might impair the security of the Bonds. Nothing herein contained shall require the Village to make any such payment so long as the Village in good faith shall contest the validity of said claims.

D. The Village will keep, or cause to be kept, proper books of record and accounts, separate from all other records and accounts of the Village, in which complete and correct entries shall be made of all transactions relating to the Pledged Revenues, related 2013A Pledged Taxes, the Alternate Bond and Interest Account and the Bond Fund.

E. The Village will preserve and protect the security of the Bonds and the rights of the registered owners of the Bonds, and will warrant and defend their rights against all claims and demands of all persons. From and after the sale and delivery of any of the Bonds by the Village, the Bonds shall be incontestable by the Village.

F. The Village will adopt, make, execute, and deliver any and all such further ordinances, resolutions, instruments and assurances as may be reasonably necessary or proper to carry out the intention of, or to facilitate the performance of, the Prior Alternate Parity Ordinance and this Ordinance, and for the better assuring and confirming unto the registered owners of the Bonds of the rights and benefits provided in this Ordinance.

G. As long as any Bonds are Outstanding, the Village will continue to deposit the Pledged Revenues to the Alternate Bond and Interest Account (or successor account) and, if necessary, the 2013A Pledged Taxes to the Bond Fund. The Village covenants and agrees with the purchasers of the Bonds and with the registered owners thereof that so long as any Bonds remain Outstanding, the Village will take no action or fail to take any action which in any way would adversely affect the ability of the Village to levy the 2013A Pledged Taxes and to collect and to segregate the Pledged Moneys. The Village and its officers will comply with all present and future applicable laws in order to assure that the 2013A Pledged Taxes can be levied and extended and that the Pledged Revenues and the 2013A Pledged Taxes may be collected and deposited to the Alternate Bond and Interest Account and the Bond Fund, respectively, as provided herein.

H. Once issued, the Bonds shall be and forever remain until paid or defeased the general obligation of the Village, for the payment of which its full faith and credit are pledged, and shall be payable, in addition to the Pledged Revenues, from the levy of the 2013A Pledged Taxes as provided in the Reform Act.

I. The Village will maintain the System in good repair and working order, will operate the same efficiently and faithfully, and will punctually perform all duties with respect thereto required by the Constitution and laws of the State of Illinois and the United States.

J. The Village will establish and maintain at all times reasonable fees, charges, and rates for the use and service of the System and will provide for the collection thereof and the segregation and application of the Revenues in the manner provided by this Ordinance, sufficient at all times to pay Operation and Maintenance Costs, to provide an adequate depreciation fund, to pay the principal of and interest on all revenue bonds of the Village which by their terms are payable from the Revenues, and to provide for the creation and maintenance and funding of the respective accounts as provided in Section (13) of this Ordinance; it is hereby expressly provided that the pledge and establishment of rates or charges for use of the System shall constitute a continuing obligation of the Village with respect to such establishment and a continuing appropriation of the amounts received.

K. There shall be charged against all users of the System, including the Village, such rates and amounts for waterworks and sewerage services as shall be adequate to meet the requirements of this section. Charges for services rendered the Village shall be made against the Village, and payment for the same shall be made monthly from the corporate funds into the Waterworks and Sewerage Fund as revenues derived from the operation of the System; *provided however*, that the Village need not charge itself for such services if in the previous Fiscal Year Revenues, not including any payments made by the Village, shall have met the requirements of this Ordinance.

*Section 20. Future Revenue Bonds and Additional Bonds.* The Village reserves the right to issue Future Revenue Bonds and Additional Bonds in accordance with Section (14) of this Ordinance.

*Section 21. Sale of the Bonds; Official Statement.* The Bonds hereby authorized shall be sold and executed as in this Ordinance provided as soon after the passage hereof as may be,

and thereupon be deposited with the Treasurer of the Village, and be by said Treasurer delivered to the Purchaser upon receipt of the Purchase Price therefor. The Purchase Contract (in the form of an Official Bid Form and Official Notice of Sale) submitted by the Purchaser, and presented to the Corporate Authorities at this meeting, as executed by the Purchaser, is hereby in all respects approved and confirmed, and the officer(s) of the Village designated therein are authorized and directed to execute the contract (bid form) on behalf of the Village, it being hereby declared and determined that the Purchase Contract is in the best interests of the Village and that, to the best of the knowledge and belief of the members of the Corporate Authorities so voting hereon, after due inquiry, no person holding an office of the Village, either by election or appointment, is in any manner financially interested, either directly, in his or her own name, or indirectly, in the name of any other person, association, trust or corporation, in said contract for the sale of the Bonds to the Purchaser. The Preliminary "Deemed Final" Official Statement of the Village, dated August \_\_\_, 2013, relating to the Bonds, presented to the Corporate Authorities, is hereby ratified and approved. The Official Statement of the Village, to be provided within seven business days of the date hereof, relating to the Bonds (the "*Official Statement*"), is hereby authorized, and the Purchaser is hereby authorized on behalf of the Village to distribute copies of the Official Statement to the ultimate purchasers of the Bonds. Such officer or officers of the Village as are designated therein are hereby authorized to execute and deliver the Official Statement on behalf of the Village.

*Section 22. Use of Proceeds.* The proceeds derived from the sale of the Bonds shall be used as follows:

A. Accrued interest shall be deposited to the credit of the Alternate Bond and Interest Account and applied to pay first interest due on such Bonds.

B. The amount necessary of the proceeds of the Bonds shall be deposited into a separate fund, hereby created, designated the "*Expense Fund*" to be used to pay expenses of issuance of Bonds. Disbursements from such fund shall be made from time to time upon the direction of the Corporate Authorities. Any excess in said fund shall be deposited into the Project Fund after six months from the date of issuance of the Bonds.

C. The remaining proceeds shall be set aside in a separate fund hereby created and designated as the "*2013A Waterworks and Sewerage Project Fund*" (the "*Project Fund*"), which the Village shall maintain as a separate and segregated account. Moneys in said fund shall be withdrawn from time to time as needed for the payment of costs of the Project and paying the fees and expenses incidental thereto not paid out of the Expense Fund. Funds on deposit in the Project Fund may be invested by the Treasurer of the Village in any lawful manner. All investment earnings shall first be reserved and transferred to such other account as and to the extent necessary to pay any "excess arbitrage profits" or "penalty in lieu of rebate" under Code Section 148 to maintain the Tax-exempt status of the Bonds, and the remainder shall be retained in the fund or account in which earned. Within sixty (60) days after full depletion of the Project Fund, or if the Project has been fully completed and paid for, the Treasurer of the Village shall certify to the Corporate Authorities the fact of such depletion or the Village engineer shall certify to such completion and payment, and upon approval of such certification by the Corporate Authorities, funds (if any) which remain shall be transferred to the Alternate Bond and Interest Account, and the Project Fund shall be closed.

D. Alternatively to the creation of the Expense Fund and the Project Fund, the appropriate officers of the Village may allocate the Bond proceeds to one or more related funds or accounts of the Village already in existence; *provided, however*, that this shall

not relieve such officers of the duty to account for the funds for payment of the Bonds and the Bond proceeds as herein provided.

*Section 23. Bond Registrar Covenants.* If requested by the Bond Registrar, and upon approval as to form by the Village Attorney, the President and Village Clerk are authorized to execute a mutually agreed-upon form of agreement between the Village and the Bond Registrar with respect to the obligations and duties of the Bond Registrar hereunder. In addition to the terms of such agreement and subject to modification thereby, the Bond Registrar by acceptance of duties hereunder agrees:

- (a) to act as bond registrar, authenticating agent, paying agent and transfer agent as provided herein;
- (b) to maintain a list of Bondholders as set forth herein and to furnish such list to the Village upon request, but otherwise to keep such list confidential to the extent permitted by law;
- [ (c) to give notice of redemption of Bonds as provided herein; ]
- [(c)][ (d) to cancel and/or destroy Bonds which have been paid at maturity [or upon earlier redemption] or submitted for exchange or transfer;
- [(d)][ (e) to furnish the Village at least annually a certificate with respect to Bonds cancelled and/or destroyed; and
- [(e)][ (f) to furnish the Village at least annually an audit confirmation of Bonds paid, Outstanding Bonds and payments made with respect to interest on the Bonds.

The Village Clerk is hereby directed to file a certified copy of this Ordinance with the Bond Registrar.

The Village covenants with respect to the Bond Registrar, and the Bond Registrar further covenants and agrees as follows:

A. The Village shall at all times retain a Bond Registrar with respect to the Bonds; it will maintain at the designated office(s) of such Bond Registrar a place or places where Bonds may be presented for payment, registration, transfer or exchange; and it will require that the Bond Registrar properly maintain the Bond Register and perform the other duties and obligations imposed upon it by this Ordinance in a manner consistent with the standards, customs and practices of the municipal securities industry.

B. The Bond Registrar shall signify its acceptance of the duties and obligations imposed upon it by this Ordinance by executing the certificate of authentication on any Bond, and by such execution the Bond Registrar shall be deemed to have certified to the Village that it has all requisite power to accept and has accepted such duties and obligations not only with respect to the Bond so authenticated but with respect to all the Bonds. Any Bond Registrar shall be the agent of the Village and shall not be liable in connection with the performance of its duties except for its own negligence or willful wrongdoing. Any Bond Registrar shall, however, be responsible for any representation in its certificate of authentication on Bonds.

C. The Village may remove the Bond Registrar at any time. In case at any time the Bond Registrar shall resign, shall be removed, shall become incapable of acting, or shall be adjudicated a bankrupt or insolvent, or if a receiver, liquidator, or conservator of the Bond Registrar or of the property thereof shall be appointed, or if any public officer shall take charge or control of the Bond Registrar or of the property or affairs thereof, the Village covenants and agrees that it will thereupon appoint a successor Bond Registrar. The Village shall give notice of any such appointment made by it to each registered owner of any Bond within twenty days after such appointment in the same manner, or as nearly the same as may be practicable, as for a redemption of Bonds. Any Bond Registrar

appointed under the provisions of this Section shall be a bank, trust company, or national banking association maintaining a corporate trust office in Illinois or in the Borough of Manhattan, New York, New York; and having capital and surplus and undivided profits in excess of \$100,000,000.

*Section 24. General Tax Covenants.* The Village hereby covenants that it will not take any action, omit to take any action or permit the taking or omission of any action within its control (including, without limitation, making or permitting any use of the proceeds of the Bonds) if taking, permitting, or omitting to take such action would cause any of the Bonds to be an arbitrage bond or a private activity bond within the meaning of the Code or would otherwise cause the interest on the Bonds to be included in the gross income of the recipients thereof for federal income tax purposes. The Village acknowledges that, in the event of an examination by the Internal Revenue Service of the exemption from Federal income taxation for interest paid on the Bonds, under present rules, the Village may be treated as a "taxpayer" in such examination and agrees that it will respond in a commercially reasonable manner to any inquiries from the Internal Revenue Service in connection with such an examination. In furtherance of the foregoing provisions, but without limiting their generality, the Village agrees: (a) through its officers, to make such further specific covenants, representations as shall be truthful, and assurances as may be necessary or advisable; (b) to comply with all representations, covenants, and assurances contained in certificates or agreements as may be prepared by counsel approving the Bonds; (c) to consult with such counsel and to comply with such advice as may be given; (d) to file such forms, statements, and supporting documents as may be required and in a timely manner; and (e) if deemed necessary or advisable by its officers, to employ and pay fiscal agents, financial advisors, attorneys, and other persons to assist the Village in such compliance.

**Section 25. Certain Specific Tax Covenants.** The Village makes the following specific covenants:

A. None of the Bonds shall be a "private activity bond" as defined in Section 141(a) of the Code; and the Village certifies, represents, and covenants as follows:

- (1) Not more than 5% of the net sale proceeds of the Bonds is to be used, directly or indirectly, in any trade or business carried on by any person other than a state or local governmental unit.
- (2) Not more than 5% of the amounts necessary to pay the principal of and interest on the Bonds will be derived, directly or indirectly, from payments with respect to any private business use by any person other than a state or local governmental unit.
- (3) None of the proceeds of the Bonds is to be used, directly or indirectly, to make or finance loans to persons other than a state or local governmental unit.
- (4) Except as permitted by reference to paragraph A(1) of this Section, no user of the land or infrastructure of the Village to be improved as part of the Project, other than the Village or another governmental unit, will use the same on any basis other than the same basis as the general public; and no person, other than the Village or another governmental unit, will be a user of such land or infrastructure as a result of (i) ownership or (ii) actual or beneficial use pursuant to a lease, a management or incentive payment contract other than as expressly permitted by the Code, or (iii) any other arrangement.

B. The Bonds shall not be "arbitrage bonds" under Section 148 of the Code; and the Village certifies, represents, and covenants as follows:

- (1) With respect to the Project, the Village has heretofore incurred or within six months after delivery of the Bonds expects to incur substantial binding obligations to be paid for with money received from the sale of the Bonds, said binding obligations

comprising binding contracts for the Project in not less than the amount of 5% of the proceeds of the Bonds.

(2) The Village expects that more than 85% of the proceeds of the Bonds will be expended on or before three years for the purpose of paying the costs of the Project.

(3) The Village expects that all of the principal proceeds of the Bonds and investment earnings thereon will be used, needed, and expended for the purpose of paying the costs of the Project, including expenses incidental thereto.

(4) Work on the Project is expected to proceed with due diligence to completion.

(5) Except for the Alternate Bond and Interest Account of the Waterworks and Sewerage Fund and for the Bond Fund (collectively referred to in this paragraph as the "*Debt Service Fund*"), the Village has not created or established and will not create or establish any sinking fund, reserve fund, or any other similar fund to provide for the payment of the Bonds. The Debt Service Fund has been established and will be funded in a manner primarily to achieve a proper matching of revenues and debt service and will be depleted at least annually to an amount not in excess of 1/12th the particular annual debt service on the Bonds. Money deposited into the Debt Service Fund will be spent within a 13-month period beginning on the date of deposit, and investment earnings in the Debt Service Fund will be spent or withdrawn from the Debt Service Fund within a one-year period beginning on the date of receipt.

(6) Amounts of money related to the Bonds required to be invested at a yield not materially higher than the yield on the Bonds, as determined pursuant to such tax certifications or agreements as the Village officers may make in connection with the

issuance of the Bonds, shall be so invested; and appropriate Village officers are hereby authorized and directed to make such investments.

(7) Unless an applicable exception to Section 148(f) of the Code, relating to the rebate of "excess arbitrage profits" to the United States Treasury (the "*Rebate Requirement*") is available to the Village, the Village will meet the Rebate Requirement. Since the Small Issuer Exception to the Rebate Requirement (as such exception is further detailed in Section 4.6 of the Tax Exemption Certificate and Agreement, dated September 4, 2013) applies, it will not be necessary for the Village to meet the Rebate Requirement for purposes of this transaction.

(8) Relating to other applicable exceptions, any Village officer charged with issuing the Bonds is hereby authorized to make such elections under the Code as such officer shall deem reasonable and in the best interests of the Village.

C. None of the proceeds of the Bonds will be used to pay, directly or indirectly, in whole or in part, for an expenditure that has been paid by the Village prior to the date hereof except architectural or engineering costs incurred prior to commencement of any of the Project or expenditures for which an intent to reimburse has been properly declared under Treasury Regulations Section 1.150-2. This Ordinance is in itself a declaration of official intent under Treasury Regulations Section 1.150-2 as to all costs of the Project paid after the date hereof and prior to issuance of the Bonds.

D. The Village reserves the right to use or invest moneys in connection with the Bonds in any manner, or to make changes in the Project, or to use the System or land or infrastructure repaired or improved as part of the Project in any manner, notwithstanding the representations and covenants in Sections (24) and (25) herein, provided it shall first have received an opinion from an attorney or a firm of attorneys of nationally recognized standing in matters pertaining to

Tax-exempt bonds to the effect that use or investment of such moneys or the changes in or use of such infrastructure as contemplated and will not result in loss or impairment of Tax-exempt status for the Bonds.

E. Each Bond is hereby designated as a "*qualified tax-exempt obligation*" for the purposes and within the meaning of Section 265(b)(3) of the Code. In support of such designation, the Village hereby certifies that (i) none of the Bonds will be at any time a "private activity bond" (as defined in Section 141 of the Code); (ii) in calendar year 2013, the Village has not issued any Tax-exempt obligations of any kind, nor have any other Tax-exempt obligations of any kind been issued on behalf of the Village; and (iii) during calendar year 2013, the Village will not issue or cause to have issued on behalf of the Village more than \$10,000,000 of Tax-exempt obligations of any kind (including the Bonds) that will be designated for purposes of Section 265(b)(3) of the Code; and (iv) the Village is not subject to control by any entity, and there are no entities subject to control by the Village.

*Section 26. Continuing Disclosure Undertaking.* The President or Treasurer of the Village is hereby authorized, empowered, and directed to execute and deliver the Continuing Disclosure Undertaking (the "*Continuing Disclosure Undertaking*") in the customary form as provided by counsel approving the Bonds and approved by the Village Attorney. When the Continuing Disclosure Undertaking is executed and delivered on behalf of the Village as herein provided, the Continuing Disclosure Undertaking will be binding upon the Village and the officers, employees and agents of the Village; and the officers, employees and agents of the Village are hereby authorized, empowered and directed to do all such acts and things and to execute all such documents as may be necessary to carry out and comply with the provisions of the Continuing Disclosure Undertaking as executed. Notwithstanding any other provision of this Ordinance, the sole remedies for failure to comply with the Continuing Disclosure Undertaking

shall be the ability of the beneficial owner of any Bond to seek mandamus or specific performance by court order, to cause the Village to comply with its obligations under the Continuing Disclosure Undertaking.

*Section 27. Defeasance.* Bonds which are no longer Outstanding Bonds as defined in this Ordinance shall cease to have any lien on or right to receive or be paid from Pledged Revenues or the 2013A Pledged Taxes and shall no longer have the benefits of any covenant for the registered owners of Outstanding Bonds as set forth herein as such relates to lien and security for the Bonds in the Pledged Revenues or the 2013A Pledged Taxes.

*Section 28. This Ordinance a Contract.* The provisions of this Ordinance shall constitute a contract between the Village and the registered owners of the Outstanding Bonds, and no changes, additions or alterations of any kind shall be made hereto, except as herein provided, so long as there are any Outstanding Bonds.

*Section 29. Severability.* If any section, paragraph, clause or provision of this Ordinance shall be held invalid, the invalidity of such section, paragraph, clause or provision shall not affect any of the other provisions of this Ordinance.

*Section 30. Repealer.* All ordinances, resolutions or orders, or parts thereof, in conflict herewith, are to the extent of such conflict hereby repealed.

*Section 31. Publication.* A full, true and correct copy of this Ordinance shall be published within ten days after passage in pamphlet form by authority of the Corporate Authorities and shall be immediately effective upon its passage and approval.

PASSED by the Corporate Authorities on the 19th day of August 2013.

**AYE:** \_\_\_\_\_

\_\_\_\_\_

**NAY:** \_\_\_\_\_

**ABSENT:** \_\_\_\_\_

APPROVED: August 19, 2013.

\_\_\_\_\_ President

PUBLISHED in pamphlet form by authority of the Corporate Authorities on August \_\_, 2013.

RECORDED in the Village Records on August 19, 2013.

ATTEST:

\_\_\_\_\_ Village Clerk

[SEAL]

STATE OF ILLINOIS      )  
                            ) SS  
COUNTY OF LAKE      )

**CERTIFICATE OF PUBLICATION IN PAMPHLET FORM**

I, the undersigned, do hereby certify that I am the duly qualified and acting Village Clerk of the Village of Lake Zurich, Lake County, Illinois (the "Village"), and as such official I am the keeper of the official journal of proceedings, books, records, minutes, and files of the Village and of the President and Board of Trustees thereof (the "Corporate Authorities").

I do further certify that on the \_\_\_\_\_ day of August 2013, there was published in pamphlet form, by authority of the Corporate Authorities, a true, correct and complete copy of Ordinance Number \_\_\_\_\_ entitled:

AN ORDINANCE authorizing and providing for the issuance of \$\_\_\_\_\_ General Obligation Bonds (Waterworks and Sewerage System Alternate Revenue Source), Series 2013A, of the Village of Lake Zurich, Lake County, Illinois, for the purpose of providing for improvements to said system, prescribing the details of said bonds and providing for collection, segregation and distribution of the waterworks and sewerage system revenues.

adopted by the Corporate Authorities on the 19th day of August 2013, and that said ordinance as so published was on said date readily available for public inspection and distribution, in sufficient number to meet the needs of the general public, at my office as Village Clerk in Lake Zurich, Illinois.

IN WITNESS WHEREOF I have affixed hereto my official signature and the seal of the Village this 19th day of August 2013.

---

Village Clerk

[SEAL]

STATE OF ILLINOIS      )  
                            ) SS  
COUNTY OF LAKE      )

**FILING CERTIFICATE**

I, the undersigned, do hereby certify that I am the duly qualified and acting County Clerk of The County of Lake, Illinois, (the "County"), and as such officer I do hereby certify that on the \_\_\_\_\_ day of \_\_\_\_\_ 2013, there was filed in my office a duly certified copy of Ordinance Number \_\_\_\_\_ and entitled:

AN ORDINANCE authorizing and providing for the issuance of \$ \_\_\_\_\_ General Obligation Bonds (Waterworks and Sewerage System Alternate Revenue Source), Series 2013A, of the Village of Lake Zurich, Lake County, Illinois, for the purpose of providing for improvements to said system, prescribing the details of said bonds and providing for collection, segregation and distribution of the waterworks and sewerage system revenues.

passed by the President and Board of Trustees of the Village of Lake Zurich, Lake County, Illinois, on the 19th day of August 2013, and approved by the President of said Village; and that the same has been deposited in (and all as appearing from) the official files and records of my office.

IN WITNESS WHEREOF I hereunto affix my official signature and the seal of the County this \_\_\_\_\_ day of \_\_\_\_\_ 2013.

---

County Clerk of  
The County of Lake, Illinois

[SEAL]

EXTRACT OF MINUTES of a regular public meeting of the President and Board of Trustees of the Village of Lake Zurich, Lake County, Illinois, held in the Village Hall, located at 70 East Main Street, Lake Zurich Illinois, in said Village, at 7:00 p.m., on the 19th day of August 2013.

The President called the meeting to order and directed the Village Clerk to call the roll.

Upon the roll being called, the President, Thomas Poynton, and the following Trustees were physically present at said location: \_\_\_\_\_

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The following Trustees were allowed by a majority of the members of the Board of Trustees in accordance with and to the extent allowed by rules adopted by the President and Board of Trustees to attend the meeting by video or audio conference: \_\_\_\_\_

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No Trustee was not permitted to attend the meeting by video or audio conference.

The following Trustees were absent and did not participate in the meeting in any manner or to any extent whatsoever: \_\_\_\_\_

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The President and Board of Trustees then discussed the status of the proposed project for improving the existing waterworks and sewerage system of said Village. Financing for the project was discussed.

Thereupon, Trustee \_\_\_\_\_ presented an ordinance entitled:

AN ORDINANCE authorizing and providing for the issuance of \$\_\_\_\_\_ General Obligation Bonds (Waterworks and Sewerage System Alternate Revenue Source), Series 2013A, of the Village of Lake Zurich, Lake County, Illinois, for the purpose of providing for improvements to said system, prescribing the details of said bonds and providing for collection, segregation and distribution of the waterworks and sewerage system revenues.

A copy of such ordinance was made available to all in attendance at said meeting who requested a copy. Trustee \_\_\_\_\_ moved and Trustee \_\_\_\_\_ seconded the motion that said ordinance as presented be adopted.

After a full and complete discussion thereof, including a public recital of the nature of the matter being considered and such other information as would inform the public of the business being conducted, the President directed that the roll be called for a vote upon the motion to adopt the ordinance.

Upon the roll being called, the following Trustees voted

AYE: \_\_\_\_\_  
\_\_\_\_\_

NAY: \_\_\_\_\_

ABSENT: \_\_\_\_\_

Whereupon the President declared the motion carried and the ordinance adopted, and henceforth did approve and sign the same in open meeting and did direct the Village Clerk to record the same in full in the records of the President and Board of Trustees of the Village of Lake Zurich, Lake County, Illinois.

Other business not pertinent to the adoption of said ordinance was duly transacted at said meeting.

Upon motion duly made and seconded, the meeting was adjourned.

---

Village Clerk

STATE OF ILLINOIS      )  
                            ) SS  
COUNTY OF LAKE      )

**CERTIFICATION OF AGENDA, ORDINANCE AND MINUTES**

I, the undersigned, do hereby certify that I am the duly qualified and acting Village Clerk of the Village of Lake Zurich, Lake County, Illinois (the "*Village*"), and as such officer I am the keeper of the books, records, files, and journal of proceedings of the Village and of the President and Board of Trustees thereof (the "*Corporate Authorities*").

I do further certify that the foregoing constitutes a full, true, and complete transcript of the minutes of the legally convened meeting (the "*Meeting*") of the Corporate Authorities held on the 19th day of August 2013 insofar as same relates to the adoption of Ordinance Number \_\_\_\_\_ entitled:

AN ORDINANCE authorizing and providing for the issuance of \$\_\_\_\_\_ General Obligation Bonds (Waterworks and Sewerage System Alternate Revenue Source), Series 2013A, of the Village of Lake Zurich, Lake County, Illinois, for the purpose of providing for improvements to said system, prescribing the details of said bonds and providing for collection, segregation and distribution of the waterworks and sewerage system revenues.

(the "*Ordinance*") a true, correct, and complete copy of which Ordinance as adopted at the Meeting is attached to this Certificate.

I do further certify that the deliberations of the Corporate Authorities on the adoption of the Ordinance were taken openly; that the vote on the adoption of the Ordinance was taken openly; that the Meeting was held at a specified time and place convenient to the public; that notice of the Meeting was duly given to all newspapers, radio or television stations and other news media requesting such notice; that an agenda (the "*Agenda*") for the Meeting, which **Agenda contained a separate specific item concerning the proposed adoption of the Ordinance**, was posted at the location where said Meeting was held and at the principal office of

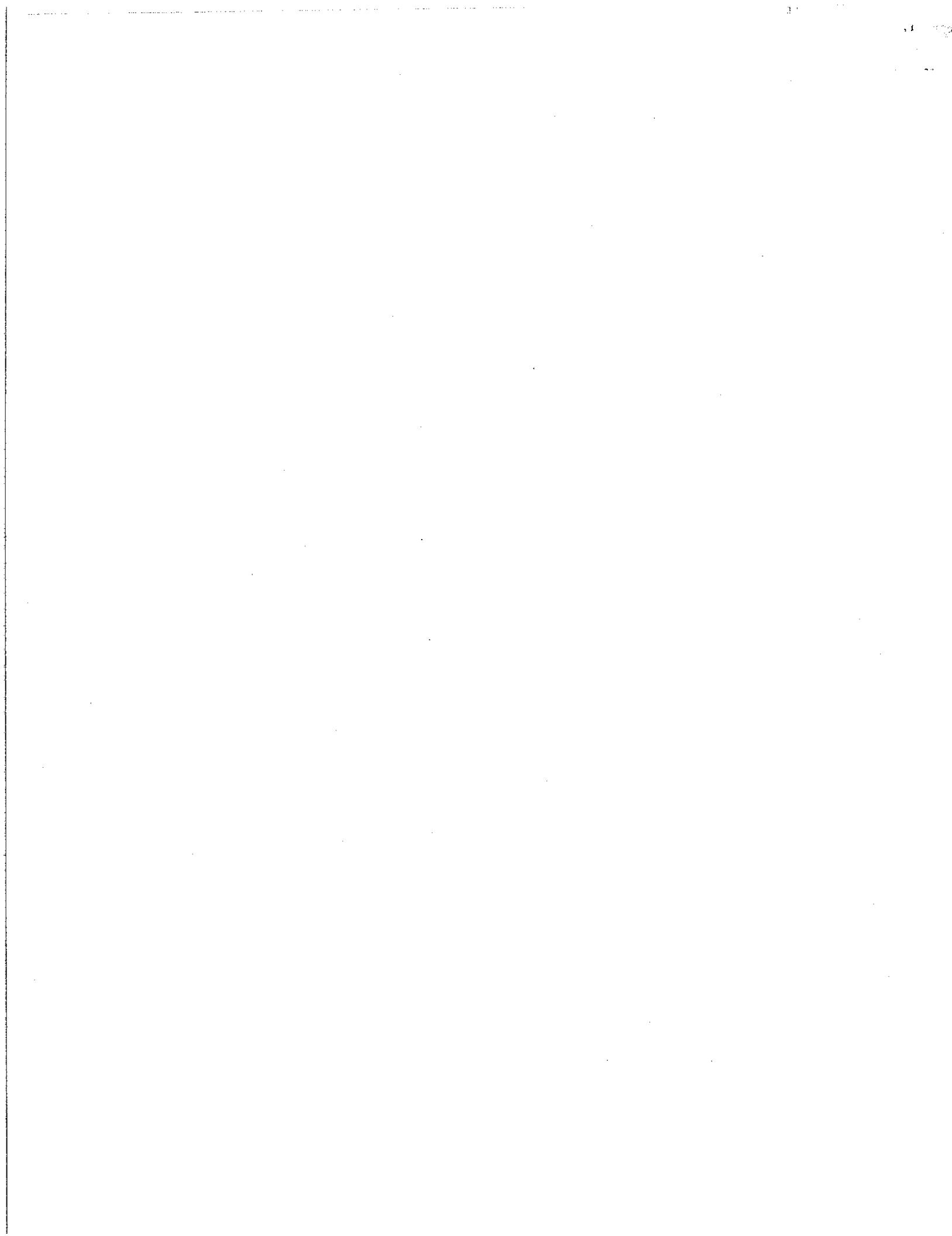
the Corporate Authorities from at least **48 hours** in advance of the holding of the Meeting and also not later than 5:00 p.m. on the Friday before the Meeting and remained continuously so posted until adjournment of the Meeting; **that attached as *Exhibit A* hereto is a true, correct and complete copy of the Agenda**; that at least one copy of the Agenda was continuously available for public review from the time of such posting until said Meeting; and that the Meeting was called and held in strict compliance with the provisions of the Open Meetings Act of the State of Illinois, as amended, the Local Government Debt Reform Act of the State of Illinois, as amended, and the Illinois Municipal Code, as amended; and that the Corporate Authorities have complied with all of the provisions of said Acts and said Code and with all of the procedural rules of the Corporate Authorities in the adoption of the Ordinance.

IN WITNESS WHEREOF I have hereunto affixed my official signature and the seal of the Village this 19th day of August 2013.

[SEAL]

---

Village Clerk  
Village of Lake Zurich  
Lake County, Illinois



70 E. Main Street  
Lake Zurich, IL 60047



Phone: (847) 438-5141  
Fax: (847) 540-1768  
Web: [www.LakeZurich.org](http://www.LakeZurich.org)

## MEMORANDUM

Date: August 12, 2013  
To: Jason T. Slowinski, Village Manager  
From: Steve Schmitt, Utilities Superintendent  
Subject: Agenda item for Village Board meeting on August 19, 2013

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### Issue: 1.5 Million Gallon Church Street Elevated Tank Repainting

**Analysis:** Our current budget includes \$500,000 to complete repairs and repaint the 1.5 Million Gallon Church Street Elevated Tank based on an inspection performed by Dixon Engineering Inc. on November 10, 2010. Public works requested bid proposals based on plans and specifications prepared by Dixon Engineering Inc. and the bid opening occurred on August 8, 2013. Eight bid proposals were received and were very favorable for the Village. Dixon Engineering Inc. has qualified and recommends award to the low bidder, Horizon Brothers Painting Corp., Inc. of Howell, Michigan in the amount of \$326,250.

**Recommendation:** I recommend that the Village award Horizon Brothers Painting Corp., Inc. of Howell, Michigan a contract to repair and repaint the 1.5 Million Gallon Church Street Elevated Tank in the amount of \$326,250.

w/ Attachments: Recommendation For Award by Dixon Engineering, Inc. (1 page)

August 12, 2013

Mr. Steve Schmitt, Utilities Operations Superintendent  
Village of Lake Zurich  
70 East Main St.  
Lake Zurich, IL 60047

Subject: 1.5 Million Gallon Church Street Elevated Tank Repainting – Recommendation For Award

Dear Steve:

Dixon Engineering has reviewed the bids submitted for repainting of the Village's 1.5 million gallon Church Street elevated tank, and recommends award to the low bidder, Horizon Brothers Painting of Howell, MI, for the base bid amount of \$326,250. This includes all line items and is below our project estimate of \$373,500 from our 2010 inspection report. The base bid is for the fall completion schedule. I have spoken with company president Dino Gjolaj and he confirmed his firm is able to complete the work this fall. Horizon Brothers is a prequalified contractor with Dixon for projects of this scope and has completed many similar projects.

Enclosed for your review and approval are three copies of the Notice of Award. After Village approval, please sign and forward all three copies to the contractor for signature. Instruct the contractor to return one copy to the Village, forward a copy to our office, and retain a copy for his files. Also, please notify the contractor to forward to our office the required bonds and certificate(s) of insurance for inclusion in the contract documents.

If you have any questions regarding our recommendation, please contact me at (616) 374-3221, Ext. 303.

FOR DIXON ENGINEERING, INC.,

Ira M. Gabin, P.E.  
Vice President

## NOTICE of AWARD

To: **Horizon Brothers Painting Corp., Inc.  
1053 Kendra Lane  
Howell, MI 48843**

Contract: **Village of Lake Zurich, Illinois  
1,500,000 Gallon Spheroid (Church Street)  
Exterior Overcoat  
Wet Interior Repaint  
Dry Interior Partial Repaint  
and Miscellaneous Repairs**

Project Scope (selected line items):

**Overflow Flap Gate (\$2,000)  
Roof Hatch Hasp (\$500)  
Mud Valve (\$5,000)  
Painter's Rail (\$9,000)  
Roof Vent (\$5500)  
Exterior Overcoat (\$131,500)  
Wet Interior Repaint (\$147,750)  
Dry Interior Partial Repaint (\$23,000)  
Seam Sealer (\$2,000)**

The Owner has considered the Bid submitted by you for the above described work in response to its Advertisement for Bids and Information for Bidders dated August 8, 2013.

You are hereby notified that your Bid has been accepted for the line items listed in the Project Scope in the amount of \$326,250.

You are required by the Information for Bidders to execute the Agreement and furnish the required Contractor's Performance Bond, Payment Bond, and Certificate(s) of Insurance within ten (10) calendar days from the date of this Notice to you. If you fail to execute said Agreement and to furnish said Bonds within ten days from the date of this Notice, said Owner will be entitled to consider all your rights arising out of the Owner's acceptance of your Bid as abandoned and as a forfeiture of your Bid Bond. The Owner will be entitled to such other rights as may be granted by law.

You are required to return an acknowledged copy of this NOTICE of AWARD to the Owner.

Dated this the \_\_\_\_\_ day of \_\_\_\_\_, 2013.

**VILLAGE OF LAKE ZURICH, ILLINOIS**

**By** \_\_\_\_\_

**Title** \_\_\_\_\_

**ACCEPTANCE of NOTICE**

Receipt of the above NOTICE of AWARD is hereby acknowledged by Horizon Brothers Painting Corp., Inc. this the \_\_\_\_\_ day of \_\_\_\_\_, 2013.

**HORIZON BROTHERS PAINTING CORP., INC.**

**By** \_\_\_\_\_

**Title** \_\_\_\_\_

70 E. Main Street  
Lake Zurich, IL 60047



AGENDA ITEM

8D

Phone: (847) 438-5141  
Fax: (847) 540-1768  
Web: [www.LakeZurich.org](http://www.LakeZurich.org)

## MEMORANDUM

Date: August 9, 2013  
To: Jason T. Slowinski, Village Manager  
From: Michael J. Brown, Public Works Manager  
Subject: Bid Award for roof replacement 351 Lions Drive

---

### **Issue:**

The roof at the 351 Lions Drive facility is currently in poor condition and has several leaks throughout the entire building. Currently the facility is used for the storage of Village equipment and vehicles, and also as a impound yard for the Lake Zurich Police Department. In the last year the leaks have become considerably larger causing interior damage and also the potential for possible damage to the Village assets that are stored at this facility.

### **Analysis:**

The roof replacement project has been budgeted in the current fiscal year program as a capital improvement project

40124001-5530 \$42,500

40136043-5530 \$42,500

Public Works accepted sealed bids on August 9<sup>th</sup> from the following roofing contractors:

Elens & Maichin Roofing	\$86,220.00
Metal Master Roofing	\$85,442.00
All American Exterior Solutions	\$71,734.00

### **Recommendation:**

It is my recommendation at this time to award the 351 Lions Drive facility roof replacement project to All American Exterior Solutions in the amount of \$71,734.00 not to exceed \$80,000.

w/ Attachments: Pictures of current roof conditions.



Rotted Flashing detail on Center Section of Roof System



Another section of broken down flashing detail



2 -3 feet wide open flashing detail allowing water into system or building



Old Patch that you can simply lift up by hand allowing moisture under



Wide open Gravel Stop detail just adjacent to Gutter subject to high amounts of water



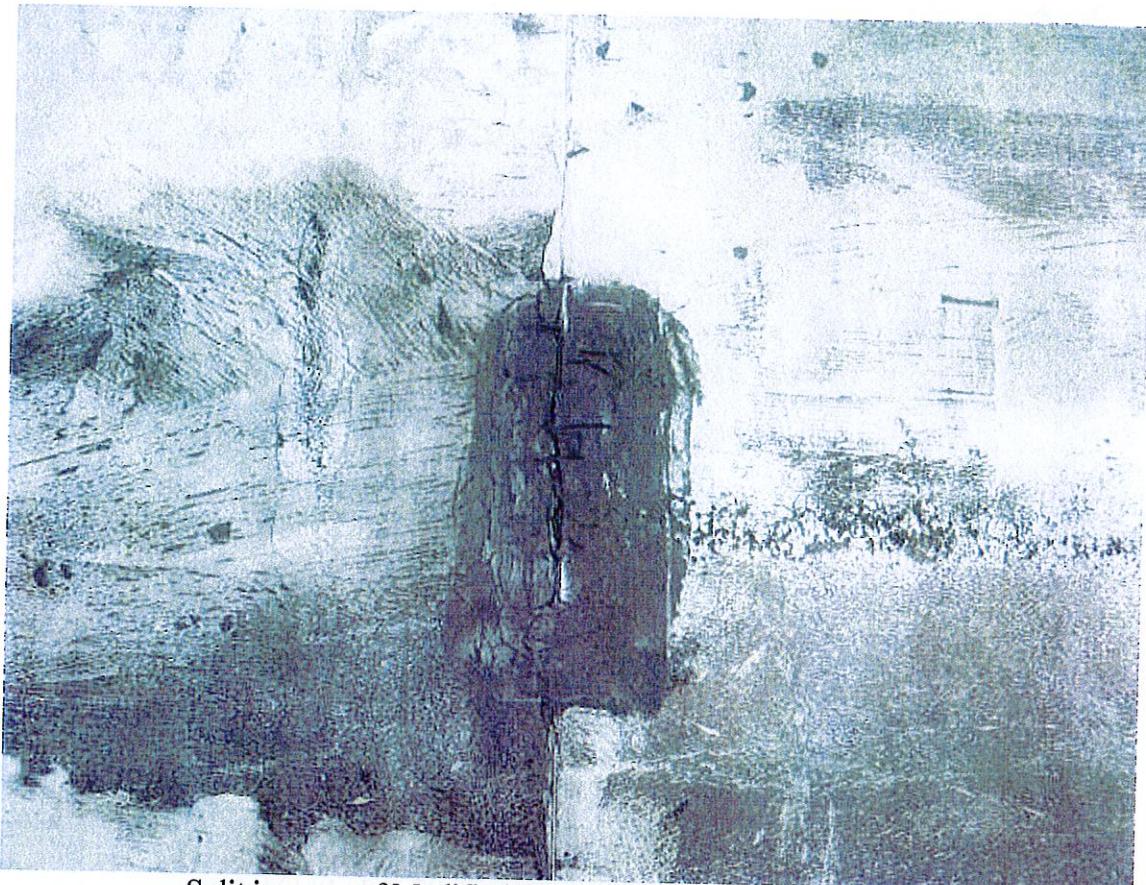
Opening in Gravel Stop detail where two roofs meet



Overview of Parapet Wall Flashing detail on front of building



Entire length of Modified Bitumen flashing detail not adhered at base



Split in seam of Modified Bitumen roof system Upper Level



Split in Gravel Stop detail along perimeter edge allowing water into system



## MEMORANDUM

Date: August 9, 2013

To: Jason T. Slowinski, Village Manager

From: Michael J Brown, Public Works Manager

Subject: Roof Replacement 2 East Main Street

### Issue:

The existing roof on the Village property at 2 East Main Street is in poor condition and is in need of replacement. There are currently several leaks throughout the building that have caused some interior damage to the property.

### Analysis:

The roof replacement project has been budgeted in the current fiscal year program as a capital improvement project.

41036043-5530 \$70,000.00

Public Works accepted sealed bids on August 9<sup>th</sup> from the following roofing contractors:

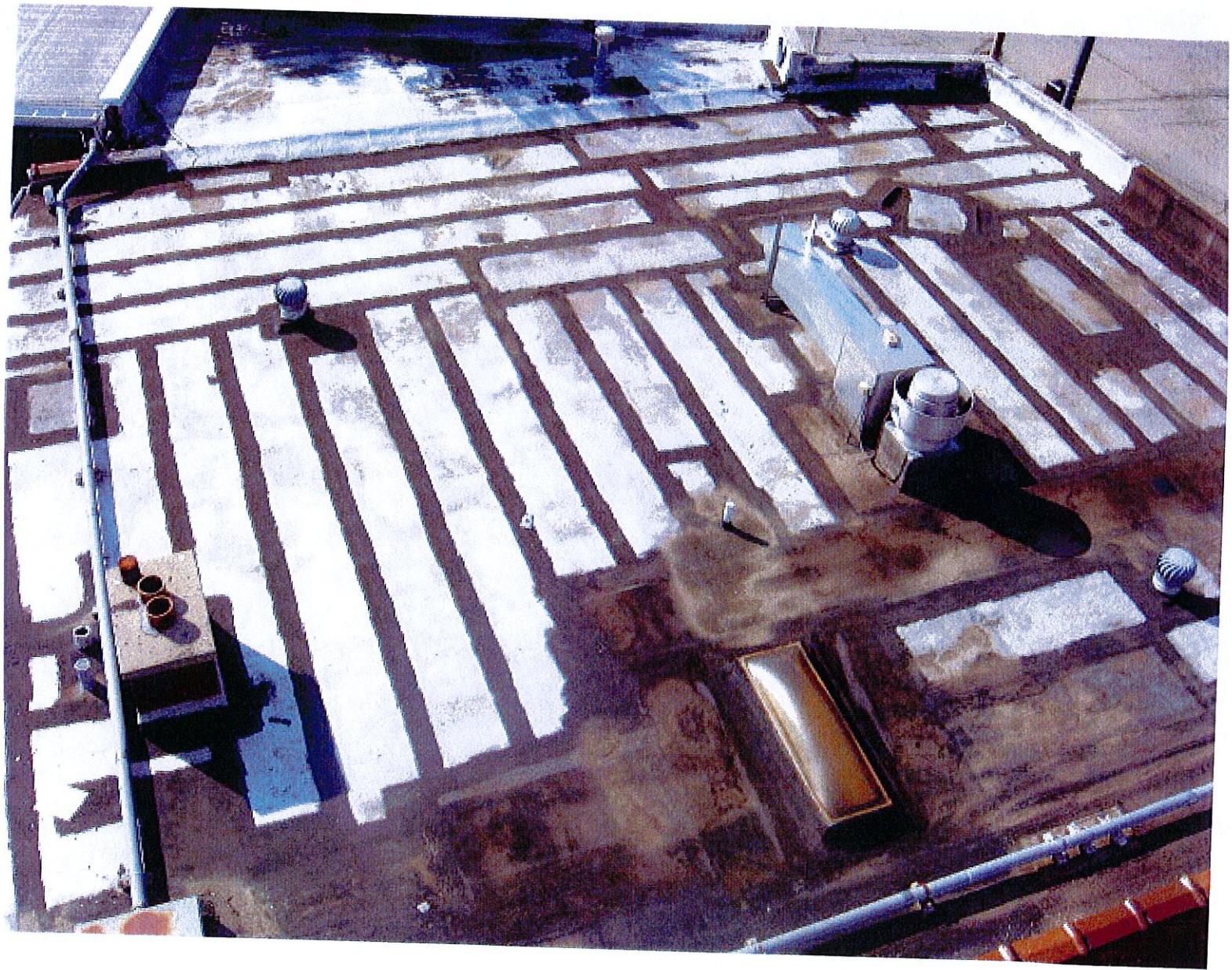
Elens & Maichin Roofing	\$49,550.00
All American Exterior Solutions	\$38,375.00
Metal Master Roofing	\$35,483.00

### **Recommendation:**

It is my recommendation at this time to award the 2 East Main Street roof replacement contract to Metal Master Roofing in the amount of \$35,483.00.

w/ Attachments: Pictures of current roof conditions.



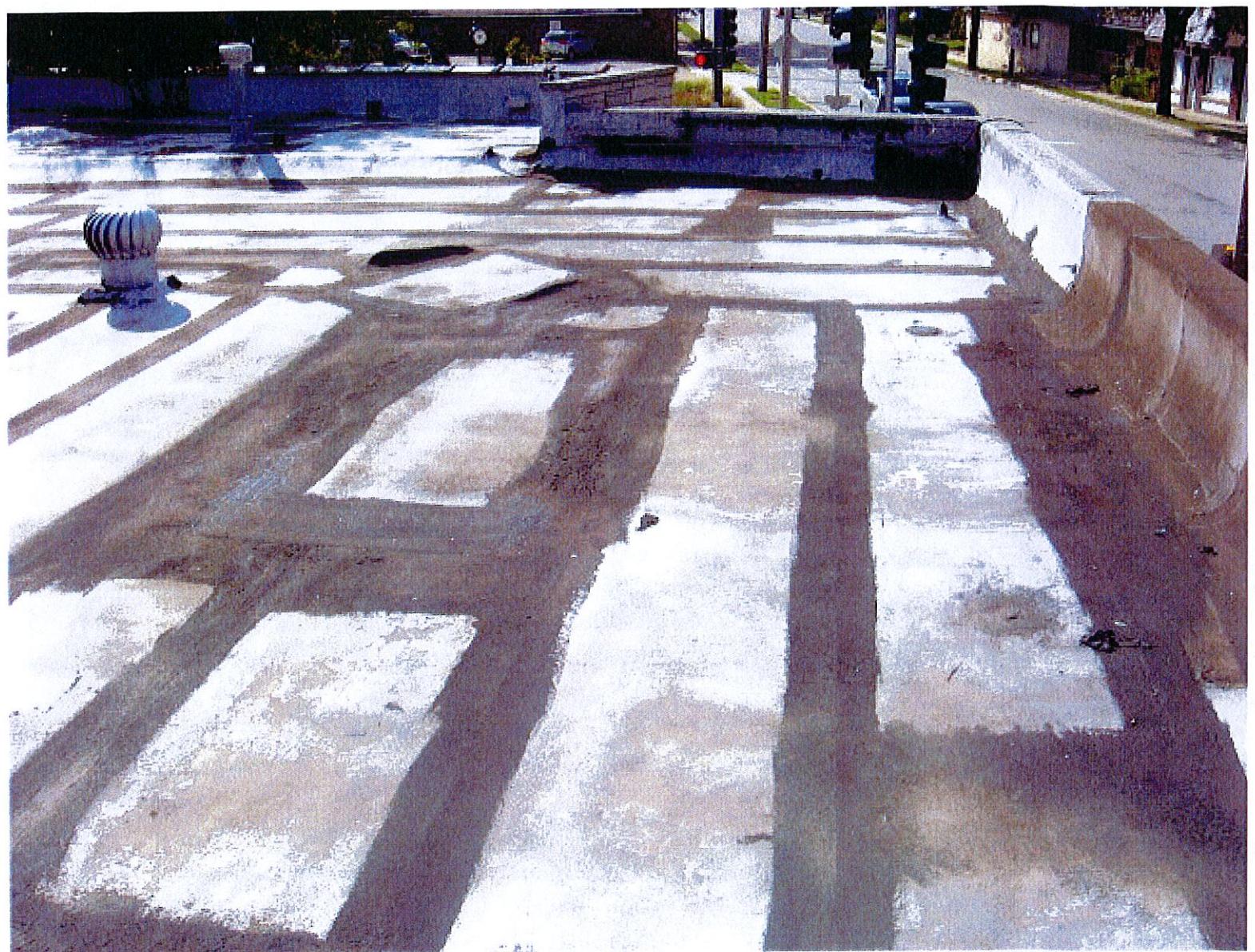


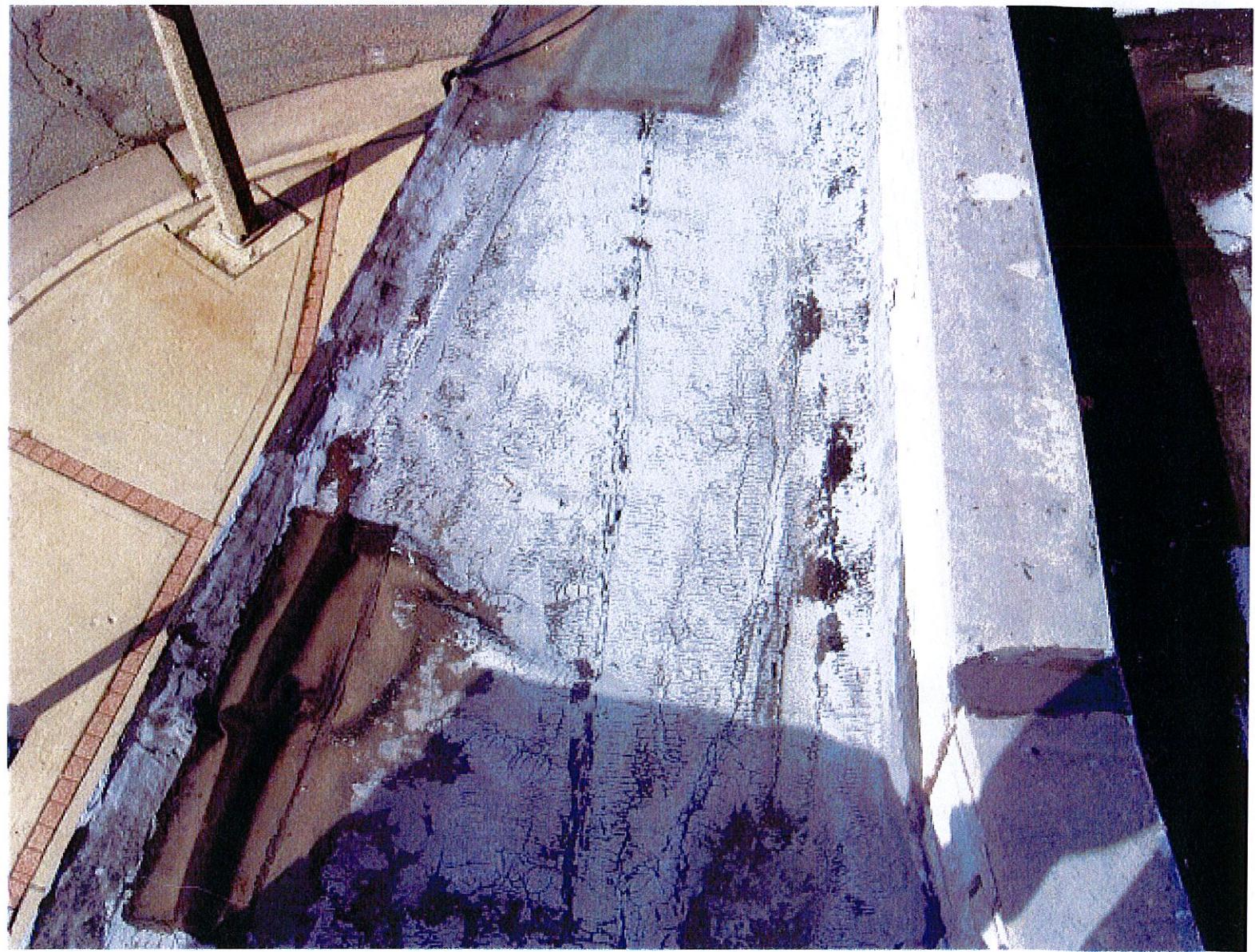


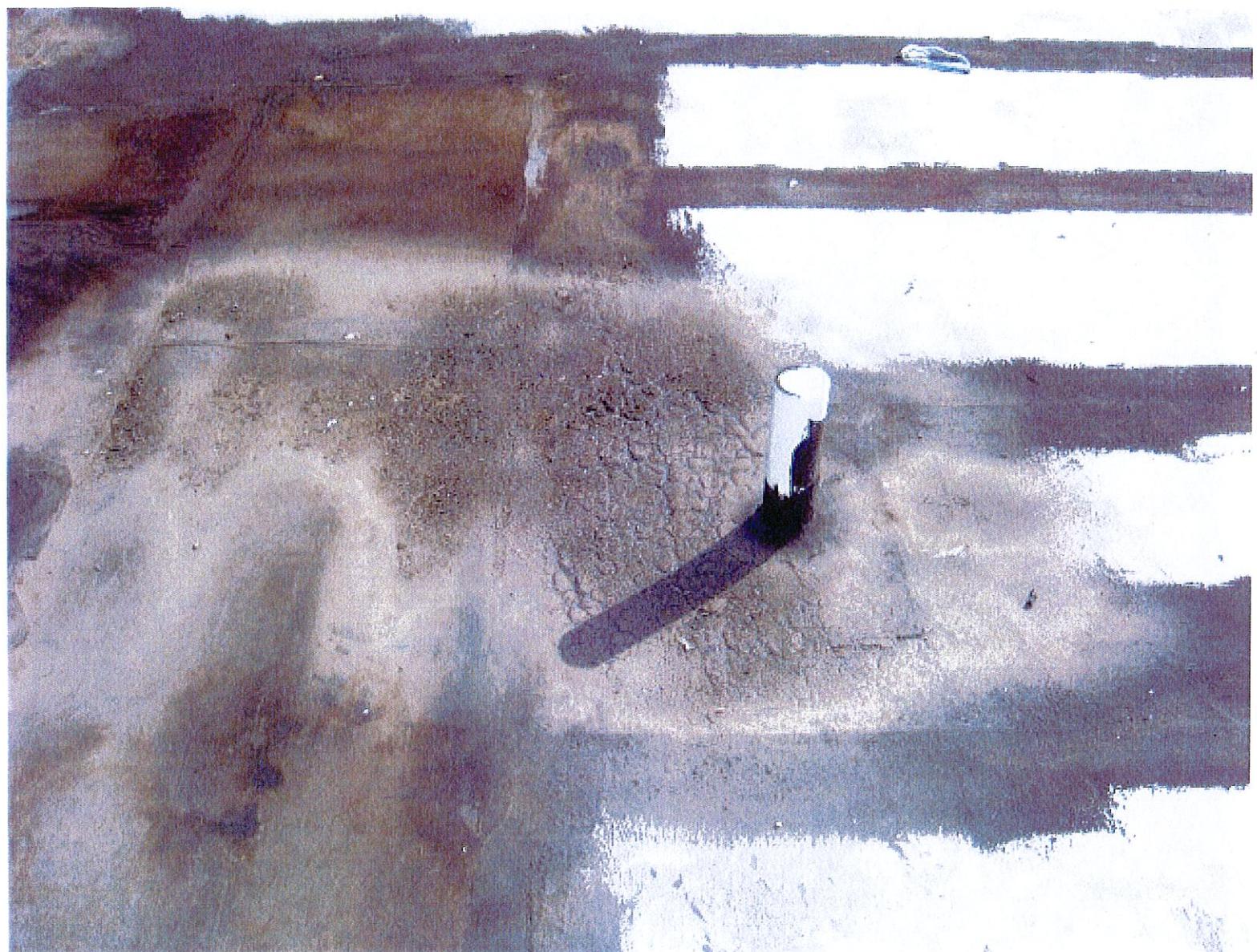












70 E. Main Street  
Lake Zurich, IL 60047



AGENDA ITEM

8F

Phone: (847) 438-5141  
Fax: (847) 540-1768  
Web: [www.LakeZurich.org](http://www.LakeZurich.org)

## MEMORANDUM

Date: August 13, 2013  
To: Jason Slowinski, Village Manager  
From: Michael Brown, Public Works Manager  
Subject: Countryside West Soccer Field Parking Lot

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**Issue:** The Countryside West soccer field parking lot is in a state of disrepair. The approved FY2014 Annual Budget allocates \$68,000 from the Park Improvement Fund and \$15,000 from the Special Recreation Association account for a repaving project. The Village's engineer, Manhard Consulting prepared plans and specifications for bid. The proposed project consists of pavement pulverizing, re-grading, base repair, pavement resurfacing, and sidewalk removal and replacement. The Village of Lake Zurich held a bid opening on August 9, 2013.

**Analysis:** The Village received seven (7) bids. The bid results are shown below. The lowest responsible bid was received from Chicagoland Paving of Lake Zurich, Illinois.

Name of Bidder	Bid Amount
Chicagoland Paving (Lake Zurich, IL)	\$44,942.10
Abbey Paving Co (Aurora, IL)	\$52,672.00
Maneval Construction Co (Grayslake, IL)	\$56,530.47
Arrow Road Construction (Gilberts, IL)	\$57,859.36
Schroeder Asphalt Services (Huntley, IL)	\$61,338.98
Prime Construction (Hampshire, IL)	\$64,367.00
Geske & Sons (Crystal Lake, IL)	\$68,467.05

**Recommendation:** Our recommendation is to award the bid to Chicagoland Paving in the amount of \$44,942.10.

w/ Attachments: None.

70 E. Main Street  
Lake Zurich, IL 60047



AGENDA ITEM

10A

Phone: (847) 438-5141

Fax: (847) 540-1768

Web: [www.LakeZurich.org](http://www.LakeZurich.org)

## MEMORANDUM

Date: August 12, 2013

To: Jason T. Slowinski, Village Manager

From: Kyle D. Kordell, Management Analyst

Subject: Bi-weekly Reports from Operating Departments

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Attached are the bi-weekly Status and Information Reports from the Village's main operating departments.

If you have any questions regarding the items mentioned in the attached reports, please feel free to contact the Village Manager's Office.

w/ Attachments: Department Status and Information Reports

**VILLAGE OF LAKE ZURICH**  
**BUILDING & ZONING DEPARTMENT**  
**BI-WEEKLY STATUS AND INFORMATION REPORT**

*August 12, 2013*

**I. Program/Project Management**

**A. Major Programs/Projects Started**

- Mariano's Update:
  - The full building permit was issued for construction of the Mariano's grocery store. A pre-construction meeting was held with all the contractors and work on the wall has begun.
  - Reminder IDOT Route 22 construction permit for the new left turn was approved by IDOT and received by the Village. Temporary disruption of traffic may be experienced on Route 22 when construction starts. Work is commencing this week.

**II. Personnel Management**

**A. Recruitments, Terminations, Layoffs, Retirements, Disciplinary Actions**

- The office manager is out on maternity leave for 10 weeks. The department is being staffed by a temporary office assistant during the absence.

**III. Other Noteworthy Matters**

**A. Major Departmental Accomplishments/Activities**

- The owner of 287 Linden has requested and appeal of the open burning requirements of the Lake Zurich Building, specifically related to the distance from the home. The Building Code Board of Appeals has been scheduled to meet and hear the appeal on Tuesday August 13, 2013

**B. Permit Activity Summary: July**

Permits applied for: 226

Permits issued: 221

Construction Value: \$ 2, 230,935.00

- Residential : 198
- Commercial: 19
- Industrial 4

Scheduled Inspections: 524

Field Correction Notices/Code Issues: 5

**July Final Certificates of Occupancy Issued:**

- 675 N Rand Rd-Kohls (Cosmetic Area)
- 45 S Old Rand Rd-Mindful Mix

- 1142 Rose Rd-A&M Architecture
- 1324 Conrad Lane-Single Family Residence
- 40 N Old Rand Rd-Golden Photography

**July Permit Activity:**

- 1361 Lea Lane-SFR applied for
- 87 Linden Lane-SFR applied for
- 480 S Rand Rd-JS Yoga occupancy applied for
- 884 S Rand Rd-Mimi Nails occupancy applied for
- 1111 Rose Rd-CCVI USA Bearing-occupancy applied for
- 330 E Route 22-Metrom USA-occupany applied for additional location

**July Contractor Registration Activity**

- Contractors registered or renewed: 63

**Other Activity:**

- Initiated bond claim on contractor for 700 Telser Rd with Western Surey on behalf of On Occasion Catering/K. Pedersen.
- Permit Coordinator Plan Reviews for minor permits.
- Flood Event permits still coming in.
- Elevators: Semi-annual inspections began again in July. There are 14 elevators that have not been inspected yet. 32 have passed and issued certificates. We are waiting for 10 re-inspections to be paid and Thompson to re-inspect 14 elevators.

Respectfully Submitted,

Daniel A. Peterson  
Date: July 29, 2013

**VILLAGE OF LAKE ZURICH  
PUBLIC WORKS DEPARTMENT  
BI-WEEKLY STATUS AND INFORMATION REPORT  
7/28/13 – 8/10/13**

**I. Program/Project Management**

**A. Major Programs/Projects Started**

- Several village owned properties sustained severe damage with storm. Cleanup completed at some locations. Cleanup is continuing at heavily damaged locations.
- Public Works had two bid openings on August 9<sup>th</sup> for roof replacement on 2-6 E. Main and also 351 Lions Drive. Metal Masters came in with a bid of \$35,483 for 2-6 E. Main. All American Exterior Solutions came in with a bid of \$71,734 for 351 Lions Drive.
- Public Works is accepting bid proposals for the demolition of 2-18 West Main Street. Bid opening is scheduled for Tuesday, August 13, 2013.
- Public Works Utilities was working with Dixon Engineering on a bid for the Church Street water tower painting and repair. Bid opening was on August 8, 2013. Dixon Engineering is recommending low bidder – Horizon Brothers Painting from Howell Michigan with a bid of \$326,250.
- Public Works is accepting bid proposals for the Pine/Elm water main replacement project. Bid opening is on August 22, 2013.
- Public Works Vehicle Maintenance took delivery of a command car for the Fire Department. Projected to be in service mid-October.
- Park maintenance and landscaping overhauls have begun and will continue throughout summer and fall.
- In house failing pavement repair has begun. Ongoing throughout summer and fall.
- In house hazardous concrete repair has begun. Ongoing throughout summer and fall.
- Manhard Consulting has been contracted for the Phase 1 Cedar Creek Drainage Study.
- In contact with consultant on the Midlothain Road traffic signal project. Scheduled meeting to follow.
- CN Noise Wall construction continues.
- The Skate Park at Paulus Park is being rehabilitated due to stress from heavy use and weather. This project is anticipated to be completed by 8/1/13.
- In the Sparrow Ridge subdivision, Public Works is reconstructing all failing storm structures due to deterioration. This project is anticipated to be completed by 8/1/13.
- From the storm in April, Deerpath Road had extensive damage to the flow control structure. Public Works is exploring solutions with the Engineering Consultant to restore the area.

**B. Major Programs/Projects Completed**

**II. Financial Management**

- A. Revenue Enhancements**
- B. Revenue Shortfalls/Variances**
- C. Capital Equipment/Improvement Expenditures**

**III. Personnel Management**

- A. Recruitments, Terminations, Layoffs, Retirements, Disciplinary Actions**
- B. Claims filed against the Village (e.g. workers compensation, EEOC, IDHR, union grievances, non-union complaints, unfair labor practices, etc.)**
- C. Major absences (e.g. workers compensation, medical leaves, disability leaves, FLSA, or FMLA leave) and Light Duty Assignments**
  - Utilities Maintenance Worker 1 will be absent (Workers Compensation) through September 16, 2013 recovering from a broken ankle.
  - Utilities Maintenance Worker 1 was absent (Workers Compensation) from July 30 – August 7 and has now returned to work.

**IV. Other Noteworthy Matters**

- A. Major Departmental Accomplishments/Activities**
  - Seasonal hydrant sandblasting/priming/painting continues.
- B. Major Unanticipated, Unbudgeted Items Affecting Operations**
- C. Other**

Respectfully Submitted,

Michael Brown

**VILLAGE OF LAKE ZURICH**  
**OFFICE OF THE VILLAGE MANAGER**  
**BI-WEEKLY STATUS AND INFORMATION REPORT**

**Police Department**

*August 10, 2013*

**I. Program/Project Management**

**A. Major Programs/Projects Started**

- **Police-Community Interaction Survey/University of Illinois at Chicago** – The Department continues to participate in the Police-Community Interaction Survey. The evaluation of Department personnel based on the requested surveys indicates that Department personnel are performing above the average of all Departments participating in this survey. To date, the Department has sent over 4,852 requests to individuals that have had interaction with Department personnel to participate in the survey.
- **Citizen Police Academy** – The dates for the next Citizen Police Academy have been identified. The program will be presented between September 10<sup>th</sup> through November 12<sup>th</sup>, 2013. This program was canceled the last two years due to lack of enrollment.
- **Rock the Block (Main Street Dance)** – Department personnel have been involved in planning discussions for a street dance to be conducted September 14<sup>th</sup>. Personnel will be researching both liquor license requirements as well as event security.

**B. Major Programs/Projects Completed**

- **Freedom of Information Inquiries** - The Department received 13 Freedom of Information Inquiry requests from July 28<sup>th</sup> until August 10<sup>th</sup>, 2013 for a year-to-date total of 199.
- **National Night Out** – The Department participated in the National Night Out Event at the Car Show on August 7, 2013. Several individuals expressed interest in participating in an event next year. A survey will be placed on the Department website to gauge interest for a community relations event.

**II. Financial Management**

- A. The Department continues to monitor current expenditures.
- B. The Police Facility driveway was sealcoated August 8<sup>th</sup> through August 11<sup>th</sup> in various stages in order to allow continuous police operations.
- C. The Department compiled statistical information about the Department for the Village Comprehensive Annual Financial Report (CAFR).

**III. Personnel Management**

**A. Recruitments, Terminations, Layoffs, Retirements, Disciplinary Actions**

- Department personnel interviewed a candidate for the position of Telecommunicator. This vacancy was created due to the recent release of an employee from the Telecommunicator Training Program.

- The Department will be accepting letters of interest from Department members interested in the position of Detective. Department personnel interested in the Detective assignments must submit a letter of interest and qualifications by August 12 with oral interviews scheduled during the week of August 25.
- B. Claims filed against the Village (e.g. workers compensation, EEOC, IDHR, union grievances, non-union complaints, unfair labor practices, etc.)
  - Action pending previous Labor-Management meeting.
  - A Telecommunicator has made an informal inquiry regarding the assessment of an annual merit bonus as defined in the collective bargaining agreement.
- C. Major Absences (e.g. workers compensation, medical leaves, disability leaves, FLSA, or FMLA leave) and Light Duty Assignments
  - A Patrol Officer remains on light duty due to shoulder surgery which was not duty related.

#### IV. Other Noteworthy Matters

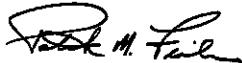
##### A. Major Departmental Accomplishments/Activities

- **International Association of Chiefs of Police – National Law Enforcement Challenge** – In a letter dated July 30<sup>th</sup> from the International Association of Chiefs of Police, the Department was informed that we were awarded First Place in our category for the National Law Enforcement Challenge. The award will be presented at the IACP Conference in Philadelphia, PA on October 22, 2013.

##### B. Other

- **Explorer activities** – On August 5<sup>th</sup> – 9<sup>th</sup> member of the Explorer Post participated in a Police Exploring Conference in Springfield, IL. On August 7<sup>th</sup>, members of the Explorer Post assisted with traffic/pedestrian control at the Car Show.
- **FF Danny Gonzalez Funeral Procession** – Members of the Department assisted with the funeral procession for FF Danny Gonzalez. Two members of the Department riding motorcycles led the procession.
- **Ela Township Youth Program** – Cmdr. Quinones and Ofc. Theirgood gave members of an Ela Township Youth Group a tour of the Police Facility and consumed ice cream with them.

Respectfully Submitted,



Patrick M. Finlon  
Chief of Police  
August 12, 2013

**VILLAGE OF LAKE ZURICH**

**FIRE / RESCUE AND EMERGENCY MANAGEMENT**

**BI-WEEKLY STATUS AND INFORMATION REPORT**

*August 12, 2013*

*07/28- 08/10*

**I. Program/Project Management**

**A. Major Programs/Projects Started**

- An RFP for replacement windows at station1 - attic space was prepared and is currently being advertised.
- An RFP is being developed for upgrading our station alerting system. This system is activated with each emergency call received. A planning meeting is scheduled for this month.

**B. Major Programs/Projects Completed**

**II. Financial Management**

**III. Personnel Management**

**A. Recruitments, Terminations, Layoffs, Retirements, Disciplinary Actions, Status**

- 13 Year veteran Danny Gonzalez suddenly died, off duty, on August 1<sup>st</sup>.
- We have two candidates going through the hiring process at this time.

**B. Claims filed against the Village (e.g. workers compensation, EEOC, IDHR, union grievances, non-union complaints, unfair labor practices, etc.)**

**C. Major Absences (e.g. workers compensation, medical leaves, disability leaves, FLSA, or FMLA leave) and Light Duty Assignments**

**UPDATED**

- One Shift Captain continues Family Medical Leave at this time.
- Two other members continue to work through the pension process.

**IV. Other Noteworthy Matters**

- Department assisted the Gonzalez family, planned and attended the wake, and the entire department attended the funeral of FF/PM Danny Gonzalez. He was laid to rest at Abraham Lincoln National Cemetery with full military honors on August 7<sup>th</sup>.
- FPB Specialist Kleinheinz inspected the Art Center displays at DPTC for their annual event.

- Vehicle maintenance continues to work on new Command Vehicle for daily operations. Vehicle was recently lettered with a new design.
- Two retirement ceremonies are planned this month. FF/PM Schlick and FF/PM Weidner will complete their careers and walkout ceremonies are planned on August 16<sup>th</sup> at 4pm and August 24<sup>th</sup> at 8am respectively.

Respectfully Submitted,

*Donald L Golubski*

Deputy Fire Chief  
Date: August 12, 2013





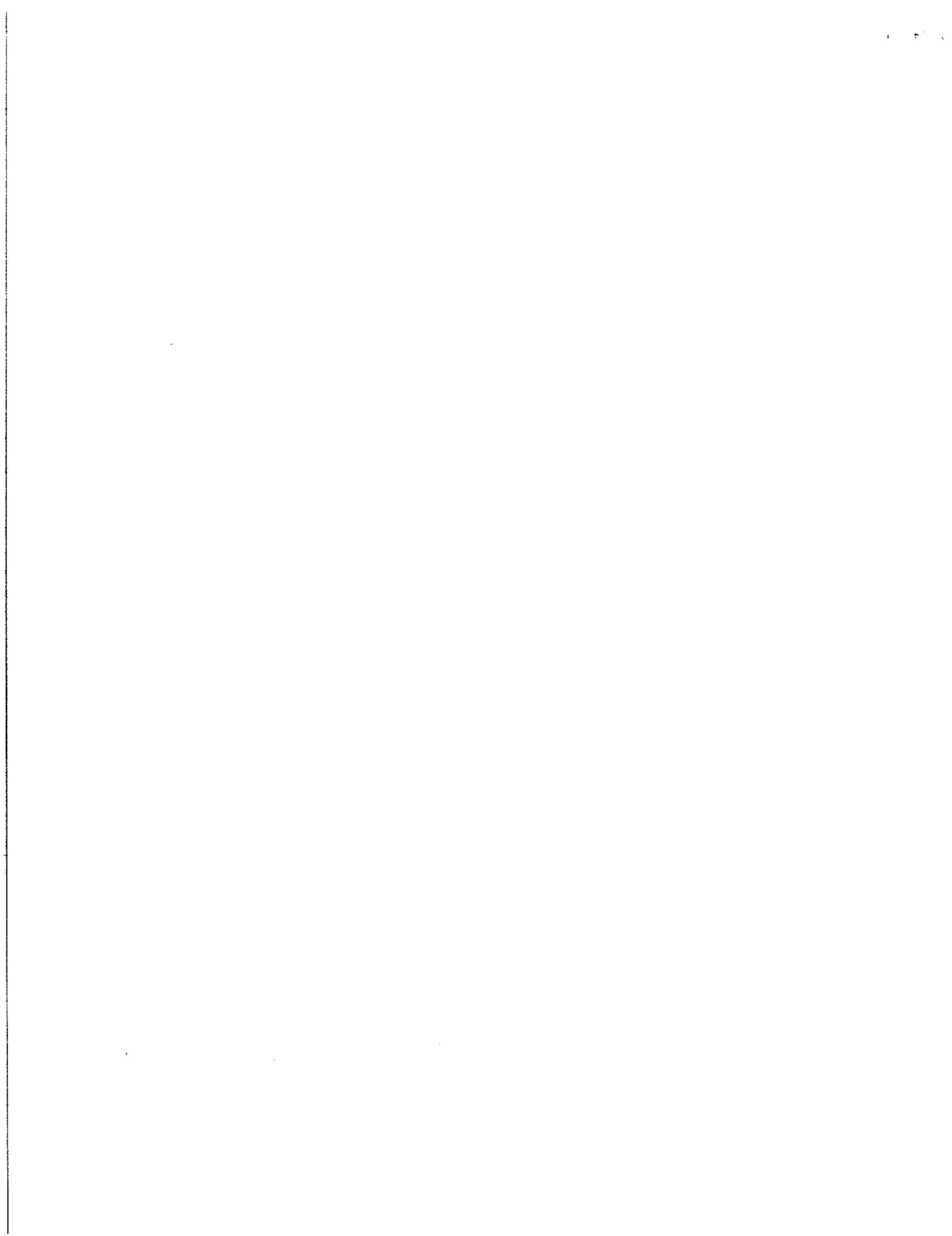
J U N E 2 0 1 3

DEPARTMENT OF FINANCE

MONTHLY FINANCIAL REPORT

Jodie K. Hartman, CPA

Director of Finance



## GENERAL FUND OPERATING RESULTS

### SUMMARY

For the month of June, revenues totaled \$4,506,531 and expenditures totaled \$2,961,601 resulting in an operating surplus of \$1,544,930. From a budget perspective, we had expected expenditures to exceed revenues by \$1,474,823 in June. This represents two months of activity and is subject to timing of expenditures at this point. The bulk of revenue is attributable to property taxes, which are received primarily in June and September. This tax is then utilized throughout the fiscal year.

#### General Fund Operating Results

	Current Month Budget	Current Month Actual	Year-to-Date Budget	Year-to-Date Actual
Revenues	\$ 4,603,995	\$ 4,506,531	\$ 6,610,568	\$ 6,678,894
Expenditures	3,129,172	2,961,601	4,734,058	4,189,457
<b>Excess (Deficiency)</b>	<b>\$ 1,474,823</b>	<b>\$ 1,544,930</b>	<b>\$ 1,876,510</b>	<b>\$ 2,489,437</b>

### REVENUES

Following is a summary of revenues by type through June 30, 2013. These figures represent one month of financial activity for the fiscal year. A more detailed analysis can be found on pages 8 through 10.

#### General Fund Revenue by Type

Revenue Type	Current Month's Budget	Current Month's Actual	% Variance	Year-to-Date Budget	Year-to-Date Actual	% Variance	% of Annual Budget
Taxes	\$ 3,203,187	\$ 3,199,897	-0.10%	\$ 3,647,905	\$ 3,679,530	0.87%	45.73%
Intergovernmental	1,062,277	1,061,323	-0.09%	2,250,478	2,409,499	7.07%	16.64%
Licenses & Permits	161,850	72,738	-55.06%	290,906	173,084	-40.50%	18.41%
Fines and Forfeits	66,667	64,938	-2.59%	133,334	120,580	-9.57%	15.07%
Charges for Services	83,623	84,326	0.84%	235,163	228,776	-2.72%	21.47%
Investment Income	1083	1,833	69.25%	2,166	3,945	82.13%	30.35%
Miscellaneous	25,308	21,476	-15.14%	50,616	63,480	25.41%	16.29%
<b>Total Revenue</b>	<b>\$ 4,603,995</b>	<b>\$ 4,506,531</b>	<b>-2.12%</b>	<b>\$ 6,610,568</b>	<b>\$ 6,678,894</b>	<b>1.03%</b>	<b>25.96%</b>

As can be seen above, actual revenues of \$4,506,531 were below our budget estimate of \$4,603,995 by \$97,464, or -2.12%, during the month of June for the General Fund.

#### **Taxes:**

Revenue from taxes came in at \$3,199,897 in June, a -0.10% variance from the \$3,203,187 projected in the budget. This category is primarily made up of the property tax receipts and telecommunications tax receipts. A large percentage of property tax distributions of the 2012 levy were received in June. Year to date property taxes are averaging around 50% of the annual budget as is expected. Telecommunications tax receipts were lower than expected for the month, but due to higher than expected receipts in the prior month, year-to-date revenues for this source are still exceeding budget. More information regarding the Telecommunications Tax can be found on page 13.

**Intergovernmental Revenue:**

Revenue from other governments totaled \$1,061,323 in June, which was 0.9% below the projected \$1,062,277. Income Tax receipts came in below budget expectations, with the receipts for June totaling \$113,795 compared to an expected \$132,281. However, due to the extremely high receipt for May, year-to-date revenues for Income Tax are 17% higher than budget-to-date. Details on Income Tax are provided on page 15.

State sales tax receipts came in 1.1% over budget at \$500,249 in June, compared to a budget of \$480,496 for the month. This receipt represents sales from March 2013 and was 6.4% higher than receipts last year for the same month. More information regarding Sales Tax can be found on page 14.

**Licenses and Permits:**

Revenue from the issuance of licenses and permits came in at \$72,738 for June, which was \$89,112 lower than the projection for the month. The difference is due to the variable nature of these types of revenues that fluctuate depending on activity. Many of the revenues in this category will receive their full annual receipts in the next few months as construction activity is at its highest during the warmest months.

**Fines and Forfeits:**

Revenue from police fines totaled \$64,938 in June, which was 2.6% below the \$66,667 projected. The revenues in this category include various fines generated from police citations, such as red light and local ordinance violations. This category will experience various spikes throughout the fiscal year depending on enforcement campaigns and times of the year or events that tend to trigger more violations than average.

**Charges for Services:**

Revenue from service charges totaled \$84,326 in June, compared to a projection of \$83,623. The two main revenue sources in this category, ambulance fees and park program fees, both had receipts in line with budget expectations. As ambulance fees are based purely on activity and need, this revenue source can fluctuate considerably during the year.

**Investment Income:**

The General Fund investment income in June was \$1,833, compared to an estimate of \$1,083. The annualized rate for June 2013 in the Illinois Fund decreased slightly during the month from a monthly average of 0.022% in May to .018% in June. The average rate for June 2012 was 0.096%. More detail on investments is provided on page 16.

**Miscellaneous:**

The General Fund miscellaneous revenue in June was \$21,476, which was above the projected amount of \$25,308. The largest item in miscellaneous receipts was rental income followed with the rest composed of various small items.

## EXPENDITURES

Expenditures charged to the General Fund in June total \$2,961,601, which is 5.36% below projections of \$3,129,172. The table below presents a summary of General Fund expenditures by department as of June 30, 2013. Additional detail can be found on pages 11 and 12.

Department Or Program	Current Month's Budget	Current Month's Actual	% Variance	YTD Budget	YTD Actual	% Variance
Legislative	\$ 15,272	\$ 15,981	4.6%	\$ 19,764	\$ 19,078	-3.5%
Administration	49,510	41,206	-16.8%	82,875	63,973	-22.8%
Finance	35,683	30,183	-15.4%	67,470	52,916	-21.6%
Technology	82,582	82,102	-0.6%	107,443	99,998	-6.9%
Police	1,127,052	1,062,643	-5.7%	1,671,826	1,475,832	-11.7%
Fire	1,485,076	1,434,593	-3.4%	2,156,198	1,998,208	-7.3%
Community Services	274,692	237,291	-13.6%	527,704	385,365	-27.0%
Park & Recreation	59,305	57,602	-2.9%	100,778	94,087	-6.6%
<b>Total</b>	<b>\$ 3,129,172</b>	<b>\$ 2,961,601</b>	<b>-5.36%</b>	<b>\$ 4,734,058</b>	<b>\$ 4,189,457</b>	<b>-11.50%</b>

### General Fund Expenditures by Department

As can be seen on the table above, the month of June saw expenditures under budgeted expectations for most departments. This is due to a variety of reasons, with the most important being the timing of expenditures and additional savings from vacant budgeted positions that have not been filled as of June 30<sup>th</sup>. A better picture of spending will be available after a few months of activity later this fiscal year. However, departments are making a concerted effort to save money where possible, limiting expenditures.

Expenditures in the Legislative program exceeded expectations during the month due to the purchase of new chairs for the board room. Human Resource expenditures are \$85 higher than planned due to a high volume of employee exams paid during the month. Park and Recreation totals are higher than budgeted during the month due to the final payout related to positions eliminated with the current budget. The timing of expenditures was such that a few items from the prior year carried over into this fiscal year that were unbudgeted. This is not expected to have a significant impact on the overall performance of the General Fund.

## OPERATING RESULTS OF OTHER FUNDS

Attached to this report is a comparison of actual revenues and expenditures to budget for all funds maintained by the Village. Following are some important observations.

### **Special Revenue Funds:**

Motor fuel tax revenue came in at \$49,926 in June, which was 19.5% above budget of \$41,771, making up for the lower than average payment in June. Year-to-date revenues for this fund are now 5.6% higher than expectations at this point in the fiscal year. There were no expenditures this month from the Motor Fuel Tax Fund.

June revenues for the Hotel Tax Fund totaled \$9,294 which was 13.8% below budget. The revenue in this fund is a combination of hotel tax receipts and interest income. Expenditures in the fund this month totaled \$30,950, for items related to the Fourth of July festival. Staff is currently recruiting for an open position that will be partly responsible for evaluating tourism options and uses of the reserves of this fund.

#### **Debt Service Funds:**

The debt service funds record annual debt service payments for several of the village issuances, mostly due December 2012 and January 2013 as scheduled. Interest payments are paid semi-annually, typically June and December. The only activity recorded for the month of June included planned tax receipts and interest for revenues and interest payments for expenditures.

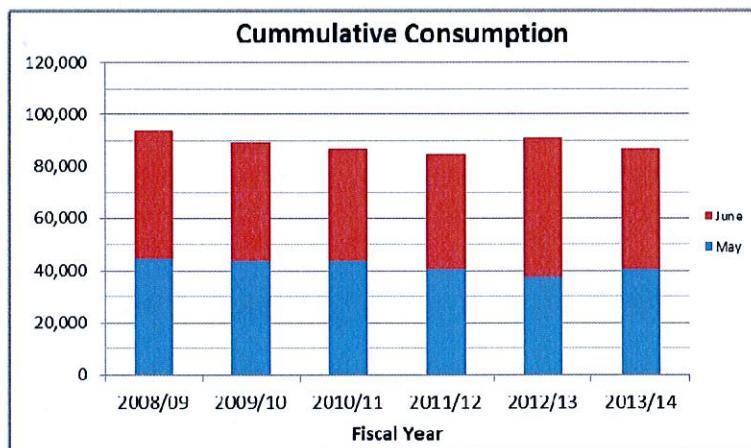
#### **Capital Projects Funds:**

June revenue for the capital projects funds in total came in mostly from the Non-Home Rule Sales Tax (NHRST), with receipts for June of \$148,576, which is slightly lower than the \$150,415 budgeted. June receipts represent sales from March. More detail on the NHRST revenue can be found on page 15. The Capital Project Fund is showing negative revenues for the month due to UNEARNED losses on investments. The village marks investment to market value each month causing fluctuations in the unearned gain or loss category. While some of the investments experienced a loss of value during the month, they are secure investments that would only experience an actual loss if sold before maturity.

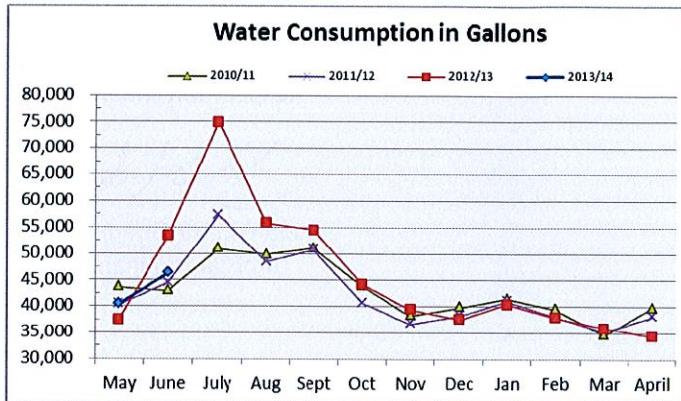
Expenditures for capital projects were \$25,893 for June, with \$20,947 spent on HVAC improvements to the Police Facility. Due to the timing of the budgeted capital projects, the majority of expenditures will be spent later in the fiscal year as time and funds allow.

#### **Water and Sewer Fund:**

June revenue totaled \$364,638, which was 11.2% below the estimate of \$410,459. Consumption billed in June was lower than expected comparable to that of two years ago due to higher than average rainfalls. The consumption billed in June primarily represents water metered in May.



The chart below shows the monthly trends of consumption for the past several years. Excluding June and July of 2012, the usage is fairly consistent compared to the previous fiscal years.



Expenditures in the Water Fund came in 12.2% below budget, totaling \$201,767 compared to an estimate of \$229,787. Overall, the Water Fund netted a positive \$162,871 for the month of June compared to an expected surplus of \$180,672. This surplus will be used for expenditures later in the year, as well as to help rebuild the working capital of the fund and provide cash flow for improvements to maintain the aging infrastructure.

#### Internal Service Funds:

Internal service funds are used to reserve resources for a specific purpose and to allocate the user charges accordingly. The village has two active internal service funds: Medical Self Insurance and Risk Management. Vehicle Maintenance revenues and expenditures have been included in the General Fund starting with this fiscal year expect for budgeted transfers of remaining funds once the final fund balance is determined in the annual audit. Revenues are a combination of the user charges from other funds as appropriate. Expenditures fluctuate, depending on activity levels, particularly in the Vehicle Risk Management Fund.

#### Special Service Areas:

While the village does not budget for Special Service Area (SSA) revenues and expenses, as funds are being collected and spent for village SSA's, the following information can be of value to report.

SSA Activity							
		Beginning Balance		Year-To-Date		Ending Balance	
SSA #	Location	5/1/2013	Revenues	Expenses	6/30/2013	Annual Expected Revenues	Annual Expected Expenses
SSA #8	Heatherleigh	68,062	4,979	-	73,041	10,105	49.27%
SSA #9	Willow Ponds	101,300	6,371	-	107,671	12,005	53.07%
SSA #10	Westberry	8,411	522	-	8,933	1,015	51.43%
SSA #11	Lake Zurich Pines	19,132	1,575	-	20,707	3,000	52.50% <sup>a</sup>
SSA #13	Conventry Creek	240,075	22,432	-	262,507	40,001	56.08% <sup>a</sup>
SSA #15	Country Club	112	-	-	112	-	N/A <sup>d</sup>
SSA #16	Country Club	14	-	-	14	-	N/A <sup>d</sup>
		437,106	35,879	-	472,985	66,126	54.26%
							27,512 0.00%

a) Flat amount levied per property.

b) At some point after enough funds have accrued, Willow Ponds will require dredging, estimated at \$120,000

c) Conventry Creek maintenance costs will be determined once subdivision areas have been turned over to the Village.

d) First levy for SSA #15 and SSA #16 will be the 2013 levy, payable in fiscal year 2014/15

As noted, SSA activity for both #15 and #16 on Country Club road will begin repayment through the property tax bills starting with the 2013 levy, to be paid in 2014.

While this report only covers two fiscal periods, no major concerns were identified. Major revenue sources are performing at or above expectations and expenditures have been kept to a minimum. As the fiscal year

continues, we will get a more accurate picture of how these revenues and expenditures will shape up compared to budget.

Respectfully Submitted,



Jodie K. Hartman, CPA  
Director of Finance

**VILLAGE OF LAKE ZURICH**  
**OPERATING REPORT SUMMARY**  
**REVENUES**  
**June 30, 2013**

							% of Annual Budget Collected	
	Current Month			Year-to-Date				
	Budget	Actual	% Variance	Budget	Actual	% Variance	Annual Budget	
<b>GENERAL FUND</b>								
<b>Taxes</b>								
Property Tax-General	960,811	941,053	(2.1%)	1,061,670	1,043,321	(1.7%)	2,123,339	49.1%
Property Tax-Police Prot	288,243	288,445	0.1%	318,501	319,792	0.4%	637,002	50.2%
Property Tax-Fire Protec	288,243	288,445	0.1%	318,501	319,792	0.4%	637,002	50.2%
Property Tax-Ambulance	61,492	64,900	5.5%	67,947	71,953	5.9%	135,894	52.9%
Property Tax-IMRF	79,665	82,928	4.1%	88,028	91,940	4.4%	176,056	52.2%
Property Tax-Police Pen	569,246	573,287	0.7%	629,001	635,588	1.0%	1,258,003	50.5%
Property Tax-Fire Pen	779,811	786,015	0.8%	861,670	871,435	1.1%	1,723,339	50.6%
Property Tax-SRA	81,450	82,928	1.8%	90,000	91,940	2.2%	180,000	51.1%
Property Tax-Road/Bridge	3,460	5,281	52.6%	4,100	5,940	44.9%	8,200	72.4%
Cable Tv Franchise	-	-	0.0%	52,212	54,251	3.9%	231,062	23.5%
Telecom Tax	90,766	86,615	(4.6%)	156,275	173,578	11.1%	935,772	18.5%
<b>Total Taxes</b>	<b>3,203,187</b>	<b>3,199,897</b>	<b>(0.1%)</b>	<b>3,647,905</b>	<b>3,679,530</b>	<b>0.9%</b>	<b>8,045,669</b>	<b>45.7%</b>
<b>Intergovernmental</b>								
State Income Tax	132,281	113,795	(14.0%)	383,882	448,742	16.9%	1,809,338	24.8%
State Use Tax	26,057	24,917	(4.4%)	47,134	44,768	(5.0%)	310,327	14.4%
Personal Prop Repl Tax	-	-	0.0%	10,077	11,494	14.1%	50,918	22.6%
State Sales Tax	480,496	500,249	4.1%	927,997	952,868	2.7%	6,174,409	15.4%
Municipal Auto Rental Tax	250	402	60.8%	500	702	40.4%	3,000	23.4%
Pull Tab & Jar Game Tax	-	-	0.0%	167	-	(100.0%)	2,000	0.0%
IDOT Street Maint Reim	-	-	0.0%	4,700	3,377	(28.1%)	18,000	18.8%
State Fire/Rescue Trng	49	-	(100.0%)	98	-	(100.0%)	585	0.0%
Rand & Paulus Traffic Lgt	375	-	(100.0%)	750	-	(100.0%)	4,500	0.0%
Grants	-	8,400	0.0%	-	8,400	0.0%	674,000	1.2%
Fire/Rescue Srvc Contract	414,603	411,173	(0.8%)	829,206	822,346	(0.8%)	4,975,230	16.5%
Reimbursements	8,166	2,387	(70.8%)	45,967	116,802	154.1%	453,615	25.7%
<b>Total Intergovernmental</b>	<b>1,062,277</b>	<b>1,061,323</b>	<b>(0.1%)</b>	<b>2,250,478</b>	<b>2,409,499</b>	<b>7.1%</b>	<b>14,475,922</b>	<b>16.6%</b>
<b>Licenses &amp; Permits</b>								
Liquor Licenses	550	-	(100.0%)	1,100	49	(95.5%)	80,000	0.1%
Business Licenses	200	292	46.0%	400	2,342	485.5%	96,000	2.4%
Building Permits	22,704	3,944	(82.6%)	40,867	19,838	(51.5%)	108,980	18.2%
Electric Permits	5,833	1,279	(78.1%)	11,666	9,234	(20.8%)	28,000	33.0%
Plumbing Permits	8,356	4,603	(44.9%)	16,712	14,597	(12.7%)	40,108	36.4%
Special Use Permits	1,354	-	(100.0%)	2,708	-	(100.0%)	6,500	0.0%
HVAC/Mechanical	7,246	1,135	(84.3%)	14,492	6,675	(53.9%)	34,780	N/A
Com/Ind Sprinkler Sys	1,458	-	(100.0%)	2,916	285	(90.2%)	7,000	4.1%
Build Out Sprinkler Sys	3,750	3,599	(4.0%)	7,500	3,599	(52.0%)	18,000	20.0%
Admin Fees	1,641	2,422	47.6%	3,282	3,347	2.0%	7,875	42.5%
Contractor Registration	1,917	5,800	202.6%	3,834	14,500	278.2%	46,700	31.0%
Occupancy Certif-Other	3,125	660	(78.9%)	6,250	2,935	(53.0%)	15,000	19.6%
Admin Plan Review	16,667	9,932	(40.4%)	33,332	26,810	(19.6%)	80,000	33.5%
Site Plan Review	2,917	450	(84.6%)	5,834	3,300	(43.4%)	14,000	23.6%
Engineering Review	16,667	500	(97.0%)	20,000	2,500	(87.5%)	80,000	3.1%
Pr-Sprinkler System	3,750	3,840	2.4%	6,750	4,572	(32.3%)	18,000	25.4%
Pr-Fire Alarm Systems	1,458	375	(74.3%)	2,916	1,010	(65.4%)	7,000	14.4%
Elevator Inspections	3,038	-	(100.0%)	6,076	-	(100.0%)	14,580	0.0%
Kildeer Inspection Fees	1,563	-	(100.0%)	3,126	-	(100.0%)	7,500	0.0%
Deer Park Inspection Fees	5,208	320	(93.9%)	10,416	320	(96.9%)	25,000	1.3%
Overweight Truck Permits	1,042	-	(100.0%)	2,084	685	(67.1%)	5,000	13.7%
Park Permits	7,125	11,501	61.4%	14,250	15,823	11.0%	28,500	55.5%
Park Fees	17,500	10,939	(37.5%)	27,500	13,514	(50.9%)	60,000	22.5%
Water Shed Devlp	1,667	250	(85.0%)	3,334	1,250	(62.5%)	8,000	15.6%
Other Permits	20,000	7,794	(61.0%)	33,333	17,954	(46.1%)	80,000	22.4%
Misc. Licenses And Permits	5,114	3,103	(39.3%)	10,228	7,945	(22.3%)	23,850	33.3%
<b>Total Licenses &amp; Permits</b>	<b>161,850</b>	<b>72,738</b>	<b>(55.1%)</b>	<b>290,906</b>	<b>173,084</b>	<b>(40.5%)</b>	<b>940,373</b>	<b>18.4%</b>

**OPERATING REPORT SUMMARY**

**REVENUES**

**June 30, 2013**

	Current Month			Year-to-Date			Annual Budget	% of Annual Budget Collected
	Budget	Actual	% Variance	Budget	Actual	% Variance		
<b>Fines and Forfeits</b>	<b>66,667</b>	<b>64,938</b>	<b>(2.6%)</b>	<b>133,334</b>	<b>120,580</b>	<b>(9.6%)</b>	<b>800,000</b>	<b>15.1%</b>
<b>Charges for Services</b>								
Printing/Reproduction Fee	462	248	(46.3%)	924	353	(61.8%)	5,550	6.4%
Police-Alarm Fees	500	150	(70.0%)	1,000	475	(52.5%)	6,000	7.9%
Police-Alarm Rebate Fees	-	-	0.0%	3,750	12,170	224.5%	45,000	27.0%
Police Special Detail	2,917	1,783	(38.9%)	5,834	3,088	(47.1%)	35,000	8.8%
Police Admin Tow Fee	7,604	4,650	(38.8%)	15,208	11,270	(25.9%)	91,250	12.4%
Police Lockout Fees	583	800	37.2%	1,166	2,025	73.7%	7,000	28.9%
Fire/Rescue-Special Dtl	1,038	-	(100.0%)	2,076	-	(100.0%)	12,450	0.0%
Fire/Rescue Ambulance Fee	34,045	39,817	17.0%	68,090	59,416	(12.7%)	408,540	14.5%
Park Program Fees	35,336	35,748	1.2%	134,672	137,654	2.2%	439,150	31.3%
Concert Sales	208	-	(100.0%)	416	-	(100.0%)	2,500	0.0%
Park Special Events	763	1,130	48.1%	1,526	1,130	(26.0%)	9,150	12.3%
Park Outings	42	-	(100.0%)	84	-	(100.0%)	500	0.0%
Park Concessions	-	-	0.0%	167	-	(100.0%)	2,000	N/A
Other Charges for Services	125	-	(100.0%)	250	1,195	378.0%	1,500	79.7%
<b>Total Charges for Services</b>	<b>83,623</b>	<b>84,326</b>	<b>0.8%</b>	<b>235,163</b>	<b>228,776</b>	<b>(2.7%)</b>	<b>1,065,590</b>	<b>21.5%</b>
<b>Investment Income</b>	<b>1,083</b>	<b>1,833</b>	<b>69.3%</b>	<b>2,166</b>	<b>3,945</b>	<b>82.1%</b>	<b>13,000</b>	<b>30.3%</b>
<b>Miscellaneous</b>								
Recycling Reimbursement	4,167	5,249	26.0%	8,334	6,037	(27.6%)	50,000	12.1%
Rental Income	18,700	16,777	(10.3%)	37,117	36,254	(2.3%)	224,400	16.2%
Sale of Fixed Asset	1,667	3,211	92.6%	3,334	10,151	204.5%	20,000	50.8%
Other Miscellaneous Revenue	774	(3,761)	(585.9%)	1,831	11,038	502.8%	95,280	11.6%
<b>Total Miscellaneous Revenue</b>	<b>25,308</b>	<b>21,476</b>	<b>(15.1%)</b>	<b>50,616</b>	<b>63,480</b>	<b>25.4%</b>	<b>389,680</b>	<b>16.3%</b>
<b>Total General Fund</b>	<b>4,603,995</b>	<b>4,506,531</b>	<b>(2.1%)</b>	<b>6,610,568</b>	<b>6,678,894</b>	<b>1.0%</b>	<b>25,730,234</b>	<b>26.0%</b>
							<b>Benchmark:</b>	<b>16.7%</b>

**OPERATING REPORT SUMMARY**

**REVENUES**

**June 30, 2013**

	Current Month			Year-to-Date			Annual Budget	% of Annual Budget Collected
	Budget	Actual	% Variance	Budget	Actual	% Variance		
<b>SPECIAL REVENUE FUNDS</b>								
Motor Fuel Tax Fund	41,771	49,926	19.5%	79,287	83,693	5.6%	481,592	17.4%
Hotel Tax Fund	10,786	9,294	(13.8%)	19,163	16,942	(11.6%)	93,038	18.2%
TIF Tax Allocation Fund	608,211	609,884	0.3%	665,422	673,435	1.2%	1,398,526	48.2%
<b>Total Special Revenue Funds</b>	<b>660,768</b>	<b>669,104</b>	<b>1.3%</b>	<b>763,872</b>	<b>774,070</b>	<b>1.3%</b>	<b>1,973,156</b>	<b>39.2%</b>
<b>DEBT SERVICE FUNDS</b>								
General Debt Service Fund	483,216	490,372	1.5%	533,978	543,678	1.8%	1,068,287	50.9%
TIF Debt Service Fund	333	7	(98%)	666	19	(97.1%)	1,841,000	0.0%
<b>Total Debt Service Funds</b>	<b>483,549</b>	<b>490,379</b>	<b>1.4%</b>	<b>534,644</b>	<b>543,697</b>	<b>1.7%</b>	<b>2,909,287</b>	<b>18.7%</b>
<b>CAPITAL PROJECT FUNDS</b>								
Capital Project Fund	1,333	(10,929)	(919.9%)	2,666	(17,999)	(775.1%)	398,030	(4.5%)
Park Improvement Fund	850	1,265	48.8%	1,700	245	(85.6%)	10,200	2.4%
Non-Home Rule Capital Projects	150,415	148,576	(1.2%)	281,187	281,627	0.2%	2,842,863	9.9%
TIF Redevelopment Fund	417	-	(100.0%)	834	-	(100.0%)	5,000	0.0%
<b>Total Capital Projects Funds</b>	<b>153,015</b>	<b>138,912</b>	<b>(9.2%)</b>	<b>286,387</b>	<b>263,873</b>	<b>(7.9%)</b>	<b>3,256,093</b>	<b>8.1%</b>
<b>ENTERPRISE FUNDS</b>								
Waterworks and Sewerage Fund	410,459	364,638	(11.2%)	786,337	780,800	(0.7%)	9,052,055	8.6%
<b>Total Enterprise Funds</b>	<b>410,459</b>	<b>364,638</b>	<b>(11.2%)</b>	<b>786,337</b>	<b>780,800</b>	<b>(0.7%)</b>	<b>9,052,055</b>	<b>8.6%</b>
<b>INTERNAL SERVICE FUNDS</b>								
Medical Self Insurance Fund	207,244	147,531	(28.8%)	414,488	320,013	(22.8%)	2,486,932	12.9%
Vehicle Maintenance Fund			0.0%			0.0%		0.0%
Risk Management Fund	111,250	107,450	(3.4%)	222,500	225,006	1.1%	1,335,000	16.9%
<b>Total Internal Service Fund</b>	<b>318,494</b>	<b>254,981</b>	<b>(19.9%)</b>	<b>636,988</b>	<b>545,019</b>	<b>(14.4%)</b>	<b>3,821,932</b>	<b>14.3%</b>
<b>TRUST AND AGENCY FUNDS</b>								
Special Service Area No. 8 Fund	-	4,512	0.0%	-	4,979	0.0%	-	N/A
Special Service Area No. 9 Fund	-	5,009	0.0%	-	6,371	0.0%	-	N/A
Special Service Area No. 10 Fund	-	492	0.0%	-	522	0.0%	-	N/A
Special Service Area No. 11 Fund	-	1,425	0.0%	-	1,575	0.0%	-	N/A
Special Service Area No. 13 Fund	-	21,081	0.0%	-	22,432	0.0%	-	N/A
<b>Total Trust and Agency Fund</b>	<b>-</b>	<b>32,519</b>	<b>0.0%</b>	<b>-</b>	<b>35,879</b>	<b>0.0%</b>	<b>-</b>	<b>N/A</b>
								<b>Benchmark: 16.7%</b>

**VILLAGE OF LAKE ZURICH**  
**OPERATING REPORT SUMMARY**  
**EXPENDITURES**  
**June 30, 2013**

							<b>% of Annual Budget Expended</b>
	<b>Current Month</b>			<b>Year-to-Date</b>			
	<b>Budget</b>	<b>Actual</b>	<b>% Variance</b>	<b>Budget</b>	<b>Actual</b>	<b>% Variance</b>	<b>Annual Budget</b>
<b>GENERAL FUND</b>							
Legislative							
Village President and Trustees	14,581	15,493	6.3%	18,162	18,040	(0.7%)	255,131
Clerk's Office	447	431	(3.6%)	944	862	(8.7%)	9,078
Board and Commissions	244	57	(76.6%)	658	176	(73.3%)	5,306
<b>Total</b>	<b>15,272</b>	<b>15,981</b>	<b>4.6%</b>	<b>19,764</b>	<b>19,078</b>	<b>(3.5%)</b>	<b>269,515</b>
Administration							
Village Administration	40,880	32,491	(20.5%)	67,586	49,469	(26.8%)	511,584
Human Resources	8,630	8,715	1.0%	15,289	14,504	(5.1%)	170,966
<b>Total</b>	<b>49,510</b>	<b>41,206</b>	<b>(16.8%)</b>	<b>82,875</b>	<b>63,973</b>	<b>(22.8%)</b>	<b>682,550</b>
Finance Department							
Financial Administration	16,821	15,579	(7.4%)	33,050	27,114	(18.0%)	241,733
Accounting Services	18,862	14,604	(22.6%)	34,420	25,802	(25.0%)	250,548
<b>Total</b>	<b>35,683</b>	<b>30,183</b>	<b>(15.4%)</b>	<b>67,470</b>	<b>52,916</b>	<b>(21.6%)</b>	<b>492,281</b>
Technology							
	<b>82,582</b>	<b>82,102</b>	<b>(0.6%)</b>	<b>107,443</b>	<b>99,998</b>	<b>(6.9%)</b>	<b>540,310</b>
Police Department							
Police Administration	144,399	123,840	(14.2%)	232,588	189,425	(18.6%)	1,194,532
Operations	685,900	664,421	(3.1%)	987,628	900,348	(8.8%)	4,501,305
Communications	118,592	111,053	(6.4%)	200,245	168,064	(16.1%)	1,286,734
Crime Prevention	100,835	88,574	(12.2%)	139,395	113,972	(18.2%)	649,722
Intergovernmental	77,326	74,755	(3.3%)	111,970	104,023	(7.1%)	514,385
<b>Total</b>	<b>1,127,052</b>	<b>1,062,643</b>	<b>(5.7%)</b>	<b>1,671,826</b>	<b>1,475,832</b>	<b>(11.7%)</b>	<b>8,146,678</b>
Fire Department							
Fire Administration	129,307	129,995	0.5%	264,180	253,125	(4.2%)	1,377,243
Emergency Management	4,089	3,736	(8.6%)	11,512	9,665	(16.0%)	64,899
Fire Suppression	601,406	584,820	(2.8%)	826,910	774,471	(6.3%)	3,899,335
Emergency Medical Services	667,760	644,121	(3.5%)	924,087	850,496	(8.0%)	4,374,153
Special Rescue	56,827	51,564	(9.3%)	77,174	68,302	(11.5%)	331,386
Fire Prevention	25,687	20,357	(20.7%)	52,335	42,149	(19.5%)	339,686
<b>Total</b>	<b>1,485,076</b>	<b>1,434,593</b>	<b>(3.4%)</b>	<b>2,156,198</b>	<b>1,998,208</b>	<b>(7.3%)</b>	<b>10,386,702</b>
Community Services							
Building and Zoning Division							
B&Z Administration	38,457	35,733	(7.1%)	69,160	56,257	(18.7%)	489,156
Inspections	26,704	24,649	(7.7%)	48,074	39,894	(17.0%)	341,784
Economic Development	5,438	2,829	(48.0%)	45,878	4,559	(90.1%)	501,882
<b>Sub-Total</b>	<b>70,599</b>	<b>63,211</b>	<b>(10.5%)</b>	<b>163,112</b>	<b>100,710</b>	<b>(38.3%)</b>	<b>1,332,822</b>
Public Works							
PW Administration	37,810	30,738	(18.7%)	69,662	59,517	(14.6%)	356,689
Forestry	12,698	11,253	(11.4%)	25,186	18,424	(26.8%)	202,782
Park Maintenance	27,271	23,218	(14.9%)	51,506	38,127	(26.0%)	513,989
Municipal Property Maint	16,806	14,091	(16.2%)	33,274	21,950	(34.0%)	263,660
Right-of-Way Maint	23,711	23,676	(0.1%)	46,419	38,233	(17.6%)	393,069
Snow & Ice Control	8,084	7,658	(5.3%)	16,501	13,774	(16.5%)	233,922
Street & Traffic Lighting	5,937	4,638	(21.9%)	11,179	8,822	(21.1%)	87,287
Storm Water Control	12,345	10,284	(16.7%)	26,596	23,186	(12.8%)	218,493
Engineering	11,417	6,401	(43.9%)	13,369	11,059	(17.3%)	131,587
Vehicle Maintenance	48,014	42,123	(12.3%)	70,900	51,563	(27.3%)	729,040
<b>Sub-Total</b>	<b>204,093</b>	<b>174,080</b>	<b>(14.7%)</b>	<b>364,592</b>	<b>284,655</b>	<b>(21.9%)</b>	<b>3,130,518</b>
<b>Total</b>	<b>274,692</b>	<b>237,291</b>	<b>(13.6%)</b>	<b>527,704</b>	<b>385,365</b>	<b>(27.0%)</b>	<b>4,463,340</b>

Benchmark: **16.7%**

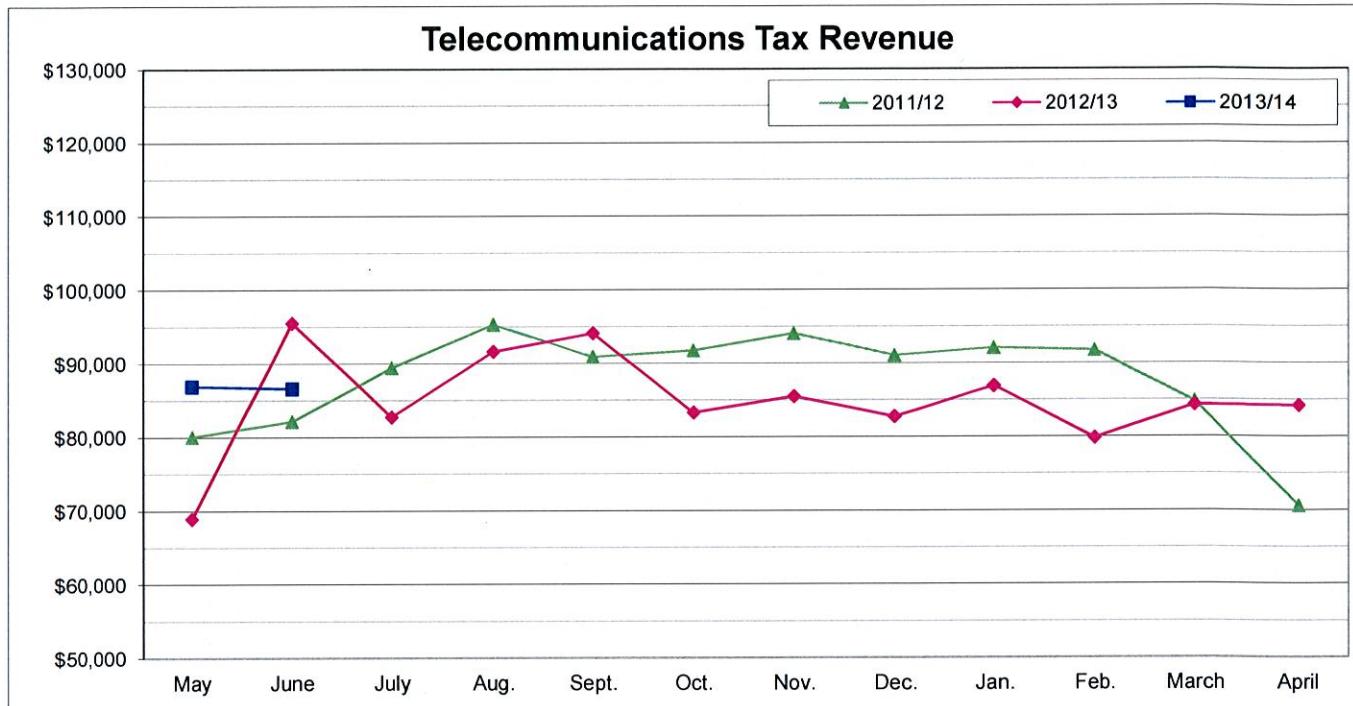
**OPERATING REPORT SUMMARY**

**EXPENDITURES**

**June 30, 2013**

	Current Month			Year-to-Date			Annual Budget	% of Annual Budget Expended
	Budget	Actual	% Variance	Budget	Actual	% Variance		
<b>Park &amp; Recreation Department</b>								
P&R Administration	27,800	32,998	18.7%	47,780	53,954	12.9%	303,542	17.8%
Special Recreation	-	-	0.0%	-	-	0.0%	287,464	0.0%
Dance Program	-	-	0.0%	4,630	1,333	(71.2%)	65,656	2.0%
Preschool Program	2,797	2,773	(0.9%)	13,279	11,808	(11.1%)	135,221	8.7%
Youth Program	-	-	0.0%	113	-	(100.0%)	1,350	0.0%
Camp Program	12,015	10,668	(11.2%)	12,015	10,668	(11.2%)	98,162	10.9%
Athletics Program	3,725	594	(84.1%)	7,450	5,037	(32.4%)	44,705	11.3%
Aquatics Program	10,972	8,776	(20.0%)	11,519	9,141	(20.6%)	60,513	15.1%
Special Interest/Events	1,300	1,358	4.5%	2,600	1,574	(39.5%)	15,740	10.0%
Fitness Program	621	435	(30.0%)	1,242	572	(53.9%)	7,653	7.5%
Miscellaneous	75	-	(100.0%)	150	-	(100.0%)	900	0.0%
<b>Total</b>	<b>59,305</b>	<b>57,602</b>	<b>(2.9%)</b>	<b>100,778</b>	<b>94,087</b>	<b>(6.6%)</b>	<b>1,020,906</b>	<b>9.2%</b>
<b>Total General Fund</b>	<b>3,129,172</b>	<b>2,961,601</b>	<b>(5.4%)</b>	<b>4,734,058</b>	<b>4,189,457</b>	<b>(11.5%)</b>	<b>26,002,282</b>	<b>16.1%</b>
							<b>Benchmark:</b>	<b>16.7%</b>
<b>SPECIAL REVENUE FUNDS</b>								
Motor Fuel Tax Fund	9,000	-	(100.0%)	30,625	172	(99.4%)	459,500	0.0%
Hotel Tax Fund	31,042	30,950	(0.3%)	33,424	30,950	(7.4%)	82,605	37.5%
TIF Tax Allocation Fund	28,867	14,781	(48.8%)	31,234	15,431	(50.6%)	1,685,905	0.9%
<b>Total Special Revenue Funds</b>	<b>68,909</b>	<b>45,731</b>	<b>(33.6%)</b>	<b>95,283</b>	<b>46,553</b>	<b>(51.1%)</b>	<b>2,228,010</b>	<b>2.1%</b>
<b>DEBT SERVICE FUNDS</b>								
General Debt Service Fund	-	-	0.0%	68,275	68,275	0.0%	1,075,528	6.3%
TIF Debt Service Fund	-	-	0.0%	375,706	375,706	0.0%	2,422,665	15.5%
<b>Total Debt Service Funds</b>	<b>-</b>	<b>-</b>	<b>0.0%</b>	<b>443,981</b>	<b>443,981</b>	<b>0.0%</b>	<b>3,498,193</b>	<b>12.7%</b>
<b>CAPITAL PROJECT FUNDS</b>								
Capital Project Fund	31,417	25,644	(18.4%)	31,834	25,644	(19.4%)	1,836,673	1.4%
Park Improvement Fund	250	249	(0.4%)	500	249	(50.2%)	385,000	0.1%
Non-Home Rule Capital Projects	2,474	-	(100.0%)	4,948	251	(94.9%)	2,215,500	0.0%
TIF Redevelopment Fund	-	-	0.0%	-	-	0.0%	415,000	0.0%
<b>Total Capital Projects Funds</b>	<b>34,141</b>	<b>25,893</b>	<b>(24.2%)</b>	<b>37,282</b>	<b>26,144</b>	<b>(29.9%)</b>	<b>4,852,173</b>	<b>0.5%</b>
<b>ENTERPRISE FUNDS</b>								
Waterworks and Sewerage Fund	229,787	201,767	(12.2%)	544,134	396,083	(27.2%)	5,977,185	6.6%
<b>Total Enterprise Funds</b>	<b>229,787</b>	<b>201,767</b>	<b>(12.2%)</b>	<b>544,134</b>	<b>396,083</b>	<b>(27.2%)</b>	<b>5,977,185</b>	<b>6.6%</b>
<b>INTERNAL SERVICE FUNDS</b>								
Medical Self Insurance Fund	206,354	173,392	(16.0%)	412,708	566,025	37.1%	2,476,243	22.9%
Vehicle Maintenance Fund	-	-	0.0%	-	-	0.0%	100,000	0.0%
Risk Management Fund	87,746	65,881	(24.9%)	175,492	135,639	(22.7%)	1,052,947	12.9%
<b>Total Internal Service Funds</b>	<b>294,100</b>	<b>239,273</b>	<b>(18.6%)</b>	<b>588,200</b>	<b>701,664</b>	<b>19.3%</b>	<b>3,629,190</b>	<b>19.3%</b>
<b>TRUST AND AGENCY FUNDS</b>								
Special Service Area No. 8 Fund	-	-	0.0%	-	-	0.0%	-	N/A
Special Service Area No. 9 Fund	-	-	0.0%	-	-	0.0%	-	N/A
Special Service Area No. 11 Fund	-	-	0.0%	-	-	0.0%	-	N/A
Special Service Area No. 13 Fund	-	-	0.0%	-	-	0.0%	-	N/A
Special Service Area No. 15 Fund	-	-	0.0%	-	-	0.0%	-	N/A
Special Service Area No. 16 Fund	-	-	0.0%	-	-	0.0%	-	N/A
<b>Total Trust and Agency Fund</b>	<b>-</b>	<b>-</b>	<b>0.0%</b>	<b>-</b>	<b>-</b>	<b>0.0%</b>	<b>-</b>	<b>N/A</b>
							<b>Benchmark:</b>	<b>16.7%</b>

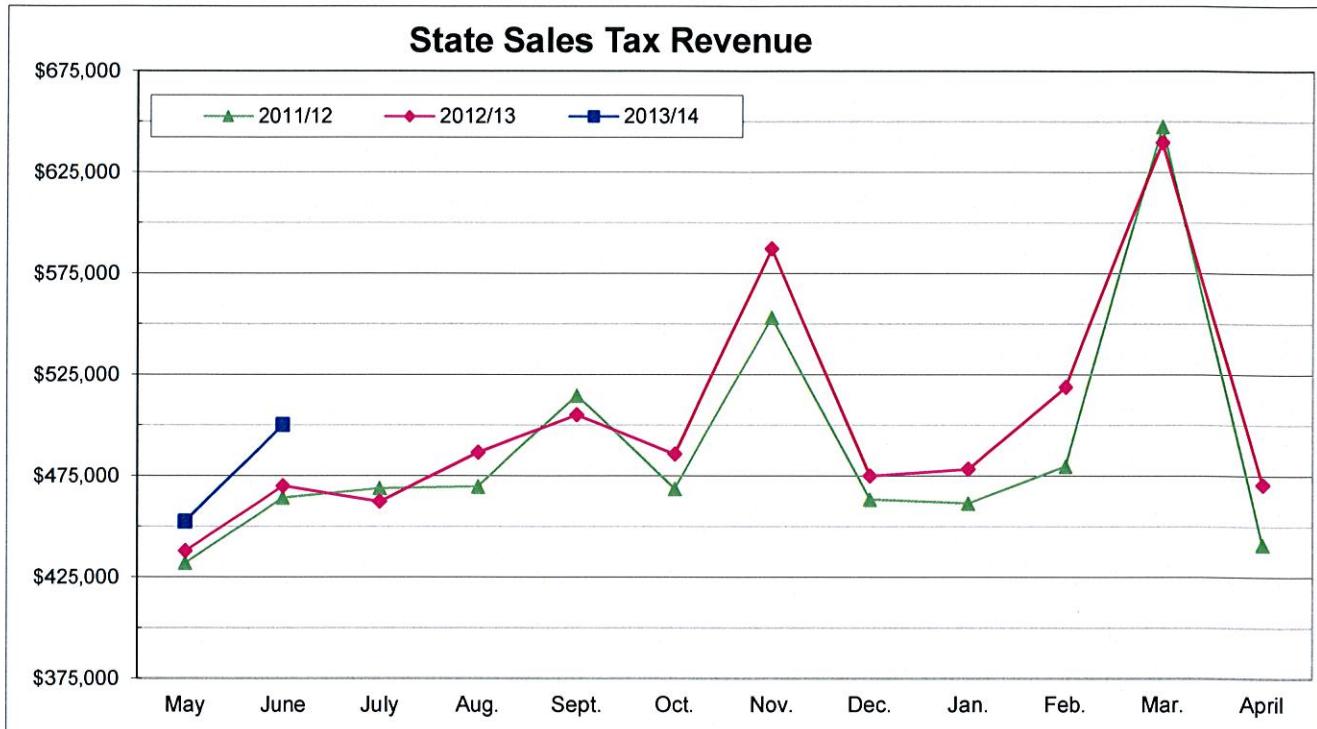
DEPARTMENT OF FINANCE  
MONTHLY REPORT  
JUNE 2013



**Telecommunications Tax Collection History (Cash Basis)**

Voucher Month	FY 2011/12	FY 2012/13	% Change	FY 2013/14	% Change	2013/14 Budget	2013/14 Budget Variance	2013/14 Budget Variance %
May	80,089	68,957	-13.90%	86,963	26.11%	65,509	21,454	32.75%
June	82,168	95,543	16.28%	86,615	-9.34%	90,766	(4,152)	-4.57%
July	89,450	82,755	-7.48%			78,617		
Aug.	95,301	91,626	-3.86%			87,045		
Sept.	90,925	94,091	3.48%			89,386		
Oct.	91,746	83,321	-9.18%			79,155		
Nov.	94,072	85,490	-9.12%			81,216		
Dec.	91,090	82,776	-9.13%			78,638		
Jan.	92,108	86,889	-5.67%			82,545		
Feb.	91,755	79,808	-13.02%			75,818		
March	84,869	84,297	-0.67%			75,788		
April	70,587	84,182	19.26%			63,034		
CASH BASIS TOTAL	1,054,158	1,019,735	-3.27%	173,578	-82.98%	947,516	17,303	1.83%
Y-T-D	162,257	164,500	1.38%	173,578	5.52%	156,275	17,303	11.07%

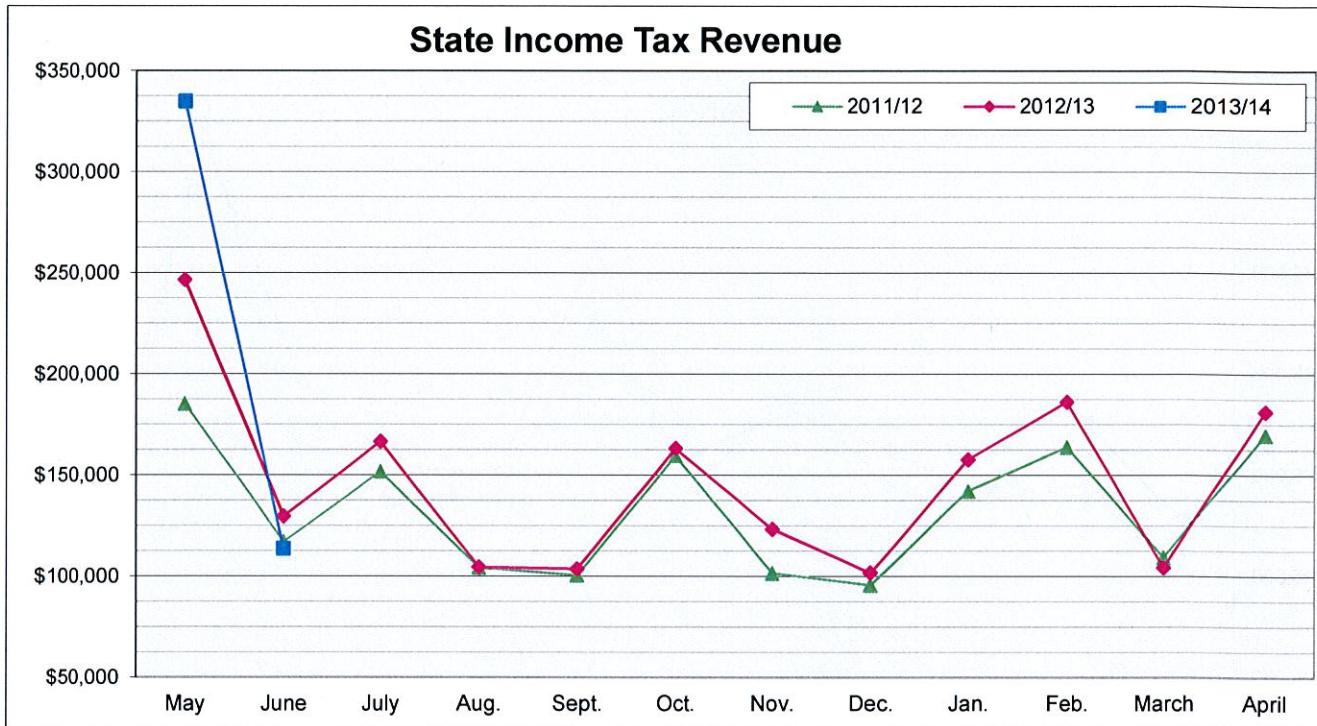
DEPARTMENT OF FINANCE  
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**State Sales Tax Collection History (Cash Basis)**

Sales Month	Month Received	FY 2011/12	FY 2012/13	% Change	FY 2013/14	% Change	2013/14 Budget	2013/14 Budget Variance	2013/14 Budget Variance %
Feb.	May	432,121	437,868	1.33%	452,619	3.37%	447,501	5,118	1.14%
Mar.	June	464,280	470,153	1.26%	500,249	6.40%	480,496	19,753	4.11%
April	July	468,983	462,414	-1.40%			472,587		
May	Aug.	469,801	486,669	3.59%			497,375		
June	Sept.	514,757	505,261	-1.84%			516,882		
July	Oct.	468,714	485,876	3.66%			497,051		
Aug.	Nov.	553,374	587,280	6.13%			597,719		
Sept.	Dec.	463,576	475,088	2.48%			486,490		
Oct.	Jan.	461,609	478,416	3.64%			489,898		
Nov.	Feb.	479,880	518,846	8.12%			531,299		
Dec.	Mar.	647,604	639,668	-1.23%			655,660		
Jan.	April	441,146	470,763	6.71%			501,451		
TOTAL		5,865,845	6,018,303	2.60%	952,868	-84.17%	6,174,409	24,871	0.40%
Y-T-D		896,401	908,021	1.30%	952,868	4.94%	927,997	24,871	2.68%

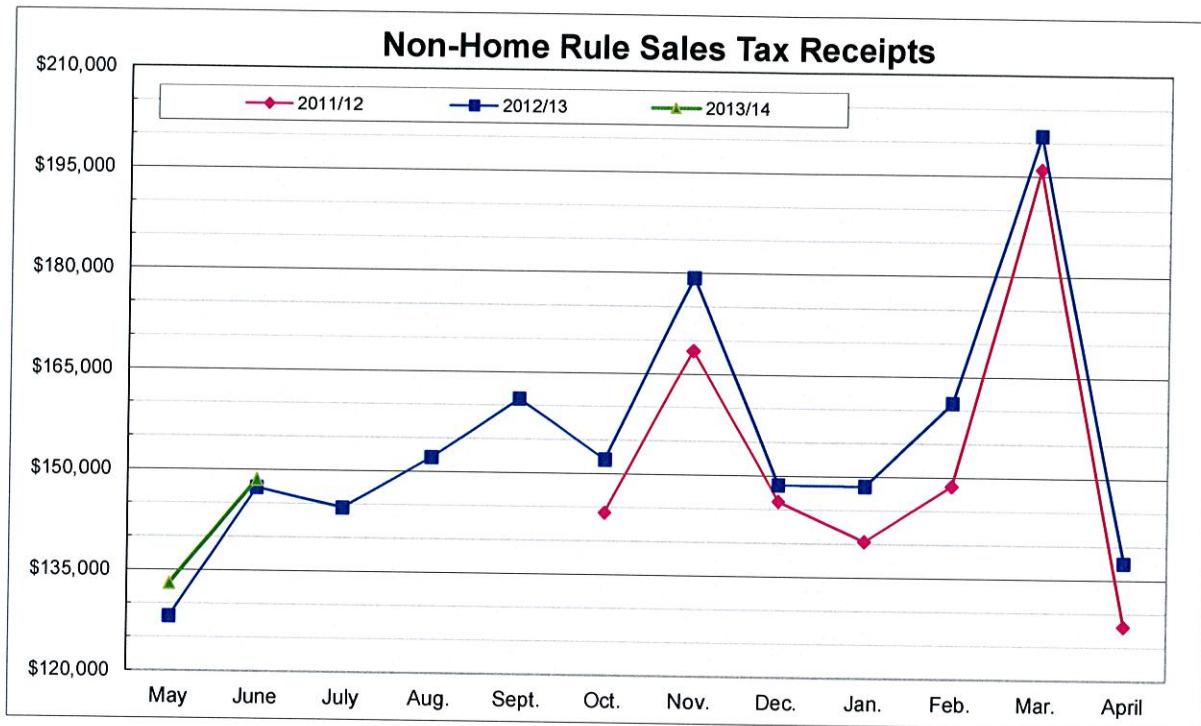
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**State Income Tax Collection History**

Voucher Month	FY		% Change	FY		2013/14 Budget	2013/14	
	2011/12	2012/13		2013/14	% Change		Budget Variance	Budget Variance %
May	185,326	246,668	33.10%	334,947	35.79%	251,601	83,346	33.13%
June	117,185	129,687	10.67%	113,795	-12.25%	132,281	(18,486)	-13.97%
July	151,807	166,537	9.70%			169,868		
Aug.	104,439	104,504	0.06%			106,594		
Sept.	100,526	103,659	3.12%			105,732		
Oct.	159,665	163,219	2.23%			166,483		
Nov.	101,684	123,329	21.29%			125,796		
Dec.	95,740	101,779	6.31%			103,815		
Jan.	142,244	157,769	10.91%			160,924		
Feb.	164,000	186,239	13.56%			189,963		
March	109,414	104,431	-4.55%			116,066		
April	169,885	181,336	6.74%			180,215		
TOTAL	1,601,915	1,769,157	10.44%	448,743		1,809,338	64,861	3.58%
Y-T-D	302,511	376,355	24.41%	448,743	19.23%	383,882	64,861	16.90%

DEPARTMENT OF FINANCE  
MONTHLY REPORT  
JUNE 2013



**Non-Home Rule Sales Tax Collection History (Accrual Basis)**

Sales Month	Month Received	FY		% Change	FY		2013/14 Budget	2013/14 Budget	
		2011/12	2012/13		2013/14	% Change		Variance	Variance %
Feb.	May	128,146		N/A	133,042	3.8%	130,709	2,333	1.8%
March	June	147,404		N/A	148,568	0.8%	150,352	(1,784)	-1.2%
April	July	144,514		N/A			147,404		
May	Aug.	152,154		N/A			155,197		
June	Sept.	161,028		N/A			164,249		
July	Oct.	144,230	152,110	5.5%			155,152		
Aug.	Nov.	168,438	179,311	6.5%			182,897		
Sept.	Dec.	146,212	148,685	1.7%			151,659		
Oct.	Jan.	140,374	148,581	5.8%			151,553		
Nov.	Feb.	148,742	161,063	8.3%			164,284		
Dec.	Mar.	195,983	201,005	2.6%			205,025		
Jan.	April	128,087	137,515	7.4%			147,632		
TOTAL		1,072,065	1,861,515	73.6%	281,611		1,906,113		
Y-T-D		-	275,550	N/A	281,611	2.2%	281,061	550	0.2%

\*The Non-Home Rule Sales Tax was enacted with a July 1, 2011 effective date.

Description	Purchase Date	Maturity Date	Coupon Rate	CUSIP / Account	Days to Maturity	Par Value	Purchase Price	(Premium) / Discount		Market Value	Accrued Interest	Total Value	Unrealized Gain (Loss)
								Premium	Discount				
<b>MONEY MARKET &amp; CASH</b>													
CASH - INLAND	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	1,966,214.81	-	1,966,214.81	N/A
MONEY MARKET - INLAND	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	1,006,939.41	-	1,006,939.41	N/A
MONEY MARKET - IL FUNDS	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	10,209,184.55	-	10,209,184.55	N/A
IMET CONVENIENCE FUND	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	955,072.24	-	955,072.24	N/A
CASH - TD AMERITRADE	N/A	N/A	0.000%	920028468	N/A	N/A	N/A	N/A	N/A	-	-	-	N/A
IDA:42	N/A	N/A	0.000%	920028468	N/A	N/A	N/A	N/A	N/A	8,852.31	-	8,852.31	N/A
<b>CERTIFICATE OF DEPOSIT</b>													
Ally Bank Midvale	05/01/13	05/01/15	0.600%	02005QW82	670	200,000.00	200,000.00	199,064.00	197.26	199,261.26	-	199,261.26	(936.00)
American Express Bank	04/09/13	12/10/13	4.800%	02586TB9	163	41,000.00	42,250.33	(1,250.33)	41,765.06	41,872.90	-	41,872.90	(485.27)
American Express Bank	04/16/13	12/09/13	1.000%	02587DAW8	162	148,000.00	148,623.28	(623.28)	148,442.52	148,527.67	-	148,527.67	(180.76)
BMW Bank	05/20/13	12/10/15	2.000%	05568PA98	893	65,000.00	67,141.75	(2,141.75)	66,768.00	71.23	-	66,839.23	(373.75)
Capital One Bank	04/10/13	11/26/13	5.000%	140420LFS	149	39,000.00	40,147.56	(1,147.56)	39,708.24	186.99	-	39,895.23	(439.32)
Discover Bank	10/01/12	10/10/14	0.800%	254671GV6	467	75,000.00	75,000.00	-	75,192.75	133.15	-	75,325.90	192.75
GE Capital	07/18/12	07/21/14	1.000%	36157QHT7	386	125,000.00	125,000.00	-	125,365.00	551.37	-	125,916.37	365.00
GE Money Bank	05/21/13	03/16/16	1.200%	36161NWG9	990	7,000.00	7,071.89	(71.89)	6,993.21	24.39	-	7,017.60	(78.68)
Goldman Sachs	05/01/13	07/25/14	1.000%	381471EA6	390	75,000.00	75,000.00	-	74,649.00	67.81	-	74,716.81	(351.00)
Goldman Sachs	07/20/12	07/25/14	1.000%	38143AXD2	390	120,000.00	120,000.00	-	120,361.20	512.88	-	120,874.08	361.20
Sallie Mae Bank Murray Utah	10/01/12	10/10/14	0.850%	795450PS8	467	150,000.00	150,000.00	-	150,385.50	282.95	-	150,668.45	385.50
Sallie Mae Bank Murray Utah	08/21/12	08/29/14	0.900%	795450PB5	425	100,000.00	100,000.00	-	100,191.00	300.82	-	100,491.82	191.00
Susquehanna Bank	04/04/13	08/12/13	0.250%	86910RBU6	43	225,000.00	225,015.00	(15.00)	224,939.25	121.63	-	225,060.88	(75.75)
The Edgar County B&T	01/01/13	04/01/15	0.900%	80101	640	237,500.00	237,500.00	-	237,500.00	1,599.37	-	239,099.37	-
Wex Bank Midvale Utah	04/10/13	10/17/13	0.200%	92937CAR9	109	217,000.00	217,000.00	-	216,811.21	87.36	-	216,899.17	(188.79)
<b>AGENCY</b>													
FNMA	12/03/12	11/27/17	0.900%	31366G07M7	1,611	145,000.00	145,000.35	(0.35)	141,450.40	119.62	-	141,570.02	(3,549.95)
FNMA	07/17/12	07/30/18	1.300%	31366G0TD3	1,856	250,000.00	249,850.00	150.00	244,050.00	1,344.52	-	245,394.52	(5,800.00)
FNMA	04/25/12	04/25/14	1.300%	31366G0CE9	299	290,000.00	290,000.00	-	290,446.60	681.70	-	291,128.30	446.60
Fed Farm Credit Bank	11/30/12	08/21/17	0.800%	3133EC3G7	1,513	150,000.00	150,000.00	-	146,136.00	430.00	-	146,566.00	(3,864.00)
Fed Farm Credit Bank	11/20/12	11/20/17	0.850%	3133EC2Y9	1,604	155,000.00	155,155.00	(155.00)	151,453.60	146.39	-	151,599.99	(3,701.40)
FHLB Note	04/04/11	04/29/14	1.350%	313373A51	303	460,000.00	458,776.40	1,223.60	464,296.40	1,054.85	-	465,351.25	5,520.00
FHLB Bond	11/20/12	11/13/17	0.875%	3133813C7	1,597	275,000.00	274,818.75	181.25	268,573.25	314.15	-	268,887.40	(6,245.50)
FHLB Bond	10/31/12	11/15/17	0.980%	3133817D1	1,599	440,000.00	439,915.00	85.00	431,882.00	539.00	-	432,421.00	(8,033.00)
FHLB Bond	10/03/11	09/09/16	2.000%	313370TW8	1,167	305,000.00	315,464.55	(10,464.55)	316,163.00	1,880.83	-	318,043.83	698.45
FNMA	10/24/12	10/25/17	0.900%	31336G0QQ9	1,578	310,000.00	309,792.50	207.50	303,012.60	503.75	-	303,516.35	(6,779.90)
FNMA	10/31/12	09/27/17	1.070%	3135G0PD9	1,550	315,000.00	315,812.50	(812.50)	309,919.05	870.71	-	310,789.76	(5,893.45)
<b>FIXED INCOME - OTHER</b>													
St. Charles Park Dist Bonds	04/22/13	12/15/16	3.750%	787760HZ3	1,264	100,000.00	110,565.00	(10,565.00)	106,979.00	156.25	-	107,135.25	(3,586.00)
<b>TOTAL</b>						5,019,500.00	5,044,899.86	(25,399.86)	19,148,761.16	12,372.57	19,161,133.73	(42,402.02)	

September 2013



**VILLAGE OF LAKE ZURICH**  
**Board Of Fire & Police Commissioners**  
200 Mohawk Trail  
Lake Zurich, IL 60047

**PUBLIC NOTICE**  
**BOARD OF FIRE & POLICE COMMISSIONERS**

The regularly scheduled meeting of the Board of Fire and Police Commissioners will be held on August 20, 2013 at 5:00 pm at the Lake Zurich Police Department, Conference Room, 200 Mohawk Trail, Lake Zurich, Illinois, in accordance with the Illinois Compiled State Statutes.

**Agenda:**

**I Call to Order**

Commission Chairman Reck, Commissioner Grooms, Commissioner Kelly, Fire Chief Wheelock, Police Chief Finlon, Office Manager Chessler, Office Manager Kauffman

**II Approval of Minutes:**

June 18, 2013

**III Communications**

**IV Pending Business**

**Fire:**

Review hiring status

Review of candidate background

**Police:**

Nothing

**V New Business**

**Fire:**

Captain Promotion discussion

**Police:**

Nothing

**VII Adjournment**

The Village of Lake Zurich is subject to the requirements of the Americans with Disabilities Act of 1990. Individuals with disabilities who plan to attend this meeting and who require certain accommodations so that they can observe and/or participate in this meeting, or who have questions, regarding the accessibility of the meeting or the Village's facilities, should contact the Village's ADA Coordinator at (847) 438-5141 (TTD (847) 438-2349) promptly to allow the Village to make reasonable accommodations for those persons.

APPROVED  
VILLAGE OF LAKE ZURICH  
TREE COMMISSION  
505 Telser Road, Lake Zurich  
June 4, 2013 6:30 PM

- A. **CALL TO ORDER**  
The meeting was called to order by Chairmen Garrison at 6:30 PM
- B. **ROLL CALL**-Present were Jim Mihelic, Mary Kozub, Toni Rey, Scott Garrison and Village Liaison Shawn Walkington.
- C. **PUBLIC COMMENT**- None
- D. **APPROVAL OF MINUTES** – Commissioner Kozub moved to approve minutes. Commissioner Rey seconded the motion. Voice vote, Ayes has it. Motion carried
- E. **OLD BUSINESS**- Chairman Garrison submitted letter for Oaks Subdivision to commission for approval. Letter was approved with changes made by Commission. President Poynton will pass the letter on to Administrator Slowinski to be sent on to JMLJ Construction.
- F. **FORESTRY BUDGET**- Liaison Walkington reported that there is \$51,000 dollars for contract tree removal and \$57,000 for tree replacement planting. Bids for contract tree trimming will be sent out shortly. Commission discussed options for tree replacement policy.
- G. **NEW BUSINESS**- President Poynton asked if the Tree Commission would like to attend a Board meeting to update new board members on Emerald Ash Borer. President Poynton also stated any info we have can be put on news line that is now going to be on the website and Facebook. Chairman Garrison brought information on treatments for Emerald Ash Borer. Commission will discuss possibilities of treatments at next meeting.
- K. **ADJOURNMENT** – MOTION was made by Commissioner Mihelic, seconded by Commissioner Rey to adjourn the meeting. Voice votes, AYES have it. MOTION CARRIED  
Meeting adjourned at 7:30pm.

Respectfully submitted: Shawn Walkington

Approved by: Scott Garrison  
Scott Garrison, Chairperson

Date: 7/9/13

APPROVED  
VILLAGE OF LAKE ZURICH  
TREE COMMISSION  
505 Telser Road, Lake Zurich  
July 9, 2013 6:30 PM

- A. CALL TO ORDER  
The meeting was called to order by Chairmen Garrison at 6:30 PM
- B. ROLL CALL-Present were Jim Mihelic, Mary Kozub, Toni Rey, Scott Garrison and Village Liaison Shawn Walkington.
- C. PUBLIC COMMENT- None
- D. APPROVAL OF MINUTES – Commissioner Rey moved to approve minutes. Chairman Kozub seconded the motion. All were in favor
- E. OLD BUSINESS- Liaison Walkington informed members that the Village of Lake Zurich wants him to inspect the trees at Oaks before the Tree Commission letter is sent. Commissioner Rey asked when we were supposed to update Board members on Emerald Ash Borer. Chairman Garrison is putting together information and will ask for a date when he is finished. Chairman Garrison informed commission that the Forestry quick link on Village of Lake Zurich website has been changed to Emerald Ash Borer.
- F. FORESTRY GOALS- Chairman Garrison brought copies of Forestry goals from 2008. Commission members are going to review goals to discuss at future meeting.
- G. EMERALD ASH BORER UPDATE – Liaison Walkington stated they are still taking down infested trees. The infestation is Village wide and there are severe infestations in all Subdivisions.
- H. TREE REMOVAL CONTRACT- Liaison Walkington informed Commission that McGinty Bros was awarded bid for contract removal of infested Ash trees. They will begin doing removals in Old Mill Grove Subdivision.
- I. NEW BUSINESS- Commissioner Kozub passed along information from Fox River Ecosystem Partnership pertaining to tree grants.  
Commissioner Kozub talked about Kuechmann Park and feels it has not been maintained. She would like copy of tree inventory and wants to see the park better maintained.
- K. ADJOURNMENT – MOTION was made by Commissioner Mihelic, seconded by Commissioner Rey to adjourn the meeting. Voice votes, AYES have it. MOTION CARRIED  
Meeting adjourned at 9 PM.

Respectfully submitted: Shawn Walkington

Approved by: Scott Garrison  
Scott Garrison, Chairperson

Date: 8/6/13